
SPECIFICATIONS FOR CONSTRUCTION CONTRACT Solicitation: DACA45-03-B-0002

CALCM BEDDOWN
PN: QJVF042002P1

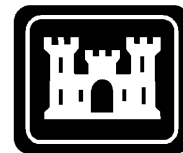
MINOT AFB, NORTH DAKOTA

**CONTRACT REQUIREMENTS
BIDDING INFORMATION
DIVISIONS 1-16**

JULY 2003



Air Combat Command



U.S. Army Corps of Engineers,
Omaha District

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**CONVENTIONAL AIR LAUNCH
CRUISE MISSILE (CALCM) BEDDOWN
MINOT AFB, ND**

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--End of Project Table of Contents --

SOLICITATION, OFFER, AND AWARD (Construction, Alteration, or Repair)	1. SOLICITATION NO.	2. TYPE OF SOLICITATION	3. DATE ISSUED	PAGE OF PAGES
	DACA45-03-B-0002	<input checked="" type="checkbox"/> SEALED BID (IFB) <input type="checkbox"/> NEGOTIATED (RFP)	08 JUL 2003	1 OF 4

IMPORTANT - The "offer" section on the reverse must be fully completed by offeror.

4. CONTRACT NO.	5. REQUISITION/PURCHASE REQUEST NO.	6. PROJECT NO.
7. ISSUED BY	CODE	8. ADDRESS OFFER TO
	CT	
U S ARMY ENGINEER DISTRICT, OMAHA 106 South 15th Street Omaha, Nebraska 68102-1618		U.S.ARMY CORPS OF ENGINEERS, OMAHA Attn: CONTRACTING DIVISION (CENWO-CT) 106 South 15th Street Omaha, Nebraska 68102-1618
9. FOR INFORMATION CALL:	A. NAME	B. TELEPHONE NO. (Include area code) (NO COLLECT CALLS)
	See SECTION 00100, Para. 1.1	See SECTION 00100, Para. 1.1

SOLICITATION

NOTE: In sealed bid solicitations "offer" and "offeror" mean "bid" and "bidder".

10. THE GOVERNMENT REQUIRES PERFORMANCE OF THE WORK DESCRIBED IN THESE DOCUMENTS (Title, identifying no., date):

The Offeror hereby agrees to do all the work described in these documents entitled:

CALCM BEDDOWN
 PN: QJVF042003
 MINOT AFB, NORTH DAKOTA

RETURN WITH BIDS: SECTION 00010 (SF1442), SECTION 00600 REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS, and all Bonding Requirements, See SECTION 00700 CONTRACT CLAUSES and SECTION 00100 for Performance and Payment Bonds.

11. The Contractor shall begin performance within <u>10</u> calendar days and complete it within <u>450</u> calendar days after receiving	
<input type="checkbox"/> award, <input checked="" type="checkbox"/> notice to proceed. This performance period is <input checked="" type="checkbox"/> mandatory, <input type="checkbox"/> negotiable. (See _____.)	
12A. THE CONTRACTOR MUST FURNISH ANY REQUIRED PERFORMANCE AND PAYMENT BONDS? (If "YES," indicate within how many calendar days after award in Item 12B.)	12B. CALENDAR DAYS
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	10

13. ADDITIONAL SOLICITATION REQUIREMENTS:

- A. Sealed offers in original and _____ copies to perform the work required are due at the place specified in Item 8 by 2:00 pm (hour) local time 12 AUG 2003 (date). If this is a sealed bid solicitation, offers must be publicly opened at that time. Sealed envelopes containing offers shall be marked to show the offeror's name and address, the solicitation number, and the date and time offers are due.
- B. An offer guarantee ☒ is, ☐ is not required.
- C. All offers are subject to the (1) work requirements, and (2) other provisions and clauses incorporated in the solicitation in full text or by reference.
- D. Offers providing less than 90 calendar days for Government acceptance after the date offers are due will not be considered and will be rejected.

14. NAME AND ADDRESS OF OFFEROR (Include ZIP Code) <div style="color: blue; font-weight: bold;">DUNS Number:</div>				15. TELEPHONE NO. (Include area code) 16. REMITTANCE ADDRESS (Include only if different than Item 14)			
CODE		FACILITY CODE					
17. The offeror agrees to perform the work required at the prices specified below in strict accordance with the terms of this solicitation, if this offer is accepted by the Government in writing within <u>90</u> calendar days after the date offers are due. (Insert any number equal to or greater than the minimum requirement stated in Item 13D. Failure to insert any number means the offeror accepts the minimum in Item 13D.)							
AMOUNTS		<div style="color: blue;">See Attached Bidding Schedule</div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> Contractor's Fax No. _____ CAGE CODE _____ </div> <div style="margin-top: 5px;"> Contractor's E-Mail address _____ </div>					
18. The offeror agrees to furnish any required performance and payment bonds.							
19. ACKNOWLEDGMENT OF AMENDMENTS (The offeror acknowledges receipt of amendments to the solicitation - give number and date of each)							
AMENDMENT NO.							
DATE							
20A. NAME AND TITLE OF PERSON AUTHORIZED TO SIGN OFFER (Type or print)				20B. SIGNATURE		20C. OFFER DATE	
AWARD (To be completed by Government)							
21. ITEMS ACCEPTED:							
22. AMOUNT				23. ACCOUNTING AND APPROPRIATION DATA			
24. SUBMIT INVOICES TO ADDRESS SHOWN IN (4 copies unless otherwise specified)			ITEM <div style="color: blue; font-weight: bold;">26</div>	25. OTHER THAN FULL AND OPEN COMPETITION PURSUANT TO <div style="display: flex; justify-content: space-between;"> <input type="checkbox"/> 10 U.S.C. 2304(c) () <input type="checkbox"/> 41 U.S.C. 253(c) () </div>			
26. ADMINISTERED BY <div style="color: blue;"> U.S. Army Engineer District, Omaha 106 South 15th Street Omaha, Nebraska 68102-1618 </div>			27. PAYMENT WILL BE MADE BY <div style="color: blue;"> USAED Omaha c/o USACE Finance Center 5722 Integrity Drive Millington, TN 38054-5005 </div>				
CONTRACTING OFFICER WILL COMPLETE ITEM 28 OR 29 AS APPLICABLE							
<input type="checkbox"/> 28. NEGOTIATED AGREEMENT (contractor is required to sign this document and return _____ copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all work, requisitions identified on this form and any continuation sheets for the consideration stated in this contract. The rights and obligations of the parties to this contract shall be governed by (a) this contract award, (b) the solicitation, and (c) the clauses, representations, certifications, and specifications incorporated by reference in or attached to this contract.				<input type="checkbox"/> 29. AWARD (Contractor is not required to sign this document.) Your offer on this solicitation, is hereby accepted as to the items listed. This award consummates the contract, which consists of (a) the Government solicitation and your offer, and (b) this contract award. No further contractual document is necessary.			
30A. NAME AND TITLE OF CONTRACTOR OR PERSON AUTHORIZED TO SIGN (Type or print)				31A. NAME OF CONTRACTING OFFICER (Type or print)			
30B. SIGNATURE		30C. DATE		31B. UNITED STATES OF AMERICA BY		31C. AWARD DATE	

BIDDING SCHEDULE

BASIC ITEMS				
Item No.	Description	Quantity	Unit	Total Amount
1.	All work complete (Basic Work). Includes Main Convoy Road, one Storage Igloo and site work (utilities and perimeter fencing) as shown on drawings. Exclude Options listed below.	Job	Lump Sum	\$ _____
OPTION ITEMS				
O-1.	All work complete for Option 1. Includes constructing an additional Storage Igloo. (Note: include additional cost for providing wing wall configuration under a 2 storage igloo configuration in lieu of the single storage configuration called for in the Basic.	Job	Lump Sum	\$ _____
O-2.	All work complete for Option 2. Includes constructing another additional Storage Igloo. (Note: include additional cost for providing wing wall configuration under a 3 storage igloo configuration in lieu of the 2 storage configuration called for in Option 1.	Job	Lump Sum	\$ _____
O-3	All work complete for Option 3. Includes constructing and providing a "Loop" Electrical layout. (See Electrical Utility Drawings)	Job	Lump Sum	\$ _____
O-4	All work complete for Option 4. Includes constructing and providing a Waterline. (See Utility Drawings)	Job	Lump Sum	\$ _____

GRAND TOTAL AMOUNT FOR CALCM BEDDOWN

GRAND TOTAL AMOUNT (BASIC + ALL OPTIONS)

\$ _____

NOTES:

1. See Section 00100, INSTRUCTIONS, CONDITIONS AND NOTICES TO OFFERORS, paragraph, EVALUATION OF OPTIONS for information on evaluation of options. The Government reserves the right to exercise the Options within 90 calendar days after issuance of Notice to Proceed. Option 2 cannot be exercised unless Option 1 is exercised. Evaluation of Options will not obligate the Government to exercise any of the options.
2. Prices must be entered for all line items on the Bidding Schedule. Additions will be subject to verification by the Government. In case of variation between the lump-sum prices and the grand total amount, the lump-sum prices will be considered the price.
3. A modification to the Bidding Schedule, which provides for a single adjustment to the grand total must state the application of the adjustment to each respective lump-sum price affected.

SECTION 00100

INSTRUCTIONS, CONDITIONS & NOTICES TO BIDDERS
(July 2000, Revised April 2003)

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SECTION 00100

INSTRUCTIONS, CONDITIONS & NOTICES TO BIDDERS

1 GENERAL BIDDING INFORMATION

Bids shall be either mailed or hand-carried as indicated below. Bid will be PUBLICLY opened at the bid time indicated on Standard Form SF 1442 (Page 00010-1).

1.1 MAILED BIDS AND HAND-CARRIED BIDS

a. Mailed bids shall be addressed to the location as indicated on Standard Form SF 1442 (Page 00010-1), Item No. 8.

b. Due to heightened security at Government installations, those bidders who have their bids hand-carried* shall contact Cindy Siford, Contract Specialist at (402) 221-4824 or (402) 221-4100 prior to delivering to the U. S. Army Corps of Engineer District, Omaha, 106 South 15th Street, Omaha, NE.

c. On the date specified and for thirty (30) minutes prior to time specified on Standard Form SF 1442, Page 00010-1, item 13.A, a Contracting representative will be in the lobby to receive bids. At the time specified on Standard SF 1442 Page 00010-1, item 13.A, the designated bid opening official will announce that receipt of bids is closed. Official time will be established by time/stamp clock located in the area where bids are received.

d. Anyone wishing to attend this public opening will be required to present photo identification to sign in and then will be escorted to the facility where bids will be opened. Once bids have been opened, read and recorded, attendees will then be escorted to exit the building.

e. RETURN ADDRESS REQUIREMENTS

Bidder(s) must ensure that ALL mail sent to the Omaha District, U.S. Army Corps of Engineers, either pre-contract or post-contract award, has a return mailing address on the outside of the envelope, package, box, etc. ANY MAIL addressed to the U.S. Army Corps of Engineers, including but not limited to bids, modifications to bids, proposals, revised proposals, bid guarantees, bonds, correspondence, etc., will be REJECTED by the US Army Corps of Engineers mail room facility located at 106 South Street, Omaha, Nebraska 68102-1618 if it does not contain a return mailing address. **There will be no exceptions.**

*This instruction shall also apply to those bids delivered through a delivery or parcel service.

1.2 SOLICITATION RESTRICTIONS

This solicitation is unrestricted and open to both large and small business participation.

1.3 BASIS FOR AWARD.

IT IS INTENDED THAT AWARD WILL BE MADE TO ONE BIDDER FOR THE ENTIRE WORK.

1.4 DESCRIPTION OF WORK

The scope of this project is to furnish all plant, labor, materials, and equipment and performing all work for CALCM Beddown at Minot AFB. Project scope includes construction of convoy roads, storage igloo(s), and site support and improvement upgrade. Work shall be in accordance with plans and specifications issued with this solicitation.

1.5 ESTIMATED CONSTRUCTION COST

The estimated construction cost of this project is between \$2,500,000 and \$5,000,000.

2 (FAR 52.214-6) EXPLANATION TO PROSPECTIVE BIDDERS (APRIL 1984).

Any prospective bidder desiring an explanation or interpretation of the solicitation, drawings, specifications, etc., must request it in writing soon enough to allow a reply to reach all prospective bidders before the submission of their bids. Oral explanations or instructions given before the award of a contract will not be binding. Any information given a prospective bidder concerning a solicitation will be furnished promptly to all other prospective bidders as an amendment to the solicitation, if that information is necessary in submitting bids or if the lack of it would be prejudicial to other prospective bidders.

3 RESERVED

(NOTE: FACSIMILE, ELECTRONIC COMMERCE OR TELEGRAPHIC BIDS ARE NOT AUTHORIZED AND WILL NOT BE ACCEPTED. TELEGRAPHIC MODIFICATIONS OR WITHDRAWAL OF BIDS ARE AUTHORIZED. FACSIMILE MODIFICATIONS OR WITHDRAWAL ARE NOT AUTHORIZED.)

4 (FAR 52.214-5) SUBMISSION OF BIDS (MAR 1997).

(a) Bids and bid modifications shall be submitted in sealed envelopes or packages (unless submitted by electronic means) (1) addressed to the office specified in the solicitation and (2) showing the time and date specified for receipt, the solicitation number, and the name and address of the bidder.

(b) Bidders using commercial carrier services shall ensure that the bid is addressed and marked on the outermost envelope or wrapper as prescribed in subparagraphs (a) (1) and (2) of this provision when delivered to the office specified in the solicitation.

(c) Telegraphic bids will not be considered unless authorized by the solicitation; however, bids may be modified or withdrawn by written or telegraphic notice.

(d) Facsimile bids, modifications, or withdrawals, will not be considered unless authorized by the solicitation

(e) Bids submitted by electronic commerce shall be considered only if the electronic commerce method was specifically stipulated or permitted by the solicitation.

5 (FAR 52.214-18) PREPARATION OF BIDS - CONSTRUCTION (APRIL 1984).

(a) Bids must be--

- (1) Submitted on the forms furnished by the Government or on copies of those forms, and
- (2) **Manually signed.** The person signing a bid must initial each erasure or change appearing on any bid form.

(b) The bid form may require bidders to submit bid prices for one or more items on various bases, including--

- (1) Lump sum bidding;
- (2) Alternate prices;
- (3) Units of construction; or
- (4) Any combination of subparagraphs (1) through (3) above.

(c) If the solicitation requires bidding on all items, failure to do so will disqualify the bid. If bidding on all items is not required, bidders should insert the words "no bid" in the space provided for any item on which no price is submitted.

(d) Alternate bids will not be considered unless this solicitation authorizes their submission.

6 (FAR 52.214-4) FALSE STATEMENTS IN BIDS (APRIL 1984).

Bidders must provide full, accurate, and complete information as required by this solicitation and its attachments. The penalty for making false statements in bids is prescribed in 18 U.S.C. 1001.

(NOTE: FACSIMILE, ELECTRONIC COMMERCE OR TELEGRAPHIC BIDS ARE NOT AUTHORIZED AND WILL NOT BE ACCEPTED. TELEGRAPHIC MODIFICATIONS OR WITHDRAWAL OF BIDS ARE AUTHORIZED. FACSIMILE MODIFICATIONS OR WITHDRAWAL ARE NOT AUTHORIZED.)

7 (FAR 52.214-7) LATE SUBMISSIONS, MODIFICATIONS, AND WITHDRAWALS OF BIDS (NOV 1999).

(a) Bidders are responsible for submitting bids, and any modifications or withdrawals, so as to reach the Government office designated in the invitation for bids (IFB) by the time specified in the IFB. If no time is specified in the IFB, the time for receipt is 4:30 p.m., local time, for the designated Government office on the date that bids are due.

(b)(1) Any bid, modification, or withdrawal received at the Government office designated in the IFB after the exact time specified for receipt of bids is "late" and will not be considered unless it is received before award is made, the Contracting Officer determines that accepting the late bid would

not unduly delay the acquisition; and-

(i) If it was transmitted through an electronic commerce method authorized by the IFB, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of bids; or

(ii) There is acceptable evidence to establish that it was received at the Government installation designated for receipt of bids and was under the Government's control prior to the time set for receipt of bids.

(2) However, a late modification of an otherwise successful bid that makes its terms more favorable to the Government, will be considered at any time it is received and may be accepted.

(c) Acceptable evidence to establish the time of receipt at the Government installation includes the time/date stamp of that installation on the bid wrapper, other documentary evidence of receipt maintained by the installation, or oral testimony or statements of Government personnel.

(d) If an emergency or unanticipated event interrupts normal Government processes so that bids cannot be received at the Government office designated for receipt of bids by the exact time specified in the IFB and urgent Government requirements preclude amendment of the IFB, the time specified for receipt of bids will be deemed to be extended to the same time of day specified in the solicitation on the first work day on which normal Government processes resume.

(e) Bids may be withdrawn by written notice received at any time before the exact time set for receipt of bids. If the IFB authorizes facsimile bids, bids may be withdrawn via facsimile received at any time before the exact time set for receipt of bids, subject to the conditions specified in the provision at 52.214-31, Facsimile Bids. A bid may be withdrawn in person by a bidder or its authorized representative if, before the exact time set for receipt of bids, the identity of the person requesting withdrawal is established and the person signs a receipt for the bid.
(End of provision)

8 INFORMATION FOR MODIFYING BIDS.

Bids which have been delivered to the designated bid receiving office may be modified or withdrawn by mail, mailgram, or telegram received at any time before the exact time set for receipt of bids. Modifications or withdrawals sent by mail should be transmitted to the place of bid opening Standard Form SF1442 (Page 00010-1), Item 8. Telephone modifications or withdrawals, other than telecopier, will not be accepted. All bid modifications or withdrawals must be signed by the bidder or its authorized representative. Any questions regarding these procedures should be directed to the Omaha District's Contracting Division at (402) 221-4824. This number should also be used to verify the receipt of messages.

(NOTE: NOT REQUIRED FOR PROJECTS LESS THAN \$100,000)

9 BID GUARANTEE.

See Contract Clauses clause FAR 52.228-1, BID GUARANTEE. Bid guarantee MUST be in an original and accompanied by an original power of attorney of the surety. Bidders are reminded that a bid bond is required under the solicitation. Proper bid bonds and documents related to the bid bond are a matter of responsiveness that may not be corrected after bid opening. The Comptroller General of the General Accounting Office has issued a recent decision that may impact acceptability of bid bonds and related documents.

The case, All Seasons Construction, Inc, B-291166.2, December 6, 2002, concluded that, in order for the bid to be responsive, signatures (including mechanically-produced signatures) must be added to powers of attorney **after** generation from a computer printer. It may be found at the GAO website at [<http://www.gao.gov/decisions/bidpro/bidpro.htm>](http://www.gao.gov/decisions/bidpro/bidpro.htm). Bidders are encouraged to refer to this case for guidance prior to submitting their bids.

(This provision is Not Required for projects less than \$100,000. See paragraph: PAYMENT PROTECTION.)

10 PERFORMANCE AND PAYMENT BONDS.

See Contract Clauses clause FAR 52.228-15, PERFORMANCE AND PAYMENT BONDS. To have the bond considered valid, both the bond and the Power of Attorney must be original. Facsimile copies will not be acceptable, and will render the bid invalid, therefore eliminating it from competition.

(NOTE: FOR THE PURPOSES OF THIS SOLICITATION, THE WORD "ITEM" SHALL BE CONSIDERED TO MEAN "SCHEDULE.")

11 (FAR 52.214-19) CONTRACT AWARD - SEALED BIDDING - CONSTRUCTION (AUG 1996).

(a) The Government will evaluate bids in response to this solicitation without discussions and will award a contract to the responsible bidder whose bid, conforming to the solicitation, will be most advantageous to the Government, considering only price and the price-related factors specified elsewhere in the solicitation.

(b) The Government may reject any or all bids, and waive informalities or minor irregularities in bids received.

(c) The Government may accept any item or combination of items, unless doing so is precluded by a restrictive limitation in the solicitation of the bid.

(d) The Government may reject a bid as nonresponsive if the prices bid are materially unbalanced between line items or subline items. A bid is materially unbalanced when it is based on prices significantly less than cost for some work, and prices which are significantly overstated in relation to cost for other work, and if there is a reasonable doubt that the bid will result in the lowest overall cost to the Government even though it may be the low evaluated bid, of it is so unbalanced as to be tantamount to allowing an advance payment.

12 SMALL BUSINESS SIZE STANDARD.

This solicitation is not limited to small business concerns, but, for definition purposes, a concern is small if its average annual receipts for its preceding 3 fiscal years did not exceed \$28.5 million. (based on FAR 19.102)

13 NORTH AMERICAN INDUSTRY CLASSIFICATION SYSTEM (NAICS).

In accordance with Sector 23 of the NAICS Manual, the work in this

solicitation is assigned classification code 236220.

14 (FAR 52.214-3) AMENDMENTS TO INVITATIONS FOR BIDS (DECEMBER 1989).

- (a) If this solicitation is amended, then all terms and conditions which are not modified remain unchanged.
- (b) Bidders shall acknowledge receipt of any amendment to this solicitation (1) by signing and returning the amendment, (2) by identifying the amendment number and date in the space provided for this purpose on the form for submitting a bid, (3) by letter or telegram or (4) by facsimile, if facsimile bids are authorized in the solicitation. The Government must receive the acknowledgment by the time and at the place specified for receipt of bids. (FAR 52.214-3.)

15 CHANGES PRIOR TO OPENING BIDS.

The right is reserved, as the interest of the Government may require, to revise the specifications and/or drawings prior to the date set for opening bids. Such revisions will be announced by an amendment or amendments to this Invitation for Bids. It shall be the responsibility of the prospective bidder to obtain copies of amendments from the website listed in paragraph: PLAN HOLDER'S LIST below. The Government may (but not required) send an amendment notification to let prospective bidders know that an amendment has been issued. If the revisions and amendments are of a nature which requires material changes in quantities or prices to be bid, the date set for opening bids may be postponed as necessary, in the opinion of the Commander, to enable bidders to revise their bids. In such cases, the amendment will include an announcement of the new date for opening bids.

16 (FAR 52.214-34) SUBMISSION OF OFFERS IN THE ENGLISH LANGUAGE (APR 1991)

Offers submitted in response to this solicitation shall be in the English language. Offers received in other than English shall be rejected.
(End of provision)

17 (FAR 52.214-35) SUBMISSION OF OFFERS IN U.S. CURRENCY (APR 1991)

Offers submitted in response to this solicitation shall be in terms of U.S. dollars. Offers received in other than U.S. dollars shall be rejected.
(End of provision)

18 (EFARS 52.214-5000) ARITHMETIC DISCREPANCIES.

(a) For the purpose of initial evaluation of bids, the following will be utilized in resolving arithmetic discrepancies found on the face of the bidding schedule as submitted by the bidder:

- (1) Obviously misplaced decimal points will be corrected;
- (2) In case of discrepancy between unit price and extended price, the unit price will govern;
- (3) Apparent errors in extension of unit prices will be corrected;
- (4) Apparent errors in addition of lump sum and extended prices

will be corrected.

(b) For the purposes of bid evaluation, the Government will proceed on the assumption that the bidder intends his bid to be evaluated on the basis of the unit prices, extensions, and totals arrived at by resolution of arithmetic discrepancies as provided above and the bid will be so reflected on the abstract of bids.

(c) These correction procedures shall not be used to resolve any ambiguity concerning which bid is low.

19 (FAR 52.217-5) EVALUATION OF OPTIONS (JUL 1990).

Except when it is determined in accordance with FAR 17.206(b) not to be in the Government's best interests, the Government will evaluate offers for award purposes by adding the total price for all options to the total price for the basic requirement. Evaluation of options will not obligate the Government to exercise the option(s).

20 OPTIONS.

The Government may reject an offer as nonresponsive if it is materially unbalanced as to prices for the basic requirement and the option quantities. An offer is unbalanced when it is based on prices significantly less than cost for some work and prices, which are significantly overstated for other work.

21 AVAILABILITY OF SPECIFICATIONS, STANDARDS, AND DESCRIPTIONS.

Specifications, standards, and descriptions cited in this solicitation are available as indicated below:

21.1 (FAR 52.211-2) AVAILABILITY OF SPECIFICATIONS LISTED IN THE DOD INDEX OF SPECIFICATIONS AND STANDARDS (DODISS) AND DESCRIPTIONS LISTED IN THE ACQUISITION MANAGEMENT SYSTEMS AND DATA REQUIREMENTS CONTROL LIST, DOD 5010.12-L (DEC 1999).

Copies of specifications, standards, and data item descriptions cited in this solicitation may be obtained—

(a) From the ASSIST database via the Internet at
<http://assist.daps.mil>; or

(b) By submitting a request to the—

Department of Defense Single Stock Point (DoDSSP)
Building 4, Section D
700 Robbins Avenue
Philadelphia, PA 19111-5094
Telephone (215) 697-2667/2179
Facsimile (215) 697-1462.
(End of provision)

21.2 CORPS OF ENGINEERS SPECIFICATIONS.

Corps of Engineers specifications of the CRD-C series may be obtained from

the National Institute of Building Sciences Construction Criteria Base (CCB) on CD-ROM. Contact the CCB directly at (202) 289-7800 for an order form or obtain an order form at the following internet address:
<http://www.ccb.org/ccbsubscribe/Subsmain.asp>. There is a regular annual subscription fee to CCB of \$700 per year. (Note: This is considered to be the Contractor's responsibility and cost). This will include CCB on CD-ROM or DVD plus unlimited internet access plus access to the new Whole Building Design Guide, now under construction and scheduled for launch in October 2001. Selected Corps of Engineers specifications of CRD-C series are available in Acrobat Reader .pdf file format at the following internet address:
<http://www.wes.army.mil/SL/MTC/handbook/handbook.htm>.

21.3 COMMERCIAL (NON-GOVERNMENT) SPECIFICATIONS, STANDARDS, AND DESCRIPTIONS.

These specifications, standards, and descriptions are not available from Government sources. They may be obtained from the publishers.

22 AVAILABLE PLANT.

Each bidder shall, upon request of the Contracting Officer, furnish a list of the plant available to the bidder and proposed for use on the work.

23 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE.

Whenever a contract or modification of contract price is negotiated, the Contractor's cost proposals for equipment ownership and operating expenses shall be determined in accordance with the requirements of paragraph: EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE, contained in Section: 00800, SPECIAL CONTRACT REQUIREMENTS of the specifications. A copy of EP 1110-1-8 "Construction Equipment Ownership and Operating Expense Schedule" is available for review at the office listed in paragraph: SITE VISIT (CONSTRUCTION) herein or at the following internet address:
<http://www.usace.army.mil/inet/usace-docs/eng-pamphlets/ep.htm>.
(copy also included on CD-ROM issued with this solicitation).

24 NOTICE REGARDING BUY AMERICAN ACT.

The Buy American Act (41 U.S.C. 10a-10d) generally requires that only domestic construction material be used in the performance of this contract. Exception from the Buy American Act shall be permitted only in the case of nonavailability of domestic construction materials. A bid or proposal offering nondomestic construction material will not be accepted unless specifically approved by the Government. When a bidder or offeror proposes to furnish nondomestic construction material, his bid or proposal must set forth an itemization of the quantity, unit price, and intended use of each item of such nondomestic construction material. When offering nondomestic construction material pursuant to this paragraph, bids or proposals may also offer, at stated prices, any available comparable domestic construction material, so as to avoid the possibility that failure of a nondomestic construction material to be acceptable under this paragraph will cause rejection of the entire bid. All bidders are cautioned that, prior Government conduct notwithstanding, the Contractor's selection of a domestic construction material (as defined in FAR 52.225-5 Buy American Act-Construction Materials) which would require the subsequent selection of a

foreign construction material for compatibility is not a justification for waiver of the Buy American Act. It is the Contractor's responsibility to verify, prior to submitting the materials for approval, that each system can be built to meet the contract specifications without the use of foreign construction materials.

25 TAXES - NORTH DAKOTA.

25.1 UNEMPLOYMENT TAX SURCHARGE.

The State of North Dakota has enacted legislation applicable to certain some contractors concerning an unemployment tax surcharge. See the North Dakota Century Code, Section 52-04-06.1, Incremental Bond for Impact Projects. The law provides for an unemployment tax surcharge in addition to regular unemployment tax liability. Bidders should investigate the effect, if any, that this law may have upon their bid prices. Inquiries should be directed to Job Service of North Dakota, Post Office Box 1537, Bismarck, North Dakota 58502. Telephone: (701) 224-3470 (Department of Revenue).

25.2 USE TAX.

Bidders are advised of the possible applicability of North Dakota Use Tax. Government furnished Construction material used by the Contractor may be subject to Use Tax. The value of material furnished is set forth in the SECTION 00800, SPECIAL CONTRACT REQUIREMENTS Clause "Government-Furnished Property." Specific inquiries as to the applicability of North Dakota Use Tax should be referred to the North Dakota State Tax Commission.

26 (FAR 52.236-27) SITE VISIT (CONSTRUCTION) (FEB 1995).

(a) The clauses at 52.236-2, Differing Site Conditions, and 52.236-3, Site Investigations and Conditions Affecting the Work, will be included in any contract awarded as a result of this solicitation. Accordingly, offerors or quoters are urged and expected to inspect the site where the work will be performed.

(b) Contractors interested in inspecting the site of the proposed work should contact the Minot Project Engineer, U.S. Army Corps of Engineers, c/o Base Post Office, Minot AFB, ND 58704 for mail and 209 Tanker Trail, Minot AFB, North Dakota 58704 for site inspection, Telephone: (701) 727-6127. FAX (701) 727-6128.

27 BIDDER'S QUESTIONS AND COMMENTS.

[Questions and/or comments relative to these bidding documents should be submitted via e-mail or mailed to the address identified in paragraph: AVAILABILITY OF BID RESULTS below. Comments should reach this office no later than 20 calendar days prior to the date set for opening of bids, if feasible, in order that changes, if needed, may be added by amendment. E-mail addresses, FAX numbers, items for question and points of contact are listed below. Phone calls with questions should be made between 8:30 a.m. and 3:30 p.m. (Central Standard Time) Monday through Friday.

Note: A courtesy copy of all questions shall be sent to the Contract Specialist (Contractual Matters Point of Contact), the Program Manager and Specifications Section (Technical Content Points of Contact).

Questions and/or comments relative to these bidding documents should be submitted via e-mail or mailed to the address identified in paragraph: AVAILABILITY OF BID RESULTS below. Comments should reach this office no later than 20 calendar days prior to the date set for opening of bids, if feasible, in order that changes, if needed, may be added by amendment. E-mail addresses, FAX numbers, items for question and points of contact are listed below. Phone calls with questions should be made between 8:30 a.m. and 3:30 p.m. (Central Standard Time) Monday through Friday.

Note: A courtesy copy of all questions shall be sent to the Contract Specialist (Contractual Matters Point of Contact), the Program Manager and Specifications Section (Technical Content Points of Contact).

<u>Items for Question</u>	<u>Points of Contact/ Phone numbers/ FAX Numbers</u>	<u>E-mail Addresses</u>
Contractual Matters: Cindy Siford		cindy.m.siford@usace.army.mil
Ordering CD-Rom of	402-221-4824 (phone)	
the plans and	402-221-4199 (Fax)	
specifications		
(limit One per firm)/		
amendments**/		
Bid Results (See		
Paragraph AVAILABILITY		
OF BID RESULTS, below)/		
Receipt of Bids		
Planholder's List	See paragraph: PLAN HOLDER'S LIST, below.	
Small Business	Hubert Carter	hubert.j.carter@usace.army.mil
Matters	402-221-4110 (phone)	
Technical Contents	Larry Bringewatt	larry.g.bringewatt@usace.army.mil
Of Plans and	402-221-3894 (phone)	
Specification	402-221-4828(Fax)	
	Or	
	Specifications	michael.r.pisci@usace.army.mil
	Section	
	Mike Pisci 402-221-4413 (Phone)	
	402-221-3842 (Fax)	
Site Inspection	See Paragraph: SITE VISIT (CONSTRUCTION), above	

**** - The Government may elect to send a notification that an amendment has been posted to the Government's web address. It shall be the Contractor's,**

Subcontractor's and Supplier's responsibility to check the Government's web address for amendments.

27.1 PLAN HOLDER'S LIST.

The CD-Rom will provide a list of plan holders that have registered at the time the CD-Rom was created. It is bidder's responsibility to check for any updates to the plan holder's list, which is available at the following web address:

<http://ebs-nwo.wes.army.mil/>

27.2 AVAILABILITY OF BID RESULTS (Local Clause/Provision)

Bid results will be available after bid opening on the Government's web address: <http://ebs-nwo.wes.army.mil/>. Official bid abstracts will be available and may be requested by sending a self-addressed stamped envelope to: U.S. Army Corps of Engineers, Omaha District, ATTN: CENWO-CT-M(Cindy Siford), 106 South 15th Street, Omaha, NE 68102-1618.

28 (FAR 52.233-2) SERVICE OF PROTEST (AUG 1996).

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the General Accounting Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgement of receipt from District Counsel, 106 South 15th Street, Omaha, Nebraska 68102-1618.

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.

29 PRE-AWARD SURVEY INFORMATION (Local Provision) (Sep 93)

In accordance with Paragraph PERFORMANCE AND PAYMENT BONDS, request that the following information be submitted with your bid. This facilitates the award process.

1. Financial
 - Name, address, and fax number of Financial Institution
 - Name and phone number of finance individual (primary and alternate) to be contacted for information

2. Bonding Information
 - Provide the name, address, regular phone number and fax number of your Surety Company.

3. Performance
 - Provide three (3) references to be contacted on your company's performance

The following information should be submitted:

- Name and Fax number of Owner/User
- Project Name, Location, Contract Number, and dollar value
- Name and phone number of individuals (primary and

alternate) that can verify performance of the project

30 (FAR 52.204-6) DATA UNIVERSAL NUMBERING SYSTEM (DUNS) NUMBER (JUNE 1999)

(a) The offeror shall enter, in the block with its name and address on the cover page of its offer, the annotation "DUNS" followed by the DUNS number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number is a nine-digit number assigned by Dun and Bradstreet Information Services.

(b) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one. A DUNS number will be provided immediately by telephone at no charge to the offeror. For information on obtaining a DUNS number, the offeror, if located within the United States, should call Dun and Bradstreet at 1-800-333-0505. The offeror should be prepared to provide the following information:

- (1) Company name.
- (2) Company address.
- (3) Company telephone number.
- (4) Line of business.
- (5) Chief executive officer/key manager.
- (6) Date the company was started.
- (7) Number of people employed by the company.
- (8) Company affiliation.

(c) Offerors located outside the United States may obtain the location and phone number of the local Dun and Bradstreet Information Services office from the Internet home page at <http://www.customerservice@dnb.com>. If an offeror is unable to locate a local service center, it may send an e-mail to Dun and Bradstreet at globalinfo@mail.dnb.com.

(End of provision)

31 (FAR 52.216-1) TYPE OF CONTRACT (APR 1984).

The Government contemplates award of a Firm Fixed Price contract resulting from this solicitation.

32 SUBCONTRACTING PLAN/SUBCONTRACTING GOALS REGARDING THE UTILIZATION OF SMALL BUSINESS CONCERNS.

a. Application. This clause applies only to large business concerns submitting bids for services exceeding \$500,000 or for construction exceeding \$1,000,000.

b. Federal Acquisition Regulations (FAR). Attention is directed to the following FAR provisions contained in this solicitation:

52.219-8, Utilization of Small Business Concerns (Alternate I)

52.219-9, Small Business Subcontracting Plan (Alternate I)

52.219-16, Liquidated Damages - Small Business Subcontracting Plan

52.226-1, Utilization of Indian Organizations and Indian-Owned Economic Enterprises

c. Goals. The U.S. Army Corps of Engineers considers the following goals reasonable and achievable for fiscal year 2002 and for the performance of the resultant contract:

(1) 57.2% of planned subcontracting dollars with small business concerns.

(2) 8.9% of planned subcontracting dollars with those small business concerns owned and controlled by socially and economically disadvantaged individuals.

(3) 8.1% of planned subcontracting dollars with those small business concerns owned and controlled by women.

(4) 3.0% of planned subcontracting dollars with those small business concerns owned and controlled by Service-Disabled Veterans.

(5) 3.0% of planned subcontracting dollars with those small business concerns owned and controlled by HubZones.

d. Submission and Review of Subcontracting Plan.

SUBMISSION OF SMALL BUSINESS SUBCONTRACTING PLAN IS NOT APPLICABLE TO SMALL BUSINESSES.

(1) The apparent low bidder must submit a subcontracting plan within five (5) calendar days after bid opening (a longer period maybe granted by the Contracting Officer upon request) within 24 hours after notification by the Government to the Contracting Activity.

(2) Goals included in the subcontracting plan should be at least equal to those indicated above. If lesser goals are proposed, the bidder may be required to substantiate how the proposed plan represents the bidder's best effort to comply with the terms and conditions of the solicitation. Bidders are highly encouraged to become familiar with the intent of the solicitation provisions and the elements of the subcontracting plan.

(3) The subcontracting plan must contain, as a minimum, the elements set forth in FAR provision 52.219-9. An example subcontracting plan will be furnished to the apparent low bidder (upon request). The example subcontracting plan (if requested) should not be construed as an acceptable subcontracting plan. Any format will be acceptable provided that the plan addresses each element as required by the Federal Acquisition Regulations and its supplements.

(4) Proposed plans will be reviewed by the Government to ensure

the plan represents the firm's best efforts to maximize subcontracting opportunities for small, small disadvantaged and women-owned businesses.

(5) Subcontracting plans are required to be approved prior to Contract Award. The approved subcontracting plan (to include goals) will become a material part of the contract.

e. Failing to Submit An Acceptable Subcontracting Plan. An apparent low bidder failing to submit a subcontracting plan which demonstrates a reasonable effort to meet the goals listed above or provide an explanation why lesser goals are proposed (upon request), will be considered as non-responsive and not considered eligible for award of the contract.

f. Questions or Assistance Needed in Developing Subcontracting Plan. For any questions or assistance needed in developing the subcontracting plan, contact the Contract Specialist or District's Deputing for Small Business (See paragraph: BIDDER QUESTIONS AND COMMENTS, Contract Specialist [Bid Results] or the District's Deputy for Small Business [Small Business] or fax your inquiries to 402-221-4199).

33 (DFARS 252.204-7004) REQUIRED CENTRAL CONTRACTOR REGISTRATION (NOV 2001)

(a) Definitions.

As used in this clause--

(1) "Central Contractor Registration (CCR database" means the primary DoD repository for contractor information required for the conduct of business with DoD.

(2) "Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet Information Services to identify unique business entities.

(3) "Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by Dun and Bradstreet plus a 4-digit suffix that may be assigned by a parent (controlling) business concern. This 4-digit suffix may be assigned at the discretion of the parent business concern for such purposes as identifying subunits or affiliates of the parent business concern.

(4) "Registered in the CCR database" means that all mandatory information, including the DUNS number or the DUNS+4 number, if applicable, and the corresponding Commercial and Government Entity (CAGE) code, is in the CCR database; the DUNS number and the CAGE code have been validated; and all edits have been successfully completed.

(b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee must be registered in the CCR database prior to award, during performance, and through final payment of any contract resulting from this solicitation, except for awards to foreign vendors for work to be performed outside the United States.

(2) The offeror shall provide its DUNS or, if applicable, its DUNS+4 number with its offer, which will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.

(3) Lack of registration in the CCR database will make an offeror ineligible for award.

(4) DoD has established a goal of registering an applicant in the CCR database within 48 hours after receipt of a complete and accurate application via the Internet. However, registration of an applicant submitting an application through a method other than the Internet may take up to 30 days. Therefore, offerors that are not registered should consider applying for registration immediately upon receipt of this solicitation.

(c) The Contractor is responsible for the accuracy and completeness of the data within the CCR, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to confirm on an annual basis that its information in the CCR database is accurate and complete.

(d) Offerors and contractors may obtain information on registration and annual confirmation requirements by calling 1-888-227-2423, or via the Internet at <http://www.ccr.gov>.

(End of clause)

REQUIRED CENTRAL CONTRACTOR REGISTRATION (CCR)

Register Now: Don't wait until you submit an offer on a solicitation. You must be registered to receive the contract award. It can often take 30 days for CCR to process your registration information.

Register One of Three Ways:

Internet: <http://www.ccr.gov>

Value Added Network (VAN) for EDI users: Contact your VAN for information. If you need to find a VAN look at http://www.acq.osd.mil/ec/ecip/van_list.htm

FAX or Mail: Call (888)227-2423 or (616)961-4725 to receive a registration package. FAX or mail the completed information to the CCR Assistance Center. It can take up to 30 days to process a faxed or mailed package.

CCR Assistance Center
74 Washington Street North, Suite 7
Battle Creek, MI 49017-3084
FAX: (616)961-7243

SECTION 00600
REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS

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SECTION 00600
REPRESENTATIONS, CERTIFICATIONS & OTHER STATEMENTS OF BIDDERS

The bidder (offeror) makes the following certification and representations as a part of the bid, shall check the appropriate boxes, fill in the appropriate information, and provide signatures on the attached "Solicitation Form" (00600) pages, and submit with Standard Form 1442 (Section 00010).

1. (FAR 52.203-2) CERTIFICATE OF INDEPENDENT PRICE DETERMINATION (APR 1985).

(a) The offeror certifies that -

(1) The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered;

(2) the prices in this offer have not been and will not be knowingly disclosed by the offeror, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a Sealed Bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and

(3) no attempt has been made or will be made by the offeror to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.

(b) Each signature on the offer is considered to be a certification by the signatory that the signatory -

(1) is the person in the offeror's organization responsible for determining the prices being offered in this bid or proposal, and that the signatory has not participated and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above; or

(2)(i) has been authorized, in writing, to act as agent for the following principals in certifying that those principals have not participated, and will not participate in any action contrary to subparagraphs (a)(1) through (a)(3) above

_____ [insert full name of person(s) in the offeror's organization responsible for determining the prices offered in this bid or proposal, and the title of his or her position in the offeror's organization];

(ii) as an authorized agent, does certify that the principals named in subdivision (b)(2)(i) above have not participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above; and

(iii) as an agent, has not personally participated, and will not participate, in any action contrary to subparagraphs (a)(1) through (a)(3) above.

(c) If the offeror deletes or modifies subparagraph (a)(2) above, the offeror must furnish with its offer a signed statement setting forth in detail the circumstances of the disclosure.

2. (FAR 52.203-11) CERTIFICATION AND DISCLOSURE REGARDING PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (APR 1991).

(a) The definitions and prohibitions contained in the clause, at FAR 52.203-12, Limitation on Payments to Influence Certain Federal Transactions, included in this solicitation, are hereby incorporated by reference in paragraph (b) of this certification.

(b) The offeror, by signing its offer, hereby certifies to the best of his or her knowledge and belief that on or after December 23, 1989, -

(1) No Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan, or cooperative agreement;

(2) If any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress on his or her behalf in connection with this solicitation, the offeror shall complete and submit, with its offer, OMB standard form LLL, Disclosure of Lobbying Activities, to the Contracting Officer; and

(3) He or she will include the language of this certification in all subcontract awards at any tier and require that all recipients of subcontract awards in excess of \$100,000 shall certify and disclose accordingly.

(c) Submission of this certification and disclosure is a prerequisite for making or entering into this contract imposed by section 1352, title 31, United States Code. Any person who makes an expenditure prohibited under this provision or who fails to file or amend the disclosure form to be filed or amended by this provision, shall be subject to a civil penalty of not less than \$10,000, and not more than \$100,000, for each such failure.

3. (FAR 52.204-3) TAXPAYER IDENTIFICATION (OCT 1998).

(a) Definitions.

"Common parent," as used in this provision, means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

"Taxpayer Identification Number (TIN)," as used in this provision, means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

(b) All offerors must submit the information required in paragraphs (d) through (f) of this provision to comply with debt collection requirements of 31 U.S.C. 7701(c) and 3325(d), reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M, and implementing regulations issued by the IRS. If the resulting contract is subject to the payment reporting requirements described in Federal Acquisition Regulation (FAR) 4.904, the failure or refusal by the offeror to furnish the information may result in a 31 percent reduction of payments otherwise due under the contract.

(c) The TIN may be used by the Government to collect and report on any delinquent amounts arising out of the offeror's relationship with the Government (31 U.S.C. 7701(c)(3)). If the resulting contract is subject to the payment reporting requirements described in FAR 4.904, the TIN provided hereunder may be matched with IRS records to verify the accuracy of the offeror's TIN.

(d) Taxpayer Identification Number (TIN).

☐ TIN: _____.

☐ TIN has been applied for.

☐ TIN is not required because:

☐ Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;

☐ Offeror is an agency or instrumentality of a foreign government;

☐ Offeror is an agency or instrumentality of the Federal Government.

(e) Type of organization.

☐ Sole proprietorship;

☐ Partnership;

☐ Corporate entity (not tax-exempt);

☐ Corporate entity (tax-exempt);

☐ Government entity (Federal, State, or local);

☐ Foreign government;

☐ International organization per 26 CFR 1.6049-4;

☐ Other _____.

(f) Common parent.

☐ Offeror is not owned or controlled by a common parent as defined in paragraph (a) of this provision.

☐ Name and TIN of common parent:

Name _____

TIN _____

(End of provision)

4. (FAR 52.204-5) WOMEN-OWNED BUSINESS (OTHER THAN SMALL BUSINESS)
[MAY 1999]

(a) *Definition.* Women-owned business concern, as used in this provision, means a concern that is

at least 51 percent owned by one or more women; or in the case of any publicly owned business, at least 51 percent of its stock is owned by one or more women; and whose management and daily business operations are controlled by one or more women.

(b) *Representation.* [Complete only if the offeror is a women-owned business concern and has not represented itself as a small business concern in paragraph (b)(1) of FAR 52.219-1, *Small Business Program Representations*, of this solicitation.] The offeror represents that it [] is a women-owned business concern.

(End of provision)

5. (DFARS 252.204-7001) COMMERCIAL AND GOVERNMENT ENTITY (CAGE) CODE REPORTING (AUG 1999).

(a) The offeror is requested to enter its CAGE code on its offer in the block with its name and address. The CAGE code entered must be for that name and address. Enter “CAGE” before the number.

(b) If the offeror does not have a CAGE code, it may ask the Contracting Officer to request one from the Defense Logistics Information Service (DLIS). The Contracting Officer will-

(1) Ask the Contractor to complete section B of a DD Form 2051, Request for Assignment of a Commercial and Government Entity (CAGE) Code;

(2) Complete section A and forward the form to DLIS; and

(3) Notify the Contractor of its assigned CAGE code.

(c) Do not delay submission of the offer pending receipt of a CAGE code.

6. (FAR 52.209-5) CERTIFICATION REGARDING DEBARMENT, SUSPENSION, PROPOSED DEBARMENT, AND OTHER RESPONSIBILITY MATTERS (DEC 2001).

(a)(1) The Offeror certifies, to the best of its knowledge and belief, that—

(i) The Offeror and/or any of its Principals—

(A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

(B) Have [] have not [], within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and

(C) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in paragraph (a)(1)(i)(B) of this provision.

(ii) The Offeror has [] has not [], within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.

(2) “Principals,” for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions).

This Certification Concerns a Matter Within the Jurisdiction of an Agency of the United States and the Making of a False, Fictitious, or Fraudulent Certification May Render the Maker Subject

to Prosecution Under Section 1001, Title 18, United States Code.

(b) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

(c) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this solicitation. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(d) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(e) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this solicitation for default. (End of Provision)

7. (DFARS 252.209-7001) DISCLOSURE OF OWNERSHIP OR CONTROL BY A FOREIGN GOVERNMENT THAT SUPPORTS TERRORISM (MAR 1998). [For Contracts exceeding \$100,000]

(a) Definitions.

As used in this provision-

(1) "Government of a terrorist country" includes the state and the government of a terrorist country, as well as any political subdivision, agency, or instrumentality thereof.

(2) "Terrorist country" means a country determined by the Secretary of State, under section 6(j)(1)(A)) of the Export Administration Act of 1979 (50 U.S.C. App. 2405(j)(i)(A)), to be a country the government of which has repeatedly provided support for acts of international terrorism. As of the date of this provision, terrorist countries include: Cuba, Iran, Iraq, Libya, North Korea, Sudan, and Syria.

(3) "Significant interest" means-

(i) Ownership of or beneficial interest in 5 percent or more of the firm's or subsidiary's securities. Beneficial interest includes holding 5 percent or more of any class of the firm's securities in "nominee shares," "street names," or some other method of holding securities that does not disclose the beneficial owner;

(ii) Holding a management position in the firm, such as a director or officer;

(iii) Ability to control or influence the election, appointment, or tenure of directors or officers in the firm;

(iv) Ownership of 10 percent or more of the assets of a firm such as equipment, buildings, real estate, or other tangible assets of the firm; or

(v) Holding 50 percent or more of the indebtedness of a firm.

(b) Prohibition on award. In accordance with 10 U.S.C. 2327, no contract may be awarded to a firm or a subsidiary of a firm if the government of a terrorist country has a significant interest in the firm or subsidiary [or, in the case of a subsidiary, the firm that owns the subsidiary], unless a waiver is granted by the Secretary of Defense.

(c) Disclosure.

The Offeror shall disclose any significant interest the government of each of the following countries has in the Offeror or a subsidiary of the Offeror. If the Offeror is a subsidiary, it shall also disclose any significant interest the government of a terrorist country has in any firm that owns or controls the subsidiary. The disclosure shall include--

- (1) Identification of each government holding a significant interest; and
- (2) A description of the significant interest held by each Government.

(End of provision)

8. (FAR 52.211-6) BRAND NAME OR EQUAL (AUG 1999).

(a) If an item in this solicitation is identified as "brand name or equal," the purchase description reflects the characteristics and level of quality that will satisfy the Government's needs. The salient physical, functional, and other characteristics that "equal" products must meet are specified in the solicitation.

(b) To be considered for award, offers of "equal" products, including "equal" products of the brand name manufacturer, must--

(1) Meet the salient physical, functional, and other characteristics specified in the solicitation;

(2) Clearly identify the item by--

(i) Brand name, if any; and

(ii) Make or model number;

(3) Include descriptive literature such as cuts, illustrations, drawings, or a clear reference to previously furnished descriptive data or information available to the Contracting Officer; and

(4) Clearly describe any modifications the offeror plans to make in a product to make it conform to the solicitation requirements. Mark any descriptive material to clearly show the modifications.

(c) The Contracting Officer will evaluate "equal" products on the basis of information by the offeror or identified in the offer and reasonably available to the Contracting Officer. The Contracting Officer is not responsible for locating or securing any information not identified in the offer.

(d) Unless the offeror clearly indicates in its offer that the product being offered is an "equal" product, the offeror shall provide the brand name product referenced in the solicitation.

9. RESERVED

10. RESERVED

11. (FAR 52.219-1) SMALL BUSINESS PROGRAM REPRESENTATIONS (APR 2002) ALTERNATE I (APR 2002)

(a)(1) The North American Industry Classification System (NAICS) code for this acquisition is _____ *[insert NAICS code]*.

(2) The small business size standard is _____ *[insert size standard]*.

(3) The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

(b) *Representations.* (1) The offeror represents as part of its offer that it [] is, [] is not a small

business concern.

(2) *[Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.]* The offeror represents, for general statistical purposes, that it ☐ is, ☐ is not, a small disadvantaged business concern as defined in 13 CFR 124.1002.

(3) *[Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.]* The offeror represents as part of its offer that it ☐ is, ☐ is not a women-owned small business concern.

(4) *[Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.]* The offeror represents as part of its offer that it ☐ is, ☐ is not a veteran-owned small business concern.

(5) *[Complete only if the offeror represented itself as a veteran-owned small business concern in paragraph (b)(4) of this provision.]* The offeror represents as part of its offer that it ☐ is, ☐ is not a service-disabled veteran-owned small business concern.

(6) *[Complete only if the offeror represented itself as a small business concern in paragraph (b)(1) of this provision.]* The offeror represents, as part of its offer, that—

(i) It ☐ is, ☐ is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126; and

(ii) It ☐ is, ☐ is not a joint venture that complies with the requirements of 13 CFR part 126, and the representation in paragraph (b)(6)(i) of this provision is accurate for the HUBZone small business concern or concerns that are participating in the joint venture. *[The offeror shall enter the name or names of the HUBZone small business concern or concerns that are participating in the joint venture:_____.]* Each HUBZone small business concern participating in the joint venture shall submit a separate signed copy of the HUBZone representation.

(7) *[Complete if offeror represented itself as disadvantaged in paragraph (b)(2) of this provision.]* The offeror shall check the category in which its ownership falls:

- ☐ Black American.
- ☐ Hispanic American.
- ☐ Native American (American Indians, Eskimos, Aleuts, or Native Hawaiians).
- ☐ Asian-Pacific American (persons with origins from Burma, Thailand, Malaysia, Indonesia, Singapore, Brunei, Japan, China, Taiwan, Laos, Cambodia (Kampuchea), Vietnam, Korea, The Philippines, U.S. Trust Territory of the Pacific Islands (Republic of Palau), Republic of the Marshall Islands, Federated States of Micronesia, the Commonwealth of the Northern Mariana Islands, Guam, Samoa, Macao, Hong Kong, Fiji, Tonga, Kiribati, Tuvalu, or Nauru).
- ☐ Subcontinent Asian (Asian-Indian) American (persons with origins from India, Pakistan, Bangladesh, Sri Lanka, Bhutan, the Maldives Islands, or Nepal).
- ☐ Individual/concern, other than one of the preceding.

(c) *Definitions.* As used in this provision—

“Service-disabled veteran-owned small business concern”—

(1) Means a small business concern—

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service connected, as defined in 38 U.S.C. 101(16).

“Small business concern” means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR part 121 and the size standard in paragraph (a) of this provision.

“Veteran-owned small business concern” means a small business concern—

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

“Women-owned small business concern” means a small business concern—

(1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) *Notice.* (1) If this solicitation is for supplies and has been set aside, in whole or in part, for small business concerns, then the clause in this solicitation providing notice of the set-aside contains restrictions on the source of the end items to be furnished.

(2) Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small, HUBZone small, small disadvantaged, or women-owned small business concern in order to obtain a contract to be awarded under the preference programs established pursuant to section 8(a), 8(d), 9, or 15 of the Small Business Act or any other provision of Federal law that specifically references section 8(d) for a definition of program eligibility, shall—

(i) Be punished by imposition of fine, imprisonment, or both;

(ii) Be subject to administrative remedies, including suspension and debarment;

and

(iii) Be ineligible for participation in programs conducted under the authority of

the Act.

(End of provision)

12. (FAR 52.219-2) EQUAL LOW BIDS (OCT 1995)

(a) This provision applies to small business concerns only.

(b) The bidder's status as a labor surplus area (LSA) concern may affect entitlement to award in case of tie bids. If the bidder wishes to be considered for this priority, the bidder must identify, in the following space, the LSA in which the costs to be incurred on account of manufacturing or production (by the bidder or the first-tier subcontractors) amount to more than 50 percent of the contract price.

(c) Failure to identify the labor surplus areas as specified in paragraph (b) of this provision will preclude the bidder from receiving priority consideration. If the bidder is awarded a contract as a result of receiving priority consideration under this provision and would not have otherwise received award, the bidder shall perform the contract or cause the contract to be performed in accordance with the obligations of an LSA concern.

13. RESERVED

14. (FARS 52.219-19) SMALL BUSINESS CONCERN REPRESENTATION FOR THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (OCT 2000).

(a) *Definition.* “Emerging small business” as used in this solicitation, means a small business concern whose size is no greater than 50 percent of the numerical size standard applicable to the North American Industry Classification System (NAICS) code assigned to a contracting opportunity.

(b) (Complete only if Offeror has represented itself under the provision at FAR 52.219-1 as a small business concern under the size standards of this solicitation.) The Offeror [] is, [] is not an emerging small business.

(c) (Complete only if the Offeror is a small business or an emerging small business, indicating its size range.)

Offeror's number of employees for the past 12 months (check this column if size standard stated in solicitation is expressed in terms of number of employees) or Offeror's average annual gross revenue for the last 3 fiscal years (check this column if size standard stated in solicitation is expressed in terms of annual receipts). (Check one of the following.)

No. of Employees	Average Annual Gross Revenues
____ 50 or fewer	____ \$1 million or less
____ 51 - 100	____ \$1,000,001 - \$2 million
____ 101 - 250	____ \$2,000,001 - \$3.5 million
____ 251 - 500	____ \$3,500,001 - \$5 million
____ 501 - 750	____ \$5,000,001 - \$10 million
____ 751 - 1,000	____ \$10,000,001 - \$17 million
____ Over 1,000	____ Over \$17 million

15. (FARS 52.219-21) SMALL BUSINESS SIZE REPRESENTATION FOR TARGETED INDUSTRY CATEGORIES UNDER THE SMALL BUSINESS COMPETITIVENESS DEMONSTRATION PROGRAM (MAY 1999).

[Complete only if the Offeror has represented itself under the provision at 52.219-1 as a small business concern under the size standards of this solicitation.]

Offeror's number of employees for the past 12 months *[check this column if size standard stated in solicitation is expressed in terms of number of employees]* or Offeror's average annual gross revenue for the last 3 fiscal years *[check this column if size standard in solicitation is expressed in terms of annual receipts]*. *[Check one of the following.]*

No. of Employees	Average Annual Gross Revenues
____ 50 or fewer	____ \$1 million or less
____ 51 - 100	____ \$1,000,001 - \$2 million
____ 101 - 250	____ \$2,000,001 - \$3.5 million
____ 251 - 500	____ \$3,500,001 - \$5 million
____ 501 - 750	____ \$5,000,001 - \$10 million
____ 751 - 1,000	____ \$10,000,001 - \$17 million
____ Over 1,000	____ Over \$17 million

16. (FAR 52.222-21)
1999)

CERTIFICATION OF NONSEGREGATED FACILITIES (FEB

(a) "Segregated facilities," as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees, that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(b) The Contractor agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Contractor agrees that a breach of this clause is a violation of the Equal Opportunity clause in this contract.

(c) The Contractor shall include this clause in every subcontract and purchase order that is subject to the Equal Opportunity clause of this contract.
(End of clause)

17. (FAR 52.222-22) PREVIOUS CONTRACTS AND COMPLIANCE REPORTS (FEB 1999).

The offeror represents that—

(a) It ☐ has, ☐ has not participated in a previous contract or subcontract subject the Equal Opportunity clause of this solicitation;

(b) It ☐ has, ☐ has not filed all required compliance reports; and

(c) Representations indicating submission of required compliance reports, signed by proposed subcontractors, will be obtained before subcontract awards.
(End of provision)

18. (FAR 52.223-4) RECOVERED MATERIAL CERTIFICATION (OCT 1997)

As required by the Resource Conservation and Recovery Act of 1976 (42 U.S.C. 6962(c)(3)(A)(i)), the offeror certifies, by signing this offer, that the percentage of recovered materials to be used in the performance of the contract will be at least the amount required by the applicable contract specifications.
(End of provision)

**19. (FAR 52.223-13) CERTIFICATION OF TOXIC CHEMICAL RELEASE REPORTING
(JUNE 2003) [For Contracts over \$100,000]**

(a) Submission of this certification is a prerequisite for making or entering into this contract imposed by Executive Order 12969, August 8, 1995.

(b) By signing this offer, the offeror certifies that-

(1) As the owner or operator of a facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file, for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the EPCRA and section 6607 of PPA; or

(2) None of its owned or operated facilities to be used in the performance of this contract is subject the Form R filing and reporting requirements because each facility is exempt for at least one of the following reasons: (Check each block that is applicable.)

☐ (i) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

☐ (ii) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

☐ (iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

☐ (iv) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or

☐ (v) The facility is not located within any State of the United States, the District of Columbia, or its outlying areas.

20. (DFARS 252.225-7031) SECONDARY ARAB BOYCOTT OF ISRAEL (APR 2003)

(a) *Definitions.* As used in this provision-

(1) "Foreign person" means any person (including any individual, partnership, corporation, or other form of association) other than a United States person.

(2) "United States person" is defined in 50 U.S.C. App. 2415(2) and means-

(i) Any United States resident or national (other than an individual resident outside the United States who is employed by other than a United States person);

(ii) Any domestic concern (including any permanent domestic establishment of any foreign concern); and

(iii) Any foreign subsidiary or affiliate (including any permanent foreign establishment) of any domestic concern that is controlled in fact by such domestic concern.

(b) *Certification.* If the offeror is a foreign person, the offeror certifies, by submission of an offer, that it-

(1) Does not comply with the Secondary Arab Boycott of Israel; and

(2) Is not taking or knowingly agreeing to take any action, with respect to the Secondary Boycott of Israel by Arab countries, which 50 U.S.C. App. 2407(a) prohibits a United States person from taking.

(End of Provision)

21. (DFARS 252.247-7022) REPRESENTATION OF EXTENT OF TRANSPORTATION BY SEA (AUG 1992).

(a) The Offeror shall indicate by checking the appropriate blank in paragraph (b) of this provision whether transportation of supplies by sea is anticipated under the resultant contract. The term "supplies" is defined in the Transportation of Supplies by Sea clause of this solicitation.

(b) REPRESENTATION. The Offeror represents that it-

_____ Does anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

_____ Does not anticipate that supplies will be transported by sea in the performance of any contract or subcontract resulting from this solicitation.

(c) Any contract resulting from this solicitation will include the Transportation of Supplies by Sea Clause. If the Offeror represents that it will not use ocean transportation, the resulting contract will also include the Defense FAR Supplement clause at 252.247-7024, Notification of Transportation of Supplies by Sea.

SECTION 00700

CONTRACT CLAUSES

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46. *FAR 52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)
47. *FAR 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)
48. *FAR 52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)
49. *FAR 52.222-38 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)
50. *FAR 52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (APR 1998) [For Work on Federal Facilities]
51. *FAR 52.223-6 DRUG-FREE WORKPLACE (MAY 2001)
52. FAR 52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED PRODUCTS (AUG 2000) [For Contracts exceeding \$100,000. EPA Designated product (available at <http://www.epa.gov/cpg/>)]
53. *FAR 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (JUNE 2003) [For Contracts Over \$100,000]
54. RESERVED
55. DFARS 252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)
56. *FAR 52.225-9 BUY AMERICAN ACT—CONSTRUCTION MATERIALS (JUNE 2003) (For Contracts less than \$6.481 million)
57. *FAR 52.225-10 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS (MAY 2002) (Applicable with FAR 52.225-9)
58. *FAR 52.225-11 BUY AMERICAN ACT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (JUNE 2003) [For Contracts more than \$6,481,000] ALTERNATE I (MAY 2002) [For Contracts between \$6.481 and 7.304733 Million]
59. *FAR 52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2002) [Applicable with FAR 52.225-11] ALTERNATE II (MAY 2002) [For Contracts Between 6.481 and 7.344733 Million]
60. *FAR 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUNE 2003)

61. *FAR 52.226-1 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES (JUNE 2000)
62. *FAR 52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)
63. *FAR 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)
64. *FAR 52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)
65. DFARS 252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)
66. FAR 52.228-1 BID GUARANTEE (SEP 1996) [NOTE: Not required for projects less than \$100,000]
67. *FAR 52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)
68. *FAR 52.228-5 INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997) [For Contracts Exceeding \$100,000]
69. *FAR 52.228-11 PLEDGES OF ASSETS (FEB 1992)
70. *FAR 52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS (OCT 1995)
71. FAR 52.228-13 ALTERNATIVE PAYMENT PROTECTIONS (JULY 2000) [Applicable only for projects or delivery orders less than \$100,000]
72. FAR 52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)
73. FAR 52.228-15 PERFORMANCE AND PAYMENT BONDS (JULY 2000).
74. FAR 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (APR 2003) [For Contracts Exceeding \$100,000]
75. RESERVED
76. DFARS 252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)
77. *FAR 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (SEPT 2002)
78. RESERVED.
79. *FAR 52.232-17 INTEREST (JUN 1996)
80. *FAR 52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)
81. *FAR 52.232-27 PROMPT PAY FOR CONSTRUCTION CONTRACTS (FEB 2002)
82. *FAR 52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER --CENTRAL CONTRACTOR REGISTRATION (MAY 1999)
83. DFARS 252.232-7004 DOD PROGRESS PAYMENT RATES (OCT 2001)
84. DFARS 252.232-7005 REIMBURSEMENT OF SUBCONTRACTOR ADVANCE PAYMENTS--DOD PILOT MENTOR-PROTEGE PROGRAM (SEP 2001)
85. *FAR 52.233-1 DISPUTES (JULY 2002)
86. *FAR 52.233-3 PROTEST AFTER AWARD (AUG 1996)
87. RESERVED
88. FAR 52.236-2 DIFFERING SITE CONDITIONS (APR 1984)
89. *FAR 52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)
90. *FAR 52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)
91. RESERVED
92. *FAR 52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)
93. FAR 52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)
94. *FAR 52.236-8 OTHER CONTRACTS (APR 1984)
95. *FAR 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)
96. *FAR 52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)
97. *FAR 52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)
98. *FAR 52.236-12 CLEANING UP (APR 1984)
99. *FAR 52.236-13 ACCIDENT PREVENTION-ALTERNATE I (NOV 1991)
100. *FAR 52.236-14 AVAILABILITY AND USE OF UTILITY SERVICES (APR 1984)
101. FAR 52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)
102. *FAR 52.236-17 LAYOUT OF WORK (APR 1984)
103. FAR 52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)
104. *FAR 52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)
105. DFARS 252.236-7000 MODIFICATION OF PROPOSALS - PRICE BREAKDOWN (DEC 1991)
106. DFARS 252.236-7008 CONTRACT PRICES - BIDDING SCHEDULES (DEC 1991)

- 107. *FAR 52.242-13 BANKRUPTCY (JUL 1995)
- 108. *FAR 52.242-14 SUSPENSION OF WORK (APR 1984)
- 109. FAR 52.243-4 CHANGES (AUG 1987)
- 110. DFARS 252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)
- 111. DFARS 252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)
- 112. *FAR 52.244-2 SUBCONTRACTS (AUG 1998)
- 113. FAR 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS (APR 2003)
- 114. *FAR 52.245-2 GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (JUNE 2003) [For Government Property over \$100,000]
- 115. *FAR 52.245-4 GOVERNMENT-FURNISHED PROPERTY (SHORT FORM) (JUNE 2003) [For Government Property \$100,000 or Less]
- 116. *FAR 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)
- 117. *FAR 52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994)
- 118. DFARS 252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)
- 119. DFARS 252.247-7024 NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)
- 120. FAR 52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000) (ALTERNATE I (APR 1984)
- 121. *FAR 52.249-1 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) (APR 1984) [For Contracts \$100,000 or Less]
- 122. *FAR 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) ALTERNATE I (SEP 1996) [For Contracts Over \$100,000]
- 123. *FAR 52.249-10 DEFAULT (FIXED-PRICE CONSTRUCTION) (APR 1984)
- 124. ENVIRONMENTAL LITIGATION (1974 NOV OCE)
- 125. EFARS 52.249-5000 BASIS FOR SETTLEMENT OF PROPOSALS
- 126. INAPPLICABLE PROVISIONS AND CLAUSES (Local Provision). [Applicable only for projects or delivery orders less than \$100,000]

SECTION 00700

CONTRACT CLAUSES

1. FAR 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

<http://www.arnet.gov/far>

(End of clause)

*** - CONTRACT CLAUSES THAT MAY BE INCORPORATED BY REFERENCE**

2. DFARS 252.201-7000 CONTRACTING OFFICER'S REPRESENTATIVE (DEC 1991)

(a) Definition.

"Contracting officer's representative" means an individual designated in accordance with subsection 201.602-2 of the Defense Federal Acquisition Regulation Supplement and authorized in writing by the contracting officer to perform specific technical or administrative functions.

(b) If the Contracting Officer designates a contracting officer's representative (COR), the Contractor will receive a copy of the written designation. It will specify the extent of the COR's authority to act on behalf of the contracting officer. The COR is not authorized to make any commitments or changes that will affect price, quality, quantity, delivery, or any other term or condition of the contract.

(End of clause)

3. *FAR 52.202-1 DEFINITIONS (DEC 2001) ALTERNATE I (MAY 2001)

a) "Agency head" or "head of the agency" means the Secretary (Attorney General, Administrator, Governor, Chairperson, or other chief official, as appropriate) of the agency, unless otherwise indicated, including any deputy or assistant chief official of the executive agency.

(b) "Commercial component" means any component that is a commercial item.

(c) "Commercial item" means—

(1) Any item, other than real property, that is of a type customarily used by the general public or by non-governmental entities for purposes other than governmental purposes, and that—

(i) Has been sold, leased, or licensed to the general public; or

(ii) Has been offered for sale, lease, or license to the general public;

(2) Any item that evolved from an item described in paragraph (c)(1) of this clause through advances in technology or performance and that is not yet available in the commercial marketplace, but will be available in the commercial marketplace in time to satisfy the delivery requirements under a Government solicitation;

(3) Any item that would satisfy a criterion expressed in paragraphs (c)(1) or (c)(2) of this clause, but for—

(i) Modifications of a type customarily available in the commercial marketplace; or

(ii) Minor modifications of a type not customarily available in the commercial marketplace made to meet Federal Government requirements. "Minor" modifications means modifications that do not significantly alter the nongovernmental function or essential physical characteristics of an item or component, or

change the purpose of a process. Factors to be considered in determining whether a modification is minor include the value and size of the modification and the comparative value and size of the final product. Dollar values and percentages may be used as guideposts, but are not conclusive evidence that a modification is minor;

(4) Any combination of items meeting the requirements of paragraphs (c)(1), (2), (3), or (5) of this clause that are of a type customarily combined and sold in combination to the general public;

(5) Installation services, maintenance services, repair services, training services, and other services if—

(i) Such services are procured for support of an item referred to in paragraph (c)(1), (2), (3), or (4) of this definition, regardless of whether such services are provided by the same source or at the same time as the item; and

(ii) The source of such services provides similar services contemporaneously to the general public under terms and conditions similar to those offered to the Federal Government

(6) Services of a type offered and sold competitively in substantial quantities in the commercial marketplace based on established catalog or market prices for specific tasks performed under standard commercial terms and conditions. This does not include services that are sold based on hourly rates without an established catalog or market price for a specific service performed. For purposes of these services—

(i) “Catalog price” means a price included in a catalog, price list, schedule, or other form that is regularly maintained by the manufacturer or vendor, is either published or otherwise available for inspection by customers, and states prices at which sales are currently, or were last, made to a significant number of buyers constituting the general public; and

(ii) “Market prices” means current prices that are established in the course of ordinary trade between buyers and sellers free to bargain and that can be substantiated through competition or from sources independent of the offerors.

(7) Any item, combination of items, or service referred to in paragraphs (c)(1) through (c)(6), notwithstanding the fact that the item, combination of items, or service is transferred between or among separate divisions, subsidiaries, or affiliates of a Contractor; or

(8) A nondevelopmental item, if the procuring agency determines the item was developed exclusively at private expense and sold in substantial quantities, on a competitive basis, to multiple State and local Governments.

(d) “Component” means any item supplied to the Government as part of an end item or of another component, except that for use in 52.225-9, and 52.225-11 see the definitions in 52.225-9(a) and 52.225-11(a).

(e) “Contracting Officer” means a person with the authority to enter into, administer, and/or terminate contracts and make related determinations and findings. The term includes certain authorized representatives of the Contracting Officer acting within the limits of their authority as delegated by the Contracting Officer.

(f) “Nondevelopmental item” means—

(1) Any previously developed item of supply used exclusively for governmental purposes by a Federal agency, a State or local government, or a foreign government with which the United States has a mutual defense cooperation agreement;

(2) Any item described in paragraph (f)(1) of this definition that requires only minor modification or modifications of a type customarily available in the commercial marketplace in order to meet the requirements of the procuring department or agency; or

(3) Any item of supply being produced that does not meet the requirements of paragraph (f)(1) or (f)(2) solely because the item is not yet in use.

(End of clause)

4. *FAR 52.203-3 GRATUITIES (APR 1984)

(a) The right of the Contractor to proceed may be terminated by written notice if, after notice and hearing, the agency head or a designee determines that the Contractor, its agent, or another representative--

(1) Offered or gave a gratuity (e.g., an entertainment or gift) to an officer, official, or employee of the Government; and

(2) Intended, by the gratuity, to obtain a contract or favorable treatment under a contract.

- (b) The facts supporting this determination may be reviewed by any court having lawful jurisdiction.
- (c) If this contract is terminated under paragraph (a) above, the Government is entitled--
 - (1) To pursue the same remedies as in a breach of the contract; and
 - (2) In addition to any other damages provided by law, to exemplary damages of not less than 3 nor more than 10 times the cost incurred by the Contractor in giving gratuities to the person concerned, as determined by the agency head or a designee. (This subparagraph (c)(2) is applicable only if this contract uses money appropriated to the Department of Defense.)
- (d) The rights and remedies of the Government provided in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

5. *FAR 52.203-5 COVENANT AGAINST CONTINGENT FEES (APR 1984)

(a) The Contractor warrants that no person or agency has been employed or retained to solicit or obtain this contract upon an agreement or understanding for a contingent fee, except a bona fide employee or agency. For breach or violation of this warranty, the Government shall have the right to annul this contract without liability or, in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of the contingent fee.

(b) "Bona fide agency," as used in this clause, means an established commercial or selling agency, maintained by a contractor for the purpose of securing business, that neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds itself out as being able to obtain any Government contract or contracts through improper influence.

"Bona fide employee," as used in this clause, means a person, employed by a contractor and subject to the contractor's supervision and control as to time, place, and manner of performance, who neither exerts nor proposes to exert improper influence to solicit or obtain Government contracts nor holds out as being able to obtain any Government contract or contracts through improper influence.

"Contingent fee," as used in this clause, means any commission, percentage, brokerage, or other fee that is contingent upon the success that a person or concern has in securing a Government contract.

"Improper influence," as used in this clause, means any influence that induces or tends to induce a Government employee or officer to give consideration or to act regarding a Government contract on any basis other than the merits of the matter.

6. *FAR 52.203-7 ANTI-KICKBACK PROCEDURES (JUL 1995)

(a) Definitions.

"Kickback," as used in this clause, means any money, fee, commission, credit, gift, gratuity, thing of value, or compensation of any kind which is provided, directly or indirectly, to any prime Contractor, prime Contractor employee, subcontractor, or subcontractor employee for the purpose of improperly obtaining or rewarding favorable treatment in connection with a prime contract or in connection with a subcontract relating to a prime contract. "Person," as used in this clause, means a corporation, partnership, business association of any kind, trust, joint-stock company, or individual.

"Prime contract," as used in this clause, means a contract or contractual action entered into by the United States for the purpose of obtaining supplies, materials, equipment, or services of any kind.

"Prime Contractor," as used in this clause, means a person who has entered into a prime contract with the United States.

"Prime Contractor employee," as used in this clause, means any officer, partner, employee, or agent of a prime Contractor.

"Subcontract," as used in this clause, means a contract or contractual action entered into by a prime Contractor or subcontractor for the purpose of obtaining supplies, materials, equipment, or services of any kind under a prime contract.

"Subcontractor," as used in this clause, (1) means any person, other than the prime Contractor, who offers to furnish or furnishes any supplies, materials, equipment, or services of any kind under a prime contract or a

subcontract entered into in connection with such prime contract, and (2) includes any person who offers to furnish or furnishes general supplies to the prime Contractor or a higher tier subcontractor.

"Subcontractor employee," as used in this clause, means any officer, partner, employee, or agent of a subcontractor.

(b) The Anti-Kickback Act of 1986 (41 U.S.C. 51-58) (the Act), prohibits any person from--
(1) Providing or attempting to provide or offering to provide any kickback;
(2) Soliciting, accepting, or attempting to accept any kickback; or
(3) Including, directly or indirectly, the amount of any kickback in the contract price charged by a prime Contractor to the United States or in the contract price charged by a subcontractor to a prime Contractor or higher tier subcontractor.

(c) (1) The Contractor shall have in place and follow reasonable procedures designed to prevent and detect possible violations described in paragraph (b) of this clause in its own operations and direct business relationships.

(2) When the Contractor has reasonable grounds to believe that a violation described in paragraph (b) of this clause may have occurred, the Contractor shall promptly report in writing the possible violation. Such reports shall be made to the inspector general of the contracting agency, the head of the contracting agency if the agency does not have an inspector general, or the Department of Justice.

(3) The Contractor shall cooperate fully with any Federal agency investigating a possible violation described in paragraph (b) of this clause.

(4) The Contracting Officer may
(i) offset the amount of the kickback against any monies owed by the United States under the prime contract and/or
(ii) direct that the Prime Contractor withhold from sums owed a subcontractor under the prime contract the amount of the kickback. The Contracting Officer may order that monies withheld under subdivision (c)(4)(ii) of this clause be paid over to the Government unless the Government has already offset those monies under subdivision (c)(4)(i) of this clause. In either case, the Prime Contractor shall notify the Contracting Officer when the monies are withheld.

(5) The Contractor agrees to incorporate the substance of this clause, including subparagraph (c)(5) but excepting subparagraph (c)(1), in all subcontracts under this contract which exceed \$100,000.

7. *FAR 52.203-8 CANCELLATION, RESCISSION, AND RECOVERY OF FUNDS FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) If the Government receives information that a contractor or a person has engaged in conduct constituting a violation of subsection (a), (b), (c), or (d) of Section 27 of the Office of Federal Procurement Policy Act (41 U.S.C. 423) (the Act), as amended by section 4304 of the National Defense Authorization Act for Fiscal Year 1996 (Pub. L. 104-106), the Government may--

(1) Cancel the solicitation, if the contract has not yet been awarded or issued; or
(2) Rescind the contract with respect to which--
(i) The Contractor or someone acting for the Contractor has been convicted for an offense where the conduct constitutes a violation of subsection 27 (a) or (b) of the Act for the purpose of either--
(A) Exchanging the information covered by such subsections for anything of value; or
(B) Obtaining or giving anyone a competitive advantage in the award of a Federal agency procurement contract; or
(ii) The head of the contracting activity has determined, based upon a preponderance of the evidence, that the Contractor or someone acting for the Contractor has engaged in conduct constituting an offense punishable under subsection 27(e)(1) of the Act.

(b) If the Government rescinds the contract under paragraph (a) of this clause, the Government is entitled to recover, in addition to any penalty prescribed by law, the amount expended under the contract.

(c) The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law, regulation, or under this contract.

8. DFARS 252.203-7001 PROHIBITION ON PERSONS CONVICTED OF FRAUD OR OTHER DEFENSE—CONTRACT-RELATED FELONIES (MARCH 1999)

- (a) Definitions.
As used in this clause--
 - (1) "Arising out of a contract with the "DoD" means any any act in connection with--
 - (i) Attempting to obtain;
 - (ii) Obtaining; or
 - (iii) Performing a contract or first-tier subcontract of any department, or component of the Department of Defense (DoD).
 - (2) "Conviction of fraud or any other felony," means any conviction for fraud or a felony in violation of state or Federal criminal statutes, whether entered on a verdict or plea, including a plea of nolo contendere, for which sentence has been imposed.
 - (3) "Date of conviction," means the date judgement was entered against the individual.
- (b) Any individual who is convicted after September 29, 1988 of fraud or any other felony arising out of a contract with the DoD is prohibited from serving--
 - (1) In a management or supervisory capacity on any DoD contract or first-tier subcontract;
 - (2) On board of directors of any DoD Contractor or first-tier subcontractor;
 - (3) As a consultant to any DoD Contractor or first-tier subcontractor; or
 - (4) In any other capacity with the authority to influence, advise, or control the decisions of any DoD contractor or subcontractor with regard to any DoD contract or first-tier subcontract.
- (c) Unless waived, the prohibition in paragraph (b) of this clause applies for not less than five years from the date of conviction.
- (d) 10 U.S.C. 2408 provides that a defense Contractor or first-tier subcontractor shall be subject to a criminal penalty of not more than \$500,000 if convicted of knowingly--
 - (1) Employing a person under a prohibition in paragraph (b) of this clause;
 - (2) Allowing such a person to serve on the board of directors of Contractor or first-tier subcontractor.
- (e) In addition to the criminal penalties contained in 10 U.S.C. 2408, the Government may consider other available remedies, such as--
 - (1) Suspension or debarment;
 - (2) Cancellation of the contract at no cost to the Government; or
 - (3) Termination of the contract for default.
- (f) The Contractor may submit written requests for waiver of the prohibition in paragraph (b) of this clause to the Contracting Officer. Requests shall clearly identify--
 - (1) The person involved;
 - (2) The nature of the conviction and resultant sentence or punishment imposed;
 - (3) The reasons for the requested waiver; and
 - (4) An explanation of why a waiver is in the interest of national security.
- (g) The Contractor agrees to include the substance of this clause appropriately modified to reflect the identity and relationship of the parties, in all first-tier subcontracts exceeding the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation, except those for commercial items or components.
- (h) Pursuant to 10 U.S.C.2408(c), defense contractors and subcontractors may obtain information as to whether a particular has been convicted of fraud or any other felony arising out of a contract with the DoD by contracting The Office of Justice Programs, The Denial of Federal Benefits Office, U.S. Department of Justice, telephone (202) 616-3507.

9. DFARS 252.203-7002 DISPLAY OF DOD HOTLINE POSTER (DEC 1991) (For Military Contracts Exceeding \$5,000,000)

- (a) The Contractor shall display prominently in common work areas within business segments performing work under Department of Defense (DoD) contracts, DoD Hotline Posters prepared by DoD Office of the Inspector General.

(b) DoD Hotline Posters may be obtained from the DoD Inspector General, ATTN: Defense Hotline, 400 Army Navy Drive, Washington DC 22202-2884.

(c) The Contract need not comply with paragraph (a) of this clause if it has established a mechanism, such as a hotline, by which employees may report suspected instances of improper conduct, and instructions that encourage employees to make such reports.

10. *FAR 52.203-10 PRICE OR FEE ADJUSTMENT FOR ILLEGAL OR IMPROPER ACTIVITY (JAN 1997)

(a) The Government, at its election, may reduce the price of a fixed-price type contract and the total cost and fee under a cost-type contract of profit or fee determined as set forth in paragraph (b) of this clause if the head of the contracting activity or designee determines that there was a violation of subsection 27(a), (b), or (c) of the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 423), as implemented in section 3.104 of the Federal Acquisition Regulation.

(b) The price or fee reduction referred to in paragraph (a) of this clause shall be--

(1) For cost-plus-fixed-fee contracts, the amount of the fee specified in the contract at the time of award;

(2) For cost-plus-incentive-fee contracts, the target fee specified in the contract at the time of award, notwithstanding any minimum fee or "fee floor" specified in the contract;

(3) For cost-plus-award-fee contracts--

(i) The base fee established in the contract at the time of contract award;

(ii) If no base fee is specified in the contract, 30 percent of the amount of each award fee otherwise payable to the Contractor for each award fee evaluation period or at each award fee determination point.

(4) For fixed-price-incentive contracts, the Government may--

(i) Reduce the contract target price and contract target profit both by an amount equal to the initial target profit specified in the contract at the time of contract award; or

(ii) If an immediate adjustment to the contract target price and contract target profit would have a significant adverse impact on the incentive price revision relationship under the contract, or adversely affect the contract financing provisions, the Contracting Officer may defer such adjustment until establishment of the total final price of the contract. The total final price established in accordance with the incentive price revision provisions of the contract shall be reduced by an amount equal to the initial target profit specified in the contract at the time of contract award and such reduced price shall be the total final contract price.

(5) For firm-fixed-price contracts, by 10 percent of the initial contract price or a profit amount determined by the Contracting Officer from records or documents in existence prior to the date of the contract award.

(c) The Government may, at its election, reduce a prime contractor's price or fee in accordance with the procedures of paragraph (b) of this clause for violations of the Act by its subcontractors by an amount not to exceed the amount of profit or fee reflected in the subcontract at the time the subcontract was first definitively priced.

(d) In addition to the remedies in paragraphs (a) and (c) of this clause, the Government may terminate this contract for default. The rights and remedies of the Government specified herein are not exclusive, and are in addition to any other rights and remedies provided by law or under this contract.

11. *FAR 52.203-12 LIMITATION ON PAYMENTS TO INFLUENCE CERTAIN FEDERAL TRANSACTIONS (JUNE 2003)

(a) Definitions.

"Agency," as used in this clause, means executive agency as defined in 2.101.

"Covered Federal Action," as used in this clause, means any of the following Federal actions:

(1) The awarding of any Federal contract.

(2) The making of any Federal grant.

(3) The making of any Federal loan.

(4) The entering into of any cooperative agreement.

(5) The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

"Indian tribe" and "tribal organization," as used in this clause, have the meaning provided in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450B) and include Alaskan Natives.

"Influencing or attempting to influence," as used in this clause, means making, with the intent to influence, any communication to or appearance before an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any covered Federal action.

"Local government," as used in this clause, means a unit of government in a State and, if chartered, established, or otherwise recognized by a State for the performance of a governmental duty, including a local public authority, a special district, an intrastate district, a council of governments, a sponsor group representative organization, and any other instrumentality of a local government.

"Officer or employee of an agency," as used in this clause, includes the following individuals who are employed by an agency:

(1) An individual who is appointed to a position in the Government under title 5, United States Code, including a position under a temporary appointment.

(2) A member of the uniformed services, as defined in subsection 101(3), title 37, United States Code.

(3) A special Government employee, as defined in section 202, title 18, United States Code.

(4) An individual who is a member of a Federal advisory committee, as defined by the Federal Advisory Committee Act, title 5, United States Code, appendix 2.

"Person," as used in this clause, means an individual, corporation, company, association, authority, firm, partnership, society, State and local government, regardless of whether such entity is operated for profit, or not for profit. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Reasonable compensation," as used in this clause, means, with respect to a regularly employed officer or employee of any person, compensation that is consistent with the normal compensation for such officer or employee for work that is not furnished to, not funded by, or not furnished in cooperation with the Federal Government.

"Reasonable payment," as used in this clause, means, with respect to professional and other technical services, a payment in an amount that is consistent with the amount normally paid for such services in the private sector.

"Recipient," as used in this clause, includes the Contractor and all subcontractors. This term excludes an Indian tribe, tribal organization, or any other Indian organization with respect to expenditures specifically permitted by other Federal law.

"Regularly employed," as used in this clause, means, with respect to an officer or employee of a person requesting or receiving a Federal contract, an officer or employee who is employed by such person for at least 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person for receipt of such contract. An officer or employee who is employed by such person for less than 130 working days within 1 year immediately preceding the date of the submission that initiates agency consideration of such person shall be considered to be regularly employed as soon as he or she is employed by such person for 130 working days.

"State," as used in this clause, means a State of the United States, the District of Columbia, or an outlying area of the United States, an agency or instrumentality of a State, and multi-State, regional, or interstate entity having governmental duties and powers.

(b) Prohibitions.

(1) Section 1352 of title 31, United States Code, among other things, prohibits a recipient of a Federal Contract, grant, loan, or cooperative agreement from using appropriated funds to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: The awarding of any Federal contract; the making of any Federal grant; the making of any Federal loan; the entering into of any cooperative agreement; or the modification of any Federal contract, grant, loan, or cooperative agreement.

(2) The Act also requires Contractors to furnish a disclosure if any funds other than Federal appropriated funds (including profit or fee received under a covered Federal transaction) have been paid, or will be paid, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a Federal contract, grant, loan, or cooperative agreement.

(3) The prohibitions of the Act do not apply under the following conditions:

(i) Agency and legislative liaison by own employees.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of a payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action if the payment is for agency and legislative liaison activities not directly related to a covered Federal action.

(B) For purposes of subdivision (b)(3)(i)(A) of this clause, providing any information specifically requested by an agency or Congress is permitted at any time.

(C) The following agency and legislative liaison activities are permitted at any time where they are not related to a specific solicitation for any covered Federal action:

(1) Discussing with an agency the qualities and characteristics (including individual demonstrations) of the person's products or services, conditions or terms of sale, and service capabilities.

(2) Technical discussions and other activities regarding the application or adaptation of the person's products or services for an agency's use.

(D) The following agency and legislative liaison activities are permitted where they are prior to formal solicitation of any covered Federal action--

(1) Providing any information not specifically requested but necessary for an agency to make an informed decision about initiation of a covered Federal action;

(2) Technical discussions regarding the preparation of an unsolicited proposal prior to its official submission; and

(3) Capability presentations by persons seeking awards from an agency pursuant to the provisions of the Small Business Act, as amended by Pub. L. 95-507, and subsequent amendments.

(E) Only those services expressly authorized by subdivision (b)(3)(i)(A) of this clause are permitted under this clause.

(ii) Professional and technical services.

(A) The prohibition on the use of appropriated funds, in subparagraph (b)(1) of this clause, does not apply in the case of--

(1) A payment of reasonable compensation made to an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action, if payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action.

(2) Any reasonable payment to a person, other than an officer or employee of a person requesting or receiving a covered Federal action or an extension, continuation, renewal, amendment, or modification of a covered Federal action if the payment is for professional or technical services rendered directly in the preparation, submission, or negotiation of any bid, proposal, or application for that Federal action or for meeting requirements imposed by or pursuant to law as a condition for receiving that Federal action. Persons other than officers or employees of a person requesting or receiving a covered Federal action include consultants and trade associations.

(B) For purposes of subdivision (b)(3)(ii)(A) of this clause, "professional and technical services" shall be limited to advice and analysis directly applying any professional or technical discipline. For example, drafting of a legal document accompanying a bid or proposal by a lawyer is allowable. Similarly, technical advice provided by an engineer on the performance or operational capability of a piece of equipment rendered directly in the negotiation of a contract is allowable. However, communications with the intent to influence made by a professional (such as a licensed lawyer) or a technical person (such as a licensed accountant) are not allowable under this section unless they provide advice and analysis directly applying their professional or technical expertise and unless the advice or analysis is rendered directly and solely in the preparation, submission or

negotiation of a covered Federal action. Thus, for example, communications with the intent to influence made by a lawyer that do not provide legal advice or analysis directly and solely related to the legal aspects of his or her client's proposal, but generally advocate one proposal over another are not allowable under this section because the lawyer is not providing professional legal services. Similarly, communications with the intent to influence made by an engineer providing an engineering analysis prior to the preparation or submission of a bid or proposal are not allowable under this section since the engineer is providing technical services but not directly in the preparation, submission or negotiation of a covered Federal action.

(C) Requirements imposed by or pursuant to law as a condition for receiving a covered Federal award include those required by law or regulation and any other requirements in the actual award documents.

(D) Only those services expressly authorized by subdivisions (b)(3)(ii)(A)(1) and (2) of this clause are permitted under this clause.

(E) The reporting requirements of FAR 3.803(a) shall not apply with respect to payments of reasonable compensation made to regularly employed officers or employees of a person.

(iii) Disclosure.

(A) The Contractor who requests or receives from an agency a Federal contract shall file with that agency a disclosure form, OMB standard form LLL, Disclosure of Lobbying Activities, if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under subparagraph (b)(1) of this clause, if paid for with appropriated funds.

(B) The Contractor shall file a disclosure form at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under subparagraph (c)(1) of this clause. An event that materially affects the accuracy of the information reported includes--

(1) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(2) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or

(3) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.

(C) The Contractor shall require the submittal of a certification, and if required, a disclosure form by any person who requests or receives any subcontract exceeding \$100,000 under the Federal contract.

(D) All subcontractor disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the prime Contractor. The prime Contractor shall submit all disclosures to the Contracting Officer at the end of the calendar quarter in which the disclosure form is submitted by the subcontractor. Each subcontractor certification shall be retained in the subcontract file of the awarding Contractor.

(iv) Agreement. The Contractor agrees not to make any payment prohibited by this clause.

(v) Penalties.

(A) Any person who makes an expenditure prohibited under paragraph (a) of this clause or who fails to file or amend the disclosure form to be filed or amended by paragraph (b) of this clause shall be subject to civil penalties as provided for by 31 U.S.C. 1352. An imposition of a civil penalty does not prevent the Government from seeking any other remedy that may be applicable.

(B) Contractors may rely without liability on the representation made by their subcontractors in the certification and disclosure form.

(vi) Cost allowability. Nothing in this clause makes allowable or reasonable any costs which would otherwise be unallowable or unreasonable. Conversely, costs made specifically unallowable by the requirements in this clause will not be made allowable under any other provision.

12. *FAR 52.204-4 PRINTED OR COPIED DOUBLE-SIDED ON RECYCLED PAPER (AUG 2000)

(a) Definitions. As used in this clause—

“Postconsumer material” means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of “recovered material.” For paper and paper products, postconsumer material means “postconsumer fiber” defined by the U.S. Environmental Protection Agency (EPA) as—

(1) Paper, paperboard, and fibrous materials from retail stores, office buildings, homes, and so forth, after they have passed through their end-usage as a consumer item, including: used corrugated boxes; old newspapers; old magazines; mixed waste paper; tabulating cards; and used cordage; or

(2) All paper, paperboard, and fibrous materials that enter and are collected from municipal solid waste; but not

(3) Fiber derived from printers' over-runs, converters' scrap, and over-issue publications.

“Printed or copied double-sided” means printing or reproducing a document so that information is on both sides of a sheet of paper.

“Recovered material,” for paper and paper products, is defined by EPA in its Comprehensive Procurement Guideline as “recovered fiber” and means the following materials:

(1) Postconsumer fiber; and

(2) Manufacturing wastes such as—

(i) Dry paper and paperboard waste generated after completion of the papermaking process (that is, those manufacturing operations up to and including the cutting and trimming of the paper machine reel into smaller rolls or rough sheets) including: envelope cuttings, bindery trimmings, and other paper and paperboard waste resulting from printing, cutting, forming, and other converting operations; bag, box, and carton manufacturing wastes; and butt rolls, mill wrappers, and rejected unused stock; and

(ii) Repulped finished paper and paperboard from obsolete inventories of paper and paperboard manufacturers, merchants, wholesalers, dealers, printers, converters, or others.

(b) In accordance with Section 101 of Executive Order 13101 of September 14, 1998, Greening the Government through Waste Prevention, Recycling, and Federal Acquisition, the Contractor is encouraged to submit paper documents, such as offers, letters, or reports, that are printed or copied double-sided on recycled paper that meet minimum content standards specified in Section 505 of Executive Order 13101, when not using electronic commerce methods to submit information or data to the Government.

(c) If the Contractor cannot purchase high-speed copier paper, offset paper, forms bond, computer printout paper, carbonless paper, file folders, white wove envelopes, writing and office paper, book paper, cotton fiber paper, and cover stock meeting the 30 percent postconsumer material standard for use in submitting paper documents to the Government, it should use paper containing no less than 20 percent postconsumer material. This lesser standard should be used only when paper meeting the 30 percent postconsumer material standard is not obtainable at a reasonable price or does not meet reasonable performance standards.

(End of clause)

13. DFARS 252.204-7003

CONTROL OF GOVERNMENT PERSONNEL WORK PRODUCT (APR 1992)

The Contractor's procedures for protecting against unauthorized disclosure of information shall not require Department of Defense employees or members of the Armed Forces to relinquish control of their work products, whether classified or not, to the Contractor.

14. *FAR 52.209-6 PROTECTING THE GOVERNMENT'S INTEREST WHEN SUBCONTRACTING WITH CONTRACTORS DEBARRED, SUSPENDED, OR PROPOSED FOR DEBARMENT (JUL 1995)

(a) The Government suspends or debar Contractors to protect the Government's interests. The Contractor shall not enter into any subcontract in excess of \$25,000 with a Contractor that is debarred, suspended, or proposed for debarment unless there is a compelling reason to do so.

(b) The Contractor shall require each proposed first-tier subcontractor, whose subcontract will exceed \$25,000, to disclose to the Contractor, in writing, whether as of the time of award of the subcontract, the subcontractor, or its principals, is or is not debarred, suspended, or proposed for debarment by the Federal Government.

(c) A corporate office or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is debarred, suspended, or proposed for debarment (see FAR 9.404 for information on the List of Parties Excluded from Procurement Programs). The notice must include the following:

- (1) The name of the subcontractor.
- (2) The Contractor's knowledge of the reasons for the subcontractor being on the List of Parties Excluded from Procurement Programs.
- (3) The compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded From Procurement Programs.
- (4) The systems and procedures the Contractor has established to ensure that it is fully protecting the Government's interests when dealing with such subcontractor in view of the specific basis for the party's debarment, suspension, or proposed debarment.

15. DFARS 252.209-7004 SUBCONTRACTING WITH FIRMS THAT ARE OWNED OR CONTROLLED BY THE GOVERNMENT OF A TERRORIST COUNTRY (MAR 1998)

(a) Unless the Government determines that there is a compelling reason to do so, the Contractor shall not enter into any subcontract in excess of \$25,000 with a firm, or a subsidiary of a firm, that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country.

(b) A corporate officer or a designee of the Contractor shall notify the Contracting Officer, in writing, before entering into a subcontract with a party that is identified, on the List of Parties Excluded from Federal Procurement and Nonprocurement Programs, as being ineligible for the award of Defense contracts or subcontracts because it is owned or controlled by the government of a terrorist country. The notice must include the name of the proposed subcontractor and the compelling reason(s) for doing business with the subcontractor notwithstanding its inclusion on the List of Parties Excluded From Federal Procurement and Nonprocurement Programs.

(End of clause)

16. *FAR 52.211-15 DEFENSE PRIORITY AND ALLOCATION REQUIREMENTS (SEP 1990) [For Military Contract's Only]

This is a rated order certified for national defense use, and the Contractor shall follow all the requirements of the Defense Priorities and Allocations System regulation (15 CFR 700).

17. FAR 52.211-18 VARIATION IN ESTIMATED QUANTITY (APR 1984)

If the quantity of a unit-priced item in this contract is an estimated quantity and the actual quantity of the unit-priced item varies more than 15 percent above or below the estimated quantity, an equitable adjustment in the contract price shall be made upon demand of either party. The equitable adjustment shall be based upon any increase or decrease in costs due solely to the variation above 115 percent or below 85 percent of the estimated quantity. If the quantity variation is such as to cause an increase in the time necessary for completion, the Contractor may request, in writing, an extension of time, to be received by the Contracting Officer within 10 days from the beginning of the delay, or within such further period as may be granted by the Contracting Officer before the date of final settlement of the contract. Upon the receipt of a written request for an extension, the Contracting

Officer shall ascertain the facts and make an adjustment for extending the completion date as, in the judgement of the Contracting Officer, is justified.

18. *FAR 52.214-26 AUDIT AND RECORDS--SEALED BIDDING (OCT 1997)

(a) As used in this clause, "records" includes books, documents, accounting procedures and practices, and other data, regardless of whether such items are in written form, in the form of computer data, or in any other form.

(b) Cost or pricing data. If the Contractor has submitted cost or pricing data in connection with the pricing of any modification to this contract, the Contracting Officer or authorized representative of the Contracting Officer, in order to evaluate the accuracy, completeness, and currency of the cost or pricing data, shall have the right to examine and audit all of the Contractor's records, including computations and projections related to--

- (1) The proposal for the modification;
- (2) The discussions conducted on the proposal(s), including those related to negotiating;
- (3) Pricing of the modification; or
- (4) Performance of the modification.

(c) Comptroller General. In the case of pricing any modification, the Comptroller General of the United States, or an authorized representative, shall have the same rights as specified in paragraph (b) of this clause.

(d) Availability. The Contractor shall make available at its office at all reasonable times the materials described in paragraph (b) of this clause, for examination, audit, or reproduction, until 3 years after final payment under this contract, or for any other period specified in Subpart 4.7 of the Federal Acquisition Regulation (FAR). FAR Subpart 4.7, Contractor Records Retention, in effect on the date of this contract, is incorporated by reference in its entirety and made a part of this contract.

(1) If this contract is completely or partially terminated, the records relating to the work terminated shall be made available for 3 years after any resulting final termination settlement.

(2) Records pertaining to appeals under the Disputes clause or to litigation or the settlement of claims arising under or relating to the performance of this contract shall be made available until disposition of such appeals, litigation, or claims.

(e) The Contractor shall insert a clause containing all the provisions of this clause, including this paragraph (e), in all subcontracts expected to exceed the threshold in FAR 15.403-4(a)(1) for submission of cost or pricing data.

**19. *FAR 52.214-27 PRICE REDUCTION FOR DEFECTIVE COST OR PRICING DATA—
MODIFICATIONS--SEALED BIDDING (OCT 1997)**

(a) This clause shall become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), except that this clause does not apply to any modification if an exception under FAR 15.403-1(b) applies.

(b) If any price, including profit, negotiated in connection with any modification under this clause, was increased by any significant amount because

(1) The Contractor or a subcontractor furnished cost or pricing data that were not complete, accurate, and current as certified in its Certificate of Current Cost or Pricing Data,

(2) a subcontractor or prospective subcontractor furnished the Contractor cost or pricing data that were not complete, accurate, and current as certified in the Contractor's Certificate of Current Cost or Pricing Data, or

(3) Any of these parties furnished data of any description that were not accurate, the price shall be reduced accordingly and the contract shall be modified to reflect the reduction. This right to a price reduction is limited to that resulting from defects in data relating to modifications for which this clause becomes operative under paragraph (a) of this clause.

- (c) Any reduction in the contract price under paragraph (b) above due to defective data from a prospective subcontractor that was not subsequently awarded the subcontract shall be limited to the amount, plus applicable overhead and profit markup, by which
- (1) the actual subcontract or
 - (2) the actual cost to the Contractor, if there was no subcontract, was less than the prospective subcontract cost estimate submitted by the Contractor; provided, that the actual subcontract price was not itself affected by defective cost or pricing data.
- (d) (1) If the Contracting Officer determines under paragraph (b) of this clause that a price or cost reduction should be made, the Contractor agrees not to raise the following matters as a defense:
- (i) The Contractor or subcontractor was a sole source supplier or otherwise was in a superior bargaining position and thus the price of the contract would not have been modified even if accurate, complete, and current cost or pricing data had been submitted.
 - (ii) The Contracting Officer should have known that the cost or pricing data in issue were defective even though the Contractor or subcontractor took no affirmative action to bring the character of the data to the attention of the Contracting Officer.
 - (iii) The contract was based on an agreement about the total cost of the contract and there was no agreement about the cost of each item procured under the contract.
 - (iv) The Contractor or subcontractor did not submit a Certificate of Current Cost or Pricing Data.
- (2) (i) Except as prohibited by subdivision (d)(2)(ii) of this clause, an offset in an amount determined appropriate by the Contracting Officer based upon the facts shall be allowed against the amount of a contract price reduction if--
- (A) The Contractor certifies to the Contracting Officer that, to the best of the Contractor's knowledge and belief, the Contractor is entitled to the offset in the amount requested; and
 - (B) The Contractor proves that the cost or pricing data were available before the date of agreement on the price of the contract (or price of the modification) and that the data were not submitted before such date.
- (ii) An offset shall not be allowed if--
- (A) The understated data was known by the Contractor to be understated when the Certificate of Current Cost or Pricing Data was signed; or
 - (B) The Government proves that the facts demonstrate that the contract price would not have increased in the amount to be offset even if the available data had been submitted before the date of agreement on price.
- (e) If any reduction in the contract price under this clause reduces the price of items for which payment was made prior to the date of the modification reflecting the price reduction, the Contractor shall be liable to and shall pay the United States at the time such overpayment is repaid--
- (1) Simple interest on the amount of such overpayment to be computed from the date(s) of overpayment to the Contractor to the date the Government is repaid by the Contractor at the applicable underpayment rate effective for each quarter prescribed by the Secretary of the Treasury under 26 U.S.C. 6621(a)(2); and
 - (2) A penalty equal to the amount of the overpayment, if the Contractor or subcontractor knowingly submitted cost or pricing data which were incomplete, inaccurate, or noncurrent.

20. *FAR 52.214-28 SUBCONTRACTOR COST OR PRICING DATA--MODIFICATIONS--SEALED BIDDING (OCT 1997)

- (a) The requirements of paragraphs (b) and (c) of this clause shall--
- (1) Become operative only for any modification to this contract involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1); and

(2) Be limited to such modifications.

(b) Before awarding any subcontract expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), on the date of agreement on price or the date of award, whichever is later; or before pricing any subcontract modification involving aggregate increases and/or decreases in costs, plus applicable profits, expected to exceed the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1), the Contractor shall require the subcontractor to submit cost or pricing data (actually or by specific identification in writing), unless an exception under FAR 15.403-1(b) applies.

(c) The Contractor shall require the subcontractor to certify in substantially the form prescribed in FAR subsection 15.406-2 that, to the best of its knowledge and belief, the data submitted under paragraph (b) above were accurate, complete, and current as of the date of agreement on the negotiated price of the subcontract or subcontract modification.

(d) The Contractor shall insert the substance of this clause, including this paragraph (d), in each subcontract that when entered into, exceeds the threshold for submission of cost or pricing data at FAR 15.403-4(a)(1).
(End of clause)

21. *FAR 52.219-4 NOTICE OF PRICE EVALUATION PREFERENCE FOR HUBZONE SMALL BUSINESS CONCERNS (JAN 1999)

(a) *Definition.* "HUBZone small business concern," as used in this clause, means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration.

(b) *Evaluation preference.* (1) Offers will be evaluated by adding a factor of 10 percent to the price of all offers, except—

(i) Offers from HUBZone small business concerns that have not waived the evaluation preference;

(ii) Otherwise successful offers from small business concerns;

(iii) Otherwise successful offers of eligible products under the Trade Agreements Act when the dollar threshold for application of the Act is exceeded (see 25.402 of the Federal Acquisition Regulation (FAR)); and

(iv) Otherwise successful offers where application of the factor would be inconsistent with a Memorandum of Understanding or other international agreement with a foreign government.

(2) The factor of 10 percent shall be applied on a line item basis or to any group of items on which award may be made. Other evaluation factors described in the solicitation shall be applied before application of the factor.

(3) A concern that is both a HUBZone small business concern and a small disadvantaged business concern will receive the benefit of both the HUBZone small business price evaluation preference and the small disadvantaged business price evaluation adjustment (see FAR clause 52.219-23). Each applicable price evaluation preference or adjustment shall be calculated independently against an offeror's base offer. These individual preference amounts shall be added together to arrive at the total evaluated price for that offer.

(c) *Waiver of evaluation preference.* A HUBZone small business concern may elect to waive the evaluation preference, in which case the factor will be added to its offer for evaluation purposes. The agreements in paragraph (d) of this clause do not apply if the offeror has waived the evaluation preference.

[] Offeror elects to waive the evaluation preference.

(d) *Agreement.* A HUBZone small business concern agrees that in the performance of the contract, in the case of a contract for—

(1) Services (except construction), at least 50 percent of the cost of personnel for contract performance will be spent for employees of the concern or employees of other HUBZone small business concerns;

(2) Supplies (other than procurement from a nonmanufacturer of such supplies), at least 50 percent of the cost of manufacturing, excluding the cost of materials, will be performed by the concern or other HUBZone

small business concerns;

(3) General construction, at least 15 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns; or

(4) Construction by special trade contractors, at least 25 percent of the cost of the contract performance incurred for personnel will be spent on the concern's employees or the employees of other HUBZone small business concerns.

(e) A HUBZone joint venture agrees that in the performance of the contract, the applicable percentage specified in paragraph (d) of this clause will be performed by the HUBZone small business participant or participants.

(f) A HUBZone small business concern nonmanufacturer agrees to furnish in performing this contract only end items manufactured or produced by HUBZone small business manufacturer concerns. This paragraph does not apply in connection with construction or service contracts.

(End of clause)

22. *FAR 52.219-8 UTILIZATION OF SMALL BUSINESS CONCERNS (OCT 2000)

(a) It is the policy of the United States that small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns shall have the maximum practicable opportunity to participate in performing contracts let by any Federal agency, including contracts and subcontracts for subsystems, assemblies, components, and related services for major systems. It is further the policy of the United States that its prime contractors establish procedures to ensure the timely payment of amounts due pursuant to the terms of their subcontracts with small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, and women-owned small business concerns.

(b) The Contractor hereby agrees to carry out this policy in the awarding of subcontracts to the fullest extent consistent with efficient contract performance. The Contractor further agrees to cooperate in any studies or surveys as may be conducted by the United States Small Business Administration or the awarding agency of the United States as may be necessary to determine the extent of the Contractor's compliance with this clause.

(c) *Definitions.* As used in this contract—

“HUBZone small business concern” means a small business concern that appears on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration .

“Service-disabled veteran-owned small business concern ” —

(1) Means a small business concern—

(i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and

(ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

(2) Service-disabled veteran means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

“Small business concern” means a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

“Small disadvantaged business concern” means a small business concern that represents, as part of its offer that—

(1) It has received certification as a small disadvantaged business concern consistent with 13 CFR part 124, Subpart B;

(2) No material change in disadvantaged ownership and control has occurred since its certification;

(3) Where the concern is owned by one or more individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and

(4) It is identified, on the date of its representation, as a certified small disadvantaged business in the database maintained by the Small Business Administration (PRO-Net).

“Veteran-owned small business concern” means a small business concern—

(1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and

(2) The management and daily business operations of which are controlled by one or more veterans.

“Women-owned small business concern” means a small business concern—

(1) That is at least 51 percent owned by one or more women, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

(2) Whose management and daily business operations are controlled by one or more women.

(d) Contractors acting in good faith may rely on written representations by their subcontractors regarding their status as a small business concern, a veteran-owned small business concern, a service-disabled veteran-owned small business concern, a HUBZone small business concern, a small disadvantaged business concern, or a women-owned small business concern.

(End of clause)

23. *FAR 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002) [When Contracting By Negotiations]

(a) This clause does not apply to small business concerns.

(b) *Definitions.* As used in this clause—

“Commercial item” means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

“Commercial plan” means a subcontracting plan (including goals) that covers the offeror’s fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (*e.g.*, division, plant, or product line).

“Individual contract plan” means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror’s planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

“Master plan” means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

“Subcontract” means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) The offeror, upon request by the Contracting Officer, shall submit and negotiate a subcontracting plan, where applicable, that separately addresses subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business concerns, small disadvantaged business, and women-owned small business concerns. If the offeror is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be negotiated within the time specified by the Contracting Officer. Failure to submit and negotiate the subcontracting plan shall make the offeror ineligible for award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may

include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of—

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to service-disabled veteran-owned small business;

(v) Total dollars planned to be subcontracted to HUBZone small business concerns;

(vi) Total dollars planned to be subcontracted to small disadvantaged business concerns; and

(vii) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (*e.g.*, existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRO-Net as its source list does not relieve a firm of its responsibilities (*e.g.*, outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will—

(i) Cooperate in any studies or surveys as may be required;

(ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;

(iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.

(iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated):

(i) Source lists (*e.g.*, PRO-Net), guides, and other data that identify small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating—

- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not, why not;
- (C) Whether service-disabled veteran-owned small business concerns were solicited and, if not, why not;
- (D) Whether HUBZone small business concerns were solicited and, if not, why not;
- (E) Whether small disadvantaged business concerns were solicited and, if not, why not;
- (F) Whether women-owned small business concerns were solicited and, if not, why not; and
- (G) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact—

- (A) Trade associations;
- (B) Business development organizations;
- (C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and
- (D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through—

- (A) Workshops, seminars, training, etc.; and
- (B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to

facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owned small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided —

(1) The master plan has been approved;

(2) The offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer; and

(3) Goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with—

(1) The clause of this contract entitled "Utilization Of Small Business Concerns;" or

(2) An approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) *Standard Form 294, Subcontracting Report for Individual Contracts*. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) *Standard Form 295, Summary Subcontract Report*. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor's format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

24. *FAR 52.219-9 SMALL BUSINESS SUBCONTRACTING PLAN (JAN 2002) --ALTERNATE I (OCT 2001) [When Contracting By Sealed Bidding]

(a) This clause does not apply to small business concerns.

(b) *Definitions*. As used in this clause—

"Commercial item" means a product or service that satisfies the definition of commercial item in section 2.101 of the Federal Acquisition Regulation.

“Commercial plan” means a subcontracting plan (including goals) that covers the offeror’s fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division, plant, or product line).

“Individual contract plan” means a subcontracting plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror’s planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purposes may be allocated on a prorated basis to the contract.

“Master plan” means a subcontracting plan that contains all the required elements of an individual contract plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

“Subcontract” means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(c) The apparent low bidder, upon request by the Contracting Officer, shall submit a subcontracting plan, where applicable, that separately addresses subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns. If the bidder is submitting an individual contract plan, the plan must separately address subcontracting with small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns, with a separate part for the basic contract and separate parts for each option (if any). The plan shall be included in and made a part of the resultant contract. The subcontracting plan shall be submitted within the time specified by the Contracting Officer. Failure to submit the subcontracting plan shall make the bidder ineligible for the award of a contract.

(d) The offeror's subcontracting plan shall include the following:

(1) Goals, expressed in terms of percentages of total planned subcontracting dollars, for the use of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns as subcontractors. The offeror shall include all subcontracts that contribute to contract performance, and may include a proportionate share of products and services that are normally allocated as indirect costs.

(2) A statement of—

(i) Total dollars planned to be subcontracted for an individual contract plan; or the offeror’s total projected sales, expressed in dollars, and the total value of projected subcontracts to support the sales for a commercial plan;

(ii) Total dollars planned to be subcontracted to small business concerns;

(iii) Total dollars planned to be subcontracted to veteran-owned small business concerns;

(iv) Total dollars planned to be subcontracted to service-disabled veteran-owned small business;

(v) Total dollars planned to be subcontracted to HUBZone small business concerns;

(vi) Total dollars planned to be subcontracted to small disadvantaged business concerns; and

(vii) Total dollars planned to be subcontracted to women-owned small business concerns.

(3) A description of the principal types of supplies and services to be subcontracted, and an identification of the types planned for subcontracting to—

(i) Small business concerns;

(ii) Veteran-owned small business concerns;

(iii) Service-disabled veteran-owned small business concerns;

(iv) HUBZone small business concerns;

(v) Small disadvantaged business concerns; and

(vi) Women-owned small business concerns.

(4) A description of the method used to develop the subcontracting goals in paragraph (d)(1) of this clause.

(5) A description of the method used to identify potential sources for solicitation purposes (e.g., existing company source lists, the Procurement Marketing and Access Network (PRO-Net) of the Small Business Administration (SBA), veterans service organizations, the National Minority Purchasing Council Vendor

Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and women-owned small business trade associations). A firm may rely on the information contained in PRO-Net as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a small, veteran-owned small, service-disabled veteran-owned small, HUBZone small, small disadvantaged, and women-owned small business source list. Use of PRONet as its source list does not relieve a firm of its responsibilities (*e.g.*, outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.

(6) A statement as to whether or not the offeror included indirect costs in establishing subcontracting goals, and a description of the method used to determine the proportionate share of indirect costs to be incurred with—

- (i) Small business concerns;
- (ii) Veteran-owned small business concerns;
- (iii) Service-disabled veteran-owned small business concerns;
- (iv) HUBZone small business concerns;
- (v) Small disadvantaged business concerns; and
- (vi) Women-owned small business concerns.

(7) The name of the individual employed by the offeror who will administer the offeror's subcontracting program, and a description of the duties of the individual.

(8) A description of the efforts the offeror will make to assure that small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns have an equitable opportunity to compete for subcontracts.

(9) Assurances that the offeror will include the clause of this contract entitled "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities, and that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction of any public facility) to adopt a subcontracting plan that complies with the requirements of this clause.

(10) Assurances that the offeror will—

- (i) Cooperate in any studies or surveys as may be required;
- (ii) Submit periodic reports so that the Government can determine the extent of compliance by the offeror with the subcontracting plan;
- (iii) Submit Standard Form (SF) 294, Subcontracting Report for Individual Contracts, and/or SF 295, Summary Subcontract Report, in accordance with paragraph (j) of this clause. The reports shall provide information on subcontract awards to small business concerns, veteran-owned small business concerns, service-disabled veteran-owned small business concerns, HUBZone small business concerns, small disadvantaged business concerns, women-owned small business concerns, and Historically Black Colleges and Universities and Minority Institutions. Reporting shall be in accordance with the instructions on the forms or as provided in agency regulations.

(iv) Ensure that its subcontractors agree to submit SF 294 and SF 295.

(11) A description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns and award subcontracts to them. The records shall include at least the following (on a plant-wide or company-wide basis, unless otherwise indicated):

(i) Source lists (*e.g.*, PRO-Net), guides, and other data that identify small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns.

(ii) Organizations contacted in an attempt to locate sources that are small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, or women-owned small business concerns.

(iii) Records on each subcontract solicitation resulting in an award of more than \$100,000, indicating—

- (A) Whether small business concerns were solicited and, if not, why not;
- (B) Whether veteran-owned small business concerns were solicited and, if not,

why not;

(C) Whether service-disabled veteran-owned small business concerns were solicited and, if not, why not;

(D) Whether HUBZone small business concerns were solicited and, if not, why not;

(E) Whether small disadvantaged business concerns were solicited and, if not, why not;

(F) Whether women-owned small business concerns were solicited and, if not, why not; and

(G) If applicable, the reason award was not made to a small business concern.

(iv) Records of any outreach efforts to contact—

(A) Trade associations;

(B) Business development organizations;

(C) Conferences and trade fairs to locate small, HUBZone small, small disadvantaged, and women-owned small business sources; and

(D) Veterans service organizations.

(v) Records of internal guidance and encouragement provided to buyers through—

(A) Workshops, seminars, training, etc.; and

(B) Monitoring performance to evaluate compliance with the program's requirements.

(vi) On a contract-by-contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor. Contractors having commercial plans need not comply with this requirement.

(e) In order to effectively implement this plan to the extent consistent with efficient contract performance, the Contractor shall perform the following functions:

(1) Assist small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns by arranging solicitations, time for the preparation of bids, quantities, specifications, and delivery schedules so as to facilitate the participation by such concerns. Where the Contractor's lists of potential small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business subcontractors are excessively long, reasonable effort shall be made to give all such small business concerns an opportunity to compete over a period of time.

(2) Provide adequate and timely consideration of the potentialities of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business concerns in all "make-or-buy" decisions.

(3) Counsel and discuss subcontracting opportunities with representatives of small business, veteran-owned small business, service-disabled veteran-owned small business, HUBZone small business, small disadvantaged business, and women-owned small business firms.

(4) Provide notice to subcontractors concerning penalties and remedies for misrepresentations of business status as small, veteran-owned small business, HUBZone small, small disadvantaged, or women-owned small business for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the Contractor's subcontracting plan.

(f) A master plan on a plant or division-wide basis that contains all the elements required by paragraph (d) of this clause, except goals, may be incorporated by reference as a part of the subcontracting plan required of the offeror by this clause; provided —

(1) The master plan has been approved;

(2) The offeror ensures that the master plan is updated as necessary and provides copies of the approved master plan, including evidence of its approval, to the Contracting Officer; and

(3) Goals and any deviations from the master plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.

(g) A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial items. The commercial plan shall relate to the offeror's planned subcontracting generally, for both commercial and Government business, rather than solely to the Government contract. Commercial plans are also preferred for subcontractors that provide commercial items under a prime contract, whether or not the prime contractor is

supplying a commercial item.

(h) Prior compliance of the offeror with other such subcontracting plans under previous contracts will be considered by the Contracting Officer in determining the responsibility of the offeror for award of the contract.

(i) The failure of the Contractor or subcontractor to comply in good faith with—

(1) The clause of this contract entitled “Utilization Of Small Business Concerns;” or

(2) An approved plan required by this clause, shall be a material breach of the contract.

(j) The Contractor shall submit the following reports:

(1) *Standard Form 294, Subcontracting Report for Individual Contracts*. This report shall be submitted to the Contracting Officer semiannually and at contract completion. The report covers subcontract award data related to this contract. This report is not required for commercial plans.

(2) *Standard Form 295, Summary Subcontract Report*. This report encompasses all of the contracts with the awarding agency. It must be submitted semi-annually for contracts with the Department of Defense and annually for contracts with civilian agencies. If the reporting activity is covered by a commercial plan, the reporting activity must report annually all subcontract awards under that plan. All reports submitted at the close of each fiscal year (both individual and commercial plans) shall include a breakout, in the Contractor’s format, of subcontract awards, in whole dollars, to small disadvantaged business concerns by North American Industry Classification System (NAICS) Industry Subsector. For a commercial plan, the Contractor may obtain from each of its subcontractors a predominant NAICS Industry Subsector and report all awards to that subcontractor under its predominant NAICS Industry Subsector.

(End of clause)

25. DFARS 252.219-7009 SECTION 8(a) DIRECT AWARD (MAR 2002) [When Competitive 8(a) Contracting Procedures are used]

(a) This contract is issued as a direct award between the contracting office and the 8(a) Contractor pursuant to the Partnership Agreement dated February 1, 2002, between the Small Business Administration (SBA) and the Department of Defense. Accordingly, the SBA, even if not identified in Section A of this contract, is the prime contractor and retains responsibility for 8(a) certification, for 8(a) eligibility determinations and related issues, and for providing counseling and assistance to the 8(a) Contractor under the 8(a) Program. The cognizant SBA district office is:

[To be completed by the Contracting Officer at the time of award]

(b) The contracting office is responsible for administering the contract and for taking any action on behalf of the Government under the terms and conditions of the contract; provided that the contracting office shall give advance notice to the SBA before it issues a final notice terminating performance, either in whole or in part, under the contract. The contracting office also shall coordinate with the SBA prior to processing any novation agreement. The contracting office may assign contract administration functions to a contract administration office.

(c) The 8(a) Contractor agrees that--

(1) It will notify the Contracting Officer, simultaneous with its notification to the SBA (as required by SBA's 8(a) regulations at 13 CFR 124.308), when the owner or owners upon whom 8(a) eligibility is based plan to relinquish ownership or control of the concern. Consistent with Section 407 of Pub. L. 100-656, transfer of

ownership or control shall result in termination of the contract for convenience, unless the SBA waives the requirement for termination prior to the actual relinquishing of ownership and control; and

(2) It will not subcontract the performance of any of the requirements of this contract without the prior written approval of the SBA and the Contracting Officer.

(End of clause)

26. *FAR 52.219-14 LIMITATIONS ON SUBCONTRACTING (DEC 1996) [For Small Business Set Aside Only]

- (a) This clause does not apply to the unrestricted portion of a partial set-aside.
- (b) By submission of an offer and execution of a contract, the Offeror/Contractor agrees that in performance of the contract in the case of a contract for--
 - (1) Services (except construction). At least 50 percent of the cost of contract performance incurred for personnel shall be expended for employees of the concern.
 - (2) Supplies (other than procurement from a nonmanufacturer of such supplies). The concern shall perform work for at least 50 percent of the cost of manufacturing the supplies, not including the cost of materials.
 - (3) General construction. The concern will perform at least 15 percent of the cost of the contract, not including the cost of materials, with its own employees.
 - (4) Construction by special trade contractors. The concern will perform at least 25 percent of the cost of the contract, not including the cost of materials, with its own employees.

27. *FAR 52.219-16 LIQUIDATED DAMAGES-SUBCONTRACTING PLAN (JAN 1999)

(a) Failure to make a good faith effort to comply with the subcontracting plan, as used in this clause, means a willful or intentional failure to perform in accordance with the requirements of the subcontracting plan approved under the clause in this contract entitled "Small Business Subcontracting Plan," or willful or intentional action to frustrate the plan.

(b) Performance shall be measured by applying the percentage goals to the total actual subcontracting dollars or, if a commercial plan is involved, to the pro rata share of actual subcontracting dollars attributable to Government contracts covered by the commercial plan. If, at contract completion, or in the case of a commercial plan, at the close of the fiscal year for which the plan is applicable, the Contractor has failed to meet its subcontracting goals and the Contracting Officer decides in accordance with paragraph (c) of this clause that the Contractor failed to make a good faith effort to comply with its subcontracting plan, established in accordance with the clause in this contract entitled "Small Business Subcontracting Plan," the Contractor shall pay the Government liquidated damages in an amount stated. The amount of probable damages attributable to the Contractor's failure to comply shall be an amount equal to the actual dollar amount by which the Contractor failed to achieve each subcontract goal.

(c) Before the Contracting Officer makes a final decision that the Contractor has failed to make such good faith effort, the Contracting Officer shall give the Contractor written notice specifying the failure and permitting the Contractor to demonstrate what good faith efforts have been made and to discuss the matter. Failure to respond to the notice may be taken as an admission that no valid explanation exists. If, after consideration of all the pertinent data, the Contracting Officer finds that the Contractor failed to make a good faith effort to comply with the subcontracting plan, the Contracting Officer shall issue a final decision to that effect and require that the Contractor pay the Government liquidated damages as provided in paragraph (b) of this clause.

(d) With respect to commercial plans, the Contracting Officer who approved the plan will perform the functions of the Contracting Officer under this clause on behalf of all agencies with contracts covered by a commercial plan.

- (e) The Contractor shall have the right of appeal, under the clause in this contract entitled, Disputes, from many final decision of the Contracting Officer.
- (f) Liquidated damages shall be in addition to any other remedies that the Government may have.

28. DFARS 252.219-7010 ALTERNATE A (JUN 1998) [When Competitive 8(a) Contracting Procedures are used]

As prescribed in 219.811-3(2), substitute the following paragraph (c) for paragraph (c) of the clause at FAR 52.219-18:

- (c) Any award resulting from this solicitation will be made directly by the Contracting Officer to the successful 8(a) offeror selected through the evaluation criteria set forth in this solicitation.

29. FAR 52.219-18 NOTIFICATION OF COMPETITION LIMITED TO ELIGIBLE 8(A) CONCERNS (JUNE 2003) [When Competitive 8(a) Contracting Procedures are used]

(a) Offers are solicited only from small business concerns expressly certified by the Small Business Administration (SBA) for participation in the SBA's 8(a) Program and which meet the following criteria at the time of submission of offer--

(1) The Offeror is in conformance with the 8(a) support limitation set forth in its approved business plan; and

(2) The Offeror is in conformance with the Business Activity Targets set forth in its approved business plan or any remedial action directed by the SBA.

(b) By submission of its offer, the Offeror represents that it meets all of the criteria set forth in paragraph (a) of this clause.

(c) Any award resulting from this solicitation will be made to the Small Business Administration, which will subcontract performance to the successful 8(a) offeror selected through the evaluation criteria set forth in this solicitation.

(d) (1) Agreement. A small business concern submitting an offer in its own name agrees to furnish, in performing the contract, only end items manufactured or produced by small business concerns in the United States or its outlying areas. If this procurement is processed under simplified acquisition procedures and the total amount of this contract does not exceed \$25,000, a small business concern may furnish the product of any domestic firm. This subparagraph does not apply in connection with construction or service contracts.

(2) The [insert name of SBA's contractor] will notify the U.S. Army Corps of Engineers Contracting Officer in writing immediately upon entering an agreement (either oral or written) to transfer all or part of its stock or other ownership interest to any other party.

(End of clause)

30. DFARS 252.219-7003 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (DOD CONTRACTS) (APR 1996)

This clause supplements the Federal Acquisition Regulation 52.219-9, Small, Small Disadvantaged and Women-Owned Small Business Subcontracting Plan, clause of this contract.

(a) Definitions.

"Historically black colleges and universities," as used in this clause, means institutions determined by the Secretary of Education to meet the requirements of 34 CFR Section 608.2. The term also means any nonprofit research institution that was an integral part of such a college or university before November 14, 1986.

"Minority institutions," as used in this clause, means institutions meeting the requirements of Section 1046(3) of the Higher Education Act of 1965 (20 U.S.C. 1135d-5(3)). The term also includes Hispanic-serving institutions as defined in Section 316(b)(1) of such Act (20 U.S.C. 1059c(b)(1)).

(b) Except for company or division-wide commercial products subcontracting plans, the term "small disadvantaged business," when used in the FAR 52.219-9 clause, includes historically black colleges and universities and minority institutions in addition to small disadvantaged business concerns.

(c) Work under the contract or its subcontracts shall be credited toward meeting the small disadvantaged business concern goal required by paragraph (d) of the FAR 52.219-9 clause when:

(1) It is performed on Indian lands or in joint venture with an Indian tribe or a tribally-owned corporation, and

(2) It meets the requirements of 10 U.S.C. 2323a.

(d) Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 46-48), may be counted toward the Contractor's small business subcontracting goal.

(e) A mentor firm, under the Pilot Mentor-Protege Program established under Section 831 of Pub. L. 101-510, as amended, may count toward its small disadvantaged business goal, subcontracts awarded--

(1) Protege firms which are qualified organizations employing the severely handicapped; and

(2) Former protege firms that meet the criteria in Section 831(g)(4) of Pub. L. 101-510.

(f) The master plan approval referred to in paragraph (f) of the FAR 52.219-9 clause is approval by the Contractor's cognizant contract administration activity.

(g) In those subcontracting plans which specifically identify small, small disadvantaged, and women-owned businesses, the Contractor shall notify the Administrative Contracting Officer of any substitutions of firms that are not small, small disadvantaged, or women-owned small businesses for the firms listed in the subcontracting plan. Notifications shall be in writing and shall occur within a reasonable period of time after award of the subcontract. Contractor-specified formats shall be acceptable.

31. DFARS 252.219-7004 SMALL, SMALL DISADVANTAGED AND WOMEN-OWNED SMALL BUSINESS SUBCONTRACTING PLAN (TEST PROGRAM) (JUN 1997)

(a) Definition. "Subcontract," as used in this clause, means any agreement (other than one involving an employer-employee relationship) entered into by a Federal Government prime Contractor or subcontractor calling for supplies or services required for performance of the contract or subcontract.

(b) The Offeror's comprehensive small business subcontracting plan and its successors, which are authorized by and approved under the test program of Section 834 of Pub. L. 101-189, shall be included in and made a part of the resultant contract. Upon expulsion from the test program or expiration of the test program, the Contractor shall negotiate an individual subcontracting plan for all future contracts that meet the requirements of Section 211 of Publ. L. 95-507.

(c) The Contractor shall submit Standard Form 295, Summary Subcontract Report, in accordance with the instructions on the form, except--

(1) One copy of SF 295 and attachments shall be submitted to Director, Small and Disadvantaged Business Utilization, Office of the Deputy Under Secretary of Defense (International and Commercial Programs), 3061 Defense Pentagon, Room 2A338, Washington, DC 20301-3061; and

(2) Item 14, Remarks, shall be completed to include semi-annual cumulative--

(1) Small business, small disadvantaged business and women-owned small business goals; and

(2) Small business and small disadvantaged business goals, actual accomplishments, and percentages for each of the two designated industry categories.

(d) The failure of the Contractor or subcontractor to comply in good faith with (1) the clause of this contract entitled "Utilization of Small, Small Disadvantaged and Women-Owned Small Business Concerns," or (2) an approved plan required by this clause, shall be a material breach of the contract.

32. *FAR 52.222-3 CONVICT LABOR (JUNE 2003)

(a) Except as provided in paragraph (b) of this clause, the Contractor shall not employ in the performance

of this contract any person undergoing a sentence of imprisonment imposed by any court of a State, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands.

(b) The Contractor is not prohibited from employing persons—

(1) On parole or probation to work at paid employment during the term of their sentence;

(2) Who have been pardoned or who have served their terms; or

(3) Confined for violation of the laws of any of the States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, or the U.S. Virgin Islands who are authorized to work at paid employment in the community under the laws of such jurisdiction, if—

(i) The worker is paid or is in an approved work training program on a voluntary basis;

(ii) Representatives of local union central bodies or similar labor union organizations have been consulted;

(iii) Such paid employment will not result in the displacement of employed workers, or be applied in skills, crafts, or trades in which there is a surplus of available gainful labor in the locality, or impair existing contracts for services;

(iv) The rates of pay and other conditions of employment will not be less than those paid or provided for work of a similar nature in the locality in which the work is being performed; and

(v) The Attorney General of the United States has certified that the work-release laws or regulations of the jurisdiction involved are in conformity with the requirements of Executive Order 11755, as amended by Executive Orders 12608 and 12943. (End of clause)

33. *FAR 52.222-4 CONTRACT WORK HOURS AND SAFETY STANDARDS ACT—OVERTIME COMPENSATION (SEPT 2000)

(a) *Overtime requirements.* No Contractor or subcontractor employing laborers or mechanics (see Federal Acquisition Regulation 22.300) shall require or permit them to work over 40 hours in any workweek unless they are paid at least 1 and 1/2 times the basic rate of pay for each hour worked over 40 hours.

(b) *Violation; liability for unpaid wages; liquidated damages.* The responsible Contractor and subcontractor are liable for unpaid wages if they violate the terms in paragraph (a) of this clause. In addition, the Contractor and subcontractor are liable for liquidated damages payable to the Government. The Contracting Officer will assess liquidated damages at the rate of \$10 per affected employee for each calendar day on which the employer required or permitted the employee to work in excess of the standard workweek of 40 hours without paying overtime wages required by the Contract Work Hours and Safety Standards Act.

(c) *Withholding for unpaid wages and liquidated damages.* The Contracting Officer will withhold from payments due under the contract sufficient funds required to satisfy any Contractor or subcontractor liabilities for unpaid wages and liquidated damages. If amounts withheld under the contract are insufficient to satisfy Contractor or subcontractor liabilities, the Contracting Officer will withhold payments from other Federal or Federally assisted contracts held by the same Contractor that are subject to the Contract Work Hours and Safety Standards Act.

(d) *Payrolls and basic records.* (1) The Contractor and its subcontractors shall maintain payrolls and basic payroll records for all laborers and mechanics working on the contract during the contract and shall make them available to the Government until 3 years after contract completion. The records shall contain the name and address of each employee, social security number, labor classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. The records need not duplicate those required for construction work by Department of Labor regulations at 29 CFR 5.5(a)(3) implementing the Davis-Bacon Act.

(2) The Contractor and its subcontractors shall allow authorized representatives of the Contracting Officer or the Department of Labor to inspect, copy, or transcribe records maintained under paragraph (d)(1) of this clause. The Contractor or subcontractor also shall allow authorized representatives of the Contracting Officer or Department of Labor to interview employees in the workplace during working hours.

(e) *Subcontracts.* The Contractor shall insert the provisions set forth in paragraphs (a) through (d) of this clause in subcontracts exceeding \$100,000 and require subcontractors to include these provisions in any lower-tier subcontracts. The Contractor shall be responsible for compliance by any subcontractor or lower-tier subcontractor with the provisions set forth in paragraphs (a) through (d) of this clause.

(End of clause)

(a) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (d) of this clause; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such period. Such laborers and mechanics shall be paid not less than the appropriate wage rate and fringe benefits in the wage determination for the classification of work actually performed, without regard to skill, except as provided in the clause entitled Apprentices and Trainees. Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under paragraph (b) of this clause) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(b) (1) The Contracting Officer shall require that any class of laborers or mechanics, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The Contracting Officer shall approve an additional classification and wage rate and fringe benefits therefor only when all the following criteria have been met:

(i) The work to be performed by the classification requested is not performed by a classification in the wage determination.

(ii) The classification is utilized in the area by the construction industry.

(iii) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(2) If the Contractor and laborers and mechanics to be employed in the classification (if known), or their representatives, and the Contracting Officer agree on the classification and wage rate (including the amount designated for fringe benefits, where appropriate), a report of the action taken shall be sent by the Contracting Officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator or an authorized representative will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(3) In the event the Contractor, the laborers or mechanics to be employed in the classification, or their representatives, and the Contracting Officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the Contracting Officer shall refer the questions, including the views of all interested parties and the recommendation of the Contracting Officer, to the Administrator of the Wage and Hour Division for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the Contracting Officer or will notify the Contracting Officer within the 30-day period that additional time is necessary.

(4) The wage rate (including fringe benefits, where appropriate) determined pursuant to subparagraphs (b)(2) and (b)(3) of this clause shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(c) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the Contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(d) If the Contractor does not make payments to a trustee or other third person, the Contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program; provided, that the Secretary of Labor has found, upon the written

request of the Contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

35. *FAR 52.222-7 WITHHOLDING OF FUNDS (FEB 1988)

The Contracting Officer shall, upon his or her own action or upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the Contractor under this contract or any other Federal contract with the same Prime Contractor, or any other Federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same Prime Contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

36. *FAR 52.222-8 PAYROLLS AND BASIC RECORDS (FEB 1988)

(a) Payrolls and basic records relating thereto shall be maintained by the Contractor during the course of the work and preserved for a period of 3 years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made, and actual wages paid. Whenever the Secretary of Labor has found, under paragraph (d) of the clause entitled Davis-Bacon Act, that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(b) (1) The Contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Contracting Officer. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under paragraph (a) of this clause. This information may be submitted in any form desired. Optional Form WH-347 (Federal Stock Number 029-005-00014-1) is available for this purpose and may be purchased from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20402. The Prime Contractor is responsible for the submission of copies of payrolls by all subcontractors.

(2) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify--

(i) That the payroll for the payroll period contains the information required to be maintained under paragraph (a) of this clause and that such information is correct and complete;

(ii) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in the Regulations, 29 CFR Part 3; and

(iii) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(3) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by subparagraph (b)(2) of this clause.

(4) The falsification of any of the certifications in this clause may subject the Contractor or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 3729 of Title 31 of the United States Code.

(c) The Contractor or subcontractor shall make the records required under paragraph (a) of this clause available for inspection, copying, or transcription by the Contracting Officer or authorized representatives of the Contracting Officer or the Department of Labor. The Contractor or subcontractor shall permit the Contracting Officer or representatives of the Contracting Officer or the Department of Labor to interview employees during working hours on the job. If the Contractor or subcontractor fails to submit required records or to make them available, the Contracting Officer may, after written notice to the Contractor, take such action as may be necessary to cause the suspension of any further payment. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

37. *FAR 52.222-9 APPRENTICES AND TRAINEES (FEB 1988)

(a) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the Contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in this paragraph, shall be paid not less than the applicable wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a Contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Contractor will not longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(b) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed in the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the

corresponding journeyman wage rate in the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate in the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate in the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(c) Equal employment opportunity. The utilization of apprentices, trainees, and journeymen under this clause shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

38. *FAR 52.222-10 COMPLIANCE WITH COPELAND ACT REQUIREMENTS (FEB 1988)

The Contractor shall comply with the requirements of 29 CFR Part 3, which are hereby incorporated by reference in this contract.

39. *FAR 52.222-11 SUBCONTRACTS (LABOR STANDARDS) (FEB 1988)

(a) The Contractor or subcontractor shall insert in any subcontracts the clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Withholding of Funds, Subcontracts (Labor Standards), Contract Termination--Debarment, Disputes Concerning Labor Standards, Compliance with Davis-Bacon and Related Act Regulations, and Certification of Eligibility, and such other clauses as the Contracting Officer may, by appropriate instructions, require, and also a clause requiring subcontractors to include these clauses in any lower tier subcontracts. The Prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all the contract clauses cited in this paragraph.

(b) (1) Within 14 days after award of the contract, the Contractor shall deliver to the Contracting Officer a completed Statement and Acknowledgment Form (SF 1413) for each subcontract, including the subcontractor's signed and dated acknowledgment that the clauses set forth in paragraph (a) of this clause have been included in the subcontract.

(2) Within 14 days after the award of any subsequently awarded subcontract the Contractor shall deliver to the Contracting Officer an updated completed SF 1413 for such additional subcontract.

40. *FAR 52.222-12 CONTRACT TERMINATION--DEBARMENT (FEB 1988)

A breach of the contract clauses entitled Davis-Bacon Act, Contract Work Hours and Safety Standards Act--Overtime Compensation, Apprentices and Trainees, Payrolls and Basic Records, Compliance with Copeland Act Requirements, Subcontracts (Labor Standards), Compliance with Davis-Bacon and Related Act Regulations, or Certification of Eligibility may be grounds for termination of the contract, and for debarment as a Contractor and subcontractor as provided in 29 CFR 5.12.

41. *FAR 52.222-13 COMPLIANCE WITH DAVIS-BACON AND RELATED ACT REGULATIONS (FEB 1988)

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are hereby incorporated by reference in this contract.

42. *FAR 52.222-14 DISPUTES CONCERNING LABOR STANDARDS (FEB 1988)

The United States Department of Labor has set forth in 29 CFR Parts 5, 6, and 7 procedures for resolving disputes concerning labor standards requirements. Such disputes shall be resolved in accordance with those procedures and not the Disputes clause of this contract. Disputes within the meaning of this clause include disputes between the Contractor (or any of its subcontractors) and the contracting agency the U.S. Department of Labor, or the employees of their representatives.

43. *FAR 52.222-15 CERTIFICATION OF ELIGIBILITY (FEB 1988)

(a) By entering into this contract, the Contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the Contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(b) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(c) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

44. *FAR 52.222-26 EQUAL OPPORTUNITY (APR 2002)

(a) *Definition.* "United States," as used in this clause, means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.

(b) If, during any 12-month period (including the 12 months preceding the award of this contract), the Contractor has been or is awarded nonexempt Federal contracts and/or subcontracts that have an aggregate value in excess of \$10,000, the Contractor shall comply with paragraphs (b)(1) through (b)(11) of this clause, except for work performed outside the United States by employees who were not recruited within the United States. Upon request, the Contractor shall provide information necessary to determine the applicability of this clause.

(1) The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. However, it shall not be a violation of this clause for the Contractor to extend a publicly announced preference in employment to Indians living on or near an Indian reservation, in connection with employment opportunities on or near an Indian reservation, as permitted by 41 CFR 60-1.5.

(2) The Contractor shall take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. This shall include, but not be limited to—

- (i) Employment;
- (ii) Upgrading;
- (iii) Demotion;
- (iv) Transfer;
- (v) Recruitment or recruitment advertising;
- (vi) Layoff or termination;
- (vii) Rates of pay or other forms of compensation; and
- (viii) Selection for training, including apprenticeship.

(3) The Contractor shall post in conspicuous places available to employees and applicants for employment the notices to be provided by the Contracting Officer that explain this clause.

(4) The Contractor shall, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

(5) The Contractor shall send, to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, the notice to be provided by the Contracting Officer advising the labor union or workers' representative of the Contractor's commitments under this clause, and post copies of the notice in conspicuous places available to employees and applicants for employment.

(6) The Contractor shall comply with Executive Order 11246, as amended, and the rules, regulations, and orders of the Secretary of Labor.

(7) The Contractor shall furnish to the contracting agency all information required by Executive Order 11246, as amended, and by the rules, regulations, and orders of the Secretary of Labor. The Contractor shall also file Standard Form 100 (EEO-1), or any successor form, as prescribed in 41 CFR part 60-1. Unless the Contractor has filed within the 12 months preceding the date of contract award, the Contractor shall, within 30 days after contract award, apply to either the regional Office of Federal Contract Compliance Programs (OFCCP) or the local office of the Equal Employment Opportunity Commission for the necessary forms.

(8) The Contractor shall permit access to its premises, during normal business hours, by the contracting agency or the OFCCP for the purpose of conducting on-site compliance evaluations and complaint investigations. The Contractor shall permit the Government to inspect and copy any books, accounts, records (including computerized records), and other material that may be relevant to the matter under investigation and pertinent to compliance with Executive Order 11246, as amended, and rules and regulations that implement the Executive Order.

(9) If the OFCCP determines that the Contractor is not in compliance with this clause or any rule, regulation, or order of the Secretary of Labor, this contract may be canceled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further Government contracts, under the procedures authorized in Executive Order 11246, as amended. In addition, sanctions may be imposed and remedies invoked against the Contractor as provided in Executive Order 11246, as amended; in the rules, regulations, and orders of the Secretary of Labor; or as otherwise provided by law.

(10) The Contractor shall include the terms and conditions of paragraphs (b)(1) through (11) of this clause in every subcontract or purchase order that is not exempted by the rules, regulations, or orders of the Secretary of Labor issued under Executive Order 11246, as amended, so that these terms and conditions will be binding upon each subcontractor or vendor.

(11) The Contractor shall take such action with respect to any subcontract or purchase order as the Contracting Officer may direct as a means of enforcing these terms and conditions, including sanctions for noncompliance, provided, that if the Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of any direction, the Contractor may request the United States to enter into the litigation to protect the interests of the United States.

(c) Notwithstanding any other clause in this contract, disputes relative to this clause will be governed by the procedures in 41 CFR 60-1.1.

(End of clause)

45. *FAR 52.222-27 AFFIRMATIVE ACTION COMPLIANCE REQUIREMENTS FOR CONSTRUCTION (FEB 1999)

(a) Definitions.

"Covered area," as used in this clause, means the geographical area described in the solicitation for this contract.

"Deputy Assistant Secretary," as used in this clause, means the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, or a designee

"Employer's identification number," as used in this clause, means the Federal Social Security number used on the employer's quarterly Federal tax return, U.S. Treasury Department Form 941.

"Minority," as used in this clause, means--

(1) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

(2) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands);

(3) Black (all persons having origins in any of the black African racial groups not of Hispanic origin); and

(4) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race).

(b) If the Contractor, or a subcontractor at any tier, subcontracts a portion of the work involving any construction trade each such subcontract in excess of \$10,000 shall include this clause and the Notice containing the goals for minority and female participation stated in the solicitation for this contract.

(c) If the Contractor is participating in a Hometown Plan (41 CFR 60-4) approved by the U.S. Department of Labor in a covered area, either individually or through an association, its affirmative action obligations on all work in the plan area (including goals) shall comply with the plan for those trades that have unions participating in the plan. Contractors must be able to demonstrate participation in, and compliance with, the provisions of the plan. Each Contractor or subcontractor participating in an approved plan is also required to comply with its obligations under the Equal Opportunity clause, and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good-faith performance by other Contractors or subcontractors toward a goal in an approved plan does not excuse any Contractor's or subcontractor's failure to make good-faith efforts to achieve the plan's goals.

(d) The Contractor shall implement the affirmative action procedures in subparagraphs (g)(1) through (16) of this clause. The goals stated in the solicitation for this contract are expressed as percentages of the total hours of employment and training of minority and female utilization that the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for the geographical area where that work is actually performed. The Contractor is expected to make substantially uniform progress toward its goals in each craft.

(e) Neither the terms and conditions of any collective bargaining agreement, nor the failure by a union with which the Contractor has a collective bargaining agreement, to refer minorities or women shall excuse the Contractor's obligations under this clause, Executive Order 11246, as amended, or the regulations thereunder.

(f) In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

(g) The Contractor shall take affirmative action to ensure equal employment opportunity. The evaluation of the Contractor's compliance with this clause shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully and implement affirmative action steps at least as extensive as the following:

(1) Ensure a working environment free of harassment, intimidation, and coercion at all sites and in all facilities where the Contractor's employees are assigned to work. The Contractor, if possible, will assign two or more women to each construction project. The Contractor shall ensure that foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at these sites or facilities.

(2) Establish and maintain a current list of sources for minority and female recruitment. Provide written notification to minority and female recruitment sources and community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.

(3) Establish and maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant, referrals of minorities or females from unions, recruitment sources, or community organizations, and the action taken with respect to each individual. If an individual was sent to the union hiring hall for referral and not referred back to the Contractor by the union or, if referred back, not employed by the Contractor, this shall be documented in the file, along with whatever additional actions the Contractor may have taken.

(4) Immediately notify the Deputy Assistant Secretary when the union or unions with which the Contractor has a collective bargaining agreement has not referred back to the Contractor a minority or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.

(5) Develop on-the-job training opportunities and/or participate in training programs for the area that expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the

Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under subparagraph (g)(2) of this clause.

- (6) Disseminate the Contractor's equal employment policy by--
 - (i) Providing notice of the policy to unions and to training, recruitment, and outreach programs, and requesting their cooperation in assisting the Contractor in meeting its contract obligations;
 - (ii) Including the policy in any policy manual and in collective bargaining agreements;
 - (iii) Publicizing the policy in the company newspaper, annual report, etc.;
 - (iv) Reviewing the policy with all management personnel and with all minority and female employees at least once a year; and
 - (v) Posting the policy on bulletin boards accessible to employees at each location where construction work is performed.
- (7) Review, at least annually, the Contractor's equal employment policy and affirmative action obligations with all employees having responsibility for hiring, assignment, layoff, termination, or other employment decisions. Conduct review of this policy with all on-site supervisory personnel before initiating construction work at a job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- (8) Disseminate the Contractor's equal employment policy externally by including it in any advertising in the news media, specifically including minority and female news media. Provide written notification to, and discuss this policy with, other Contractors and subcontractors with which the Contractor does or anticipates doing business.
- (9) Direct recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than 1 month before the date for acceptance of applications for apprenticeship or training by any recruitment source, send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- (10) Encourage present minority and female employees to recruit minority persons and women. Where reasonable, provide after-school, summer, and vacation employment to minority and female youth both on the site and in other areas of the Contractor's workforce.
- (11) Validate all tests and other selection requirements where required under 41 CFR 60-3.
- (12) Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities. Encourage these employees to seek or to prepare for, through appropriate training, etc., opportunities for promotion.
- (13) Ensure that seniority practices job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the Contractor's obligations under this contract are being carried out.
- (14) Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- (15) Maintain a record of solicitations for subcontracts for minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- (16) Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's equal employment policy and affirmative action obligations.
- (h) The Contractor is encouraged to participate in voluntary associations that may assist in fulfilling one or more of the affirmative action obligations contained in subparagraphs (g)(1) through (16) of this clause. The efforts of a contractor association, joint contractor-union, contractor-community, or similar group of which the contractor is a member and participant may be asserted as fulfilling one or more of its obligations under subparagraphs (g)(1) through (16) of this clause, provided the Contractor--
 - (1) Actively participates in the group;
 - (2) Makes every effort to ensure that the group has a positive impact on the employment of minorities and women in the industry;
 - (3) Ensures that concrete benefits of the program are reflected in the Contractor's minority and female workforce participation;

- (4) Makes a good-faith effort to meet its individual goals and timetables; and
- (5) Can provide access to documentation that demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply is the Contractor's, and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- (i) A single goal for minorities and a separate single goal for women shall be established. The Contractor is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and nonminority. Consequently, the Contractor may be in violation of Executive Order 11246, as amended, if a particular group is employed in a substantially disparate manner.
- (j) The Contractor shall not use goals or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- (k) The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts under Executive Order 11246, as amended.
- (l) The Contractor shall carry out such sanctions and penalties for violation of this clause and of the Equal Opportunity clause, including suspension, termination, and cancellation of existing subcontracts, as may be imposed or ordered under Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any failure to carry out these sanctions and penalties as ordered shall be a violation of this clause and Executive Order 11246, as amended.
- (m) The Contractor in fulfilling its obligations under this clause shall implement affirmative action procedures at least as extensive as those prescribed in paragraph (g) of this clause, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of Executive Order 11246, as amended, the implementing regulations, or this clause, the Deputy Assistant Secretary shall take action as prescribed in 41 CFR 60-4.8.
- (n) The Contractor shall designate a responsible official to--
 - (1) Monitor all employment-related activity to ensure that the Contractor's equal employment policy is being carried out;
 - (2) Submit reports as may be required by the Government; and
 - (3) Keep records that shall at least include for each employee the name, address, telephone number, construction trade, union affiliation (if any), employee identification number, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, separate records are not required to be maintained.
- (o) Nothing contained herein shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

46. *FAR 52.222-35 EQUAL OPPORTUNITY FOR SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

- (a) *Definitions.* As used in this clause—
 - “All employment openings” means all positions except executive and top management, those positions that will be filled from within the Contractor's organization, and positions lasting 3 days or less. This term includes full-time employment, temporary employment of more than 3 days duration, and part-time employment.
 - “Executive and top management” means any employee—
 - (1) Whose primary duty consists of the management of the enterprise in which the individual is employed or of a customarily recognized department or subdivision thereof;
 - (2) Who customarily and regularly directs the work of two or more other employees;
 - (3) Who has the authority to hire or fire other employees or whose suggestions and recommendations as to the hiring or firing and as to the advancement and promotion or any other change of status of other employees will be given particular weight;
 - (4) Who customarily and regularly exercises discretionary powers; and

(5) Who does not devote more than 20 percent or, in the case of an employee of a retail or service establishment, who does not devote more than 40 percent of total hours of work in the work week to activities that are not directly and closely related to the performance of the work described in paragraphs (1) through (4) of this definition. This paragraph (5) does not apply in the case of an employee who is in sole charge of an establishment or a physically separated branch establishment, or who owns at least a 20 percent interest in the enterprise in which the individual is employed.

“Other eligible veteran” means any other veteran who served on active duty during a war or in a campaign or expedition for which a campaign badge has been authorized.

“Positions that will be filled from within the Contractor's organization” means employment openings for which the Contractor will give no consideration to persons outside the Contractor's organization (including any affiliates, subsidiaries, and parent companies) and includes any openings the Contractor proposes to fill from regularly established “recall” lists. The exception does not apply to a particular opening once an employer decides to consider applicants outside of its organization.

“Qualified special disabled veteran” means a special disabled veteran who satisfies the requisite skill, experience, education, and other job-related requirements of the employment position such veteran holds or desires, and who, with or without reasonable accommodation, can perform the essential functions of such position.

“Special disabled veteran” means—

(1) A veteran who is entitled to compensation (or who but for the receipt of military retired pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs for a disability—

(i) Rated at 30 percent or more; or

(ii) Rated at 10 or 20 percent in the case of a veteran who has been determined under 38 U.S.C. 3106 to have a serious employment handicap (*i.e.*, a significant impairment of the veteran's ability to prepare for, obtain, or retain employment consistent with the veteran's abilities, aptitudes, and interests); or

(2) A person who was discharged or released from active duty because of a service-connected disability.

“Veteran of the Vietnam era” means a person who—

(1) Served on active duty for a period of more than 180 days and was discharged or released from active duty with other than a dishonorable discharge, if any part of such active duty occurred—

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases; or

(2) Was discharged or released from active duty for a service-connected disability if any part of the active duty was performed—

(i) In the Republic of Vietnam between February 28, 1961, and May 7, 1975; or

(ii) Between August 5, 1964, and May 7, 1975, in all other cases.

(b) *General.* (1) The Contractor shall not discriminate against the individual because the individual is a special disabled veteran, a veteran of the Vietnam era, or other eligible veteran, regarding any position for which the employee or applicant for employment is qualified. The Contractor shall take affirmative action to employ, advance in employment, and otherwise treat qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans without discrimination based upon their disability or veterans' status in all employment practices such as—

(i) Recruitment, advertising, and job application procedures;

(ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;

(iii) Rate of pay or any other form of compensation and changes in compensation;

(iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;

(v) Leaves of absence, sick leave, or any other leave;

(vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;

(vii) Selection and financial support for training, including apprenticeship, and on-the-job training under 38 U.S.C. 3687, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;

(viii) Activities sponsored by the Contractor including social or recreational programs;

and

(ix) Any other term, condition, or privilege of employment.

(2) The Contractor shall comply with the rules, regulations, and relevant orders of the Secretary of Labor issued under the Vietnam Era Veterans' Readjustment Assistance Act of 1972 (the Act), as amended (38 U.S.C. 4211 and 4212).

(c) *Listing openings.* (1) The Contractor shall immediately list all employment openings that exist at the time of the execution of this contract and those which occur during the performance of this contract, including those not generated by this contract, and including those occurring at an establishment of the Contractor other than the one where the contract is being performed, but excluding those of independently operated corporate affiliates, at an appropriate local public employment service office of the State wherein the opening occurs. Listing employment openings with the U.S. Department of Labor's America's Job Bank shall satisfy the requirement to list jobs with the local employment service office.

(2) The Contractor shall make the listing of employment openings with the local employment service office at least concurrently with using any other recruitment source or effort and shall involve the normal obligations of placing a bona fide job order, including accepting referrals of veterans and nonveterans. This listing of employment openings does not require hiring any particular job applicant or hiring from any particular group of job applicants and is not intended to relieve the Contractor from any requirements of Executive orders or regulations concerning nondiscrimination in employment.

(3) Whenever the Contractor becomes contractually bound to the listing terms of this clause, it shall advise the State public employment agency in each State where it has establishments of the name and location of each hiring location in the State. As long as the Contractor is contractually bound to these terms and has so advised the State agency, it need not advise the State agency of subsequent contracts. The Contractor may advise the State agency when it is no longer bound by this contract clause.

(d) *Applicability.* This clause does not apply to the listing of employment openings that occur and are filled outside the 50 States, the District of Columbia, the Commonwealth of Puerto Rico, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Virgin Islands of the United States, and Wake Island.

(e) *Postings.* (1) The Contractor shall post employment notices in conspicuous places that are available to employees and applicants for employment.

(2) The employment notices shall—

(i) State the rights of applicants and employees as well as the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants who are special disabled veterans, veterans of the Vietnam era, and other eligible veterans; and

(ii) Be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, Department of Labor (Deputy Assistant Secretary of Labor), and provided by or through the Contracting Officer.

(3) The Contractor shall ensure that applicants or employees who are special disabled veterans are informed of the contents of the notice (e.g., the Contractor may have the notice read to a visually disabled veteran, or may lower the posted notice so that it can be read by a person in a wheelchair).

(4) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement, or other contract understanding, that the Contractor is bound by the terms of the Act and is committed to take affirmative action to employ, and advance in employment, qualified special disabled veterans, veterans of the Vietnam era, and other eligible veterans.

(f) *Noncompliance.* If the Contractor does not comply with the requirements of this clause, the Government may take appropriate actions under the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the Act.

(g) *Subcontracts.* The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor. The Contractor shall act as specified by the Deputy Assistant Secretary of Labor to enforce the terms, including action for noncompliance.

(End of clause)

47. *FAR 52.222-36 AFFIRMATIVE ACTION FOR WORKERS WITH DISABILITIES (JUN 1998)

(a) General.

(1) Regarding any position for which the employee or applicant for employment is qualified, the Contractor shall not discriminate against any employee or applicant because of physical or mental disability. The Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified individuals with disabilities without discrimination based upon their physical or mental disability in all employment practices such as--

- (i) Recruitment, advertising, and job application procedures;
- (ii) Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff, and rehiring;
- (iii) Rates of pay or other forms of compensation and changes in compensation;
- (iv) Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;
- (v) Leaves of absence, sick leave, or any other leave;
- (vi) Fringe benefits available by virtue of employment, whether or not administered by the Contractor;
- (vii) Selection and financial support for training, including apprenticeships, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;
- (viii) Activities sponsored by the Contractor, including social or recreational programs; and
- (ix) Any other term, condition, or privilege of employment.

(2) The Contractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor (Secretary) issued under the Rehabilitation Act of 1973 (29 U.S.C. 793) (the Act), as amended.

(b) Postings.

- (1) The Contractor agrees to post employment notices stating--
 - (i) The Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified individuals with disabilities; and
 - (ii) The rights of applicants and employees.
- (2) These notices shall be posted in conspicuous places that are available to employees and applicants for employment. The Contractor shall ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Contractor may have the notice read to visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair). The notices shall be in a form prescribed by the Deputy Assistant Secretary for Federal Contract Compliance of the U.S. Department of Labor (Deputy Assistant Secretary) and shall be provided by or through the Contracting Officer.
- (3) The Contractor shall notify each labor union or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Contractor is bound by the terms of Section 503 of the Act and is committed to take affirmative action to employ, and advance in employment, qualified individuals with physical or mental disabilities.
- (c) Noncompliance. If the Contractor does not comply with the requirements of this clause, appropriate actions may be taken under the rules, regulations, and relevant orders of the Secretary issued pursuant to the Act.
- (d) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order in excess of \$10,000 unless exempted by rules, regulations, or orders of the Secretary. The Contractor shall act as specified by the Deputy Assistant Secretary to enforce the terms, including action for noncompliance.

48. *FAR 52.222-37 EMPLOYMENT REPORTS ON SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER ELIGIBLE VETERANS (DEC 2001)

(a) Unless the Contractor is a State or local government agency, the Contractor shall report at least annually, as required by the Secretary of Labor, on—

- (1) The number of special disabled veterans, the number of veterans of the Vietnam era, and other

eligible veterans in the workforce of the Contractor by job category and hiring location; and

(2) The total number of new employees hired during the period covered by the report, and of the total, the number of special disabled veterans, the number of veterans of the Vietnam era, and the number of other eligible veterans; and

(3) The maximum number and the minimum number of employees of the Contractor during the period covered by the report.

(b) The Contractor shall report the above items by completing the Form VETS-100, entitled "Federal Contractor Veterans' Employment Report (VETS-100 Report)".

(c) The Contractor shall submit VETS-100 Reports no later than September 30 of each year beginning September 30, 1988.

(d) The employment activity report required by paragraph (a)(2) of this clause shall reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date—

(1) As of the end of any pay period between July 1 and August 31 of the year the report is due; or

(2) As of December 31, if the Contractor has prior written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).

(e) The Contractor shall base the count of veterans reported according to paragraph (a) of this clause on voluntary disclosure. Each Contractor subject to the reporting requirements at 38 U.S.C. 4212 shall invite all special disabled veterans, veterans of the Vietnam era, and other eligible veterans who wish to benefit under the affirmative action program at 38 U.S.C. 4212 to identify themselves to the Contractor. The invitation shall state that—

(1) The information is voluntarily provided;

(2) The information will be kept confidential;

(3) Disclosure or refusal to provide the information will not subject the applicant or employee to any adverse treatment; and

(4) The information will be used only in accordance with the regulations promulgated under 38 U.S.C. 4212.

(f) The Contractor shall insert the terms of this clause in all subcontracts or purchase orders of \$25,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor.

(End of clause)

49. *FAR 52.222-38 COMPLIANCE WITH VETERANS' EMPLOYMENT REPORTING REQUIREMENTS (DEC 2001)

By submission of its offer, the offeror represents that, if it is subject to the reporting requirements of 38 U.S.C. 4212(d) (*i.e.*, if it has any contract containing Federal Acquisition Regulation clause 52.222-37, Employment Reports on Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans), it has submitted the most recent VETS-100 Report required by that clause.

(End of provision)

50. *FAR 52.223-5 POLLUTION PREVENTION AND RIGHT-TO-KNOW INFORMATION (APR 1998) [For Work on Federal Facilities]

(a) Executive Order 12856 of August 3, 1993, requires Federal facilities to comply with the provisions of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11001-11050) and the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13101-13109).

(b) The Contractor shall provide all information needed by the Federal facility to comply with the emergency planning reporting requirements of Section 302 of EPCRA; the emergency notice requirements of Section 304 of EPCRA; the list of Material Safety Data Sheets required by Section 311 of EPCRA; the emergency and hazardous chemical inventory forms of Section 312 of EPCRA; the toxic chemical release inventory of Section

313 of EPCRA, which includes the reduction and recycling information required by Section 6607 of PPA; and the toxic chemical reduction goals requirements of Section 3-302 of Executive Order 12856.

51. *FAR 52.223-6 DRUG-FREE WORKPLACE (MAY 2001)

(a) Definitions. As used in this clause--

"Controlled substance" means a controlled substance in schedules I through V of section 202 of the Controlled Substances Act (21 U.S.C. 812) and as further defined in regulation at 21 CFR 1308.11 - 1308.15.

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes.

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, possession or use of any controlled substance.

"Drug-free workplace" means the site(s) for the performance of work done by the Contractor in connection with a specific contract where employees of the Contractor are prohibited from engaging in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance.

"Employee" means an employee of a Contractor directly engaged in the performance of work under a Government contract. "Directly engaged" is defined to include all direct cost employees and any other Contractor employee who has other than a minimal impact or involvement in contract performance.

"Individual" means an offeror/contractor that has no more than one employee including the offeror/contractor.

(b) The Contractor, if other than an individual, shall--within 30 days after award (unless a longer period is agreed to in writing for contracts of 30 days or more performance duration), or as soon as possible for contracts of less than 30 days performance duration--

(1) Publish a statement notifying its employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Contractor's workplace and specifying the actions that will be taken against employees for violations of such prohibition;

(2) Establish an ongoing drug-free awareness program to inform such employees about--

(i) The dangers of drug abuse in the workplace;

(ii) The Contractor's policy of maintaining a drug-free workplace;

(iii) Any available drug counseling, rehabilitation, and employee assistance

programs; and

(iv) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.

(3) Provide all employees engaged in performance of the contract with a copy of the statement required by subparagraph (b)(1) of this clause;

(4) Notify such employees in writing in the statement required by subparagraph (b)(1) of this clause that, as a condition of continued employment on this contract, the employee will--

(i) Abide by the terms of the statement; and

(ii) Notify the employer in writing of the employee's conviction under a criminal drug statute for a violation occurring in the workplace no later than 5 days after such conviction.

(5) Notify the Contracting Officer in writing within 10 days after receiving notice under subdivision (b)(4)(ii) of this clause, from an employee or otherwise receiving actual notice of such conviction. The notice shall include the position title of the employee;

(6) Within 30 days after receiving notice under subdivision (b)(4)(ii) of this clause of a conviction, take one of the following actions with respect to any employee who is convicted of a drug abuse violation occurring in the workplace:

(i) Taking appropriate personnel action against such employee, up to and including termination; or

(ii) Require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and

(7) Make a good faith effort to maintain a drug-free workplace through implementation of subparagraphs (b)(1) through (b)(6) of this clause.

(c) The Contractor, if an individual, agrees by award of the contract or acceptance of a purchase order, not to engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance while performing this contract.

(d) In addition to other remedies available to the Government, the Contractor's failure to comply with the requirements of paragraph (b) or (c) of this clause may, pursuant to FAR 23.560, render the Contractor subject to suspension of contract payments, termination of the contract for default, and suspension or debarment.

52. FAR 52.223-9 ESTIMATE OF PERCENTAGE OF RECOVERED MATERIAL CONTENT FOR EPA-DESIGNATED PRODUCTS (AUG 2000) [For Contracts exceeding \$100,000. EPA Designated product (available at <http://www.epa.gov/cpg/>)]

(a) Definitions. As used in this clause—

“Postconsumer material” means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Postconsumer material is a part of the broader category of “recovered material.”

“Recovered material” means waste materials and by-products recovered or diverted from solid waste, but the term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.

(b) The Contractor, on completion of this contract, shall—

(1) Estimate the percentage of the total recovered material used in contract performance, including, if applicable, the percentage of postconsumer material content; and

(2) Submit this estimate to the Contracting Officer.

(End of clause)

53. *FAR 52.223-14 TOXIC CHEMICAL RELEASE REPORTING (JUNE 2003) [For Contracts Over \$100,000]

(a) Unless otherwise exempt, the Contractor, as owner or operator of a facility used in the performance of this contract, shall file by July 1 for the prior calendar year an annual Toxic Chemical Release Inventory Form (Form R) as described in sections 313(a) and (g) of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023(a) and (g)), and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106). The Contractor shall file, for each facility subject to the Form R filing and reporting requirements, the annual Form R throughout the life of the contract.

(b) A Contractor-owned or -operated facility use in the performance of this contract is exempt from the requirement to file an annual Form R if--

(1) The facility does not manufacture, process or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);

(2) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);

(3) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);

(4) The facility does not fall within Standard Industrial Classification Code (SIC) major groups 20 through 39 or their corresponding North American Industry Classification System (NAICS) sectors 31 through 33; or

(5) The facility is not located within the United States or its outlying areas.

(c) If the Contractor has certified to an exemption in accordance with one or more of the criteria in paragraph (b) of this clause, and after award of the contract circumstances change so that any one of its owned or operated facilities used in the performance of this contract is no longer exempt-

(1) The Contractor shall notify the Contracting Officer;

and

(2) The Contractor, as owner or operator of a facility used in the performance of this contract is no longer exempt, shall (i) submit a Toxic Chemical Release Inventory Form (Form R) on or before July 1 for the prior calendar year during which the facility becomes eligible; and (ii) continue to file the annual Form R for the life of the contract for such facility.

(d) The Contracting Officer may terminate this contract or take other action as appropriate, if the Contractor fails to comply accurately and fully with the EPCRA and PPA toxic chemical release filing and reporting requirements.

(e) Except for acquisitions of commercial items, as defined in FAR Part 2, the Contractor shall-

(1) For competitive subcontracts expected to exceed \$100,000 (including all options), include a solicitation provision substantially the same as the provision at FAR 52.223-13, Certification of Toxic Chemical Release Reporting; and

(2) Include in any resultant subcontract exceeding \$100,000 (including all options), the substance of this clause, except this paragraph (e).

54. RESERVED

55. DFARS 252.223-7006 PROHIBITION ON STORAGE AND DISPOSAL OF TOXIC AND HAZARDOUS MATERIALS (APR 1993)

(a) Definitions. As used in this clause--

(1) "Storage" means a non-transitory, semi-permanent or permanent holding, placement, or leaving of material. It does not include a temporary accumulation of a limited quantity of a material used in or a waste generated or resulting from authorized activities, such as servicing, maintenance, or repair of Department of Defense (DoD) items, equipment, or facilities.

(2) "Toxic or hazardous materials" means:

(i) Materials referred to in section 101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980 (42 U.S.C. 9601(14)) and materials designated under section 102 of CERCLA (42 U.S.C. 9602) (40 CFR Part 302);

(ii) Materials that are of an explosive, flammable, or pyrotechnic nature; or

(iii) Materials otherwise identified by the Secretary of Defense as specified in DoD regulations.

(b) In accordance with 10 U.S.C. 2692, the Contractor is prohibited from storing or disposing of non-DoD-owned toxic or hazardous materials on a DoD installation, except to the extent authorized by a statutory exception to 10 U.S.C. 2692 or as authorized by the Secretary of Defense or his designee.

56. *FAR 52.225-9 BUY AMERICAN ACT—CONSTRUCTION MATERIALS (JUNE 2003) (For Contracts less than \$6.481 million)

(a) *Definitions.* As used in this clause—

“Component” means an article, material, or supply incorporated directly into a construction material.

“Construction material” means an article, material, or supply brought to the construction site by the Contractor or a subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as

emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

“Cost of components” means—

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

“Domestic construction material” means—

(1) An unmanufactured construction material mined or produced in the United States; or

(2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

“Foreign construction material” means a construction material other than a domestic construction material.

“United States” means the 50 States and the District of Columbia, and outlying areas.

(b) *Domestic preference.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by providing a preference for domestic construction material. The Contractor shall use only domestic construction material in performing this contract, except as provided in paragraphs (b)(2) and (b)(3) of this clause.

(2) This requirement does not apply to the construction material or components listed by the Government as follows:

[Contracting Officer to list applicable excepted materials or indicate “none”]

(3) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(2) of this clause if the Government determines that—

(i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the requirements of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

(ii) The application of the restriction of the Buy American Act to a particular construction material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) *Request for determination of inapplicability of the Buy American Act.* (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(3) of this clause shall include adequate information for Government evaluation of the request, including—

(A) A description of the foreign and domestic construction materials;

(B) Unit of measure;

(C) Quantity;

(D) Price;

(E) Time of delivery or availability;

(F) Location of the construction project;

(G) Name and address of the proposed supplier; and

(H) A detailed justification of the reason for use of foreign construction

materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(3)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) *Data*. To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC CONSTRUCTION MATERIALS PRICE COMPARISON			
Construction Material Description	Unit of Measure	Quantity	Price (Dollars)*
Item 1:			
Foreign construction material			
Domestic construction material			
Item 2:			
Foreign construction material			
Domestic construction material			

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]
[Include other applicable supporting information.]

[* Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).]

57. *FAR 52.225-10 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS (MAY 2002) (Applicable with FAR 52.225-9)

(a) *Definitions*. “Construction material,” “domestic construction material,” and “foreign construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials” (Federal Acquisition Regulation (FAR) clause 52.225-9).

(b) *Requests for determinations of inapplicability*. An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of the clause at FAR 52.225-9 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) *Evaluation of offers*. (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction material, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(3)(i) of the clause at FAR 52.225-9.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) *Alternate offers*. (1) When an offer includes foreign construction material not listed by the Government in this solicitation in paragraph (b)(2) of the clause at FAR 52.225-9, the offeror also may submit an alternate offer based on use of equivalent domestic construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of the clause at FAR 52.225-9 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of the clause at FAR 52.225-9 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the offeror shall be required to furnish such domestic construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

58. *FAR 52.225-11 BUY AMERICAN ACT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (JUNE 2003) [For Contracts more than \$6,481,000] ALTERNATE I (MAY 2002) [For Contracts between \$6.481 and 7.304733 Million]

(a) *Definitions.* As used in this clause—

“Component” means an article, material, or supply incorporated directly into a construction material.

“Construction material” means an article, material, or supply brought to the construction site by the Contractor or subcontractor for incorporation into the building or work. The term also includes an item brought to the site preassembled from articles, materials, or supplies. However, emergency life safety systems, such as emergency lighting, fire alarm, and audio evacuation systems, that are discrete systems incorporated into a public building or work and that are produced as complete systems, are evaluated as a single and distinct construction material regardless of when or how the individual parts or components of those systems are delivered to the construction site. Materials purchased directly by the Government are supplies, not construction material.

“Cost of components” means—

(1) For components purchased by the Contractor, the acquisition cost, including transportation costs to the place of incorporation into the construction material (whether or not such costs are paid to a domestic firm), and any applicable duty (whether or not a duty-free entry certificate is issued); or

(2) For components manufactured by the Contractor, all costs associated with the manufacture of the component, including transportation costs as described in paragraph (1) of this definition, plus allocable overhead costs, but excluding profit. Cost of components does not include any costs associated with the manufacture of the end product.

“Designated country” means any of the following countries:

Aruba	Kiribati
Austria	Korea, Republic of
Bangladesh	Lesotho
Belgium	Liechtenstein
Benin	Luxembourg
Bhutan	Malawi
Botswana	Maldives
Burkina Faso	Mali
Burundi	Mozambique
Canada	Nepal
Cape Verde	Netherlands
Central African Republic	Niger
Chad	Norway
Comoros	Portugal
Denmark	Rwanda
Djibouti	Sao Tome and Principe
Equatorial Guinea	Sierra Leone

Finland	Singapore
France	Somalia
Gambia	Spain
Germany	Sweden
Greece	Switzerland
Guinea	Tanzania U.R.
Guinea-Bissau	Togo
Haiti	Tuvalu
Hong Kong	Uganda
Iceland	United Kingdom
Ireland	Vanuatu
Israel	Western Samoa
Italy	Yemen
Japan	

“Designated country construction material” means a construction material that—

- (1) Is wholly the growth, product, or manufacture of a designated country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a designated country into a new and different construction material distinct from the materials from which it was transformed.

“Domestic construction material” means—

- (1) An unmanufactured construction material mined or produced in the United States; or
- (2) A construction material manufactured in the United States, if the cost of its components mined, produced, or manufactured in the United States exceeds 50 percent of the cost of all its components. Components of foreign origin of the same class or kind for which nonavailability determinations have been made are treated as domestic.

“Foreign construction material” means a construction material other than a domestic construction material.

“North American Free Trade Agreement country” means Canada or Mexico.

“North American Free Trade Agreement country construction material” means a construction material that—

- (1) Is wholly the growth, product, or manufacture of a North American Free Trade Agreement (NAFTA) country; or
- (2) In the case of a construction material that consists in whole or in part of materials from another country, has been substantially transformed in a NAFTA country into a new and different construction material distinct from the materials from which it was transformed.

“United States” means the 50 States and the District of Columbia, and outlying areas.

(b) *Construction materials.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act and the North American Free Trade Agreement (NAFTA) apply to this acquisition. Therefore, the Buy American Act restrictions are waived for designated country and NAFTA country construction materials.

(2) The Contractor shall use only domestic, designated country, or NAFTA country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.

(3) The requirement in paragraph (b)(2) of this clause does not apply to the construction materials or components listed by the Government as follows:

[Contracting Officer to list applicable excepted materials or indicate “none”]

(4) The Contracting Officer may add other foreign construction material to the list in paragraph (b)(3) of this clause if the Government determines that—

(i) The cost of domestic construction material would be unreasonable. The cost of a particular domestic construction material subject to the restrictions of the Buy American Act is unreasonable when the cost of such material exceeds the cost of foreign material by more than 6 percent;

(ii) The application of the restriction of the Buy American Act to a particular construction

material would be impracticable or inconsistent with the public interest; or

(iii) The construction material is not mined, produced, or manufactured in the United States in sufficient and reasonably available commercial quantities of a satisfactory quality.

(c) *Request for determination of inapplicability of the Buy American Act.* (1)(i) Any Contractor request to use foreign construction material in accordance with paragraph (b)(4) of this clause shall include adequate information for Government evaluation of the request, including—

- (A) A description of the foreign and domestic construction materials;
- (B) Unit of measure;
- (C) Quantity;
- (D) Price;
- (E) Time of delivery or availability;
- (F) Location of the construction project;
- (G) Name and address of the proposed supplier; and
- (H) A detailed justification of the reason for use of foreign construction

materials cited in accordance with paragraph (b)(3) of this clause.

(ii) A request based on unreasonable cost shall include a reasonable survey of the market and a completed price comparison table in the format in paragraph (d) of this clause.

(iii) The price of construction material shall include all delivery costs to the construction site and any applicable duty (whether or not a duty-free certificate may be issued).

(iv) Any Contractor request for a determination submitted after contract award shall explain why the Contractor could not reasonably foresee the need for such determination and could not have requested the determination before contract award. If the Contractor does not submit a satisfactory explanation, the Contracting Officer need not make a determination.

(2) If the Government determines after contract award that an exception to the Buy American Act applies and the Contracting Officer and the Contractor negotiate adequate consideration, the Contracting Officer will modify the contract to allow use of the foreign construction material. However, when the basis for the exception is the unreasonable price of a domestic construction material, adequate consideration is not less than the differential established in paragraph (b)(4)(i) of this clause.

(3) Unless the Government determines that an exception to the Buy American Act applies, use of foreign construction material is noncompliant with the Buy American Act.

(d) *Data.* To permit evaluation of requests under paragraph (c) of this clause based on unreasonable cost, the Contractor shall include the following information and any applicable supporting data based on the survey of suppliers:

FOREIGN AND DOMESTIC CONSTRUCTION MATERIALS PRICE COMPARISON			
Construction Material Description	Unit of Measure	Quantity	Price (Dollars)*
Item 1:			
Foreign construction material			
Domestic construction material			
Item 2:			
Foreign construction material			
Domestic construction material			

[List name, address, telephone number, and contact for suppliers surveyed. Attach copy of response; if oral, attach summary.]
[Include other applicable supporting information.]

[* Include all delivery costs to the construction site and any applicable duty (whether or not a duty-free entry certificate is issued).]

(End of clause)

Alternate I (May 2002). As prescribed in 25.1102(c)(3), delete the definitions of “North American Free Trade Agreement country” and “North American Free Trade Agreement country construction material” from the definitions in paragraph (a) of the basic clause and substitute the following paragraphs (b)(1) and (b)(2) for paragraphs (b)(1) and (b)(2) of the basic clause:

(b) *Construction materials.* (1) This clause implements the Buy American Act (41 U.S.C. 10a - 10d) by providing a preference for domestic construction material. In addition, the Contracting Officer has determined that the Trade Agreements Act applies to this acquisition. Therefore, the Buy American Act restrictions are waived for designated country construction materials.

(2) The Contractor shall use only domestic or designated country construction material in performing this contract, except as provided in paragraphs (b)(3) and (b)(4) of this clause.

59. *FAR 52.225-12 NOTICE OF BUY AMERICAN ACT REQUIREMENT—CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2002) [Applicable with FAR 52.225-11] ALTERNATE II (MAY 2002) [For Contracts Between 6.481 and 7.344733 Million]

(a) *Definitions.* “Construction material,” “designated country construction material,” “domestic construction material,” “foreign construction material,” and “NAFTA country construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials under Trade Agreements” (Federal Acquisition Regulation (FAR) clause 52.225-11).

(b) *Requests for determination of inapplicability.* An offeror requesting a determination regarding the inapplicability of the Buy American Act should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-11 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American Act before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) *Evaluation of offers.* (1) The Government will evaluate an offer requesting exception to the requirements of the Buy American Act, based on claimed unreasonable cost of domestic construction materials, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(4)(i) of FAR clause 52.225-11.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) *Alternate offers.* (1) When an offer includes foreign construction material, other than designated country or NAFTA country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic, designated country, or NAFTA country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic, designated country, or NAFTA country construction material, and the offeror shall be required to furnish such domestic, designated country, or NAFTA country construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

(End of provision)

ALTERNATE II (MAY 2002) [For Contracts between 6.481 and 7.304733 Million]

As prescribed in 25.1102(d)(3), substitute the following paragraphs (a) and (d) for paragraphs (a) and (d) of the basic provision:

(a) *Definitions.* “Construction material,” “designated country construction material,” “domestic construction material,” and “foreign construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American Act—Construction Materials under Trade Agreements” (Federal Acquisition Regulation (FAR) clause 52.225-11).

(d) *Alternate offers.* (1) When an offer includes foreign construction material, other than designated country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic or designated country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic or designated country construction material, and the offeror shall be required to furnish such domestic or designated country construction material. An offer based on use of the foreign construction material for which an exception was requested—

- (i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
- (ii) May be accepted if revised during negotiations.

60. *FAR 52.225-13 RESTRICTIONS ON CERTAIN FOREIGN PURCHASES (JUNE 2003)

(a) The Contractor shall not acquire, for use in the performance of this contract, any supplies or services originating from sources within, or that were located in or transported from or through, countries whose products are banned from importation into the United States and its outlying areas under regulations of the Office of Foreign Assets Control, Department of the Treasury. Those countries are Cuba, Iran, Iraq, Libya, North Korea, Sudan, the territory of Afghanistan controlled by the Taliban, and Serbia (excluding the territory of Kosovo).

(b) The Contractor shall not acquire for use in the performance of this contract any supplies or services from entities controlled by the government of Iraq.

(c) The Contractor shall insert this clause, including this paragraph (c), in all subcontracts.
(End of clause)

61. *FAR 52.226-1 UTILIZATION OF INDIAN ORGANIZATIONS AND INDIAN-OWNED ECONOMIC ENTERPRISES (JUNE 2000)

(a) *Definitions.* As used in this clause:

“Indian” means any person who is a member of any Indian tribe, band, group, pueblo, or community that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs (BIA) in accordance with 25 U.S.C. 1452(c) and any “Native” as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601).

“Indian organization” means the governing body of any Indian tribe or entity established or recognized by the governing body of an Indian tribe for the purposes of 25 U.S.C., chapter 17.

“Indian-owned economic enterprise” means any Indian-owned (as determined by the Secretary of the Interior) commercial, industrial, or business activity established or organized for the purpose of profit, provided that Indian ownership constitutes not less than 51 percent of the enterprise.

“Indian tribe” means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act, that is recognized by the Federal Government as eligible for services from BIA in

accordance with 25 U.S.C. 1452(c).

“Interested party” means a prime contractor or an actual or prospective offeror whose direct economic interest would be affected by the award of a subcontract or by the failure to award a subcontract.

(b) The Contractor shall use its best efforts to give Indian organizations and Indian-owned economic enterprises (25 U.S.C. 1544) the maximum practicable opportunity to participate in the subcontracts it awards to the fullest extent consistent with efficient performance of its contract.

(1) The Contracting Officer and the Contractor, acting in good faith, may rely on the representation of an Indian organization or Indian-owned economic enterprise as to its eligibility, unless an interested party challenges its status or the Contracting Officer has independent reason to question that status. In the event of a challenge to the representation of a subcontractor, the Contracting Officer will refer the matter to the—

U.S. Department of the Interior
Bureau of Indian Affairs (BIA)
Attn: Chief, Division of Contracting and
Grants Administration
1849 C Street, NW,
MS-2626-MIB
Washington, DC 20240-4000.

The BIA will determine the eligibility and notify the Contracting Officer. No incentive payment will be made within 50 working days of subcontract award or while a challenge is pending. If a subcontractor is determined to be an ineligible participant, no incentive payment will be made under the Indian Incentive Program.

(2) The Contractor may request an adjustment under the Indian Incentive Program to the following:

- (i) The estimated cost of a cost-type contract.
- (ii) The target cost of a cost-plus-incentive-fee prime contract.
- (iii) The target cost and ceiling price of a fixed-price incentive prime contract.
- (iv) The price of a firm-fixed-price prime contract.

(3) The amount of the adjustment to the prime contract is 5 percent of the estimated cost, target cost, or firm-fixed-price included in the subcontract initially awarded to the Indian organization or Indian-owned economic enterprise.

(4) The Contractor has the burden of proving the amount claimed and must assert its request for an adjustment prior to completion of contract performance.

(c) The Contracting Officer, subject to the terms and conditions of the contract and the availability of funds, will authorize an incentive payment of 5 percent of the amount paid to the subcontractor. The Contracting Officer will seek funding in accordance with agency procedures.

(End of Clause)

62. *FAR 52.227-1 AUTHORIZATION AND CONSENT (JUL 1995)

(a) The Government authorizes and consents to all use and manufacture, in performing this contract or any subcontract at any tier, of any invention described in and covered by a United States patent

(1) embodied in the structure or composition of any article the delivery of which is accepted by the Government under this contract or

(2) used in machinery, tools, or methods whose use necessarily results from compliance by the Contractor or a subcontractor with

- (i) specifications or written provisions forming a part of this contract or
- (ii) specific written instructions given by the Contracting Officer directing the manner of performance. The entire liability to the Government for infringement of a patent of the United States

shall be determined solely by the provisions of the indemnity clause, if any, included in this contract or any subcontract hereunder (including any lower-tier subcontract), and the Government assumes liability for all other infringement to the extent of the authorization and consent hereinabove granted.

(b) The Contractor agrees to include, and require inclusion of, this clause, suitably modified to identify the parties, in all subcontracts at any tier for supplies or services (including construction, architect-engineer services, and materials, supplies, models, samples, and design or testing services expected to exceed the simplified acquisition threshold) however, omission of this clause from any subcontract, including those at or below the simplified acquisition threshold, does not affect this authorization and consent.

63. *FAR 52.227-2 NOTICE AND ASSISTANCE REGARDING PATENT AND COPYRIGHT INFRINGEMENT (AUG 1996)

(a) The Contractor shall report to the Contracting Officer, promptly and in reasonable written detail, each notice or claim of patent or copy-right infringement based on the performance of this contract of which the Contractor has knowledge.

(b) In the event of any claim or suit against the Government on account of any alleged patent or copyright infringement arising out of the performance of this contract or out of the use of any supplies furnished or work or services performed under this contract, the Contractor shall furnish to the Government, when requested by the Contracting Officer, all evidence and information in possession of the Contractor pertaining to such suit or claim. Such evidence and information shall be furnished at the expense of the Government except where the Contractor has agreed to indemnify the Government.

(c) The Contractor agrees to include, and require inclusion of, this clause in all subcontracts at any tier for supplies or services (including construction and architect-engineer subcontracts and those for material, supplies, models, samples, or design or testing services) expected to exceed the simplified acquisition threshold at FAR 2.101.

64. *FAR 52.227-4 PATENT INDEMNITY--CONSTRUCTION CONTRACTS (APR 1984)

Except as otherwise provided, the Contractor agrees to indemnify the Government and its officers, agents, and employees against liability, including costs and expenses, for infringement upon any United States patent (except a patent issued upon an application that is now or may hereafter be withheld from issue pursuant to a Secrecy Order under 35 U.S.C. 181) arising out of performing this contract or out of the use or disposal by or for the account of the Government of supplies furnished or work performed under this contract.

65. DFARS 252.227-7033 RIGHTS IN SHOP DRAWINGS (APR 1966)

(a) Shop drawings for construction means drawings, submitted to the Government by the Construction Contractor, subcontractor or any lower-tier subcontractor pursuant to a construction contract, showing in detail

(i) the proposed fabrication and assembly of structural elements and (ii) the installation (i.e., form, fit, and attachment details) of materials or equipment. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(b) This clause, including this paragraph (b), shall be included in all subcontracts hereunder at any tier.

66. FAR 52.228-1 BID GUARANTEE (SEP 1996) [NOTE: Not required for projects less than \$100,000]

(a) Failure to furnish a bid guarantee in the proper form and amount, by the time set for opening of bids, may be cause for rejection of bids.

(b) The bidder shall furnish a bid guarantee in the form of a firm commitment, e.g., bid bond supported by good and sufficient surety or sureties acceptable to the Government, postal money order, certified check, cashier's check, irrevocable letter of credit, or, under Treasury Department regulations, certain bonds or notes of the United States. The Contracting Officer will return bid guarantees, other than bid bonds, (1) to unsuccessful bidders as soon as practicable after the opening of bids; and (2) to the successful bidder upon execution of contractual documents and bonds (including any necessary coinsurance or reinsurance agreements), as required by the bid as accepted.

(c) The amount of the bid guarantee shall be twenty (20%) of the bid price or Three Million Dollars (\$3,000,000), whichever is less.

(d) If the successful bidder, upon acceptance of its bid by the Government within the period specified for acceptance, fails to execute all contractual documents or furnish executed bond(s) within 10 days after receipt of the forms by the bidder, the Contracting Officer may terminate the contract for default.

(e) In the event the contract is terminated for default, the bidder is liable for any cost of acquiring the work that exceeds the amount of its bid and the bid guarantee is available to offset the difference.

67. *FAR 52.228-2 ADDITIONAL BOND SECURITY (OCT 1997)

The Contractor shall promptly furnish additional security required to protect the Government and persons supplying labor or materials under this contract if--

(a) Any surety upon any bond, or issuing financial institution for other security, furnished with this contract becomes unacceptable to the Government;

(b) Any surety fails to furnish reports on its financial condition as required by the Government;

(c) The contract price is increased so that the penal sum of any bond becomes inadequate in the opinion of the Contracting Officer; or

(d) An irrevocable letter of credit (ILC) used as security will expire before the end of the period of required security. If the Contractor does not furnish an acceptable extension or replacement ILC, or other acceptable substitute, at least 30 days before an ILC's scheduled expiration, the Contracting Officer has the right to immediately draw on the ILC.

68. *FAR 52.228-5 INSURANCE--WORK ON A GOVERNMENT INSTALLATION (JAN 1997) [For Contracts Exceeding \$100,000]

(a) The Contractor shall, at its own expense, provide and maintain during the entire performance of this contract, at least the kinds and minimum amounts of insurance required in the Schedule or elsewhere in the contract.

(b) Before commencing work under this contract, the Contractor shall notify the Contracting Officer in writing that the required insurance has been obtained. The policies evidencing required insurance shall contain an endorsement to the effect that any cancellation or any material change adversely affecting the Government's interest shall not be effective

(1) for such period as the laws of the State in which this contract is to be performed prescribe, or

(2) until 30 days after the insurer or the Contractor gives written notice to the Contracting Officer, whichever period is longer.

(c) The Contractor shall insert the substance of this clause, including this paragraph (c), in subcontracts under this contract that require work on a Government installation and shall require subcontractors to provide and maintain the insurance required in the Schedule or elsewhere in the contract. The Contractor shall maintain a copy of all subcontractors' proofs of required insurance, and shall make copies available to the Contracting Officer upon request.

69. *FAR 52.228-11 PLEDGES OF ASSETS (FEB 1992)

- (a) Offerors shall obtain from each person acting as an individual surety on a bid guarantee, a performance bond, or a payment bond--
- (1) Pledge of assets; and
 - (2) Standard Form 28, Affidavit of Individual Surety.
- (b) Pledges of assets from each person acting as an individual surety shall be in the form of--
- (1) Evidence of an escrow account containing cash, certificates of deposit, commercial or Government securities, or other assets described in FAR 28.203-2 (except see 28.203-2(b)(2) with respect to Government securities held in book entry form) and/or;
 - (2) A recorded lien on real estate. The offeror will be required to provide--
 - (i) Evidence of title in the form of a certificate of title prepared by a title insurance company approved by the United States Department of Justice. This title evidence must show fee simple title vested in the surety along with any concurrent owners; whether any real estate taxes are due and payable; and any recorded encumbrances against the property, including the lien filed in favor of the Government as required by FAR 28.203-3(d);
 - (ii) Evidence of the amount due under any encumbrance shown in the evidence of title;
 - (iii) A copy of the current real estate tax assessment of the property or a current appraisal dated no earlier than 6 months prior to the date of the bond, prepared by a professional appraiser who certifies that the appraisal has been conducted in accordance with the generally accepted appraisal standards as reflected in the Uniform Standards of Professional Appraisal Practice, as promulgated by the Appraisal Foundation.

70. *FAR 52.228-12 PROSPECTIVE SUBCONTRACTOR REQUESTS FOR BONDS (OCT 1995)

In accordance with Section 806(a)(3) of Public Law 102-190, as amended by Sections 2091 and 8105 of Pub. L. 103-355, upon the request of a prospective subcontractor or supplier offering to furnish labor or material for the performance of this contract for which a payment bond has been furnished to the Government pursuant to the Miller Act, the Contractor shall promptly provide a copy of such payment bond to the requestor.

71. FAR 52.228-13 ALTERNATIVE PAYMENT PROTECTIONS (JULY 2000) [Applicable only for projects or delivery orders less than \$100,000]

- (a) The Contractor shall submit one of the following payment protections:
- (1) A payment bond.
 - (2) An irrevocable letter of credit from a federally insured financial institution.
- (b) The amount of the payment protection shall be 100 percent of the contract price.
- (c) The submission of the payment protection is required within 10 days of contract award.
- (d) The payment protection shall provide protection for the full contract performance period plus a one-year period.
- (e) Except for escrow agreements and payment bonds, which provide their own protection procedures, the Contracting Officer is authorized to access funds under the payment protection when it has been alleged in writing by a supplier of labor or material that a nonpayment has occurred, and to withhold funds pending resolution by administrative or judicial proceedings or mutual agreement of the parties.
- (f) When a tripartite escrow agreement is used, the Contractor shall utilize only suppliers of labor and material that signed the escrow agreement.

72. FAR 52.228-14 IRREVOCABLE LETTER OF CREDIT (DEC 1999)

(a) "Irrevocable letter of credit" (ILC), as used in this clause, means a written commitment by a federally insured financial institution to pay all or part of a stated amount of money, until the expiration date of the letter, upon presentation by the Government (the beneficiary) of a written demand therefor. Neither the financial institution nor the offeror/Contractor can revoke or condition the letter of credit.

(b) If the offeror intends to use an ILC in lieu of a bid bond, or to secure other types of bonds such as performance and payment bonds, the letter of credit and letter of confirmation formats in paragraphs (e) and (f) of this clause shall be used.

(c) The letter of credit shall be irrevocable, shall require presentation of no document other than a written demand and the ILC (including confirming letter, if any), shall be issued/confirmed by an acceptable federally insured financial institution as provided in paragraph (d) of this clause, and--

(1) If used as a bid guarantee, the ILC shall expire no earlier than 60 days after the close of the bid acceptance period;

(2) If used as an alternative to corporate or individual sureties as security for a performance or payment bond, the offeror/Contractor may submit an ILC to cover the entire period of performance or may submit an ILC with an initial expiration date estimated to cover the entire period for which financial security is required or may submit an ILC with an initial expiration that is a minimum period of one year from the date of issuance. The ILC shall provide that, unless the issuer provides the beneficiary written notice of non-renewal of least 60 days in advance of the current expiration date, the ILC is automatically extended without amendment for one year from the expiration date, or any future expiration date, until the period of required coverage is completed and the Contracting Officer provides the financial institution with a written statement waiving the right to payment. The period of required coverage shall be:

(i) For contracts subject to the Miller Act, the later of--

(A) One year following the expected date of final payment;

(B) For performance bonds only, until completion of any warranty period; or

(C) For payment bonds only, until resolution of all claims filed against the

payment bond during the one-year period following final payment.

(ii) For contracts not subject to the Miller Act, the later of--

(A) 90 days following final payment; or

(B) For performance bonds only, until completion of any warranty period.

(d) Only federally insured financial institution rated investment grade or higher shall issue or confirm the ILC. The offeror/Contractor shall provide the Contracting Officer a credit rating that indicates the financial institution has the required rating(s) as of the date of issuance of the ILC. Unless the financial institution issuing the ILC had letter of credit business of at least \$25 million in the past year, ILCs over \$5 million must be confirmed by another acceptable financial institution that had letter of credit business of at least \$25 million in the past year.

(e) The following format shall be used by the issuing financial institution to create an ILC:

[Issuing Financial Institution's Letterhead or Name and Address]

Issue Date-----

Irrevocable Letter of Credit No.-----

Account party's name-----

Account party's address-----

For Solicitation No.-----

(For reference only)

TO: [U.S. Government agency]

[U.S. Government agency's address]

1. We hereby establish this irrevocable and transferable Letter of Credit in your favor for one or more drawings up to United States \$ _____. This Letter of Credit is payable at [issuing financial institution's and, if any, confirming financial institution's] office at [issuing financial institution's address and, if any, confirming financial institution's address] and expires with our close of business on _____, or any automatically extended expiration date.

2. We hereby undertake to honor your or transferee's sight draft(s) drawn on issuing or, if any, the confirming financial institution, for all or any part of this credit if presented with this Letter of Credit and confirmation, if any, at the office specified in paragraph 1 of this Letter of Credit on or before the expiration date or any automatically extended expiration date.

3. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this Letter of Credit that it is deemed to be automatically extended without amendment for one year from the expiration date hereof, or any future expiration date, unless at least 60 days prior to any expiration date, we notify you or the transferee by registered mail, or other receipted means of delivery, that we elect not to consider this Letter of Credit renewed for any such additional period. At the time we notify you, we also agree to notify the account party (and confirming financial institution, if any) by the same means of delivery.

4. This Letter of Credit is transferable. Transfers and assignments of proceeds are to be effected without charge to either the beneficiary or the transferee/assignee of proceeds. Such transfer or assignment shall be only at the written direction of the Government (the beneficiary) in a form satisfactory to the issuing financial institution and the confirming financial institution, if any.

5. This Letter of Credit is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution, if any, otherwise state of issuing financial institution].

6. If this credit expires during an interruption of business of this financial institution as described in Article 17 of the UCP, the financial institution specifically agrees to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Issuing financial institution]

(f) The following format shall be used by the financial institution to confirm an ILC:

[Confirming Financial Institution's Letterhead or Name and Address]---

(Date) _____

Our Letter of Credit

Advice Number-----

Beneficiary:-----

[U.S. Government agency]

Issuing Financial Institution:-----

Issuing Financial Institution's LC No.:-----

Gentlemen:

1. We hereby confirm the above indicated Letter of Credit, the original of which is attached, issued by _____ [name of issuing financial institution] for drawings of up to United States dollars _____/U.S. \$ _____ and expiring with our close of business on _____ [the expiration date], or any automatically extended expiration date.

2. Draft(s) drawn under the Letter of Credit and this Confirmation are payable at our office located at _____.

3. We hereby undertake to honor sight draft(s) drawn under and presented with the Letter of Credit and this Confirmation at our offices as specified herein.

4. [This paragraph is omitted if used as a bid guarantee, and subsequent paragraphs are renumbered.] It is a condition of this confirmation that it be deemed automatically extended without amendment for one year from the expiration date hereof, or any automatically extended expiration date, unless:

(a) At least 60 days prior to any such expiration date, we shall notify the Contracting Officer, or the transferee and the issuing financial institution, by registered mail or other receipted means of delivery, that we elect not to consider this confirmation extended for any such additional period; or

(b) The issuing financial institution shall have exercised its right to notify you or the transferee, the account party, and ourselves, of its election not to extend the expiration date of the Letter of Credit.

5. This confirmation is subject to the Uniform Customs and Practice (UCP) for Documentary Credits, 1993 Revision, International Chamber of Commerce Publication No. 500, and to the extent not inconsistent therewith, to the laws of _____ [state of confirming financial institution].

6. If this confirmation expires during an interruption of business of this financial institution as described in Article 17 of the UCP, we specifically agree to effect payment if this credit is drawn against within 30 days after the resumption of our business.

Sincerely,

[Confirming financial institution]

(g) The following format shall be used by the Contracting Officer for a sight draft to draw on the Letter of Credit:
SIGHT DRAFT

[City, State]

(Date) _____

[Name and address of financial institution]

Pay to the order of-----

[Beneficiary Agency] _____

the sum of United States \$ _____

This draft is drawn under-----

Irrevocable Letter of Credit No.-----

[Beneficiary Agency]

By: _____

73. FAR 52.228-15 PERFORMANCE AND PAYMENT BONDS (JULY 2000).

[This provision is Not Required for projects less than \$100,000. See Clauses "Alternate Payment Protections" and "Inapplicable Provisions and Clauses".]

(a) *Definitions.* As used in this clause—

“Original contract price” means the award price of the contract; or, for requirements contracts, the price payable for the estimated total quantity; or, for indefinite-quantity contracts, the price payable for the specified minimum quantity. Original contract price does not include the price of any options, except those options exercised at the time of contract award.

(b) *Amount of required bonds.* Unless the resulting contract price is \$100,000 or less, the successful offeror shall furnish performance and payment bonds to the Contracting Officer as follows:

(1) *Performance bonds (Standard Form 25).* The penal amount of performance bonds at the time of contract award shall be 100 percent of the original contract price.

(2) *Payment Bonds (Standard Form 25-A).* The penal amount of payment bonds at the time of contract award shall be 100 percent of the original contract price.

(3) *Additional bond protection.* (i) The Government may require additional performance and payment bond protection if the contract price is increased. The increase in protection generally will equal 100 percent of the increase in contract price.

(ii) The Government may secure the additional protection by directing the Contractor to increase the penal amount of the existing bond or to obtain an additional bond.

(c) *Furnishing executed bonds.* The Contractor shall furnish all executed bonds, including any necessary

reinsurance agreements, to the Contracting Officer, within the time period specified in the Bid Guarantee provision of the solicitation, or otherwise specified by the Contracting Officer, but in any event, before starting work.

(d) *Surety or other security for bonds.* The bonds shall be in the form of firm commitment, supported by corporate sureties whose names appear on the list contained in Treasury Department Circular 570, individual sureties, or by other acceptable security such as postal money order, certified check, cashier's check, irrevocable letter of credit, or, in accordance with Treasury Department regulations, certain bonds or notes of the United States. Treasury Circular 570 is published in the Federal Register or may be obtained from the:

U.S. Department of Treasury
Financial Management Service
Surety Bond Branch
401 14th Street, NW, 2nd Floor, West Wing
Washington, DC 20227.

(e) *Notice of subcontractor waiver of protection (40 U.S.C. 270b(c)).* Any waiver of the right to sue on the payment bond is void unless it is in writing, signed by the person whose right is waived, and executed after such person has first furnished labor or material for use in the performance of the contract.
(End of clause)

74. FAR 52.229-3 FEDERAL, STATE, AND LOCAL TAXES (APR 2003) [For Contracts Exceeding \$100,000]

(a) As used in this clause--

"All applicable Federal, State, and local taxes and duties," means all taxes and duties, in effect on the contract date, that the taxing authority is imposing and collecting on the transactions or property covered by this contract.

"After-imposed Federal tax," as used in this clause, means any new or increased Federal excise tax or duty, or tax that was exempted or excluded on the contract date but whose exemption was later revoked or reduced during the contract period, on the transactions or property covered by this contract that the Contractor is required to pay or bear as the result of legislative, judicial, or administrative action taking effect after the contract date. It does not include social security tax or other employment taxes.

"After-relieved Federal tax," means any amount of Federal excise tax or duty, except social security or other employment taxes, that would otherwise have been payable on the transactions or property covered by this contract, but which the Contractor is not required to pay or bear, or for which the Contractor obtains a refund or drawback, as the result of legislative, judicial, or administrative action taking effect after the contract date.

"Contract date," means the date set for bid opening or, if this is a negotiated contract or a modification, the effective date of this contract or modification.

"Local taxes" includes taxes imposed by a possession or territory of the United States, Puerto Rico, or the Northern Mariana Islands, if the contract is performed wholly or partly in any of those areas.

(b) The contract price includes all applicable Federal, State, and local taxes and duties.

(c) The contract price shall be increased by the amount of any after-imposed Federal tax, provided the Contractor warrants in writing that no amount for such newly imposed Federal excise tax or duty or rate increase was included in the contract price, as a contingency reserve or otherwise.

(d) The contract price shall be decreased by the amount of any after-relieved Federal tax.

(e) The contract price shall be decreased by the amount of any Federal excise tax or duty, except social security or other employment taxes, that the Contractor is required to pay or bear, or does not obtain a refund of, through the Contractor's fault, negligence, or failure to follow instructions of the Contracting Officer.

(f) No adjustment shall be made in the contract price under this clause unless the amount of the adjustment exceeds \$250.

(g) The Contractor shall promptly notify the Contracting Officer of all matters relating to any Federal excise tax or duty that reasonably may be expected to result in either an increase or decrease in the contract price and shall take appropriate action as the Contracting Officer directs.

(h) The Government shall, without liability, furnish evidence appropriate to establish exemption from any Federal, State, or local tax when the Contractor requests such evidence and a reasonable basis exists to sustain the exemption.

75. RESERVED

76. DFARS 252.231-7000 SUPPLEMENTAL COST PRINCIPLES (DEC 1991)

When the allowability of costs under this contract is determined in accordance with part 31 of the Federal Acquisition Regulation (FAR) allowability shall also be determined in accordance with part 231 of the DoD FAR Supplement, in effect on the date of this contract.

77. *FAR 52.232-5 PAYMENTS UNDER FIXED-PRICE CONSTRUCTION CONTRACTS (SEPT 2002)

(a) Payment of Price. The Government shall pay the Contractor the contract price as provided in this contract.

(b) Progress Payments. The Government shall make progress payments monthly as the work proceeds, or at more frequent intervals as determined by the Contracting Officer, on estimates of work accomplished which meets the standards of quality established under the contract, as approved by the Contracting Officer.

(1) The Contractor's request for progress payments shall include the following substantiation:

(i) An itemization of the amounts requested, related to the various elements of work required by the contract covered by the payment requested.

(ii) A listing of the amount included for work performed by each subcontractor under the contract.

(iii) A listing of the total amount of each subcontract under the contract.

(iv) A listing of the amounts previously paid to each such subcontractor under the contract.

(v) Additional supporting data in a form and detail required by the Contracting Officer.

(2) In the preparation of estimates, the Contracting Officer may authorize material delivered on the site and preparatory work done to be taken into consideration. Material delivered to the Contractor at locations other than the site also may be taken into consideration if--

(i) Consideration is specifically authorized by this contract; and

(ii) The Contractor furnishes satisfactory evidence that it has acquired title to such material and that the material will be used to perform this contract.

(c) Contractor Certification. Along with each request for progress payments, the Contractor shall furnish the following certification, or payment shall not be made: (However, if the Contractor elects to delete paragraph (c)(4) from the certification, the certification is still acceptable.) I hereby certify, to the best of my knowledge and belief, that--

(1) The amounts requested are only for performance in accordance with the specifications, terms, and conditions of the contract;

(2) All payments due to subcontractors and suppliers from previous payments received under the contract have been made, and timely payments will be made from the proceeds of the payment covered by this certification, in accordance with subcontract agreements and the requirements of chapter 39 of Title 31, United States Code;

(3) This request for progress payments does not include any amounts which the prime contractor intends to withhold or retain from a subcontractor or supplier in accordance with the terms and conditions of the subcontract; and

(4) This certification is not to be construed as final acceptance of a subcontractor's performance.

(Name)

(Title)

(Date)

(d) Refund of Unearned Amounts. If the Contractor, after making a certified request for progress payments, discovers that a portion or all of such request constitutes a payment for performance by the Contractor that fails to conform to the specifications, terms, and conditions of this contract (hereinafter referred to as the "unearned amount"), the Contractor shall--

(1) Notify the Contracting Officer of such performance deficiency; and
(2) Be obligated to pay the Government an amount (computed by the Contracting Officer in the manner provided in paragraph (j) of this clause) equal to interest on the unearned amount from the 8th day after the date of receipt of the unearned amount until--

(i) The date the Contractor notifies the Contracting Officer that the performance deficiency has been corrected; or

(ii) The date the Contractor reduces the amount of any subsequent certified request for progress payments by an amount equal to the unearned amount.

(e) Retainage. If the Contracting Officer finds that satisfactory progress was achieved during any period for which a progress payment is to be made, the Contracting Officer shall authorize payment to be made in full. However, if satisfactory progress has not been made, the Contracting Officer may retain a maximum of 10 percent of the amount of the payment until satisfactory progress is achieved. When the work is substantially complete, the Contracting Officer may retain from previously withheld funds and future progress payments that amount the Contracting Officer considers adequate for protection of the Government and shall release to the Contractor all the remaining withheld funds. Also, on completion and acceptance of each separate building, public work, or other division of the contract, for which the price is stated separately in the contract, payment shall be made for the completed work without retention of a percentage.

(f) Title, Liability, and Reservation of Rights. All material and work covered by progress payments made shall, at the time of payment, become the sole property of the Government, but this shall not be construed as--

(1) Relieving the Contractor from the sole responsibility for all material and work upon which payments have been made or the restoration of any damaged work; or

(2) Waiving the right of the Government to require the fulfillment of all of the terms of the contract.

(g) Reimbursement for Bond Premiums. In making these progress payments, the Government shall, upon request, reimburse the Contractor for the amount of premiums paid for performance and payment bonds (including coinsurance and reinsurance agreements, when applicable) after the Contractor has furnished evidence of full payment to the surety. The retainage provisions in paragraph (e) of this clause shall not apply to that portion of progress payments attributable to bond premiums.

(h) Final Payment. The Government shall pay the amount due the Contractor under this contract after--

(1) Completion and acceptance of all work;

(2) Presentation of a properly executed voucher; and

(3) Presentation of release of all claims against the Government arising by virtue of this contract, other than claims, in stated amounts, that the Contractor has specifically excepted from the operation of the release. A release may also be required of the assignee if the Contractor's claim to amounts payable under this contract has been assigned under the Assignment of Claims Act of 1940 (31 U.S.C. 3727 and 41 U.S.C. 15).

(i) Limitation Because of Unfinalized Work. Notwithstanding any provision of this contract, progress payments shall not exceed 80 percent on work accomplished on unfinalized contract actions. A "contract action" is any action resulting in a contract, as defined in FAR Subpart 2.1, including contract modifications for additional supplies or services, but not including contract modifications that are within the scope and under the terms of the contract, such as contract modifications issued pursuant to the Changes clause, or funding and other administrative changes.

(j) Interest Computation on Unearned Amounts. In accordance with 31 U.S.C. 3903(c)(1), the amount payable under subparagraph (d)(2) of this clause shall be--

- (1) Computed at the rate of average bond equivalent rates of 91-day Treasury bills auctioned at the most recent auction of such bills prior to the date the Contractor receives the unearned amount; and
- (2) Deducted from the next available payment to the Contractor.

78. RESERVED.

79. *FAR 52.232-17 INTEREST (JUN 1996)

(a) Except as otherwise provided in this contract under a Price Reduction for Defective Cost or Pricing Data clause or a Cost Accounting Standards clause, all amounts that become payable by the Contractor to the Government under this contract (net of any applicable tax credit under the Internal Revenue Code (26 U.S.C. 1481)) shall bear simple interest from the date due until paid unless paid within 30 days of becoming due. The interest rate shall be the interest rate established by the Secretary of the Treasury as provided in Section 12 of the Contract Disputes Act of 1978 (Public Law 95-563), which is applicable to the period in which the amount becomes due, as provided in paragraph (b) of this clause, and then at the rate applicable for each six-month period as fixed by the Secretary until the amount is paid.

(b) Amounts shall be due at the earliest of the following dates:

- (1) The date fixed under this contract.
- (2) The date of the first written demand for payment consistent with this contract, including any demand resulting from a default termination.
- (3) The date the Government transmits to the Contractor a proposed supplemental agreement to confirm completed negotiations establishing the amount of debt.
- (4) If this contract provides for revision of prices, the date of written notice to the Contractor stating the amount of refund payable in connection with a pricing proposal or a negotiated pricing agreement not confirmed by contract modification.

(c) The interest charge made under this clause may be reduced under the procedures prescribed in 32.614-2 of the Federal Acquisition Regulation in effect on the date of this contract.

80. *FAR 52.232-23 ASSIGNMENT OF CLAIMS (JAN 1986)

(a) The Contractor, under the Assignment of Claims Act, as amended, 31 U.S.C. 3727, 41 U.S.C. 15 (hereafter referred to as "the Act"), may assign its rights to be paid amounts due or to become due as a result of the performance of this contract to a bank, trust company, or other financing institution, including any Federal lending agency. The assignee under such an assignment may thereafter further assign or reassign its right under the original assignment to any type of financing institution described in the preceding sentence.

(b) Any assignment or reassignment authorized under the Act and this clause shall cover all unpaid amounts payable under this contract, and shall not be made to more than one party, except that an assignment or reassignment may be made to one party as agent or trustee for two or more parties participating in the financing of this contract.

(c) The Contractor shall not furnish or disclose to any assignee under this contract any classified document (including this contract) or information related to work under this contract until the Contracting Officer authorizes such action in writing.

81. *FAR 52.232-27 PROMPT PAY FOR CONSTRUCTION CONTRACTS (FEB 2002)

Notwithstanding any other payment terms in this contract, the Government will make invoice payments under the terms and conditions specified in this clause. The Government considers payment as being made on the day a check is dated or the date of an electronic funds transfer. Definitions of pertinent terms are set forth in sections 2.101, 32.001, and 32.902 of the Federal Acquisition Regulation. All days referred to

in this clause are calendar days, unless otherwise specified. (However, see paragraph (a)(3) concerning payments due on Saturdays, Sundays, and legal holidays.)

(a) *Invoice payments*—(1) *Types of invoice payments*. For purposes of this clause, there are several types of invoice payments that may occur under this contract, as follows:

(i) Progress payments, if provided for elsewhere in this contract, based on Contracting Officer approval of the estimated amount and value of work or services performed, including payments for reaching milestones in any project.

(A) The due date for making such payments is 14 days after the designated billing office receives a proper payment request. If the designated billing office fails to annotate the payment request with the actual date of receipt at the time of receipt, the payment due date is the 14th day after the date of the Contractor's payment request, provided the designated billing office receives a proper payment request and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(B) The due date for payment of any amounts retained by the Contracting Officer in accordance with the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts, is as specified in the contract or, if not specified, 30 days after approval by the Contracting Officer for release to the Contractor.

(ii) Final payments based on completion and acceptance of all work and presentation of release of all claims against the Government arising by virtue of the contract, and payments for partial deliveries that have been accepted by the Government (*e.g.*, each separate building, public work, or other division of the contract for which the price is stated separately in the contract).

(A) The due date for making such payments is the later of the following two events:

(1) The 30th day after the designated billing office receives a proper invoice from the Contractor.

(2) The 30th day after Government acceptance of the work or services completed by the Contractor. For a final invoice when the payment amount is subject to contract settlement actions (*e.g.*, release of claims), acceptance is deemed to occur on the effective date of the contract settlement.

(B) If the designated billing office fails to annotate the invoice with the date of actual receipt at the time of receipt, the invoice payment due date is the 30th day after the date of the Contractor's invoice, provided the designated billing office receives a proper invoice and there is no disagreement over quantity, quality, or Contractor compliance with contract requirements.

(2) *Contractor's invoice*. The Contractor shall prepare and submit invoices to the designated billing office specified in the contract. A proper invoice must include the items listed in paragraphs (a)(2)(i) through (a)(2)(xi) of this clause. If the invoice does not comply with these requirements, the designated billing office must return it within 7 days after receipt, with the reasons why it is not a proper invoice. When computing any interest penalty owed the Contractor, the Government will take into account if the Government notifies the Contractor of an improper invoice in an untimely manner.

(i) Name and address of the Contractor.

(ii) Invoice date and invoice number. (The Contractor should date invoices as close as possible to the date of mailing or transmission.)

(iii) Contract number or other authorization for work or services performed (including order number and contract line item number).

(iv) Description of work or services performed.

(v) Delivery and payment terms (*e.g.*, discount for prompt payment terms).

(vi) Name and address of Contractor official to whom payment is to be sent (must be the same as that in the contract or in a proper notice of assignment).

(vii) Name (where practicable), title, phone number, and mailing address of person to notify in the event of a defective invoice.

(viii) For payments described in paragraph (a)(1)(i) of this clause, substantiation of the amounts requested and certification in accordance with the requirements of the clause at 52.232-5, Payments Under Fixed-Price Construction Contracts.

(ix) Taxpayer Identification Number (TIN). The Contractor shall include its TIN on the invoice only if required elsewhere in this contract.

(x) Electronic funds transfer (EFT) banking information.

(A) The Contractor shall include EFT banking information on the invoice only if required elsewhere in this contract.

(B) If EFT banking information is not required to be on the invoice, in order for the invoice to be a proper invoice, the Contractor shall have submitted correct EFT banking information in accordance with the applicable solicitation provision (e.g., 52.232–38, Submission of Electronic Funds Transfer Information with Offer), contract clause (e.g., 52.232–33, Payment by Electronic Funds Transfer—Central Contractor Registration, or 52.232–34, Payment by Electronic Funds Transfer—Other Than Central Contractor Registration), or applicable agency procedures.

(C) EFT banking information is not required if the Government waived the requirement to pay by EFT.

(xi) Any other information or documentation required by the contract.

(3) *Interest penalty.* The designated payment office will pay an interest penalty automatically, without request from the Contractor, if payment is not made by the due date and the conditions listed in paragraphs (a)(3)(i) through (a)(3)(iii) of this clause are met, if applicable. However, when the due date falls on a Saturday, Sunday, or legal holiday, the designated payment office may make payment on the following working day without incurring a late payment interest penalty.

(i) The designated billing office received a proper invoice.

(ii) The Government processed a receiving report or other Government documentation authorizing payment and there was no disagreement over quantity, quality, Contractor compliance with any contract term or condition, or requested progress payment amount.

(iii) In the case of a final invoice for any balance of funds due the Contractor for work or services performed, the amount was not subject to further contract settlement actions between the Government and the Contractor.

(4) *Computing penalty amount.* The Government will compute the interest penalty in accordance with the Office of Management and Budget prompt payment regulations at 5 CFR part 1315.

(i) For the sole purpose of computing an interest penalty that might be due the Contractor for payments described in paragraph (a)(1)(ii) of this clause, Government acceptance or approval is deemed to occur constructively on the 7th day after the Contractor has completed the work or services in accordance with the terms and conditions of the contract. If actual acceptance or approval occurs within the constructive acceptance or approval period, the Government will base the determination of an interest penalty on the actual date of acceptance or approval. Constructive acceptance or constructive approval requirements do not apply if there is a disagreement over quantity, quality, or Contractor compliance with a contract provision. These requirements also do not compel Government officials to accept work or services, approve Contractor estimates, perform contract administration functions, or make payment prior to fulfilling their responsibilities.

(ii) The prompt payment regulations at 5 CFR 1315.10(c) do not require the Government to pay interest penalties if payment delays are due to disagreement between the Government and the Contractor over the payment amount or other issues involving contract compliance, or on amounts temporarily withheld or retained in accordance with the terms of the contract. The Government and the Contractor shall resolve claims involving disputes, and any interest that may be payable in accordance with the clause at FAR 52.233–1, Disputes.

(5) *Discounts for prompt payment.* The designated payment office will pay an interest penalty automatically, without request from the Contractor, if the Government takes a discount for prompt payment improperly. The Government will calculate the interest penalty in accordance with the prompt payment regulations at 5 CFR part 1315.

(6) *Additional interest penalty.* (i) The designated payment office will pay a penalty amount, calculated in accordance with the prompt payment regulations at 5 CFR part 1315 in addition to the interest penalty amount only if—

(A) The Government owes an interest penalty of \$1 or more;

(B) The designated payment office does not pay the interest penalty within 10 days after the date the invoice amount is paid; and

(C) The Contractor makes a written demand to the designated payment office for additional penalty payment, in accordance with paragraph (a)(6)(ii) of this clause, postmarked not later than 40 days after the date the invoice amount is paid.

(ii)(A) The Contractor shall support written demands for additional penalty payments with the following data. The Government will not request any additional data. The Contractor shall—

(1) Specifically assert that late payment interest is due under a specific invoice, and request payment of all overdue late payment interest penalty and such additional penalty as may be required;

(2) Attach a copy of the invoice on which the unpaid late payment interest was due; and

(3) State that payment of the principal has been received, including the date of receipt.

(B) If there is no postmark or the postmark is illegible—

(1) The designated payment office that receives the demand will annotate it with the date of receipt provided the demand is received on or before the 40th day after payment was made; or

(2) If the designated payment office fails to make the required annotation, the Government will determine the demand's validity based on the date the Contractor has placed on the demand, provided such date is no later than the 40th day after payment was made.

(b) *Contract financing payments.* If this contract provides for contract financing, the Government will make contract financing payments in accordance with the applicable contract financing clause.

(c) *Subcontract clause requirements.* The Contractor shall include in each subcontract for property or services (including a material supplier) for the purpose of performing this contract the following:

(1) *Prompt payment for subcontractors.* A payment clause that obligates the Contractor to pay the subcontractor for satisfactory performance under its subcontract not later than 7 days from receipt of payment out of such amounts as are paid to the Contractor under this contract.

(2) *Interest for subcontractors.* An interest penalty clause that obligates the Contractor to pay to the subcontractor an interest penalty for each payment not made in accordance with the payment clause—

(i) For the period beginning on the day after the required payment date and ending on the date on which payment of the amount due is made; and

(ii) Computed at the rate of interest established by the Secretary of the Treasury, and published in the **Federal Register**, for interest payments under section 12 of the Contract Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(3) *Subcontract clause flowdown.* A clause requiring each subcontractor to

(i) Include a payment clause and an interest penalty clause conforming to the standards set forth in paragraphs (c)(1) and (c)(2) of this clause in each of its subcontracts; and

(ii) Require each of its subcontractors to include such clauses in their subcontracts with each lower-tier subcontractor or supplier.

(d) *Subcontract clause interpretation.* The clauses required by paragraph (c) of this clause shall not be construed to impair the right of the Contractor or a subcontractor at any tier to negotiate, and to include in their subcontract, provisions that—

(1) *Retainage permitted.* Permit the Contractor or a subcontractor to retain (without cause) a specified percentage of each progress payment otherwise due to a subcontractor for satisfactory performance under the subcontract without incurring any obligation to pay a late payment interest penalty, in accordance with terms and conditions agreed to by the parties to the subcontract, giving such recognition as the parties deem appropriate to the ability of a subcontractor to furnish a performance bond and a payment bond;

(2) *Withholding permitted.* Permit the Contractor or subcontractor to make a determination that part or all of the subcontractor's request for payment may be withheld in accordance with the subcontract agreement; and

(3) *Withholding requirements.* Permit such withholding without incurring any obligation to pay a late payment penalty if—

(i) A notice conforming to the standards of paragraph (g) of this clause previously has been furnished to the subcontractor; and

(ii) The Contractor furnishes to the Contracting Officer a copy of any notice issued by a Contractor pursuant to paragraph (d)(3)(i) of this clause.

(e) *Subcontractor withholding procedures.* If a Contractor, after making a request for payment to the Government but before making a payment to a subcontractor for the subcontractor's performance covered by the

payment request, discovers that all or a portion of the payment otherwise due such subcontractor is subject to withholding from the subcontractor in accordance with the subcontract agreement, then the Contractor shall—

(1) *Subcontractor notice.* Furnish to the subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon ascertaining the cause giving rise to a withholding, but prior to the due date for subcontractor payment;

(2) *Contracting Officer notice.* Furnish to the Contracting Officer, as soon as practicable, a copy of the notice furnished to the subcontractor pursuant to paragraph (e)(1) of this clause;

(3) *Subcontractor progress payment reduction.* Reduce the subcontractor's progress payment by an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (e)(1) of this clause;

(4) *Subsequent subcontractor payment.* Pay the subcontractor as soon as practicable after the correction of the identified subcontract performance deficiency, and—

(i) Make such payment within—

(A) Seven days after correction of the identified subcontract performance deficiency (unless the funds therefor must be recovered from the Government because of a reduction under paragraph (e)(5)(i) of this clause; or

(B) Seven days after the Contractor recovers such funds from the Government;

or

(ii) Incur an obligation to pay a late payment interest penalty computed at the rate of interest established by the Secretary of the Treasury, and published in the **Federal Register**, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty;

(5) *Notice to Contracting Officer.* Notify the Contracting Officer upon—

(i) Reduction of the amount of any subsequent certified application for payment; or

(ii) Payment to the subcontractor of any withheld amounts of a progress payment,

specifying—

(A) The amounts withheld under paragraph (e)(1) of this clause; and

(B) The dates that such withholding began and ended; and

(6) *Interest to Government.* Be obligated to pay to the Government an amount equal to interest on the withheld payments (computed in the manner provided in 31 U.S.C. 3903(c)(1)), from the 8th day after receipt of the withheld amounts from the Government until—

(i) The day the identified subcontractor performance deficiency is corrected; or

(ii) The date that any subsequent payment is reduced under paragraph (e)(5)(i) of this

clause.

(f) *Third-party deficiency reports—*(1) *Withholding from subcontractor.* If a Contractor, after making payment to a first-tier subcontractor, receives from a supplier or subcontractor of the first-tier subcontractor (hereafter referred to as a "second-tier subcontractor") a written notice in accordance with section 2 of the Act of August 24, 1935 (40 U.S.C. 270b, Miller Act), asserting a deficiency in such first-tier subcontractor's performance under the contract for which the Contractor may be ultimately liable, and the Contractor determines that all or a portion of future payments otherwise due such first-tier subcontractor is subject to withholding in accordance with the subcontract agreement, the Contractor may, without incurring an obligation to pay an interest penalty under paragraph (e)(6) of this clause—

(i) Furnish to the first-tier subcontractor a notice conforming to the standards of paragraph (g) of this clause as soon as practicable upon making such determination; and

(ii) Withhold from the first-tier subcontractor's next available progress payment or payments an amount not to exceed the amount specified in the notice of withholding furnished under paragraph (f)(1)(i) of this clause.

(2) *Subsequent payment or interest charge.* As soon as practicable, but not later than 7 days after receipt of satisfactory written notification that the identified subcontract performance deficiency has been corrected, the Contractor shall—

(i) Pay the amount withheld under paragraph (f)(1)(ii) of this clause to such first-tier subcontractor; or

(ii) Incur an obligation to pay a late payment interest penalty to such first-tier subcontractor computed at the rate of interest established by the Secretary of the Treasury, and published in the

Federal Register, for interest payments under section 12 of the Contracts Disputes Act of 1978 (41 U.S.C. 611) in effect at the time the Contractor accrues the obligation to pay an interest penalty.

(g) *Written notice of subcontractor withholding.* The Contractor shall issue a written notice of any withholding to a subcontractor (with a copy furnished to the Contracting Officer), specifying—

- (1) The amount to be withheld;
- (2) The specific causes for the withholding under the terms of the subcontract; and
- (3) The remedial actions to be taken by the subcontractor in order to receive payment of the

amounts withheld.

(h) *Subcontractor payment entitlement.* The Contractor may not request payment from the Government of any amount withheld or retained in accordance with paragraph (d) of this clause until such time as the Contractor has determined and certified to the Contracting Officer that the subcontractor is entitled to the payment of such amount.

(i) *Prime-subcontractor disputes.* A dispute between the Contractor and subcontractor relating to the amount or entitlement of a subcontractor to a payment or a late payment interest penalty under a clause included in the subcontract pursuant to paragraph (c) of this clause does not constitute a dispute to which the Government is a party. The Government may not be interpleaded in any judicial or administrative proceeding involving such a dispute.

(j) *Preservation of prime-subcontractor rights.* Except as provided in paragraph (i) of this clause, this clause shall not limit or impair any contractual, administrative, or judicial remedies otherwise available to the Contractor or a subcontractor in the event of a dispute involving late payment or nonpayment by the Contractor or deficient subcontract performance or nonperformance by a subcontractor.

(k) *Non-recourse for prime contractor interest penalty.* The Contractor's obligation to pay an interest penalty to a subcontractor pursuant to the clauses included in a subcontract under paragraph (c) of this clause shall not be construed to be an obligation of the Government for such interest penalty. A cost-reimbursement claim may not include any amount for reimbursement of such interest penalty.

(l) *Overpayments.* If the Contractor becomes aware of a duplicate payment or that the Government has otherwise overpaid on an invoice payment, the Contractor shall immediately notify the Contracting Officer and request instructions for disposition of the overpayment.

(End of clause)

82. *FAR 52.232-33 PAYMENT BY ELECTRONIC FUNDS TRANSFER –CENTRAL CONTRACTOR REGISTRATION (MAY 1999)

(a) *Method of payment.* (1) All payments by the Government under this contract shall be made by electronic funds transfer (EFT), except as provided in paragraph (a)(2) of this clause. As used in this clause, the term "EFT" refers to the funds transfer and may also include the payment information transfer.

(2) In the event the Government is unable to release one or more payments by EFT, the Contractor agrees to either—

- (i) Accept payment by check or some other mutually agreeable method of payment; or
- (ii) Request the Government to extend the payment due date until such time as the Government

can make payment by EFT (but see paragraph (d) of this clause).

(b) *Contractor's EFT information.* The Government shall make payment to the Contractor using the EFT information contained in the Central Contractor Registration (CCR) database. In the event that the EFT information changes, the Contractor shall be responsible for providing the updated information to the CCR database.

(c) *Mechanisms for EFT payment.* The Government may make payment by EFT through either the Automated Clearing House (ACH) network, subject to the rules of the National Automated Clearing House Association, or the Fedwire Transfer System. The rules governing Federal payments through the ACH are contained in 31 CFR part 210.

(d) *Suspension of payment.* If the Contractor's EFT information in the CCR database is incorrect, then the Government need not make payment to the Contractor under this contract until correct EFT information is entered into the CCR database; and any invoice or contract financing request shall be deemed not to be a proper invoice for the purpose of prompt payment under this contract. The prompt payment terms of the contract regarding notice of an improper invoice and delays in accrual of interest penalties apply.

(e) *Contractor EFT arrangements.* If the Contractor has identified multiple payment receiving points (i.e.,

more than one remittance address and/or EFT information set) in the CCR database, and the Contractor has not notified the Government of the payment receiving point applicable to this contract, the Government shall make payment to the first payment receiving point (EFT information set or remittance address as applicable) listed in the CCR database.

(f) *Liability for uncompleted or erroneous transfers.* (1) If an uncompleted or erroneous transfer occurs because the Government used the Contractor's EFT information incorrectly, the Government remains responsible for—

- (i) Making a correct payment;
- (ii) Paying any prompt payment penalty due; and
- (iii) Recovering any erroneously directed funds.

(2) If an uncompleted or erroneous transfer occurs because the Contractor's EFT information was incorrect, or was revised within 30 days of Government release of the EFT payment transaction instruction to the Federal Reserve System, and—

(i) If the funds are no longer under the control of the payment office, the Government is deemed to have made payment and the Contractor is responsible for recovery of any erroneously directed funds; or

(ii) If the funds remain under the control of the payment office, the Government shall not make payment, and the provisions of paragraph (d) of this clause shall apply.

(g) *EFT and prompt payment.* A payment shall be deemed to have been made in a timely manner in accordance with the prompt payment terms of this contract if, in the EFT payment transaction instruction released to the Federal Reserve System, the date specified for settlement of the payment is on or before the prompt payment due date, provided the specified payment date is a valid date under the rules of the Federal Reserve System.

(h) *EFT and assignment of claims.* If the Contractor assigns the proceeds of this contract as provided for in the assignment of claims terms of this contract, the Contractor shall require as a condition of any such assignment, that the assignee shall register in the CCR database and shall be paid by EFT in accordance with the terms of this clause. In all respects, the requirements of this clause shall apply to the assignee as if it were the Contractor. EFT information that shows the ultimate recipient of the transfer to be other than the Contractor, in the absence of a proper assignment of claims acceptable to the Government, is incorrect EFT information within the meaning of paragraph (d) of this clause.

(i) *Liability for change of EFT information by financial agent.* The Government is not liable for errors resulting from changes to EFT information made by the Contractor's financial agent.

(j) *Payment information.* The payment or disbursing office shall forward to the Contractor available payment information that is suitable for transmission as of the date of release of the EFT instruction to the Federal Reserve System. The Government may request the Contractor to designate a desired format and method(s) for delivery of payment information from a list of formats and methods the payment office is capable of executing. However, the Government does not guarantee that any particular format or method of delivery is available at any particular payment office and retains the latitude to use the format and delivery method most convenient to the Government. If the Government makes payment by check in accordance with paragraph (a) of this clause, the Government shall mail the payment information to the remittance address contained in the CCR database.
(End of Clause)

83. DFARS 252.232-7004

DOD PROGRESS PAYMENT RATES (OCT 2001)

(a) If the contractor is a small business concern, the Progress Payments clause of this contract is modified to change each mention of the progress payment rate and liquidation rate (excepting paragraph (k), *Limitations on Unfinalized Contract Actions*) to 90 percent.

(b) If the contractor is a small disadvantaged business concern, the Progress Payments clause of this contract is modified to change each mention of the progress payment rate and liquidation rate (excepting paragraph (k), *Limitations on Unfinalized Contract Actions*) to 95 percent.
(End of clause)

**84. DFARS 252.232-7005 REIMBURSEMENT OF SUBCONTRACTOR ADVANCE PAYMENTS--
DOD PILOT MENTOR-PROTEGE PROGRAM (SEP 2001)**

- (a) The Government will reimburse the Contractor for any advance payments made by the Contractor, as a mentor firm, to a protege firm, pursuant to an approved mentor-protege agreement, provided-
- (1) The Contractor's subcontract with the protege firm includes a provision substantially the same as FAR 52.232-12, Advance Payments;
- (2) The Contractor has administered the advance payments in accordance with the policies of FAR Subpart 32.4; and
- (3) The Contractor agrees that any financial loss resulting from the failure or inability of the protege firm to repay any unliquidated advance payments is the sole financial responsibility of the Contractor.
- (b) For a fixed price type contract, advance payments made to a protege firm shall be paid and administered as if they were 100 percent progress payments. The Contractor shall include as a separate attachment with each Standard Form (SF) 1443, Contractor's Request for Progress Payment, a request for reimbursement of advance payments made to a protege firm. The attachment shall provide a separate calculation of lines 14a through 14e of SF 1443 for each protege, reflecting the status of advance payments made to that protege.
- (c) For cost reimbursable contracts, reimbursement of advance payments shall be made via public voucher. The Contractor shall show the amounts of advance payments made to each protege on the public voucher, in the form and detail directed by the cognizant contracting officer or contract auditor.
- (End of clause)

85. *FAR 52.233-1 DISPUTES (JULY 2002)

- (a) This contract is subject to the Contract Disputes Act of 1978, as amended (41 U.S.C. 601-613).
- (b) Except as provided in the Act, all disputes arising under or relating to this contract shall be resolved under this clause.
- (c) "Claim," as used in this clause, means a written demand or written assertion by one of the contracting parties seeking, as a matter of right, the payment of money in a sum certain, the adjustment or interpretation of contract terms, or other relief arising under or relating to this contract. However, a written demand or written assertion by the Contractor seeking the payment of money exceeding \$100,000 is not a claim under the Act until certified. A voucher, invoice, or other routine request for payment that is not in dispute when submitted is not a claim under the Act. The submission may be converted to a claim under the Act, by complying with the submission and certification requirements of this clause, if it is disputed either as to liability or amount or is not acted upon in a reasonable time.
- (d)(1) A claim by the Contractor shall be made in writing and, unless otherwise stated in this contract, submitted within 6 years after accrual of the claim to the Contracting Officer for a written decision. A claim by the Government against the Contractor shall be subject to a written decision by the Contracting Officer.
- (2) (i) Contractors shall provide the certification specified in paragraph (d)(2)(iii) of this clause when submitting any claim exceeding \$100,000.
- (ii) The certification requirement does not apply to issues in controversy that have not been submitted as all or part of a claim.
- (iii) The certification shall state as follows:

'I certify that the claim is made in good faith; that the supporting data are accurate and complete to the best of my knowledge and belief; that the amount requested accurately reflects the contract adjustment for which the Contractor believes the Government is liable; and that I am duly authorized to certify the claim on behalf of the Contractor.'

- (3) The certification may be executed by any person duly authorized to bind the Contractor with respect to the claim.

(e) For Contractor claims of \$100,000 or less, the Contracting Officer must, if requested in writing by the Contractor, render a decision within 60 days of the request. For Contractor-certified claims over \$100,000, the Contracting Officer must, within 60 days, decide the claim or notify the Contractor of the date by which the decision will be made.

(f) The Contracting Officer's decision shall be final unless the Contractor appeals or files a suit as provided in the Act.

(g) If the claim by the Contractor is submitted to the Contracting Officer or a claim by the Government is presented to the Contractor, the parties, by mutual consent, may agree to use alternative dispute resolution (ADR). If the Contractor refuses an offer for ADR, the Contractor shall inform the Contracting Officer, in writing, of the Contractor's specific reasons for rejecting the offer.

(h) The Government shall pay interest on the amount found due and unpaid from (1) the date the Contracting Officer receives the claim (certified if required), or (2) the date that payment otherwise would be due, if that date is later, until the date of payment. With regard to claims having defective certifications, as defined in (FAR) 48 CFR 33.201, interest shall be paid from the date that the Contracting Officer initially receives the claim. Simple interest on claims shall be paid at the rate, fixed by the Secretary of the Treasury as provided in the Act, which is applicable to the period during which the Contracting Officer receives the claim and then at the rate applicable for each 6-month period as fixed by the Treasury Secretary during the pendency of the claim.

(i) The Contractor shall proceed diligently with performance of this contract, pending final resolution of any request for relief, claim, appeal, or action arising under the contract, and comply with any decision of the Contracting Officer.

86. *FAR 52.233-3 PROTEST AFTER AWARD (AUG 1996)

(a) Upon receipt of a notice of protest (as defined in FAR 33.101) or a determination that a protest is likely (see FAR 33.102(d)), the Contracting Officer may, by written order to the Contractor, direct the Contractor to stop performance of the work called for by this contract. The order shall be specifically identified as a stop-work order issued under this clause. Upon receipt of the order, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage. Upon receipt of the final decision in the protest, the Contracting Officer shall either--

(1) Cancel the stop-work order; or

(2) Terminate the work covered by the order as provided in the Default, or the Termination for Convenience of the Government, clause of this contract.

(b) If a stop-work order issued under this clause is canceled either before or after a final decision in the protest, the Contractor shall resume work. The Contracting Officer shall make an equitable adjustment in the delivery schedule or contract price, or both, and the contract shall be modified, in writing, accordingly, if--

(1) The stop-work order results in an increase in the time required for, or in the Contractor's cost properly allocable to, the performance of any part of this contract; and

(2) The Contractor asserts its right to an adjustment within 30 days after the end of the period of work stoppage; provided, that if the Contracting Officer decides the facts justify the action, the Contracting Officer may receive and act upon a proposal at any time before final payment under this contract.

(c) If a stop-work order is not canceled and the work covered by the order is terminated for the convenience of the Government, the Contracting Officer shall allow reasonable costs resulting from the stop-work order in arriving at the termination settlement.

(d) If a stop-work order is not canceled and the work covered by the order is terminated for default, the Contracting Officer shall allow, by equitable adjustment or otherwise, reasonable costs resulting from the stop-work order.

(e) The Government's rights to terminate this contract at any time are not affected by action taken under this clause.

(f) If, as the result of the Contractor's intentional or negligent misstatement, misrepresentation, or miscertification, a protest related to this contract is sustained, and the Government pays costs, as provided in FAR 33.102(b)(2) or 33.104(h)(1), the Government may require the Contractor to reimburse the Government the amount of such costs. In addition to any other remedy available, and pursuant to the requirements of Subpart 32.6, the Government may collect this debt by offsetting the amount against any payment due the Contractor under any contract between the Contractor and the Government.

87. RESERVED

88. FAR 52.236-2 DIFFERING SITE CONDITIONS (APR 1984)

(a) The Contractor shall promptly, and before the conditions are disturbed, give a written notice to the Contracting Officer of

(1) subsurface or latent physical conditions at the site which differ materially from those indicated in this contract, or

(2) unknown physical conditions at the site, of an unusual nature, which differ materially from those ordinarily encountered and generally recognized as inhering in work of the character provided for in the contract.

(b) The Contracting Officer shall investigate the site conditions promptly after receiving the notice. If the conditions do materially so differ and cause an increase or decrease in the Contractor's cost of, or the time required for, performing any part of the work under this contract, whether or not changed as a result of the conditions, an equitable adjustment shall be made under this clause and the contract modified in writing accordingly.

(c) No request by the Contractor for an equitable adjustment to the contract under this clause shall be allowed, unless the Contractor has given the written notice required, provided, that the time prescribed in (a) above for giving written notice may be extended by the Contracting Officer.

(d) No request by the Contractor for an equitable adjustment to the contract for differing site conditions shall be allowed if made after final payment under this contract.

89. *FAR 52.236-3 SITE INVESTIGATION AND CONDITIONS AFFECTING THE WORK (APR 1984)

(a) The Contractor acknowledges that it has taken steps reasonably necessary to ascertain the nature and location of the work, and that it has investigated and satisfied itself as to the general and local conditions which can affect the work or its cost, including but not limited to

(1) conditions bearing upon transportation, disposal, handling, and storage of materials;

(2) the availability of labor, water, electric power, and roads;

(3) uncertainties of weather, river stages, tides, or similar physical conditions at the site;

(4) the conformation and conditions of the ground; and

(5) the character of equipment and facilities needed preliminary to and during work

performance. The Contractor also acknowledges that it has satisfied itself as to the character, quality, and quantity of surface and subsurface materials or obstacles to be encountered insofar as this information is reasonably ascertainable from an inspection of the site, including all exploratory work done by the Government, as well as from the drawings and specifications made a part of this contract. Any failure of the Contractor to take the actions described and acknowledged in this paragraph will not relieve the Contractor from responsibility for estimating properly the difficulty and cost of successfully performing the work, or for proceeding to successfully perform the work without additional expense to the Government.

(b) The Government assumes no responsibility for any conclusions or interpretations made by the Contractor based on the information made available by the Government. Nor does the Government assume responsibility for any understanding reached or representation made concerning conditions which can affect the work by any of its officers or agents before the execution of this contract, unless that understanding or representation is expressly stated in this contract.

90. *FAR 52.236-5 MATERIAL AND WORKMANSHIP (APR 1984)

(a) All equipment, material, and articles incorporated into the work covered by this contract shall be new and of the most suitable grade for the purpose intended, unless otherwise specifically provided in this contract. References in the specifications to equipment, material, articles, or patented processes by trade name, make, or

catalog number, shall be regarded as establishing a standard of quality and shall not be construed as limiting competition. The Contractor may, at its option, use any equipment, material, article, or process that, in the judgment of the Contracting Officer, is equal to that named in the specifications, unless otherwise specifically provided in this contract.

(b) The Contractor shall obtain the Contracting Officer's approval of the machinery and mechanical and other equipment to be incorporated into the work. When requesting approval, the Contractor shall furnish to the Contracting Officer the name of the manufacturer, the model number, and other information concerning the performance, capacity, nature, and rating of the machinery and mechanical and other equipment. When required by this contract or by the Contracting Officer, the Contractor shall also obtain the Contracting Officer's approval of the material or articles which the Contractor contemplates incorporating into the work. When requesting approval, the Contractor shall provide full information concerning the material or articles. When directed to do so, the Contractor shall submit samples for approval at the Contractor's expense, with all shipping charges prepaid. Machinery, equipment, material, and articles that do not have the required approval shall be installed or used at the risk of subsequent rejection.

(c) All work under this contract shall be performed in a skillful and workmanlike manner. The Contracting Officer may require, in writing, that the Contractor remove from the work any employee the Contracting Officer deems incompetent, careless, or otherwise objectionable.

91. RESERVED

92. *FAR 52.236-6 SUPERINTENDENCE BY THE CONTRACTOR (APR 1984)

At all times during performance of this contract and until the work is completed and accepted, the Contractor shall directly superintend the work or assign and have on the work site a competent superintendent who is satisfactory to the Contracting Officer and has authority to act for the Contractor.

93. FAR 52.236-7 PERMITS AND RESPONSIBILITIES (NOV 1991)

The Contractor shall, without additional expense to the Government, be responsible for obtaining any necessary licenses and permits, and for complying with any Federal, State, and municipal laws, codes, and regulations applicable to the performance of the work. The Contractor shall also be responsible for all damages to persons or property that occur as a result of the Contractor's fault or negligence. The Contractor shall also be responsible for all materials delivered and work performed until completion and acceptance of the entire work, except for any completed unit of work which may have been accepted under the contract.

94. *FAR 52.236-8 OTHER CONTRACTS (APR 1984)

The Government may undertake or award other contracts for additional work at or near the site of the work under this contract. The Contractor shall fully cooperate with the other contractors and with Government employees and shall carefully adapt scheduling and performing the work under this contract to accommodate the additional work, heeding any direction that may be provided by the Contracting Officer. The Contractor shall not commit or permit any act that will interfere with the performance of work by any other contractor or by Government employees.

95. *FAR 52.236-9 PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS (APR 1984)

(a) The Contractor shall preserve and protect all structures, equipment, and vegetation (such as trees, shrubs, and grass) on or adjacent to the work site, which are not to be removed and which do not unreasonably interfere with the work required under this contract. The Contractor shall only remove trees when specifically authorized to do so, and shall avoid damaging vegetation that will remain in place. If any limbs or branches of trees are broken during contract performance, or by the careless operation of equipment, or by workmen, the Contractor shall trim those limbs or branches with a clean cut and paint the cut with a tree-pruning compound as directed by the Contracting Officer.

(b) The Contractor shall protect from damage all existing improvements and utilities

- (1) at or near the work site, and
- (2) on adjacent property of a third party, the locations of which are made known to or should be known by the Contractor. The Contractor shall repair any damage to those facilities, including those that are the property of a third party, resulting from failure to comply with the requirements of this contract or failure to exercise reasonable care in performing the work. If the Contractor fails or refused to repair the damage promptly, the Contracting Officer may have the necessary work performed and charge the cost to the Contractor.

96. *FAR 52.236-10 OPERATIONS AND STORAGE AREAS (APR 1984)

(a) The Contractor shall confine all operations (including storage of materials) on Government premises to areas authorized or approved by the Contracting Officer. The Contractor shall hold and save the Government, its officers and agents, free and harmless from liability of any nature occasioned by the Contractor's performance.

(b) Temporary buildings (e.g., storage sheds, shops, offices) and utilities may be erected by the Contractor only with the approval of the Contracting Officer and shall be built with labor and materials furnished by the Contractor without expense to the Government. The temporary buildings and utilities shall remain the property of the Contractor and shall be removed by the Contractor at its expense upon completion of the work. With the written consent of the Contracting Officer, the buildings and utilities may be abandoned and need not be removed.

(c) The Contractor shall, under regulations prescribed by the Contracting Officer, use only established roadways, or use temporary roadways constructed by the Contractor when and as authorized by the Contracting Officer. When materials are transported in prosecuting the work, vehicles shall not be loaded beyond the loading capacity recommended by the manufacturer of the vehicle or prescribed by any Federal, State, or local law or regulation. When it is necessary to cross curbs or sidewalks, the Contractor shall protect them from damage. The Contractor shall repair or pay for the repair of any damaged curbs, sidewalks, or roads.

97. *FAR 52.236-11 USE AND POSSESSION PRIOR TO COMPLETION (APR 1984)

(a) The Government shall have the right to take possession of or use any completed or partially completed part of the work. Before taking possession of or using any work, the Contracting Officer shall furnish the Contractor a list of items of work remaining to be performed or corrected on those portions of the work that the Government intends to take possession of or use. However, failure of the Contracting Officer to list any item of work shall not relieve the Contractor of responsibility for complying with the terms of the contract. The Government's possession or use shall not be deemed an acceptance of any work under the contract.

(b) While the Government has such possession or use, the Contractor shall be relieved of the responsibility for the loss of or damage to the work resulting from the Government's possession or use, notwithstanding the terms of the clause in this contract entitled "Permits and Responsibilities." If prior possession or use by the Government delays the progress of the work or causes additional expense to the Contractor, an equitable adjustment shall be made in the contract price or the time of completion, and the contract shall be modified in writing accordingly.

98. *FAR 52.236-12 CLEANING UP (APR 1984)

The Contractor shall at all times keep the work area, including storage areas, free from accumulations of waste materials. Before completing the work, the Contractor shall remove from the work and premises any rubbish, tools, scaffolding, equipment, and materials that are not the property of the Government. Upon completing the work, the Contractor shall leave the work area in a clean, neat, and orderly condition satisfactory to the Contracting Officer.

99. *FAR 52.236-13 ACCIDENT PREVENTION-ALTERNATE I (NOV 1991)

(a) The Contractor shall provide and maintain work environments and procedures which will (1) safeguard the public and Government personnel, property, materials, supplies, and equipment exposed to Contractor operations and activities; (2) avoid interruptions of Government operations and delays in project completion dates; and (3) control costs in the performance of this contract.

(b) For these purposes on contracts for construction or dismantling, demolition, or removal of improvements, the Contractor shall--

- (1) Provide appropriate safety barricades, signs, and signal lights;
- (2) Comply with the standards issued by the Secretary of Labor at 29 CFR Part 1926 and 29 CFR Part 1910; and
- (3) Ensure that any additional measures the Contracting Officer determines to be reasonably necessary for the purposes are taken.

(c) If this contract is for construction or dismantling, demolition or removal of improvements with any Department of Defense agency or component, the Contractor shall comply with all pertinent provisions of the latest version of U.S. Army Corps of engineers Safety and Health Requirements Manual, EM 385-1-1, in effect on the date of the solicitation.

(d) Whenever the Contracting Officer becomes aware of any noncompliance with these requirements or any condition which poses a serious or imminent danger to the health or safety of the public or Government personnel, the Contracting Officer shall notify the Contractor orally, with written confirmation, and request immediate initiation of corrective action. This notice, when delivered to the Contractor or the Contractor's representative at the work site, shall be deemed sufficient notice of the noncompliance and that corrective action is required. After receiving the notice, the Contractor shall immediately take corrective action. If the Contractor fails or refuses to promptly take corrective action, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. The Contractor shall not be entitled to any equitable adjustment of the contract price or extension of the performance schedule on any stop work order issued under this clause.

(e) The Contractor shall insert this clause, including this paragraph (e), with appropriate changes in the designation of the parties, in subcontractors.

(f) Before commencing the work, the Contractor shall--

- (1) Submit a written proposed plan for implementing this clause. The plan shall include an analysis of the significant hazards to life, limb, and property inherent in contract work performance and a plan for controlling these hazards; and
- (2) Meet with representatives of the Contracting Officer to discuss and develop a mutual understanding relative to administration of the overall safety program.

100.*FAR 52.236-14 AVAILABILITY AND USE OF UTILITY SERVICES (APR 1984)

(a) The Government shall make all reasonably required amounts of utilities available to the Contractor from existing outlets and supplies, as specified in the contract. Unless otherwise provided in the contract, the amount of each utility service consumed shall be charged to or paid for by the Contractor at prevailing rates charged to the Government or, where the utility is produced by the Government, at reasonable rates determined by the Contracting Officer. The Contractor shall carefully conserve any utilities furnished without charge.

(b) The Contractor, at its expense and in a workmanlike manner satisfactory to the Contracting Officer, shall install and maintain all necessary temporary connections and distribution lines, and all meters required to

measure the amount of each utility used for the purpose of determining charges. Before final acceptance of the work by the Government, the Contractor shall remove all the temporary connections, distribution lines, meters, and associated paraphernalia.

101.FAR 52.236-15 SCHEDULES FOR CONSTRUCTION CONTRACTS (APR 1984)

(a) The Contractor shall, within five days after the work commences on the contract or another period of time determined by the Contracting Officer, prepare and submit to the Contracting Officer for approval three copies of a practicable schedule showing the order in which the Contractor proposes to perform the work, and the dates on which the Contractor contemplates starting and completing the several salient features of the work (including acquiring materials, plant, and equipment). The schedule shall be in the form of a progress chart of suitable scale to indicate appropriately the percentage of work scheduled for completion by any given date during the period. If the Contractor fails to submit a schedule within the time prescribed, the Contracting Officer may withhold approval of progress payments until the Contractor submits the required schedule.

(b) The Contractor shall enter the actual progress on the chart as directed by the Contracting Officer, and upon doing so shall immediately deliver three copies of the annotated schedule to the Contracting Officer. If, in the opinion of the Contracting Officer, the Contractor falls behind the approved schedule, the Contractor shall take steps necessary to improve its progress, including those that may be required by the Contracting Officer, without additional cost to the Government. In this circumstance, the Contracting Officer may require the Contractor to increase the number of shifts, overtime operations, days of work, and/or the amount of construction plant, and to submit for approval any supplementary schedule or schedules in chart form as the Contracting Officer deems necessary to demonstrate how the approved rate of progress will be regained.

(c) Failure of the Contractor to comply with the requirements of the Contracting Officer under this clause shall be grounds for a determination by the Contracting Officer that the Contractor is not prosecuting the work with sufficient diligence to ensure completion within the time specified in the contract. Upon making this determination, the Contracting Officer may terminate the Contractor's right to proceed with the work, or any separable part of it, in accordance with the default terms of this contract.

102.*FAR 52.236-17 LAYOUT OF WORK (APR 1984)

The Contractor shall lay out its work from Government-established base lines and bench marks indicated on the drawings, and shall be responsible for all measurements in connection with the layout. The Contractor shall furnish, at its own expense, all stakes, templates, platforms, equipment, tools, materials, and labor required to lay out any part of the work. The Contractor shall be responsible for executing the work to the lines and grades that may be established or indicated by the Contracting Officer. The Contractor shall also be responsible for maintaining and preserving all stakes and other marks established by the Contracting Officer until authorized to remove them. If such marks are destroyed by the Contractor or through its negligence before their removal is authorized, the Contracting Officer may replace them and deduct the expense of the replacement from any amounts due or to become due to the Contractor.

103.FAR 52.236-21 SPECIFICATIONS AND DRAWINGS FOR CONSTRUCTION (FEB 1997)

(a) The Contractor shall keep on the work site a copy of the drawings and specifications and shall at all times give the Contracting Officer access thereto. Anything mentioned in the specifications and not shown on the drawings, or shown on the drawings and not mentioned in the specifications, shall be of like effect as if shown or mentioned in both. In case of difference between drawings and specifications, the specifications shall govern. In case of discrepancy in the figures, in the drawings, or in the specifications, the matter shall be promptly submitted to the Contracting Officer, who shall promptly make a determination in writing. Any adjustment by the Contractor without such a determination shall be at its own risk and expense. The Contracting Officer shall furnish from time to time such detailed drawings and other information as considered necessary, unless otherwise provided.

(b) Wherever in the specifications or upon the drawings the words "directed," "required," "ordered," "designated," "prescribed," or words of like import are used, it shall be understood that the "direction," "requirement," "order," "designation," or "prescription," of the Contracting Officer is intended and similarly the words "approved," "acceptable," "satisfactory," or words of like import shall mean "approved by," or "acceptable to," or "satisfactory to" the Contracting Officer, unless otherwise expressly stated.

(c) Where "as shown," "as indicated," "as detailed," or words of similar import are used, it shall be understood that the reference is made to the drawings accompanying this contract unless stated otherwise. The word "provided" as used herein shall be understood to mean "provide complete in place," that is "furnished and installed."

(d) Shop drawings means drawings, submitted to the Government by the Contractor, subcontractor, or any lower tier subcontractor pursuant to a construction contract, showing in detail

(1) the proposed fabrication and assembly of structural elements, and

(2) the installation (i.e., fit, and attachment details) of materials or equipment. It includes drawings, diagrams, layouts, schematics, descriptive literature, illustrations, schedules, performance and test data, and similar materials furnished by the Contractor to explain in detail specific portions of the work required by the contract. The Government may duplicate, use, and disclose in any manner and for any purpose shop drawings delivered under this contract.

(e) If this contract requires shop drawings, the Contractor shall coordinate all such drawings, and review them for accuracy, completeness, and compliance with contract requirements and shall indicate its approval thereon as evidence of such coordination and review. Shop drawings submitted to the Contracting Officer without evidence of the Contractor's approval may be returned for resubmission. The Contracting Officer will indicate an approval or disapproval of the shop drawings and if not approved as submitted shall indicate the Government's reasons therefor. Any work done before such approval shall be at the Contractor's risk. Approval by the Contracting Officer shall not relieve the Contractor from responsibility for any errors or omissions in such drawings, nor from responsibility for complying with the requirements of this contract, except with respect to variations described and approved in accordance with (f) below.

(f) If shop drawings show variations from the contract requirements, the Contractor shall describe such variations in writing, separate from the drawings, at the time of submission. If the Contracting Officer approves any such variation, the Contracting Officer shall issue an appropriate contract modification, except that, if the variation is minor or does not involve a change in price or in time of performance, a modification need not be issued.

(g) The Contractor shall submit to the Contracting Officer for approval four copies (unless otherwise indicated) of all shop drawings as called for under the various headings of these specifications. Three sets (unless otherwise indicated) of all shop drawings, will be retained by the Contracting Officer and one set will be returned to the Contractor.

104. *FAR 52.236-26 PRECONSTRUCTION CONFERENCE (FEB 1995)

If the Contracting Officer decides to conduct a preconstruction conference, the successful offeror will be notified and will be required to attend. The Contracting Officer's notification will include specific details regarding the date, time, and location of the conference, any need for attendance by subcontractors, and information regarding the items to be discussed.

105. DFARS 252.236-7000 MODIFICATION OF PROPOSALS - PRICE BREAKDOWN (DEC 1991)

(a) The Contractor shall furnish a price breakdown, itemized as required and within the time specified by the Contracting Officer, with any proposal for a contract modification.

(b) The price breakdown--

(1) Must include sufficient detail to permit an analysis of profit, and of all costs for--

(i) Material;

(ii) Labor,

(iii) Equipment;

(iv) Subcontracts; and

- (2) Most cover all work involved in the modification, whether the work was deleted, added, or changed.
- (c) The Contractor shall provide similar price breakdowns to support any amounts claimed for subcontracts.
- (d) The Contractor's proposal shall include a justification for any time extension proposed.

106. DFARS 252.236-7008 CONTRACT PRICES - BIDDING SCHEDULES (DEC 1991)

(a) The Government's payment for the items listed in the Bidding Schedule shall constitute full compensation to the Contractor for--

- (1) Furnishing all plant, labor, equipment, appliances, and materials; and
- (2) Performing all operations required to complete the work in conformity with the drawings and specifications.

(b) The Contractor shall include in the prices for the items listed in the Bidding Schedule all costs for work in the specifications, whether or not specifically listed in the Bidding Schedule.

107. *FAR 52.242-13 BANKRUPTCY (JUL 1995)

In the event the Contractor enters into proceedings relating to bankruptcy, whether voluntary or involuntary, the Contractor agrees to furnish, by certified mail or electronic commerce method authorized by the contract, written notification of the bankruptcy to the Contracting Officer responsible for administering the contract. This notification shall be furnished within five days of the initiation of the proceedings relating to bankruptcy filing. This notification shall include the date on which the bankruptcy petition was filed, the identity of the court in which the bankruptcy petition was filed, and a listing of Government contract numbers and contracting offices for all Government contracts against which final payment has not been made. This obligation remains in effect until final payment under this contract.

108. *FAR 52.242-14 SUSPENSION OF WORK (APR 1984)

(a) The Contracting Officer may order the Contractor, in writing, to suspend, delay, or interrupt all or any part of the work of this contract for the period of time that the Contracting Officer determines appropriate for the convenience of the Government.

(b) If the performance of all or any part of the work is, for an unreasonable period of time, suspended, delayed, or interrupted (1) by an act of the Contracting Officer in the administration of this contract, or (2) by the Contracting Officer's failure to act within the time specified in this contract (or within a reasonable time if not specified), an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) necessarily caused by the unreasonable suspension, delay, or interruption, and the contract modified in writing accordingly. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the Contractor, or for which an equitable adjustment is provided for or excluded under any other term or condition of this contract.

(c) A claim under this clause shall not be allowed (1) for any costs incurred more than 20 days before the Contractor shall have notified the Contracting Officer in writing of the act or failure to act involved (but this requirement shall not apply as to a claim resulting from a suspension order), and (2) unless the claim, in an amount stated, is asserted in writing as soon as practicable after the termination of the suspension, delay, or interruption, but not later than the date of final payment under the contract.

109. FAR 52.243-4 CHANGES (AUG 1987)

(a) The Contracting Officer may, at any time, without notice to the sureties, if any, by written order designated or indicated to be a change order, make changes in the work within the general scope of the contract, including changes--

- (1) In the specifications (including drawings and designs);
- (2) In the method or manner of performance of the work;
- (3) In the Government-furnished facilities, equipment, materials, services, or site; or
- (4) Directing acceleration in the performance of the work.

(b) Any other written or oral order (which, as used in this paragraph (b), includes direction, instruction, interpretation, or determination) from the Contracting Officer that causes a change shall be treated as a change order under this clause; provided, that the Contractor gives the Contracting Officer written notice stating

- (1) the date, circumstances, and source of the order and
- (2) that the Contractor regards the order as a change order.

(c) Except as provided in this clause, no order, statement, or conduct of the Contracting Officer shall be treated as a change under this clause or entitle the Contractor to an equitable adjustment.

(d) If any change under this clause causes an increase or decrease in the Contractor's cost of, or the time required for, the performance of any part of the work under this contract, whether or not changed by any such order, the Contracting Officer shall make an equitable adjustment and modify the contract in writing. However, except for an adjustment based on defective specifications, no adjustment for any change under paragraph (b) of this clause shall be made for any costs incurred more than 20 days before the Contractor gives written notice as required. In the case of defective specifications for which the Government is responsible, the equitable adjustment shall include any increased cost reasonably incurred by the Contractor in attempting to comply with the defective specifications.

(e) The Contractor must assert its right to an adjustment under this clause within 30 days after

- (1) receipt of a written change order under paragraph (a) of this clause or
- (2) the furnishing of a written notice under paragraph (b) of this clause, by submitting to the

Contracting Officer a written statement describing the general nature and amount of the proposal, unless this period is extended by the Government. The statement of proposal for adjustment may be included in the notice under paragraph (b) above.

(f) No proposal by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this contract.

110. DFARS 252.243-7001 PRICING OF CONTRACT MODIFICATIONS (DEC 1991)

When costs are a factor in any price adjustment under this contract, the contract cost principles and procedures in FAR Part 31 and DFARS Part 231, in effect on the date of this contract, apply.

111. DFARS 252.243-7002 REQUESTS FOR EQUITABLE ADJUSTMENT (MAR 1998)

(a) The amount of any request for equitable adjustment to contract terms shall accurately reflect the contract adjustment for which the Contractor believes the Government is liable. The request shall include only costs for performing the change, and shall not include any costs that already have been reimbursed or that have been separately claimed. All indirect costs included in the request shall be properly allocable to the change in accordance with applicable acquisition regulations.

(b) In accordance with 10 U.S.C. 2410(a), any request for equitable adjustment to contract terms that exceeds the simplified acquisition threshold shall bear, at the time of submission, the following certificate executed by an individual authorized to certify the request on behalf of the Contractor:

I certify that the request is made in good faith, and that the supporting data are accurate and complete to the best of my knowledge and belief.

(Official's Name)

(Title)

(c) The certification in paragraph (b) of this clause requires full disclosure of all relevant facts, including--

(1) Cost or pricing data if required in accordance with subsection 15.403-4 of the Federal Acquisition Regulation; and

(2) Information other than cost or pricing data, in accordance with subsection 15.403-3 of the FAR, including actual cost data and data to support any estimated costs, even if cost or pricing data are not required.

(d) The certification requirement in paragraph (b) of this clause does not apply to---

(1) Requests for routine contract payments; for example, requests for payment for accepted supplies and services, routine vouchers under a cost-reimbursement type contract, or progress payment invoices; or

(2) Final adjustment under an incentive provision of the contract.

(End of clause)

112. *FAR 52.244-2 SUBCONTRACTS (AUG 1998)

(a) Definitions. As used in this clause--

"Approved purchasing system" means a Contractor's purchasing system that has been reviewed and approved in accordance with Part 44 of the Federal Acquisition Regulation (FAR).

"Consent of subcontract" means the Contracting Officer's written consent for the Contractor to enter into a particular subcontract.

"Subcontract," means any contract, as defined in FAR Subpart 2.1, entered into by a subcontractor to furnish supplies or services for performance of the the prime contract or a subcontract. It includes, but is not limited to purchase orders, and changes and modifications to purchase orders.

(b) This clause does not apply to subcontracts for special test equipment when the contract contains the clause at FAR 52.245-18, Special Test Equipment.

(c) When this clause is included in a fixed-price type contract, consent to subcontract is required only on unpriced contract actions (including unpriced modification or unpriced delivery orders), and only if required in accordance with paragraph (d) or (e) of this clause.

(d) If the Contractor does not have an approved purchasing system, consent to subcontract is required for any subcontract that--

(1) Is of the cost-reimbursement, time-and-materials, or labor-hour type; or

(2) Is fixed-price and exceeds--

(i) For a contract awarded by the Department of Defense, the Coast Guard, or the National Aeronautics and Space Administration, the greater of the simplified threshold or 5 percent of the total estimated cost of the contract; or

(ii) For a contract awarded by a civilian agency other than the Coast Guard and the National Aeronautics and Space Administration, either the the simplified threshold or 5 percent of the total estimated cost of the contract.

(e) If the Contractor has an approved purchasing system, the Contractor nevertheless shall obtain the Contracting Officer's written consent before placing the following subcontracts:

(f)(1) The Contractor shall notify the Contracting Officer reasonably in advance of placing any subcontract or modification thereof for which consent is required under paragraph (c), (d), or (e) of this clause, including the following information:

- (i) A description of the supplies or services to be subcontracted.
- (ii) Identification of the type of subcontract to be used.
- (iii) Identification of the proposed subcontractor.
- (iv) The proposed subcontract price.
- (v) The subcontractor's current, complete, and accurate cost or pricing data and Certificate of Current Cost or Pricing Data, if required by other contract provisions.
- (vi) The subcontractor's Disclosure Statement or Certificate relating to Cost Accounting Standards when such data are required by other provisions of this contract.
- (vii) A negotiation memorandum reflecting--
 - (A) The principal elements of the subcontract price negotiations;
 - (B) The most significant considerations controlling establishment of initial or revised prices;
 - (C) The reason cost or pricing data were or were not required;
 - (D) The extent, if any, to which the Contractor did not rely on the subcontractor's cost or pricing data in determining the price objective and in negotiating the final price;
 - (E) The extent to which it was recognized in the negotiation that the subcontractor's cost or pricing data were not accurate, complete, or current; the action taken by the Contractor and subcontractor; and the effect of any such defective data on the total price negotiated;
 - (F) The reasons for any significant difference between the Contractor's price objective and the price negotiated; and
 - (G) A complete explanation of the incentive fee or profit plan when incentives are used. The explanation shall identify each critical performance element, management decisions used to quantify each incentive element, reasons for the incentives, and a summary of all trade-off possibilities considered.

(2) The Contractor is not required to notify the Contracting Officer in advance of entering into any subcontract for which consent is not required under paragraph (c), (d), or (e) of this clause.

(g) Unless the consent or approval specifically provides otherwise, neither consent by the Contracting Officer to any subcontract nor approval of the Contractor's purchasing system shall constitute a determination--

- (1) Of the acceptability of any subcontract terms or conditions;
- (2) Of the acceptability of any cost under this contract; or
- (3) To relieve the Contractor of any responsibility for performing this contract.

(h) No subcontract or modification thereof placed under this contract shall provide for payment on a cost-plus-a-percentage-of-cost basis, and any fee payable under cost-reimbursement subcontracts shall not exceed the fee limitations in FAR 15.404-4(c)(4)(i).

(i) The Contractor shall give the Contracting Officer immediate written notice of any action or suit filed and prompt notice of any claim made against the Contractor by any subcontractor or vendor that, in the opinion of the Contractor, may result in litigation related in any way to this contract, with respect to which the Contractor may be entitled to reimbursement by the Government.

(j) The Government reserves the right to review the Contractor's purchasing system as set forth in FAR Subpart 44.3.

(k) Paragraphs (d) and (f) of this clause do not apply to the following subcontracts, which ere evaluated during negotiations:

(End of clause)

113. FAR 52.244-6 SUBCONTRACTS FOR COMMERCIAL ITEMS (APR 2003)

(a) *Definitions.* As used in this clause—

"Commercial item" has the meaning contained in the clause at 52.202-1, Definitions.

"Subcontract" includes a transfer of commercial items between divisions, subsidiaries, or affiliates of the Contractor or subcontractor at any tier.

(b) To the maximum extent practicable, the Contractor shall incorporate, and require its subcontractors at all tiers to incorporate, commercial items or nondevelopmental items as components of items to be supplied under this contract.

(c)(1) The Contractor shall insert the following clauses in subcontracts for commercial items:

(i) 52.219-8, Utilization of Small Business Concerns (Oct 2000) (15 U.S.C. 637(d)(2) and (3)), in all subcontracts that offer further subcontracting opportunities. If the subcontract (except subcontracts to small business concerns) exceeds \$500,000 (\$1,000,000 for construction of any public facility), the subcontractor must include 52.219-8 in lower tier subcontracts that offer subcontracting opportunities.

(ii) 52.222-26, Equal Opportunity (Apr 2002) (E.O. 11246).

(iii) 52.222-35, Equal Opportunity for Special Disabled Veterans, Veterans of the Vietnam Era, and Other Eligible Veterans (Dec 2001) (38 U.S.C. 4212(a));

(iv) 52.222-36, Affirmative Action for Workers with Disabilities (June 1998) (29 U.S.C. 793).

(v) 52.247-64, Preference for Privately Owned U.S.-Flag Commercial Vessels (APR 2003) (46 U.S.C. Appx 1241 and 10 U.S.C. 2631) (flow down required in accordance with paragraph (d) of FAR clause 52.247-64).

(2) While not required, the Contractor may flow down to subcontracts for commercial items a minimal number of additional clauses necessary to satisfy its contractual obligations.

(d) The Contractor shall include the terms of this clause, including this paragraph (d), in subcontracts awarded under this contract.

(End of clause)

114. *FAR 52.245-2 GOVERNMENT PROPERTY (FIXED-PRICE CONTRACTS) (JUNE 2003) [For Government Property over \$100,000]

(a) Government-furnished property.

(1) The Government shall deliver to the Contractor, for use in connection with and under the terms of this contract, the Government-furnished property described in the Schedule or specifications together with any related data and information that the Contractor may request and is reasonably required for the intended use of the property (hereinafter referred to as "Government-furnished property").

(2) The delivery or performance dates for this contract are based upon the expectation that Government-furnished property suitable for use (except for property furnished "as is") will be delivered to the Contractor at the times stated in the Schedule or, if not so stated, in sufficient time to enable the Contractor to meet the contract's delivery or performance dates.

(3) If Government-furnished property is received by the Contractor in a condition not suitable for the intended use, the Contractor shall, upon receipt of it, notify the Contracting Officer, detailing the facts, and, as directed by the Contracting Officer and at Government expense, either repair, modify, return, or otherwise dispose of the property. After completing the directed action and upon written request of the Contractor, the Contracting Officer shall make an equitable adjustment as provided in paragraph (h) of this clause.

(4) If Government-furnished property is not delivered to the Contractor by the required time, the Contracting Officer shall, upon the Contractor's timely written request, make a determination of the delay, if any, caused the Contractor and shall make an equitable adjustment in accordance with paragraph (h) of this clause.

- (b) Changes in Government-furnished property.
- (1) The Contracting Officer may, by written notice,
- (i) decrease the Government-furnished property provided or to be provided under this contract, or
- (ii) substitute other Government-furnished property for the property to be provided by the Government, or to be acquired by the Contractor for the Government, under this contract. The Contractor shall promptly take such action as the Contracting Officer may direct regarding the removal, shipment, or disposal of the property covered by such notice.
- (2) Upon the Contractor's written request, the Contracting Officer shall make an equitable adjustment to the contract in accordance with paragraph (h) of this clause, if the Government has agreed in the Schedule to make the property available for performing this contract and there is any--
- (i) Decrease or substitution in this property pursuant to subparagraph (b)(1) above; or
- (ii) Withdrawal of authority to use this property, if provided under any other contract or lease.
- (c) Title in Government property.
- (1) The Government shall retain title to all Government-furnished property.
- (2) All Government-furnished property and all property acquired by the Contractor, title to which vests in the Government under this paragraph (collectively referred to as "Government property"), are subject to the provisions of this clause. However, special tooling accountable to this contract is subject to the provisions of the Special Tooling clause and is not subject to the provisions of this clause. Title to Government property shall not be affected by its incorporation into or attachment to any property not owned by the Government, nor shall government property become a fixture or lose its identity as personal property by being attached to any real property.
- (3) Title to each item of facilities and special test equipment acquired by the Contractor for the Government under this contract shall pass to and vest in the Government when its use in performing this contract commences or when the Government has paid for it, whichever is earlier, whether or not title previously vested in the Government.
- (4) If this contract contains a provision directing the Contractor to purchase material for which the Government will reimburse the Contractor as a direct item of cost under this contract--
- (i) Title to material purchased from a vendor shall pass to and vest in the Government upon the vendor's delivery of such material; and
- (ii) Title to all other material shall pass to and vest in the Government upon--
- (A) Issuance of the material for use in contract performance;
- (B) Commencement of processing of the material or its use in contract performance; or
- (C) Reimbursement of the cost of the material by the Government, whichever occurs first.
- (d) Use of Government property. The Government property shall be used only for performing this contract, unless otherwise provided in this contract or approved by the Contracting Officer.
- (e) Property Administration.
- (1) The Contractor shall be responsible and accountable for all Government property provided under this contract and shall comply with Federal Acquisition Regulation (FAR) Subpart 45.5, as in effect on the date of this contract.
- (2) The Contractor shall establish and maintain a program for the use, maintenance, repair, protection, and preservation of Government property in accordance with sound industrial practice and the applicable provisions of Subpart 45.5 of the FAR.
- (3) If damage occurs to Government property, the risk of which has been assumed by the Government under this contract, the Government shall replace the items or the Contractor shall make such repairs as the Government directs. However, if the Contractor cannot effect such repairs within the time required, the Contractor shall dispose of the property as directed by the Contracting Officer. When any property for which the Government is responsible is replaced or repaired, the Contracting Officer shall make an equitable adjustment in accordance with paragraph (h) of this clause.

(4) The Contractor represents that the contract price does not include any amount for repairs or replacement for which the Government is responsible. Repair or replacement of property for which the Contractor is responsible shall be accomplished by the Contractor at its own expense.

(f) Access. The Government and all its designees shall have access at all reasonable times to the premises in which any Government property is located for the purpose of inspecting the Government property.

(g) Risk of loss. Unless otherwise provided in this contract, the Contractor assumes the risk of, and shall be responsible for, any loss or destruction of, or damage to, Government property upon its delivery to the Contractor or upon passage of title to the Government under paragraph (c) of this clause. However, the Contractor is not responsible for reasonable wear and tear to Government property or for Government property properly consumed in performing this contract.

(h) Equitable adjustment. When this clause specifies an equitable adjustment, it shall be made to any affected contract provision in accordance with the procedures of the Changes clause. When appropriate, the Contracting Officer may initiate an equitable adjustment in favor of the Government. The right to an equitable adjustment shall be the Contractor's exclusive remedy. The Government shall not be liable to suit for breach of contract for--

- (1) Any delay in delivery of Government-furnished property;
- (2) Delivery of Government-furnished property in a condition not suitable for its intended use;
- (3) A decrease in or substitution of Government-furnished property; or
- (4) Failure to repair or replace Government property for which the Government is responsible.

(i) Final accounting and disposition of Government property. Upon completing this contract, or at such earlier dates as may be fixed by the Contracting Officer, the Contractor shall submit, in a form acceptable to the Contracting Officer, inventory schedules covering all items of Government property (including any resulting scrap) not consumed in performing this contract or delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as the Contracting Officer directs.

(j) Abandonment and restoration of Contractor's premises. Unless otherwise provided herein, the Government--

(1) May abandon any Government property in place, at which time all obligations of the Government regarding such abandoned property shall cease; and

(2) Has no obligation to restore or rehabilitate the Contractor's premises under any circumstances (e.g., abandonment, disposition upon completion of need, or upon contract completion). However, if the Government-furnished property (listed in the Schedule or specifications) is withdrawn or is unsuitable for the intended use, or if other Government property is substituted, then the equitable adjustment under paragraph (h) of this clause may properly include restoration or rehabilitation costs.

(k) Communications. All communications under this clause shall be in writing.

(l) Overseas contracts. If this contract is to be performed outside of the United States of America and its outlying areas, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

115. *FAR 52.245-4 GOVERNMENT-FURNISHED PROPERTY (SHORT FORM) (JUNE 2003) [For Government Property \$100,000 or Less]

(a) The Government shall delivery to the Contractor, at the time and locations stated in this contract, the Government-furnished property described in the Schedule or specifications. If that property, suitable for its intended use, is not delivered to the Contractor, the Contracting Officer shall equitably adjust affected provisions of this contract in accordance with the Changed clause when--

- (1) The Contractor submits a timely written request for an equitable adjustment; and
- (2) The facts warrant an equitable adjustment.

(b) Title to Government-furnished property shall remain in the Government. The Contractor shall use the Government-furnished property only in connection with this contract. The Contractor shall maintain adequate property control records in accordance with sound industrial practice and will make such records available for

Government inspection at all reasonable times, unless the clause at Federal Acquisition Regulation 52.245-1, Property Records, is included in this contract.

(c) Upon delivery of Government-furnished property to the Contractor, the Contractor assumes the risk and responsibility for its loss or damage, except--

- (1) For reasonable wear and tear;
- (2) To the extent property is consumed in performing this contract; or
- (3) As otherwise provided for by the provisions of this contract.

(d) Upon completing this contract, the Contractor shall follow the instructions of the Contracting Officer regarding the disposition of all Government-furnished property not consumed in performing this contract or previously delivered to the Government. The Contractor shall prepare for shipment, deliver f.o.b. origin, or dispose of the Government property, as may be directed or authorized by the Contracting Officer. The net proceeds of any such disposal shall be credited to the contract price or shall be paid to the Government as directed by the Contracting Officer.

(e) If this contract is to be performed outside the United States of America and its outlying areas, the words "Government" and "Government-furnished" (wherever they appear in this clause) shall be construed as "United States Government" and "United States Government-furnished," respectively.

116. *FAR 52.246-12 INSPECTION OF CONSTRUCTION (AUG 1996)

(a) Definition. "Work" includes, but is not limited to, materials, workmanship, and manufacture and fabrication of components.

(b) The Contractor shall maintain an adequate inspection system and perform such inspections as will ensure that the work performed under the contract conforms to contract requirements. The Contractor shall maintain complete inspection records and make them available to the Government. All work shall be conducted under the general direction of the Contracting Officer and is subject to Government inspection and test at all places and at all reasonable times before acceptance to ensure strict compliance with the terms of the contract.

(c) Government inspections and tests are for the sole benefit of the Government and do not--

- (1) Relieve the Contractor of responsibility for providing adequate quality control measures;
- (2) Relieve the Contractor of responsibility for damage to or loss of the material before acceptance;
- (3) Constitute or imply acceptance; or
- (4) Affect the continuing rights of the Government after acceptance of the completed work

under paragraph (i) below.

(d) The presence or absence of a Government inspector does not relieve the Contractor from any contract requirement, nor is the inspector authorized to change any term or condition of the specification without the Contracting Officer's written authorization.

(e) The Contractor shall promptly furnish, at no increase in contract price, all facilities, labor, and material reasonably needed for performing such safe and convenient inspections and tests as may be required by the Contracting Officer. The Government may charge to the Contractor any additional cost of inspection or test when work is not ready at the time specified by the Contractor for inspection or test, or when prior rejection makes reinspection or retest necessary. The Government shall perform all inspections and tests in a manner that will not unnecessarily delay the work. Special, full size, and performance tests shall be performed as described in the contract.

(f) The Contractor shall, without charge, replace or correct work found by the Government not to conform to contract requirements, unless in the public interest the Government consents to accept the work with an appropriate adjustment in contract price. The Contractor shall promptly segregate and remove rejected material from the premises.

(g) If the Contractor does not promptly replace or correct rejected work, the Government may

- (1) by contract or otherwise, replace or correct the work and charge the cost to the Contractor

or

- (2) Terminate for default the Contractor's right to proceed.

(h) If, before acceptance of the entire work, the Government decides to examine already completed work by removing it or tearing it out, the Contractor, on request, shall promptly furnish all necessary facilities, labor,

and material. If the work is found to be defective or nonconforming in any material respect due to the fault of the Contractor or its subcontractors, the Contractor shall defray the expenses of the examination and of satisfactory reconstruction. However, if the work is found to meet contract requirements, the Contracting Officer shall make an equitable adjustment for the additional services involved in the examination and reconstruction, including, if completion of the work was thereby delayed, an extension of time.

(i) Unless otherwise specified in the contract, the Government shall accept, as promptly as practicable after completion and inspection, all work required by the contract or that portion of the work the Contracting Officer determines can be accepted separately. Acceptance shall be final and conclusive except for latent defects, fraud, gross mistakes amounting to fraud, or the Government's rights under any warranty or guarantee.

117. *FAR 52.246-21 WARRANTY OF CONSTRUCTION (MAR 1994)

(a) In addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, or design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

- (1) The Contractor's failure to conform to contract requirements; or
- (2) Any defect of equipment, material, workmanship, or design furnished.

(d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause. The Contractor's warranty with respect to work repaired or replaced will run for 1 year from the date of repair or replacement.

(e) The Contracting Officer shall notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage.

(f) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(g) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

- (1) Obtain all warranties that would be given in normal commercial practice;
- (2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and
- (3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

(h) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(i) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

(j) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.

118. DFARS 252.247-7023 TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)

(a) Definitions.

As used in this clause--

(1) "Components" means articles, materials, and supplies incorporated directly into end products at any level of manufacture, fabrication, or assembly by the Contractor or any subcontractor.

(2) "Department of Defense" (DOD) means the Army, Navy, Air Force, Marine Corps, and defense agencies.

(3) "Foreign flag vessel" means any vessel that is not a U.S.-flag vessel.

(4) "Ocean transportation" means any transportation aboard a ship, vessel, boat, barge, or ferry through international waters.

(5) "Subcontractor" means a supplier, materialman, distributor, or vendor at any level below the prime Contractor whose contractual obligation to perform results from, or is conditioned upon, award of the prime contract and who is performing any part of the work or other requirement of the prime contract.

(6) "Supplies" means all property, except land and interests in land, that is clearly identifiable for eventual use by or owned by the DoD at the time of transportation by sea.

(i) An item is clearly identifiable for eventual use by the DoD if, for example, the contract documentation contains a reference to a DoD contract number or a military destination.

(ii) "Supplies" includes (but is not limited to) public works; buildings and facilities; ships; floating equipment and vessels of every character, type, and description, with parts, subassemblies, accessories, and equipment; machine tools; material; equipment; stores of all kinds; end items; construction materials; and components of the foregoing.

(7) "U.S.-flag vessel" means a vessel of the United States or belonging to the United States, including any vessel registered or having national status under the laws of the United States.

(b) (1) The Contractor shall use U.S. -flag vessels when transporting any supplies by sea under this contract.

(2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessel if--

(i) This Contract is a construction contract; or

(ii) The supplies being transported are--

(A) Noncommercial items; or

(B) Commercial items that--

(1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);

(2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or

(3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

(c) The Contractor and its subcontractors may request that the Contracting Officer authorize shipment in foreign-flag vessels, or designate available U.S.-flag vessels, if the Contractor or a subcontractor believes that--

(1) U.S.-flag vessels are not available for timely shipment;

(2) The freight charges are inordinately excessive or unreasonable; or

(3) Freight charges are higher than charges to private persons for transportation of like goods.

(d) The Contractor must submit any request for use of other than U.S.-flag vessels in writing to the Contracting Officer at least 45 days prior to the sailing date necessary to meet its delivery schedules. The

Contracting Officer will process requests submitted after such date(s) as expeditiously as possible, but the Contracting Officer's failure to grant approvals to meet the shipper's sailing date will not of itself constitute a compensable delay under this or any other clause of this contract. Requests shall contain at a minimum--

- (1) Type, weight, and cube of cargo;
- (2) Required shipping date;
- (3) Special handling and discharge requirements;
- (4) Loading and discharge points;
- (5) Name of shipper and consignee;
- (6) Prime contract number, and
- (7) A documented description of efforts made to secure U.S.-flag vessels, including points of

contact (with names and telephone numbers) with at least two U.S.-flag carriers contacted. Copies of telephone notes, telegraphic and facsimile message or letters will be sufficient for this purpose.

(e) The Contractor shall, within 30 days after each shipment covered by this clause, provide the Contracting Officer and the Division of National Cargo, Office of Market Development, Maritime Administration, U.S. Department of Transportation, Washington, DC 20590, one copy of the rated on board vessel operating carrier's ocean bill of lading, which shall contain the following information--

- (1) Prime contract number;
- (2) Name of vessel;
- (3) Vessel flag of registry;
- (4) Date of loading;
- (5) Port of loading;
- (6) Port of final discharge;
- (7) Description of commodity;
- (8) Gross weight in pounds and cubic feet if available;
- (9) Total ocean freight in U.S. dollars; and
- (10) Name of the steamship company.

(f) The Contractor agrees to provide with its final invoice under this contract a representation that to the best of its knowledge and belief--

- (1) No ocean transportation was used in the performance of this contract;
- (2) Ocean transportation was used and only U.S.-flag vessels were used for all ocean

shipments under the contract;

- (3) Ocean transportation was used, and the Contractor had the written consent of the

Contracting Officer for all non-U.S.-flag ocean transportation; or

- (4) Ocean transportation was used and some or all of the shipments were made on non-U.S.-

flag vessels without the written consent of the Contracting Officer. The Contractor shall describe these shipments in the following format;

ITEM	CONTRACT
DESCRIPTION	LINE ITEMS QUANTITY

TOTAL

(g) If the final invoice does not include the required representation, the Government will reject and return it to the Contractor as an improper invoice for the purposes of the Prompt Payment clause of this contract. In the event there has been unauthorized use of non-U.S.-flag vessels in the performance of this contract, the Contracting Officer is entitled to equitably adjust the contract, based on the unauthorized use.

(h) The Contractor shall include this clause, including this paragraph (h) in all subcontracts under this contract that-

- (1) Exceed the simplified acquisition threshold in Part 2 of the Federal Acquisition Regulation; and
- (2) Are for a type of supplies described in paragraph (b) (2) of this clause.

119. DFARS 252.247-7024 NOTIFICATION OF TRANSPORTATION OF SUPPLIES BY SEA (MAR 2000)

(a) The Contractor has indicated by the response to the solicitation provision, Representation of Extent of Transportation by Sea, that it did not anticipate transporting by sea any supplies. If, however, after the award of this contract, the Contractor learns that supplies, as defined in the Transportation of Supplies by Sea clause of this contract, will be transported by sea, the Contractor--

- (1) Shall notify the Contracting Officer of that fact; and
- (2) Hereby agrees to comply with all the terms and conditions of the Transportation of Supplies by Sea clause of this contract.

(b) (1) The Contractor shall use U.S. -flag vessels when transporting any supplies by sea under this contract.

(2) A subcontractor transporting supplies by sea under this contract shall use U.S.-flag vessel if--

- (i) This Contract is a construction contract; or
- (ii) The supplies being transported are-
 - (A) Noncommercial items; or
 - (B) Commercial items that-
 - (1) The Contractor is reselling or distributing to the Government without adding value (generally, the Contractor does not add value to items that it subcontracts for f.o.b. destination shipment);
 - (2) Are shipped in direct support of U.S. military contingency operations, exercises, or forces deployed in humanitarian or peacekeeping operations; or
 - (3) Are commissary or exchange cargoes transported outside of the Defense Transportation System in accordance with 10 U.S.C. 2643.

120. FAR 52.248-3 VALUE ENGINEERING--CONSTRUCTION (FEB 2000) (ALERNATE I (APR 1984))

(a) General. The Contractor is encouraged to develop, prepare, and submit value engineering change proposals (VECP's) voluntarily. The Contractor shall share in any instant contract savings realized from accepted VECP's, in accordance with paragraph (f) of this clause.

(b) Definitions. "Collateral costs," as used in this clause, means agency costs of operation, maintenance, logistic support, or Government-furnished property.

"Collateral savings," as used in this clause, means those measurable net reductions resulting from a VECP in the agency's overall projected collateral costs, exclusive of acquisition savings, whether or not the acquisition cost changes.

"Contractor's development and implementation costs," as used in this clause, means those costs the Contractor incurs on a VECP specifically in developing, testing, preparing, and submitting the VECP, as well as those costs the Contractor incurs to make the contractual changes required by Government acceptance of a VECP.

"Government costs," as used in this clause, means those agency costs that result directly from developing and implementing the VECP, such as any net increases in the cost of testing, operations, maintenance, and logistic support. The term does not include the normal administrative costs of processing the VECP.

"Instant contract savings," as used in this clause, means the estimated reduction in Contractor cost of performance resulting from acceptance of the VECP, minus allowable Contractor's development and implementation costs, including subcontractors' development and implementation costs (see paragraph (h) of this clause).

"Value engineering change proposal (VECP)" means a proposal that--

- (1) Requires a change to this, the instant contract, to implement; and
- (2) Results in reducing the contract price or estimated cost without impairing essential functions or characteristics; provided, that it does not involve a change--

- (i) In deliverable end item quantities only; or
 - (ii) To the contract type only.
- (c) VECP preparation. As a minimum, the Contractor shall include in each VECP the information described in paragraphs (c) (1) through (7) of this clause. If the proposed change is affected by contractually required configuration management or similar procedures, the instructions in those procedures relating to format, identification, and priority assignment shall govern VECP preparation. The VECP shall include the following:
 - (1) A description of the difference between the existing contract requirement and that proposed, the comparative advantages and disadvantages of each, a justification when an item's function or characteristics are being altered, and the effect of the change on the end item's performance.
 - (2) A list and analysis of the contract requirements that must be changed if the VECP is accepted, including any suggested specification revisions.
 - (3) A separate, detailed cost estimate for
 - (i) the affected portions of the existing contract requirement and
 - (ii) the VECP. The cost reduction associated with the VECP shall take into account the Contractor's allowable development and implementation costs, including any amount attributable to subcontracts under paragraph (h) of this clause.
 - (4) A description and estimate of costs the Government may incur in implementing the VECP, such as test and evaluation and operating and support costs.
 - (5) A prediction of any effects the proposed change would have on collateral costs to the agency.
 - (6) A statement of the time by which a contract modification accepting the VECP must be issued in order to achieve the maximum cost reduction, noting any effect on the contract completion time or delivery schedule.
 - (7) Identification of any previous submissions of the VECP, including the dates submitted, the agencies and contract numbers involved, and previous Government actions, if known.
- (d) Submission. The Contractor shall submit VECP's to the Resident Engineer at the worksite, with a copy to the Contracting Officer.
- (e) Government action.
 - (1) The Contracting Officer will notify the Contractor of the status of the VECP within 45 calendar days after the contracting office receives it. If additional time is required, the Contracting Officer will notify the Contractor within the 45-day period and provide the reason for the delay and the expected date of the decision. The Government will process VECP's expeditiously; however, it will not be liable for any delay in acting upon a VECP.
 - (2) If the VECP is not accepted, the Contracting Officer will notify the Contractor in writing, explaining the reasons for rejection. The Contractor may withdraw any VECP, in whole or in part, at any time before it is accepted by the Government. The Contracting Officer may require that the Contractor provide written notification before undertaking significant expenditures for VECP effort.
 - (3) Any VECP may be accepted, in whole or in part, by the Contracting Officer's award of a modification to this contract citing this clause. The Contracting Officer may accept the VECP, even though an agreement on price reduction has not been reached, by issuing the Contractor a notice to proceed with the change. Until a notice to proceed is issued or a contract modification applied a VECP to this contract, the Contractor shall perform in accordance with the existing contract. The decision to accept or reject all or part of any VECP is a unilateral decision made solely at the discretion of the Contracting Officer.
- (f) Sharing.
 - (1) Rates. The Government's share of savings is determined by subtracting Government costs from instant contract savings and multiplying the result by
 - (i) 45 percent for fixed-price contracts or
 - (ii) 75 percent for cost-reimbursement contracts.
 - (2) Payment. Payment of any share due the Contractor for use of a VECP on this contract shall be authorized by a modification to this contract to--
 - (i) Accept the VECP;
 - (ii) Reduce the contract price or estimated cost by the amount of instant contract savings; and

(iii) Provide the Contractor's share of savings by adding the amount calculated to the contract price or fee.

(g) Deleted.

(h) Subcontracts. The Contractor shall include an appropriate value engineering clause in any subcontract of \$50,000 or more and may include one in subcontracts of lesser value. In computing any adjustment in this contract's price under paragraph (f) of this clause, the Contractor's allowable development and implementation costs clearly resulting from a VECP accepted by the Government under this contract, but shall exclude any value engineering incentive payments to a subcontractor. The Contractor may choose any arrangement for subcontractor value engineering incentive payments; provided, that these payments shall not reduce the Government's share of the savings resulting from the VECP.

(i) Data. The Contractor may restrict the Government's right to use any part of a VECP or the supporting data by marking the following legend on the affected parts:

"These data, furnished under the Value Engineering--Construction clause of contract - _____, shall not be disclosed outside the Government or duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate a value engineering change proposal submitted under the clause. This restriction does not limit the Government's right to use information contained in these data if it has been obtained or is otherwise available from the Contractor or from another source without limitations."

If a VECP is accepted, the Contractor hereby grants the Government unlimited rights in the VECP and supporting data, except that, with respect to data qualifying and submitted as limited rights technical data, the Government shall have the rights specified in the contract modification implementing the VECP and shall appropriately mark the data. (The terms "unlimited rights" and "limited rights" are defined in Part 27 of the Federal Acquisition Regulation.)

(End of Clause)

121. *FAR 52.249-1 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) (SHORT FORM) (APR 1984) [For Contracts \$100,000 or Less]

The Contracting Officer, by written notice, may terminate this contract, in whole or in part, when it is in the Government's interest. If this contract is terminated, the rights, duties, and obligations of the parties, including compensation to the Contractor, shall be in accordance with Part 49 of the Federal Acquisition Regulation in effect on the date of this contract.

122. *FAR 52.249-2 TERMINATION FOR CONVENIENCE OF THE GOVERNMENT (FIXED-PRICE) ALTERNATE I (SEP 1996) [For Contracts Over \$100,000]

(a) The Government may terminate performance of work under this contract in whole or, from time to time, in part if the Contracting Officer determines that a termination is in the Government's interest. The Contracting Officer shall terminate by delivering to the Contractor a Notice of Termination specifying the extent of termination and the effective date.

(b) After receipt of a Notice of Termination, and except as directed by the Contracting Officer, the Contractor shall immediately proceed with the following obligations, regardless of any delay in determining or adjusting any amounts due under this clause:

- (1) Stop work as specified in the notice.
- (2) Place no further subcontracts or orders (referred to as subcontracts in this clause) for materials, services, or facilities, except as necessary to complete the continued portion of the contract.
- (3) Terminate all subcontracts to the extent they relate to the work terminated.
- (4) Assign to the Government, as directed by the Contracting Officer, all right, title, and interest of the Contractor under the subcontracts terminated, in which case the Government shall have the right to settle or to pay any termination settlement proposal arising out of those terminations.

(5) With approval or ratification to the extent required by the Contracting Officer, settle all outstanding liabilities and termination settlement proposals arising from the termination of subcontracts; the approval or ratification will be final for purposes of this clause.

(6) As directed by the Contracting Officer, transfer title and deliver to the Government

(i) the fabricated or unfabricated parts, work in process, completed work, supplies, and other material produced or acquired for the work terminated, and

(ii) the completed or partially completed plans, drawings, information, and other property that, if the contract had been completed, would be required to be furnished to the Government.

(7) Complete performance of the work not terminated.

(8) Take any action that may be necessary, or that the Contracting Officer may direct, for the protection and preservation of the property related to this contract that is in the possession of the Contractor and in which the Government has or may acquire an interest.

(9) Use its best efforts to sell, as directed or authorized by the Contracting Officer, any property of the types referred to in subparagraph (b) (6) of this clause; provided, however, that the Contractor

(i) is not required to extend credit to any purchaser and

(ii) may acquire the property under the conditions prescribed by, and at prices approved by, the Contracting Officer. The proceeds of any transfer or disposition will be applied to reduce any payments to be made by the Government under this contract, credited to the price or cost of the work, or paid in any other manner directed by the Contracting Officer.

(c) The Contractor shall submit complete termination inventory schedules no later than 120 days from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 120-day period.

(d) After expiration of the plant clearance period as defined in Subpart 45.6 of the Federal Acquisition Regulation, the Contractor may submit to the Contracting Officer a list, certified as to quantity and quality, of termination inventory not previously disposed of, excluding items authorized for disposition by the Contracting Officer. The Contractor may request the Government to remove those items or enter into an agreement for their storage. Within 15 days, the Government will accept title to those items and remove them or enter into a storage agreement. The Contracting Officer may verify the list upon removal of the items, or if stored, within 45 days from submission of the list, and shall correct the list, as necessary, before final settlement.

(e) After termination, the Contractor shall submit a final termination settlement proposal to the Contracting Officer in the form and with the certification prescribed by the Contracting Officer. The Contractor shall submit the proposal promptly, but no later than 1 year from the effective date of termination, unless extended in writing by the Contracting Officer upon written request of the Contractor within this 1 year period. However, if the Contracting Officer determines that the facts justify it, a termination settlement proposal may be received and acted on after 1 year or any extension. If the Contractor fails to submit the proposal within the time allowed, the Contracting Officer may determine, on the basis of information available, the amount, if any, due the Contractor because of the termination and shall pay the amount determined.

(f) Subject to paragraph (e) of this clause, the Contractor and the Contracting Officer may agree upon the whole or any part of the amount to be paid because of the termination. The amount may include a reasonable allowance for profit on work done. However, the agreed amount, whether under this paragraph (f) or paragraph (g) of this clause, exclusive of costs shown in subparagraph (g)(3) of this clause, may not exceed the total contract price as reduced by (1) the amount of payments previously made and (2) the contract price of work not terminated. The contract shall be amended, and the Contractor paid the agreed amount. Paragraph (f) of this clause shall not limit, restrict, or affect the amount that may be agreed upon to be paid under this paragraph.

(g) If the Contractor and the Contracting Officer fail to agree on the whole amount to be paid the Contractor because of the termination of work, the Contracting Officer shall pay the Contractor the amounts determined as follows, but without duplication of any amounts agreed upon under paragraph (f) of this clause:

(1) For contract work performed before the effective date of the termination, the total (without duplication of any items) of--

(i) The cost of this work;

(ii) The cost of settling and paying termination settlement proposals under terminated subcontracts that are properly chargeable to the terminated portion of the contract if not included in subdivision (g)(1)(i) of this clause; and

(iii) A sum, as profit on subdivision (g)(1)(i) of this clause, determined by the Contracting Officer under 49.202 of the Federal Acquisition Regulation, in effect on the date of this contract, to be fair and reasonable; however, if it appears that the Contractor would have sustained a loss on the entire contract had it been completed, the Contracting Officer shall allow no profit under this subdivision (iii) and shall reduce the settlement to reflect the indicated rate of loss.

(2) The reasonable costs of settlement of the work terminated, including--

(i) Accounting, legal, clerical, and other expenses reasonably necessary for the preparation of termination settlement proposals and supporting data;

(ii) The termination and settlement of subcontracts (excluding the amounts of such settlements); and

(iii) Storage, transportation, and other costs incurred, reasonably necessary for the preservation, protection, or disposition of the termination inventory.

(h) Except for normal spoilage, and except to the extent that the Government expressly assumed the risk of loss, the Contracting Officer shall exclude from the amounts payable to the Contractor under paragraph (g) of this clause, the fair value, as determined by the Contracting Officer, of property that is destroyed, lost, stolen, or damaged so as to become undeliverable to the Government or to a buyer.

(i) The cost principles and procedures of Part 31 of the Federal Acquisition Regulation, in effect on the date of this contract, shall govern all costs claimed, agreed to, or determined under this clause.

(j) The Contractor shall have the right of appeal, under the Disputes clause, from any determination made by the Contracting Officer under paragraph (e), (g), or (l) of this clause, except that if the Contractor failed to submit the termination settlement proposal within the time provided in paragraph (e) or (l), respectively, and failed to request a time extension, there is no right of appeal.

(k) In arriving at the amount due the Contractor under this clause, there shall be deducted--

(1) All unliquidated advance or other payments to the Contractor under the terminated portion of this contract;

(2) Any claim which the Government has against the Contractor under this contract; and

(3) The agreed price for, or the proceeds of sale of, materials, supplies, or other things acquired by the Contractor or sold under the provisions of this clause and not recovered by or credited to the Government.

(l) If the termination is partial, the Contractor may file a proposal with the Contracting Officer for an equitable adjustment of the price(s) of the continued portion of the contract. The Contracting Officer shall make any equitable adjustment agreed upon. Any proposal by the Contractor for an equitable adjustment under this clause shall be requested within 90 days from the effective date of termination unless extended in writing by the Contracting Officer.

(m) (1) The Government may, under the terms and conditions it prescribes, make partial payments and payments against costs incurred by the Contractor for the terminated portion of the contract, if the Contracting Officer believes the total of these payments will not exceed the amount to which the Contractor will be entitled.

(2) If the total payments exceed the amount finally determined to be due, the Contractor shall repay the excess to the Government upon demand, together with interest computed at the rate established by the Secretary of the Treasury under 50 U.S.C. App. 1215(b)(2). Interest shall be computed for the period from the date the excess payment is received by the Contractor to the date the excess is repaid. Interest shall not be charged on any excess payment due to a reduction in the Contractor's termination settlement proposal because of retention or other disposition of termination inventory until 10 days after the date of the retention or disposition, or a later date determined by the Contracting Officer because of the circumstances.

(n) Unless otherwise provided in this contract or by statute, the Contractor shall maintain all records and documents relating to the terminated portion of this contract for 3 years after final settlement. This includes all books and other evidence bearing on the Contractor's costs and expenses under this contract. The Contractor shall make these records and documents available to the Government, at the Contractor's office, at all reasonable times, without any direct charge. If approved by the Contracting Officer, photographs, microphotographs, or other authentic reproductions may be maintained instead of original records and documents.

(a) If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will insure its completion within the time specified in this contract including any extension, or fails to complete the work within this time, the Government may, by written notice to the Contractor, terminate the right to proceed with the work (or the separable part of the work) that has been delayed. In this event, the Government may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Government resulting from the Contractor's refusal or failure to complete the work within the specified time, whether or not the Contractor's right to proceed with the work is terminated. This liability includes any increased costs incurred by the Government in completing the work.

(b) The Contractor's right to proceed shall not be terminated nor the Contractor charged with damages under this clause, if-

(1) The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include

- (i) acts of God or of the public enemy,
- (ii) acts of the Government in either its sovereign or contractual capacity,
- (iii) acts of another Contractor in the performance of a contract with the

Government,

- (iv) fires,
- (v) floods,
- (vi) epidemics,
- (vii) quarantine restrictions,
- (viii) strikes,
- (ix) freight embargoes,
- (x) unusually severe weather, or
- (xi) delays of subcontractors or suppliers at any tier arising from unforeseeable

causes beyond the control and without the fault or negligence of both the Contractor and the subcontractors or suppliers; and

(2) The Contractor, within 10 days from the beginning of any delay (unless extended by the Contracting Officer), notifies the Contracting Officer in writing of the causes of delay. The Contracting Officer shall ascertain the facts and the extent of delay. If, in the judgment of the Contracting Officer, the findings of fact warrant such action, the time for completing the work shall be extended. The findings of the Contracting Officer shall be final and conclusive on the parties, but subject to appeal under the Disputes clause.

(c) If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of the Government.

(d) The rights and remedies of the Government in this clause are in addition to any other rights and remedies provided by law or under this contract.

124. ENVIRONMENTAL LITIGATION (1974 NOV OCE)

(a) If the performance of all or any part of the work is suspended, delayed, or interrupted due to an order of a court of competent jurisdiction as a result of environmental litigation, as defined below, the Contracting Officer, at the request of the Contractor, shall determine whether the order is due in any part to the acts or omissions of the Contractor or a Subcontractor at any tier not required by the terms of this contract. If it is determined that the order is not due in any part to acts or omissions of the Contractor or a Subcontractor at any tier other than as required by the terms of this contract, such suspension, delay, or interruption shall be considered as if ordered by the Contracting Officer in the administration of this contract under the terms of the "Suspension of Work" clause of this contract. The period of such suspension, delay, or interruption shall be considered unreasonable, and an adjustment shall be made for any increase in the cost of performance of this contract (excluding profit) as provided in that clause, subject to all the provisions thereof.

(b) The term "environmental litigation," as used herein, means a lawsuit alleging that the work will have an adverse effect on the environment or that the Government has not duly considered, either substantively or procedurally, the effect of the work on the environment.

125. EFARS 52.249-5000 BASIS FOR SETTLEMENT OF PROPOSALS

Actual costs will be used to determine equipment cost for a settlement proposal submitted on the total cost basis under FAR 49.206-2(b). In evaluating a termination settlement proposal using the total cost basis, the following principles will be applied to determine allowable equipment costs:

- (1) Actual costs for each piece of equipment, or groups of similar serial or series equipment, need not be available in the contractor's accounting records to determine total actual equipment costs.
- (2) If equipment costs have been allocated to a contract using predetermined rates, those charges will be adjusted to actual costs.
- (3) Recorded job costs adjusted for unallowable and unallocable expenses will be used to determine equipment operating expenses.
- (4) Ownership costs (depreciation) will be determined using the contractor's depreciation schedule (subject to the provisions of FAR 31.205-11).
- (5) License, taxes, storage and insurance costs are normally recovered as an indirect expense and unless the contractor charges these costs directly to contracts, they will be recovered through the indirect expense rate.

126. INAPPLICABLE PROVISIONS AND CLAUSES (Local Provision). [Applicable only for projects or delivery orders less than \$100,000]

This provision applies only to delivery orders and projects less than \$100,000.

Pursuant to Pub. L. 103-355, the following provisions and clauses, as noted below, are inapplicable to this contract:

- (a) FAR 28.102-3, Miller Act requirements;
- (b) Not Used;
- (c) FAR 52.203-5, Covenant Against Contingent Fees;
- (d) FAR 52.203-6, Restrictions on Subcontractor Sales to the Government;
- (e) FAR 52.203-7, Anti-Kickback Procedures;
- (f) FAR 52.222-4, Contract Work Hours and Safety Standards Act-Overtime Compensation; and
- (g) FAR 52.223-6, Drug-Free Workplace, except for individuals.

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SECTION 00800

SPECIAL CONTRACT REQUIREMENTS
5/00, Rev 3/03

PART 1 GENERAL

Attachments:

General Wage Decision No(s). ND030002, ND030005, ND030015
Contract Document Distribution List

1.1 COMMENCEMENT, PROSECUTION, AND COMPLETION OF WORK (APR 1984)

The Contractor shall commence work under this contract within ten (10) calendar days after the date of receipt by him of Notice to Proceed, prosecute said work diligently, and complete the entire work except seeding ready for use not later than 450 calendar days after receipt of Notice to Proceed. The time stated for completion shall include final cleanup of the premises. (FAR 52.211-10)

1.1.1 Start Work

Evidence that the Contractor has started procurement of materials, preparation and submission of shop drawings, preparation of subcontracts, and other preparatory work will satisfy the requirement that work commence within ten (10) calendar days after receipt of Notice to Proceed. Therefore, work need not be commenced at the construction site within ten (10) calendar days.

1.2 LIQUIDATED DAMAGES-CONSTRUCTION (SEPT 2000)

(a) If the Contractor fails to complete the work within the times specified in the completion schedule, the Contractor shall pay liquidated damages to the Government in the amount of \$790.00 for each calendar day of delay until the work is completed or accepted.

(b) If the Government terminates the Contractor's right to proceed, liquidated damages will continue to accrue until the work is completed. These liquidated damages are in addition to excess costs of repurchase under the Termination clause. (FAR 52.211-12)

1.3 EXCEPTION TO COMPLETION TIME AND LIQUIDATED DAMAGES

In case the Contracting Officer determines that seeding, sodding, and/or planting and/or the specified maintenance thereof is not feasible during the construction period, such work will be excepted from the completion schedule and liquidated damages. This work shall be accomplished during the first seeding, sodding, and/or planting period and the specified maintenance period following the completion date.

1.4 COMPUTING COMPLETION DATES FOR NON-WORK PERIOD

No work will be required at the construction site during the period 1 November 2003 through 1 April 2004 inclusive. The days for non-work

periods have been included in computing the calendar days for completion of the work. The Contractor may perform work at the site during all or any part of this period upon giving prior written notice to the Contracting Officer. Working during this non-work period shall require approval by the Contracting Officer. No time extensions will be granted for delays during this period.

1.5 NOT USED

1.6 CONTRACT DRAWINGS AND SPECIFICATIONS

1.6.1 SETS FURNISHED

The contractor shall be responsible for making copies of specifications including amendments. The bid drawings as amended shall be utilized in the performance of the work until contract drawings (i.e., bid drawings that have been posted with all amendment changes) are mailed to the Contractor. See paragraph below for contract drawing reproduction and distribution requirements. The work shall conform to the contract drawings, set out in the drawing index, all of which form a part of these specifications. The work shall also conform to the standard details bound or referenced herein.

1.6.2 DRAWING REPRODUCTION AND DISTRIBUTION

The Contractor shall reproduce multiple legible hard copy sets (half-size English unless directed otherwise) of contract drawings from Government provided CD-ROM containing read-only cal file drawings. Within 14 calendar days after receipt of CD-ROM containing read-only cal drawing files, the Contractor shall provide legible hard copy drawing sets to the addressees contained in the Contract Document Distribution List for this Project and attached to this specification section. The Government will process no progress payments prior to receipt of the legible contract drawings. After receipt and distribution of the legible hard copy drawing sets, the Government will provide the Contractor with a CD-ROM containing editable CAD file drawings (format defined in Section 01040 AS-BUILT DRAWINGS). The Contractor shall prepare as-built drawings as defined in Section 01040 AS-BUILT DRAWINGS.

1.6.3 NOTIFICATION OF DISCREPANCIES

The Contractor shall check all CD-ROM drawing files furnished him immediately upon their receipt and shall promptly notify the Contracting Officer of any discrepancies. Dimensions marked on drawings shall be followed in lieu of scale measurements. Enlarged plans and details shall govern where the same work is shown at smaller scales. All scales shown are based on a standard drawing size of 28" x 40". If any other size drawings are furnished or plotted the contractor shall adjust the scales accordingly. The Contractor shall also advise his sub-contractors of the above. The Contractor shall compare all drawings and verify the figures before laying out the work and will be responsible for any errors which might have been avoided thereby.

1.6.4 OMISSIONS

Omissions from the drawings or specifications or the misdescription of details of work which are manifestly necessary to carry out the intent of the drawings and specifications, or which are customarily performed, shall not relieve the Contractor from performing such omitted or misdescribed details of the work but they shall be performed as if fully and correctly

set forth and described in the drawings and specifications.

1.7 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Equipment Room Drawings; G-AO.

1.8 PHYSICAL DATA (APR 1984)

Data and information furnished or referred to below is for the Contractors' information. The Government shall not be responsible for any interpretation of or conclusion drawn from the data or information by the Contractor.

a. The indications of physical conditions on the drawings and in the specifications are the result of site investigations by surveys and borings. The data shown graphically and by symbol for each respective boring represents the actual geologic features observed and logged at the location given on the drawings. While the borings are representative of subsurface conditions at their respective locations and for their respective vertical reaches, local minor variations characteristic of the subsurface materials of this region could occur.

b. Weather conditions shall have been investigated by the Contractor to satisfy himself as to the hazards likely to arise therefrom. Complete weather records and reports may be obtained from the local U.S. Weather Bureau.

c. Transportation facilities shall have been investigated by the Contractor to satisfy himself as to the existence of access highways and railroad facilities. (FAR 52.236-4)

1.9 CONCURRENT CONSTRUCTION

Construction work closely related to and/or located at the site of the work under a concurrent contract, including the construction of the Hydrant Fuel System at Minot AFB will be in progress simultaneously with work under this contract. The Contractor shall cooperate with others as necessary in the interest of timely completion of all work. In the event of interference, the Contracting Officer shall be notified immediately for resolution and his decision shall be final.

1.10 PAYMENT

1.10.1 PROMPT PAYMENT ACT

Pay requests authorized in CONTRACT CLAUSES clause: "Payments Under Fixed-Price Construction Contracts", will be paid pursuant to the clause, "Prompt Payment for Construction Contracts". Pay requests will be submitted on ENG Form 93 and 93a, "Payment Estimate-Contract Performance" and "Continuation". All information and substantiation required by the identified contract clauses will be submitted with the ENG Form 93, and the

required certification will be included on the last page of the ENG Form 93a, signed by an authorized contractor official and dated when signed. The designated billing office is the Office of the Area Engineer.

1.10.2 PAYMENTS FOR MODIFICATIONS

Payments may be made for cost bearing change orders within the scope of the contract only to the extent funds are authorized in the order on a two-part modification. Contractor pricing proposed must be submitted at the earliest possible time after the change order is issued, or at a specific time as directed by the Contracting Officer. At the discretion of the Contracting Officer, any and all payments may be withheld on the modification until the Contractor has submitted a qualifying price proposal, in as much detail as required by the Contracting Officer, and the final price has been agreed.

1.10.3 PAYMENT FOR MATERIALS DELIVERED OFFSITE (MAR 1995)

a. Pursuant to FAR clause 52.232-5, Payments Under Fixed Priced Construction Contracts, materials delivered to the contractor at locations other than the site of the work may be taken into consideration in making payments if included in payment estimates and if all the conditions of the General Provisions are fulfilled. Payment for items delivered to locations other than the work site will be limited to: (1) materials required by the technical provisions; or (2) materials that have been fabricated to the point where they are identifiable to an item of work required under this contract.

b. Such payment will be made only after receipt of paid or receipted invoices or invoices with canceled check showing title to the items in the prime contractor and including the value of material and labor incorporated into the item. Payment for materials delivered off-site includes petroleum products. (List additional items for which payments will be made for off-site delivery.) (EFAR 52.232-5000)

1.11 AVAILABILITY OF UTILITY SERVICES

Due to a land acquisition for this project, location of utilities will be as found available. The Contractor shall make his own arrangements for use of base, public or private utilities to support the entire construction of this project. The Contractor shall, at his own expense, make all temporary connections and install distribution lines to support the construction. The Contractor shall furnish to the Contracting Officer a complete system layout drawing showing type of materials to be used and method of installation for all temporary electrical systems. If the base has utilities available at this site, the Contractor shall make arrangements with the Using Service, through the Contracting Officer, as to the method of determining the amount of water and electricity to be used by him and the method of payment therefor. . All temporary lines shall be maintained by the Contractor in a workmanlike manner satisfactory to the Contracting Officer and shall be removed by the Contractor in like manner prior to final acceptance of the construction. If available at this site, normal quantities of electricity and water used to make final tests of completely installed systems will be furnished by the Government.

1.12 UTILITY SERVICE INTERRUPTIONS

The Contractor shall submit written notification not less than 15 calendar days in advance of each interruption of each utility and communication

service to or within existing buildings and facilities being used by others. No single outage will exceed 4 hours unless approved in writing. The time and duration of all outages will be coordinated and approved with the Using Agency by the Contracting Officer.

1.13 DIGGING PERMITS AND ROAD CLOSINGS

The Contractor shall allow 14 calendar days from date of written application to receive permission to dig and to close roads. Roads shall only be closed one lane at a time and vehicular traffic shall be allowed to pass through the construction area. Work on or near roadways shall be flagged in accordance with the safety requirements in Safety and Health Requirements Manual EM 385-1-1, which forms a part of these specifications.

1.14 TIME EXTENSIONS FOR UNUSUALLY SEVERE WEATHER

a. This clause specifies the procedure for the determination of time extensions for unusually severe weather in accordance with the contract clause entitled "Default: (Fixed-Price Construction)." In order for the Contracting Officer to award a time extension under this clause, the following conditions must be satisfied:

(1) The weather experienced at the project site during the contract period must be found to be unusually severe, that is, more severe than the adverse weather anticipated for the project location during any given month.

(2) The unusually severe weather must actually cause a delay to the completion of the project. The delay must be beyond the control and without the fault or negligence of the contractor.

b. The following schedule of monthly anticipated adverse weather delays is based on National Oceanic and Atmospheric Administration (NOAA) or similar data for the project location and will constitute the base line for monthly weather time evaluations. The contractor's progress schedule must reflect these anticipated adverse weather delays in all weather dependent activities.

MONTHLY ANTICIPATED ADVERSE WEATHER DELAY WORK DAYS BASED ON (5) DAY WORK WEEK

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
(*)	(20)	(15)	(04)	(05)	(07)	(04)	(04)	(04)	(02)	(11)	(22)

* Anticipate losing entire month to adverse weather.

c. Upon acknowledgment of the Notice to Proceed (NTP) and continuing throughout the contract, the contractor will record on the daily CQC report, the occurrence of adverse weather and resultant impact to normally scheduled work. Actual adverse weather delay days must prevent work on critical activities for 50 percent or more of the contractor's scheduled work day. The number of actual adverse weather delay days shall include days impacted by actual adverse weather (even if adverse weather occurred in previous month), be calculated chronologically from the first to the last day of each month, and be recorded as full days. If the number of actual adverse weather delay days exceeds the number of days anticipated in paragraph b. above, the contracting officer will convert any qualifying

delays to calendar days, giving full consideration for equivalent fair weather work days, and issue a modification in accordance with the contract clause entitled "Default (Fixed Price Construction)". (ER 415-1-15)

1.15 INSURANCE REQUIRED

In accordance with CONTRACT CLAUSES clause: "Insurance Work on a Government Installation," the Contractor shall procure the following minimum insurance:

Type	Amount
Workmen's Compensation and Employer's Liability Insurance	\$100,000
General Liability Insurance	\$500,000 per occurrence
Automobile Liability Insurance	
Bodily injury	\$200,000 per person and \$500,000 per occurrence
Property damage	\$ 20,000 per occurrence

(Coverages per FAR 28.307-2)

1.16 SECURITY REQUIREMENTS

1.16.1 Contractor's Employee Identification

The Contractor shall be responsible for furnishing to each employee and for requiring each employee engaged on the work to display such identification as may be approved and directed by the Contracting Officer. All prescribed identification shall immediately be delivered to the Contracting Officer, for cancellation upon release of any employees. When the contract involves work in restricted security areas, only employees who are U.S. citizens will be permitted to enter. Proof of U.S. citizenship is required prior to entry. When required by the Contracting Officer, the Contractor shall obtain and submit fingerprints of all persons employed or to be employed on the project. (Based on FAR 52.204-2)

1.17 CONTRACTOR QUALITY CONTROL (CQC)

See Section 01451A Contractor Quality Control.

1.18 NONDOMESTIC CONSTRUCTION MATERIALS

The List of nondomestic construction materials or their components included in the list set forth in paragraph 25.104 of the Federal Acquisition Regulation does not apply to the requirements of the contract clause entitled "Buy American Act Construction Materials".

1.19 NOTICE OF PRIORITY RATING FOR NATIONAL DEFENSE USE (SEP 1990)

Any contract awarded as a result of this solicitation will be a DO rated order certified for national defense use under the Defense Priorities and Allocations System (DPAS) (15 CFR 700), and the Contractor will be required to follow all of the requirements of this regulation. (FAR 52.211-14)

1.20 DAILY WORK SCHEDULES AND WEEKLY COORDINATION MEETINGS

In order to closely coordinate work under this contract, the Contractor

shall prepare a written agenda/meeting minutes and attend a weekly coordination meeting with the Contracting Officer and Using Service at which time the Contractor shall submit for coordination and approval, his proposed daily work schedule for the next two week period. The Contractor shall provide a copy of modifications (MODs), Serial Letters, Requests for Information (RFIs) and any other information that is needed in the minutes of the meeting. Required temporary utility services, time and duration of interruptions, and protection of adjoining areas shall be included with the Contractor's proposed 2-week work schedule. At this meeting, the Contractor shall also submit his schedule of proposed dates and times of all preparatory inspections to be performed during the next 2 weeks. The items of work listed on the proposed 2-week schedule are to be keyed to the NAS by activity number and description for each activity anticipated to be performed during the next 2-week period. Coordination action by the Contracting Officer relative to these schedules will be accomplished during these weekly meetings. Daily reports shall be completed and given to the Contracting Officer or Representative within 24 hours of work.

1.21 EQUIPMENT OWNERSHIP AND OPERATING EXPENSE SCHEDULE (MAR 1995)

a. This statement shall become operative only for negotiated contracts where cost or pricing data is requested, and for modifications to sealed bid or negotiated contracts where cost or pricing data is requested. This clause does not apply to terminations. See 52.249-5000, Basis for settlement of proposals and FAR Part 49.

b. Allowable cost for construction and marine plant and equipment in sound workable condition owned or controlled and furnished by a Contractor or subcontractor at any tier shall be based on actual cost data for each piece of equipment or groups of similar serial and series for which the Government can determine both ownership and operating costs from the Contractor's accounting records. When both ownership and operating costs cannot be determined for any piece of equipment or groups of similar serial or series of equipment from the Contractor's accounting records, costs for that equipment shall be based upon the applicable provisions of EP 1110-1-8, "Construction Equipment Ownership and Operating Expense

Schedule," Region IV. Copies of each regional schedule may be obtained through the following internet site:

<http://www.usace.army.mil/inet/usace-docs/eng-pamphlets/ep.htm>. Working conditions shall be considered to be average for determining equipment rates using the schedule unless specified otherwise by the Contracting Officer. For equipment not included in the schedule, rates for comparable pieces of equipment may be developed using the formula provided in the schedule. For forward pricing, the Schedule in effect at the time of negotiations shall apply. For retrospective pricing, the Schedule in effect at the time the work was performed shall apply.

c. Equipment rental costs are allowable, subject to the provisions of FAR 31.105(d)(ii) and FAR 31.205-36. Rates for equipment rented from an organization under common control, lease-purchase arrangements, and sale-leaseback arrangements will be determined using the schedule, except that actual rates will be used for equipment leased from an organization under common control that has an established practice of leasing the same or similar equipment to unaffiliated lessees.

d. When actual equipment costs are proposed and the total amount of the pricing action exceeds the small purchase threshold, the contracting officer shall request the contractor to submit either certified cost or

pricing data, or partial/limited data as appropriate. The data shall be submitted on Standard Form 1411, Contract Pricing Proposal Cover Sheet. (EFARS 52.231-5000)

1.22 AS-BUILT DRAWINGS

See SECTION 01040 - AS-BUILT DRAWINGS

1.23 EQUIPMENT ROOM DRAWINGS

Prior to construction, the Contractor shall prepare and submit room plans for all mechanical, electrical, and communication rooms or similar areas. The plans shall be consolidated for all trades, shall be to scale, and shall show all pertinent structural features. In addition, other items such as doors, windows, and cabinets required for installation and which will affect the available space, will be shown. All mechanical and electrical equipment and accessories shall be shown to scale in plan and elevation and/or section in their installed positions. All duct work and piping shall be shown.

1.24 CONTRACTOR FURNISHED EQUIPMENT DATA

See Section 01200 Warranty of Construction for Contractor Furnished Equipment Data to be submitted as part of the Warranty Equipment Booklet.

1.25 PERFORMANCE OF WORK BY CONTRACTOR (APR 1984)

The Contractor shall perform on the site, and with its own organization, work equivalent to at least twenty (20) percent of the total amount of work to be performed under the contract. This percentage may be reduced by a supplemental agreement to this contract if, during performing the work, the Contractor requests a reduction and the Contracting Officer determines that the reduction would be to the advantage of the Government. (FAR 52.236-1)

1.26 PARTNERING

a. The Government intends to encourage the formation of a cohesive partnership with the Contractor. This partnership will be structured to draw on the strengths of each organization to identify and achieve reciprocal goals. The objective is effective contract performance in achieving completion within budget, on schedule and in accordance with plans and specifications. This partnership between the Contractor and the Government will be voluntary and its implementation will not be part of the contract requirements nor will it result in a change to contract price or terms.

b. Not Applicable.

1.27 PROFIT

a. Weighted guidelines method of determining profit shall be used on any equitable adjustment change order or modification issued under this contract. The profit factors shall be as follows:

Factor	Rate	Weight	Value
Degree of Risk	20	See Item	
Relative difficulty of work	15	b. below	
Size of Job	15		

Period of performance	15
Contractor's investment	5
Assistance by Government	5
Subcontracting	25
	100

b. Based on the circumstances of each procurement action, each of the above factors shall be weighted from .03 to .12 as indicated below. The value shall be obtained by multiplying the rate by the weight. The value column when totalled indicates the fair and reasonable profit percentage under the circumstances of the particular procurement.

(1) Degree of Risk. Where the work involves no risk or the degree of risk is very small, the weighting should be .03; as the degree of risk increases, the weighting should be increased up to a maximum of .12. Lump sum items will have, generally, a higher weighted value than the unit price items for which quantities are provided. Other things to consider: the portion of the work to be done by subcontractors, nature of work, where work is to be performed, reasonableness of negotiated costs, amount of labor included in costs, and whether the negotiation is before or after performance of work.

(2) Relative Difficulty of Work. If the work is most difficult and complex, the weighting should be .12 and should be proportionately reduced to .03 on the simplest of jobs. This factor is tied in to some extent with the degree of risk. Some things to consider: the nature of the work, by whom it is to be done, where, and what is the time schedule.

(3) Size of Job. All work not in excess of \$100,000 shall be weighted at .12. Work estimated between \$100,000 and \$5,000,000 shall be proportionately weighted from .12 to .05.

(4) Periods of Performance. Jobs in excess of 24 months are to be weighted at .12. Jobs of lesser duration are to be proportionately weighted to a minimum of .03 for jobs not to exceed 30 days. No weight where additional time not required.

(5) Contractor's Investment. To be weighted from .03 to .12 on the basis of below average, average, and above average. Things to consider: amount of subcontracting, mobilization payment item, Government furnished property, equipment and facilities, and expediting assistance.

(6) Assistance by Government. To be weighted from .12 to .03 on the basis of average to above average. Things to consider: use of Government-owned property, equipment and facilities, and expediting assistance.

(7) Subcontracting. To be weighted inversely proportional to the amount of subcontracting. Where 80 percent or more of the work is to be subcontracted, the weighting is to be .03 and such weighting proportionately increased to .12 where all the work is performed by the Contractor's own forces.

1.28 LABOR CONDITIONS APPLICABLE TO TEMPORARY FACILITIES

It is the position of the Department of Defense that the Davis-Bacon Act, 40 U.S.C. 276a is applicable to temporary facilities such as batch plants, sandpits, rock quarries, and similar operations, located off the immediate site of the construction but set up exclusively to furnish required materials for a construction project on the site of the work. Clause "Payrolls and Basic Records" of the CONTRACT CLAUSES is applicable to such operations.

1.29 DRAWING SCALES

All scales shown are based on a standard drawing size of 28" x 40" . If any other size drawings are furnished or plotted, the contractor shall adjust the scales accordingly. The Contractor shall also advise his sub-contractors of the above.

1.30 WAGE RATE APPLICATION

1.30.1 Building Schedule

Applicable to all work required within 5 feet outside the building lines.

1.30.2 Heavy and Highway Schedule

Applicable to all work required beyond 5 feet outside the building.

1.31 (FAR 52.222-23) NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY FOR CONSTRUCTION (FEB 1999))

(a) The offeror's attention is called to the Equal Opportunity clause and the Affirmative Action Compliance Requirements for Construction clause of this solicitation.

(b) The goals for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

Goals for Minority Participation	Goals for Female Participation
for Each Trade	for Each Trade
*****	*****

4.4

6.9

These goals are applicable to all the Contractor's construction work performed in the covered area. If the Contractor performs construction work in a geographical area located outside of the covered area, the Contractor shall apply the goals established for the geographical area where the work is actually performed. Goals are published periodically in the Federal Register in notice form, and these notices may be obtained from any Office of Federal Contract Compliance Programs Office.

(c) The Contractor's compliance with Executive Order 11246, as amended, and the regulations in 41 CFR 60-4 shall be based on (1) its implementation of the Equal Opportunity clause, (2) specific affirmative action obligations required by the clause entitled "Affirmative Action Compliance Requirements for Construction," and (3) its efforts to meet the goals. The hours of minority and female employment and training must be substantially

uniform throughout the length of the contract, and in each trade. The Contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Contractor to Contractor, or from project to project, for the sole purpose of meeting the Contractor's goals shall be a violation of the contract, Executive Order 11246, as amended, and the regulations in 41 CFR 60-4. Compliance with the goals will be measured against the total work hours performed.

(d) The Contractor shall provide written notification to the Deputy Assistant Secretary for Federal Contract Compliance, U.S. Department of Labor, within 10 working days following award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the -

- (1) Name, address, and telephone number of the subcontractor;
- (2) Employer identification number of the subcontractor;
- (3) Estimated dollar amount of the subcontract;
- (4) Estimated starting and completion dates of the subcontract; and
- (5) Geographical area in which the subcontract is to be performed.

(e) As used in this Notice, and in any contract resulting from this solicitation, the "covered area" is Minot EA-152, Ward Co. is a part.

1.32 FEDERAL HOLIDAYS

The following Federal legal holidays are observed by this installation:

New Year's Day	1 January
Martin Luther King's Birthday	Third Monday in January
President's Day	Third Monday in February
Memorial Day	Last Monday in May
Independence Day	4 July
Labor Day	First Monday in September
Columbus Day	Second Monday in October
Veterans Day	11 November
Thanksgiving Day	Fourth Thursday in November
Christmas Day	25 December

If a wage determination applies the number of holidays specified on it, it has priority over this clause.

1.33 BASE HOURS

Base operation hours are 7:00 a.m. to 4:30 p.m. daily (Monday through Friday), excluding federal holidays. Access to the base during other times must be requested in writing from the Contracting Officer and will be granted only for extenuating circumstances.

PART 2 NOT USED

PART 3 NOT USED

-- End of Section --

GENERAL DECISION ND030002 06/13/03 ND2
General Decision Number ND030002

Superseded General Decision No. ND020002

State: North Dakota

Construction Type:
HIGHWAY

County(ies):
STATEWIDE

HIGHWAY CONSTRUCTION PROJECTS

Modification Number	Publication Date
0	06/13/2003

COUNTY(ies):
STATEWIDE

ELEC0714B 01/01/2001

	Rates	Fringes
ADAMS, BILLINGS, BOTTINEAU, BOWMAN, BURKE, BURLIEGH, DIVIDE, DUNN, EMMONS, GOLDEN VALLEY, GRANT, HETTINGER, MCHENRY, MCKENZIE, MCLEAN, MERCER, MORTON, MOUNTRIAL, OLIVER, PIERCE, RENVILLE, ROLLETTE, SHERIDAN, SOIUX, SLOPE, STARK, WARD, & WILLIAMS COUNTIES:		

ELECTRICIANS:

ELECTRICIAN	23.45	10.5%+a
CABLE SPLICER	23.85	10.5%+a

FOOTNOTE;

a. \$5.20 per hour.

ELEC0714C 10/01/2000

	Rates	Fringes
LINE CONSTRUCTION:		
LINEMAN	23.06	2.00+23.75%
CABLE SPLICER	23.06	2.00+23.75%
LINE EQUIPMENT OPERATOR	20.75	2.00+23.75%
GROUNDMAN	13.84	2.00+23.75%

ELEC1426C 06/01/1993

	Rates	Fringes
BARNES, BENSON, CAVALIER, DICKEY, EDDY, FOSTER, GRAND FORKS, GRIGGS, KIDDER, LAMOURE, LOGAN, MCINTOSH, NELSON, PEMBINA, RAMSEY, RANSOM, RICHLAND, SARGENT, STEELE, STUTSMAN, TOWNER,		

TRAILL, WALSH, & WELLS COUNTIES:

ELECTRICIANS:

ELECTRICIAN	14.70	2.95+11.5%
CABLE SPLICER	15.45	2.95+11.5%

ENGI0049B 11/01/2002

	Rates	Fringes
POWER EQUIPMENT OPERATORS:		
GROUP 1	17.85	6.85
GROUP 2	17.70	6.85
GROUP 3	17.45	6.85
GROUP 4	17.30	6.85
GROUP 5	16.45	6.85
GROUP 6	15.15	6.85

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1:

All Terrain Vehicle Cranes 80 tons and over and Hydro Cranes 80 tons and over Crane Operator with 135' Boom and over Derrick (Guy & Stiff Leg) Gantry Crane Operator Helicopter Operator (Construction Work Only) Mole Operator, or Tunnel Mucking Machine Power Shovel and /or other Equipment with Shovel Type Controls 3-1/2 Cu. Yd. Mfg. Rated Capacity & Over Travelling Tower Crane

GROUP 2:

All Terrain Vehicle Cranes over 20 tons and up to 80 tons and Hydro Cranes 20 tons up to 80 tons Dredge Operator over 12" Finish Motor Grader Creter Crane Crane Operator up to 135' boom Master Mechanic: The inclusion of the classification of Master Mechanic in this Agreement does not mean that a Master Mechanic must be employed, but if employed, that he shall perform manual work Equipment Dispatcher Power Shovels up to 3-1/2 cu. yd. Mon-O-Rail Hoist Operator Front End Loader Operator over 8 cu. yd. Tugboat Backhoe Operator 3 cu. yds. and over

GROUP 3:

All Terrain Vehicle Cranes - thru 20 tons and Hydro Cranes thru 20 tons Drill Rigs, Heavy Duty Rotary or Churn or Cable Drill Front End Loader Operator 3 cu. yd. up thru 8 cu. yd. Locomotive, all types. Mechanic, Heavy Duty. Pipeline Wrapping, Cleaning & Bending Machine Operator. Power Actuated Horizontal Boring Machine Over 6" Operator. Refrigeration Plant Engineer. Slip Form Operator (Paving) (Concrete). Tandem Pushed Quad 9 or similar. Asphalt Paving Machine Operator. Asphalt Plant Operator. Motor Grader Operator. Crushing Plant Operator, Gravel Washing, and Screening Plant Operator Automated Grade Trimmer.

Backhoe Operator over 1/2 cu. yd. up to 3 cu. yd.
 Boom Truck, Hydraulic - 8 tons and over. Cableway Operator
 Roto Milling Machine (surface planer) 43" and over.
 Concrete Batch Plant Operator Concrete Mixer Paving Machine
 Operator Concrete Paver - Bridge Decks Concrete Pump, Concrete
 Belt Placer, Dozer Operator Scraper Operator Tractor with boom
 attachment, Trenching Machine Operator, over 100 H.P. Dredge
 Operator or Engineer up thru 12" Paving Breaker - Non Hydro
 Hammer Type Power Actuated Horizontal Boring Machine over 6"
 Operator

GROUP 4:

Asphalt Paving Screed Operator concrete Spreader Operator,
 Backhoe up thru 1/2 cu. yd. Greaser Motor Grader
 Operator (Haul Road) Paving Breaker Hydro Hammer Type
 Console Board Operator Push Tractor Roller, Steel, and Rubber on
 Hot Mix Asphalt Paving Rotomill Operator (up to 42")
 Self-Propelled Traveling Soil Stabilizer Slip Form, Curb and
 Gutter Operator Distributor Operator (bituminous)
 Traeching Machine Operator. 40 H.P. - 100 H.P. Truck Mechanic

Forklift Operator Sheepsfoot Packer with Dozer Attachment - 100
 H.P. and over Front End Loader 1-1/2 cu. yds. up to 3 cu. yds.
 (Standard Mfg. Rating) Gravel Screeding Plant Operator (not
 crushing or washing) Logitudinal Float and Spray Operator
 Pugmill Operator Shouldering Machine Tamping Machine Operator
 Tie Tamper and Ballast Machine Well Points

GROUP 5:

Boom Truck A-Frame or Hydraulic 3 to 8 tons broom - Self-
 Propelled Concrete Saw (Power Operated) Front End Loader Operator
 up to 1-1/2 cu. yds. Mobile Cement Mixer Off Road Hauler
 Power Actuated Augers and Boring Machine Operator - Up thru 6"
 Roller, (on other than Hot Mix Asphalt Paving) Sand and Chip
 Spreader - Self Propelled Truck Crane Oiler Vibrating Packer
 Operator (Pad type) (Self-propelled) Water Spraying Equipment -
 Self Propelled Sheepsfoot Roller on Compactor - Self Propelled

GROUP 6:

Brakeman or Switchman Form Trench Digger (power)
 Crane Oiler Gunite Operator Gunall Pick-up Sweeper, 1 yd. and
 Over Hopper Capacity Tractor Pulling Compaction or Areating
 Equipment Scissor Jack (self-propelled), Platform Lift
 Trenching Machine Operator, Under 40 H.P. Curb Machine Operator
 (Manual) Dredge or Tugboat Deck Hand Paint Machine Striping
 Operator Stump Chipper Operator Straw Mulcher and Blower

SUND3001A 11/01/2002

	Rates	Fringes
CARPENTERS	17.20	3.15
CEMENT MASON/FINISHER	17.20	3.15

ELECTRICIANS:

CASS COUNTY	14.72	3.40
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LABORERS:

GROUP 1:	11.20
GROUP 2:	11.45
GROUP 3:	11.60
GROUP 4:	12.35
FLAGGERS/PILOT CARS	11.20

LABORERS CLASSIFICATIONS

GROUP 1: General Construction Laborers: Sack Shaker (cement and mineral filler): Pipe Handler: Drill Runner Tender: Salamander Heater and Blower Tender.

GROUP 2: Semi Skilled Laborer: Bulk Cement Handler: Conduit Layer, Telephone or Electrical: Form Setter (pavement): Gas Electric or pneumatic tool operator: Chipping Hammer, Grinders and Paving Brakers (tamper-drit) Concrete Vibrator Operator: Chain Saw Operator: Concrete Saw Operator: Concrete Curing Man (not water): Bituminous worker (Shoveler, Dumper, Raker and Floated): Kettleman (bituminous or lead): Concrete

Bucket Signlman: Power Buggy Operator: Brick and Mason Tender: Multiplate Pipelayer: Culvert Pipe Layers: Concrete Finishers Tender. Carpenters Tenders.

GROUP 3: Caisson Worker: Bottom Man (sanitary sewer, storm sewer water and gas liners): Concrete Mixer Operator (one bag capacity): Mortar Mixer.

GROUP 4: Pipe Layers (sanitary sewer, storm sewer, water and gas lines): Drill runner (includes Wagon Churn or Air Track) Powderman, Guniting and Sandblast, (Nozzleman, Reinforcing Steel Setters/Tiers.

TEAM0082A 11/01/2002

	Rates	Fringes
TRUCK DRIVER:		
Single Axle	15.67	6.00
Tandem Tri/Axle Truck	15.79	6.00
Tandem Tri/Axle Semi	16.10	6.00
Lowboy	16.10	6.00
Off Road Heavy Duty End Dump		
20 Yards And Under	16.10	6.00
Euclid, Over 20 Yards	16.87	6.00

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the

requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION

ND030002-6
06/13/2003

GENERAL DECISION ND030005 06/13/03 ND5
General Decision Number ND030005

Superseded General Decision No. ND020005

State: North Dakota

Construction Type:
BUILDING

County(ies):
WARD

Building Projects (does not include single family homes and
apartments up to and including 4 stories)

Modification Number	Publication Date
0	06/13/2003

COUNTY(ies):

WARD

BRND0002B 08/01/2002

	Rates	Fringes
BRICKLAYERS	22.05	5.00

CARP1176C 05/01/1999

	Rates	Fringes
CARPENTER(Including formwork, drywall hanging, drywall finishing, & cabinet installation; excluding metal building erection, heat and frost insulation, soft flooring & gypcrete flooring)	14.26	5.80

ELEC0714E 07/01/2002

	Rates	Fringes
WARD COUNTY: ELECTRICIANS(including low voltage wiring for telephones, computers, doorbells, alarms, & HVAC controls)	21.42	6.15+11%

PLUM0300I 03/01/2003

	Rates	Fringes
PLUMBERS(incl HVAC piping)	18.70	6.80

SHEE9010A 06/04/1998

	Rates	Fringes
SHEET METAL WORKERS(HVAC only)	15.11	4.93

SUND1024A 03/23/2000

	Rates	Fringes
HEAT AND FROST INSULATOR	8.00	.66

LABORERS:

Unskilled	8.70
Mason Tender	9.88

PAINTERS (including paper hanging excluding drywall finishing)	12.05
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POWER EQUIPMENT OPERATORS:

Crane	15.55	5.10
Skid Steer	9.64	

TILE SETTER	11.50	.22
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WELDERS - Receive rate prescribed for craft performing operation
to which welding is incidental.
=====

Unlisted classifications needed for work not included within
the scope of the classifications listed may be added after
award only as provided in the labor standards contract clauses
(29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates
listed under that identifier do not reflect collectively
bargained wage and fringe benefit rates. Other designations
indicate unions whose rates have been determined to be
prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can
be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a
position on a wage determination matter
- * a conformance (additional classification and rate)
ruling

On survey related matters, initial contact, including requests
for summaries of surveys, should be with the Wage and Hour
Regional Office for the area in which the survey was conducted
because those Regional Offices have responsibility for the
Davis-Bacon survey program. If the response from this initial
contact is not satisfactory, then the process described in 2.)
and 3.) should be followed.

With regard to any other matter not yet ripe for the formal
process described here, initial contact should be with the Branch
of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an
interested party (those affected by the action) can request
review and reconsideration from the Wage and Hour Administrator
(See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator

U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.
END OF GENERAL DECISION

GENERAL DECISION ND030015 06/13/03 ND15
General Decision Number ND030015

Superseded General Decision No. ND020015

State: North Dakota

Construction Type:
HEAVY

County(ies):

ADAMS	HETTINGER	RICHLAND
BARNES	KIDDER	ROLETTE
BENSON	LA MOURE	SARGENT
BILLINGS	LOGAN	SHERIDAN
BOTTINEAU	MCHENRY	SIOUX
BOWMAN	MCINTOSH	SLOPE
BURKE	MCKENZIE	STARK
CAVALIER	MCLEAN	STEELE
DICKEY	MERCER	STUTSMAN
DIVIDE	MOUNTRAIL	TOWNER
DUNN	NELSON	TRAILL
EDDY	OLIVER	WALSH
EMMONS	PEMBINA	WARD
FOSTER	PIERCE	WELLS
GOLDEN VALLEY	RAMSEY	WILLIAMS
GRANT	RANSOM	
GRIGGS	RENVILLE	

HEAVY CONSTRUCTION PROJECTS (including Sewer & Water Line
Construction & Drainage Projects and Excluding Industrial
and Processing Plants and Refineries)

Modification Number	Publication Date
0	06/13/2003

COUNTY(ies):

ADAMS	HETTINGER	RICHLAND
BARNES	KIDDER	ROLETTE
BENSON	LA MOURE	SARGENT
BILLINGS	LOGAN	SHERIDAN
BOTTINEAU	MCHENRY	SIOUX
BOWMAN	MCINTOSH	SLOPE
BURKE	MCKENZIE	STARK
CAVALIER	MCLEAN	STEELE
DICKEY	MERCER	STUTSMAN
DIVIDE	MOUNTRAIL	TOWNER
DUNN	NELSON	TRAILL
EDDY	OLIVER	WALSH
EMMONS	PEMBINA	WARD
FOSTER	PIERCE	WELLS
GOLDEN VALLEY	RAMSEY	WILLIAMS
GRANT	RANSOM	
GRIGGS	RENVILLE	

ELEC0714J 01/01/2001

	Rates	Fringes
ADAMS, BILLINGS, BOTTINEAU, BOWMAN, BURKE, DIVIDE, DUNN, EMMONS, GOLDEN VALLEY, GRANT, HETTINGER, MCHENRY, MCKENZIE, MCLEAN, MERCER, MOUNTRAIL, OLIVER, PIERCE, RENVILLE, ROLLETTE, SHERIDAN, SIOUX, SLOPE, STARK, WARD, & WILLIAMS COUNTIES:		

ELECTRICIANS:

ELECTRICIAN	23.45	10.5%+a
CABLE SPLICER	23.85	10.5%+a

FOOTNOTE;

a. \$5.20 per hour.

ELEC1426P 06/01/2002

	Rates	Fringes
BARNES, BENSON, CAVALIER, DICKEY, EDDY, FOSTER, GRIGGS, KIDDER, LAMOURE, LOGAN, MCINTOSH, NELSON, PEMBINA, RAMSEY, RANSOM, RICHLAND, SARGENT, STEELE, STUTSMAN, TOWNER, TRAILL, WALSH, & WELLS COUNTIES:		

ELECTRICIANS:

ELECTRICIAN	21.47	5.20+12%
CABLE SPLICER	22.54	5.20+12%

ENGI9949B 11/01/2002

	Rates	Fringes
GROUP 1	17.85	6.85
GROUP 2	17.70	6.85
GROUP 3	17.45	6.85
GROUP 4	17.30	6.85
GROUP 5	15.15	6.85

POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1:

All Terrain Vehicle Cranes 80 tons and over, Hydro Cranes, 80 tons and over, Crane Operator with 135' boom and over Gantry Crane Operator, & Travelling Tower Crane.

GROUP 2:

All Terrain Vehicle Cranes over 20 tons and up to 80 tons, Hydro Cranes 20 tons up to 80 tons, Creter Crane, & Crane Operator up to 135' Boom.

GROUP 3:

All Terrain Vehicle Cranes thru 20 tons, Hydro Cranes thru 20 tons, Tractor with boom attachment

GROUP 4:

Push Tractor Roller

Group 5:

Tractor pulling Compaction or Areating Equipment

SUND2009A 05/04/2000		
	Rates	Fringes
CONCRETE FINISHER/CEMENT MASON	14.37	3.67
CARPENTER(Including Form building & Concrete Forms)	13.98	
IRONWORKER REINFORCING	17.03	
LABORERS:		
Common	9.96	
Pipelayers	10.48	
PAINTERS, BRUSH, SPRAY	18.14	
POWER EQUIPMENT OPERATORS:		
Backhoes	14.00	3.74
Bobcat	12.82	2.35
Bulldozer	14.06	4.00
Excavator	12.05	
Front End Loader	13.15	3.17
Mechanic	15.16	5.31
Motor Grader	14.74	4.51
Scraper	13.85	4.25
Sheepsfoot	12.57	3.23

Skidster	11.06	2.05
Self Propelled Packer	11.55	4.25
TRUCK DRIVERS:		
Dump	11.39	3.60
Semi	11.52	.23
Tandem	11.14	1.63

TEAM0082E 11/01/2002		
	Rates	Fringes
ARTICULATED OFF ROAD HAULER	16.10	6.00

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

=====

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29 CFR 5.5(a)(1)(ii)).

In the listing above, the "SU" designation means that rates listed under that identifier do not reflect collectively bargained wage and fringe benefit rates. Other designations indicate unions whose rates have been determined to be prevailing.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can be:

- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour Regional Office for the area in which the survey was conducted because those Regional Offices have responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations
Wage and Hour Division
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator
U.S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board
U. S. Department of Labor
200 Constitution Avenue, N. W.
Washington, D. C. 20210

4.) All decisions by the Administrative Review Board are final.
END OF GENERAL DECISION

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Sol. No. DACA45-03-B-0002
Project Title: CALCM Beddown
Project Location: Minot AFB, ND
Contract Document Distribution List

Address	Organization Label	Plans
U.S. Army Corp of Engineers, Omaha District 106 S. 15 th Omaha, NE 68102	CENWO-PM-M (Larry Bringewatt) CENWO-OC CENWO-CD-CA (Contract Admin) CENWO-CD-QR CENWO-LM-S CEMRO-CT (Cindy Siford) CENWO-ED-C (Kemp)	9 (1/2 size) 1 (1/2 size) 1 (1/2 size) 3 (1/2 size) 1 (1/2 size) 1 (1/2 size) 1 (1/2 size)
Black Hills Area Office US Army Corps of Engineers 631 Saint Anne ST., Suite 101 Rapid City, SD 57701		2 (1/2 size) 1 (full size)
Grand Forks Resident Office US Army Corps of Engineers P.O. Box 247 Emerado, ND 58228		2 (1/2 size) 1 (full size)
Minot Project Office US Army Corps of Engineers c/o Base Post Office Minot AFB, ND 58704		2 (1/2 size) 1 (full size)
HQ ACC/CECW Attn: James Spoto, Room 315 129 Andrews Street, Suite 102 Langley AFB VA 23665-2769		2(1/2 size)
5 CES / CECF Attn: Warren Fried 320 Peacekeeper Place Minot AFB ND 58705-4978		8(1/2 size)

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10/02; Rev 01/03

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SECTION 01040

AS-BUILT DRAWINGS
10/02; Rev 01/03

PART 1 GENERAL

1.1 DEFINITIONS

The definitions listed below form a part of this specification.

1.1.1 Red-Line Drawings

Contract drawings marked-up to show actual work performed to include necessary sketches, modification drawings, shop drawings and notes. Green ink is used to indicate work deleted from the contract. Red ink is used for additions and deviations from the contract.

1.1.2 As-Built Drawings

Professional finished vellum drawings and electronic CADD files developed from the original contract drawings that include all of the information from the redline drawings and suitable for half-size reproduction.

1.1.3 Vellum Drawings

Drawings on erasable Vellum 20# similar or equal to Xerox Zero solvent vellum.

1.1.4 Black-Line Drawings

Paper drawings reproduced from electronic CADD files or high quality reproducible drawings.

1.1.5 Full-Size Drawings

28 inches x 40 inches nominal size drawings with all details visually readable.

1.1.6 Half-Size Drawings

14 inches x 20 inches nominal size drawings with all details visually readable.

1.1.7 Modification Circle

A circle with a horizontal line through the center. The top half will contain the letter "P" with the bottom half containing the Modification number. The lettering standard will be 120/6 WRICO or similar.

1.1.8 Mylar Drawings

Drawings on minimum of 4 mil. double mat erasable film using Minot AFB(MAFB's) standard title block.

1.1.9 Electronic CADD Files

Electronic CADD files are files saved on CD-ROM in accordance with appropriate CADD standard. The CADD standard will include level on/off status, special characters, line weights, font, and size requirements.

1.2 GENERAL REQUIREMENTS

The work includes creation of vellum drawings and electronic CADD files on AutoCADD 2002 for as-built drawings to accurately depict existing conditions of the project. As-Built Drawings will become the permanent record drawings of the construction. The Contractor is responsible for development of electronic CADD files in accordance with Minot CADD Standards specified below. CADD standards. Customer CADD standards will be supplied on Compact Disk (CD) with updated contract award drawings.. The Omaha District will furnish a CD of CAD (read-write) contract drawing files in the software language specified in paragraph Procedure below. This is the software language required by the Using Service. These drawing files shall be used to prepare required As-Built drawings. The As-Built drawings shall include all major features of the work and all details to the same level as the original contract set of drawings. All changes from the contract drawings, including but not limited to all deviations, additional information, and modifications to the contract. Where contract drawings or specifications allow for options, only the option selected and actually constructed shall be shown on the As-Built Drawings. Systems designed or enhanced by the Contractor such as HVAC control system, fire alarm system fire sprinkler system, irrigation sprinkler system, letters of clarification, shall be accurately and neatly recorded on the As-Built Drawings using the same symbols, terminology, and general quality as the original set of contract drawings. All sheets affected by a change shall be revised. The transmittal requirements for the As-built Drawings shall be shown as events on the Contractor prepared project schedule.

1.3 PAYMENT

In accordance with the clause "Payment Under Fixed - Price Construction Contracts", which provides for progress payments on estimates of work accomplished (which meets the standards of quality established under the contract), \$30,000 will be withheld from payment for the creation of As-Built drawings until the final as-built drawings are delivered to the Contracting Officer (including any necessary revisions and subject to the approval of the Contracting Officer).

The Government will assign the Contractor a performance rating (CCASS for Corps of Engineers projects) upon completion of the project. The timeliness of satisfactory As-Built drawing submittals will be an important factor in determining the assigned rating. An unsatisfactory performance of As-Built drawings creation will be given to the Contractor if the Contractor demonstrates an unwillingness to work with the Government on As-Built drawing creation or the Contractor fails to submit satisfactory Final As-Built drawings within 60 calendar days of turning the completed project over to the Using Service.

1.4 TRANSMITTAL OF AS-BUILT DRAWINGS

1.4.1 Preliminary As-Built Drawings

The Contractor shall produce Preliminary As-Built Drawings indicating as-built conditions on AutoCADD (Version 2002) with "clouding". The Contractor shall contact Jim Janicek at (402)221-4519 before beginning

As-Built preparation. As-Built preparation process is provided in paragraph As-Built Preparation below. Preliminary drawings shall consist of 15 percent of total project drawings. These drawings shall be sheets used for the construction of this project (excludes Cover Sheet, Vicinity Map, Location Plan and Indexes). The As-Built CADD files which include all changes up to the time Preliminary Drawings shall be sent as stated below. The Contractor shall draw attention to all drawing changes by "clouding" the affected area. This "clouding" shall be accomplished on layer 63 of the drawing file. The Preliminary Drawings shall consist of one (1) set of CADD files on a CD-ROM and one (1) full-size set of the Black-Line Drawings. One (1) set of CADD files on a CD-ROM shall be submitted to the Omaha District Office (ATTN: CENWO-ED-DI, Jim Janicek). One (1) full-size set of the Black-Line Drawings shall be submitted to the COR. Both documents shall be submitted three (3) weeks prior to the final acceptance inspection unless otherwise directed by the COR. The COR will notify the Contractor in writing of approval / disapproval. The Contractor shall not submit the Final Drawings until he receives the COR's letter approving the Preliminary Drawings.

1.4.2 Final As-Built Drawings

The Contractor shall produce Final As-Built Drawings on AutoCADD (Version 2002) without "clouding". As-Built preparation process is provided in paragraph As-Built Preparation below. The Final Drawings shall include all changes. The Final Drawings in the form of a CD-ROM shall be submitted to the COR and Omaha District Office (CENWO-ED-DI) no earlier than the day of acceptance of the project and no later than thirty (30) days after the date on the acceptance letter for the Preliminary Drawing unless otherwise directed by the COR. (Note: Final drawings shall not be forwarded to the customer. Corps of Engineers, Omaha District COR will forward to the customer after Quality Review.) Contractor shall submit one (1) set of CADD files on a CD-ROM to the Omaha District Office (ATTN: CENWO-ED-DI, Jim Janicek). Contractor shall send the following documents to the COR:

a) One (1) set of CADD files on CD-ROM (folder name containing as-built files shall be designated "AS-BUILTS" on each CD-ROM). Both CD case and CD-ROM shall contain the name of the project, location, specification number, and contract number, and words "As-Built Record Set"). The folder shall contain drawings, indexes and X-REF files related to all as-builts.

b) One (1) full-size set of vellum As-Built Drawings, along with all red-lined hard copy drawings prepared by the Contractor during construction.

COR will forward one (1) full-size set of drawings along with CD-ROM to the customer.

1.4.3 As-Built Preparation

Both preliminary and final electronic as-built drawings shall be produced in accordance with the following process for AutoCADD drawings:

1.4.3.1 Not Used

1.4.3.2 For AutoCADD (*.DWG) Files

a. Drawing Sheet: Minot Air Force Base's drafting shop uses an

architectural 28x40 standard drawing sheet. All sheets received by drafting will be plotted on a minimum of 4 mil. double mat erasable film using MAFB's standard title block.

Our prototype.dwg is set up with the dimension styles, and a basic layering scheme. All personnel both government and contractors shall use this prototype as the basis for starting all drawings. The prototype.dwt is an Acad template that can be copied into the ACAD 2002 template directory.

b. File Naming: All drawings will use the following naming convention AS A MINIMUM:

1)The first two positions will be the sheets reference number, i.e. "A1".

2)The next two positions will distinguish whether the item is new (NW) or existing (EX).

3)The remainder of the name will be a short description of the sheet, i.e. a proposed electrical floor plan could be "E2NWELPL".

4)Revised sheets will be annotated with an R1 the R stands for revised the number stands for the number of revisions for that sheet (E2R4NWELPL). All sheets will be retained in the project directory until the project is constructed.

c. Layering Convention: Layers should be kept simple, other people will be working with this drawing also. All new item layers will be prefixed with "nw-" conversely all existing layers will be prefixed with "ex-". The remainder of the name will be a short descriptor, i.e. all new walls would be placed on a layer named "nw-walls".

d. Scale/Paper Space Model Space: All drawings will be done at FULL scale in model space. The sheet will be located in paper space with all title blocks filled. The finished drawing will be plotted at 1=1. The scale will be set by zooming to the correct scale factor in each model space viewport. For example if you are plotting at 1/8" =1'-0" you would zoom the view port at 1/96 xp to get the proper scale. The only things that will be in paper space will be the title sheet, text pertaining to the title sheet, titles, and any general notes. No drawings under any circumstance will be altered from their real world size using the scale command. Drawings such as riser diagrams, one line drawings, schematics, isometrics, and pictorial representations are the only drawings that do not have to be to scale.

e. Text: Will follow general drafting practices. General notes or leader notes text will be 1/8" or 3/32". Titles should be 1/4" or 3/16". Regardless which is chosen, it should remain consistent throughout the project. Text in the title sheet should be 1/8". Notes will all be consistent on each sheet throughout the entire project. The only text styles to be used are archquik.shx for notes, dimensioning and leaders. Archstyl.shx will be used for view titles.

f. Symbols: All graphic symbols for all trades will be taken from the most current release of AIA 's "Architectural Graphic Standards"

g. Line Weight: All items in the drawing database will follow general drafting guidelines. For example, all new items will be shown with thick lines, by contrast existing and demolition will be designated with thin

lines. MAFB's drafting shop utilizes AutoCAD's color numbers to accomplish this. Only under special circumstances will an object in AutoCAD be edited to give it width. Whenever possible you will use the following standard.

Architectural/Civil Color Usage

COLOR/NUMBER	LINE WEIGHT	PURPOSE
red -1	.18	dimensions, hatching
yellow -2	.25	existing /demolition walls
green -3	.35	text
cyan -4	.35	existing discipline i.e. elec, mech
blue -5	.65	new
magenta -6	.8	new, cut lines, bubble lines
white -7	.25	general purpose
dark gray -8	.25/80% screen	special purpose, lines can be difficult to see when reproduced.
light gray -9	.13	special purpose, lines can be difficult to see when reproduced.
peach -11	.50	new

Mechanical/Electrical Color Usage

COLOR/NUMBER	LINE WEIGHT	PURPOSE
red-10	.18	dimension, hatching
orange-30	.5	new
yellow-50	.25	existing/demolition walls
green-90	.35	text
cyan-130	.35	existing discipline i.e. elec, Mech
blue-170	.65	new
magenta-220	.8	new, cut lines bubble lines
white-7	.25	general purpose

Plots

The following information is how we had our plots set up in both the 650c and the 750c hp- plotters. Using the pc1 file or pc2(acad14) we establish the color scheme for the first 15 pens. Then in the plotter we set the pen widths for those colors.

COLORS ACAD	PEN SETTINGS	
PCP FILE	or	PC2 FILE
		PLOTTER PEN SETTINGS PALLET A
Color0=0		pen 0=0 .35
Color1=1	red .18	pen 1=1 .18
Color2=2	yellow .25	pen 2=1 .25
Color3=3	green .35	pen 3=1 .35
Color4=4	cyan .35	pen 4=1 .35
Color5=5	blue .65	pen 5=1 .65
Color6=6	magenta .8	pen 6=1 .80
Color7=7	white .25	pen 7=1 .25
Color8=8	dark gray .25	pen 8=8 .25
Color9=9	light gray .13	pen 9=1 .13
Color10=19	color? .35	pen 10=19 .35
Color11=11	peach .50	pen 11=1 .35
Color12=7	white .35	pen 12=35 .35
Color13=7	white .35	pen 13=68 .35
Color14=7	white .35	pen 14=100 .35
Color15=7	white .35	pen 15=110 .35
All other pens are set to pen 7 with the width at .35		

We now use software setup on the plotters and have the pc3 file set the line weights for our plots. The pc3 file is set to match our old plotter settings.

h. NOTES: 1: XREF DRAWINGS

1) Arch. Floor plan will be x-ref into mechanical and electrical drawings during the design phase of the project. During the Asbuilt update the x-ref plans will be bound to the separate drawings. A copy of the mechanical, electrical and architectural plans will be put into the Asbuilt facility drawing.

2) When x-refing a drawing, remember to freeze the layers you don't want showing.

When x-refing arch plans into a mechanical or electrical drawing remember to change the colors on the x-ref layers to red. You can accomplish this by using the filter in the layer command, selecting all and changing the color to red.

1.5 PROCEDURE

One (1) CD-ROM containing the contract drawings (read-write CADD files) and CADD standards in AutoCADD (Version 2002) format, for use in the preparation of As-Built Drawings by the Contractor, will be forwarded to the Resident Engineer. This CD-ROM will then be furnished to the Contractor after signed receipt to the Resident Engineer. The Contractor shall create a set of electronic Cadd files and full-size Red-Line Drawings to fully indicate As-Built conditions. The Red-Line Drawings shall be maintained at the site, in a current condition until the completion of the work and shall be available for review by the COR at all times. All as-built conditions shall be on the Red-Line Drawings within two (2) days after the work activity is completed or shall be entered on the deficiency tracking system (see Section 01451A, CONTRACTOR QUALITY CONTROL). The Contractor shall not convert electronic drawing files from one software language to another (i.e. Microstation to AutoCADD or AutoCADD to Microstation).

1.6 TITLE BLOCKS

The contract number and the specification number (if available) shall be shown on all sheets. "RECORD DRAWING" shall be added below the title block on all sheets. All modifications to the contract shall be posted in ascending order. The top line of the revision box shall state "REVISED TO SHOW AS-BUILT CONDITIONS" and dated. All modifications to all plans, sections, or details, shall have a modification number placed in the revision box under column entitled "Symbol". The statement "GENERAL REVISIONS" may be used when applicable. The date to be added in the revision box for modifications is found in Block 3 of Form SF-30. Cover Sheet will have Contract Award Set changed to As-Built Record Set with month & year completed. Month and year completed will also go in the date box in the title block. There will be no separate dates.

1.7 PROCEDURES FOR POSTING MODIFICATION CHANGES TO DRAWINGS

Follow directions in the modification for posting descriptive changes.

A Modification Circle shall be placed at the location of each deletion.

The highest modification number on the sheet should be shown in

the modification circle in the "DATE" and "DRAWING CODE" boxes of the title block.

For all new details or sections that are added to a drawing, place a Modification Circle by the detail or section title.

For changes to a drawing, place a Modification Circle by the title of the affected plan, section or detail titles (each location).

For changes to schedules on drawings, a Modification Circle shall be placed either by the schedule heading or by the change in the schedule.

The Modification Circle size shall be 1/2-inch diameter unless the area where circle is to be placed is crowded. Use smaller size circle for crowded areas.

1.8 WORD ABBREVIATIONS

Abbreviations shown on the abbreviation sheet shall be used to describe all work items. Additional word abbreviations, not found on the abbreviation sheet but necessary to describe the work, shall be properly identified and incorporated with the other standard word abbreviations.

1.9 LEGEND SHEETS

Symbols, which conflict with those on the original contract legend sheet, shall not be used. Additional symbols, necessary to depict any additional work items, shall be properly identified and added to the legend sheet or supplemental legend. Those projects that do not have legend sheets may use supplemental legends on each sheet where symbol is shown.

1.10 CONTRACTOR SHOP DRAWINGS

Contractor shop drawings, which supersede data on the contract plans and/or additional drawings, prepared by the Contractor, shall be incorporated into the As-Built Drawings. Design plans prepared by Contractor shall include the designer's name on the As-Built Drawings.

1.11 INDEXING OF DRAWINGS

If drawings are added to the portfolio of drawings to depict as-built conditions, the index of drawings shall be revised accordingly.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION

3.1 GENERAL

As-Built drawings shall include as-built information to the same level of detail as shown on the original details, unless otherwise specified. The Contractor shall provide any additional full-size drawings as required to display all the details.

3.2 SITE WORK

3.2.1 Utilities

All utilities shall be shown whether active, inactive, shown on the original contract drawings, or found on-site. The type of utility,

location, general direction, size, material make-up and depth shall be shown. The location and description of any utility line or other installations of any kind known to exist within the construction area shall be shown. The location shall include dimensions to permanent features.

3.2.2 Structures

Structures above and below ground shall be shown. The size, material make-up, location, height, and/or depth shall be shown. Manholes shall show rim elevation and invert elevations as applicable. Power poles shall show electrical equipment and voltage rating.

3.2.3 Grades

Grade or alignment of roads, structures, or utilities shall be corrected if any changes were made from the contract drawings. Elevations shall be corrected if changes were made in site grading.

3.3 STRUCTURAL

3.3.1 Steel

Shop drawings that deviate from the contract drawings shall be incorporated in the As-Built Drawings.

3.4 MECHANICAL

3.4.1 Ductwork

Ductwork shall be shown to reflect actual installation and duct size. Ductwork routing changes shall be shown.

3.4.2 Plumbing

Piping and fixtures shall be shown to reflect the type of material, size and the route or location.

3.5 ELECTRICAL

3.5.1 PANELS

All contract drawing panel schedules shall be revised to show as-built conditions. Home-run circuit designation on electrical drawings shall accurately correspond to the as-built panel schedules.

3.5.2 Controls

All control diagrams in contract drawings shall be revised to reflect as-built conditions, and setpoints.

-- End of Section --

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DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01200

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5/00; Rev 02/02

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 - 1.2.1 Performance Bond
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-- End of Section Table of Contents --

SECTION 01200

WARRANTY OF CONSTRUCTION
5/00; Rev 02/02

PART 1 GENERAL

1.1 WARRANTY OF CONSTRUCTION

(a) Foremost and in addition to any other warranties in this contract, the Contractor warrants, except as provided in paragraph (i) of this clause, that work performed under this contract conforms to the contract requirements and is free of any defect in equipment, material, design furnished, or workmanship performed by the Contractor or any subcontractor or supplier at any tier.

(b) This warranty shall continue for a period of 1 year from the date of final acceptance of the work. If the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of 1 year from the date the Government takes possession.

(c) The Contractor shall remedy at the Contractor's expense any failure to conform, or any defect. In addition, the Contractor shall remedy at the Contractor's expense any damage to Government-owned or controlled real or personal property, when that damage is the result of--

(1) The Contractor's failure to conform to contract requirements;
or

(2) Any defect of equipment, material, workmanship, or design furnished by the Contractor.

(d) The Contractor shall restore any work damaged in fulfilling the terms and conditions of this clause.

(e) The Contractor's warranty with respect to work restored, repaired or replaced will run for 1 year from the date of restoration, repair or replacement. This provision applies equally to all items restored, repaired, or replaced under paragraph (c) and (d) above.

(f) The Government will notify the Contractor, in writing, within a reasonable time after the discovery of any failure, defect, or damage. Repair work necessary to correct a warranty condition which arises to threaten the health or safety of personnel, the physical safety of property or equipment, or which impairs operations, habitability of living spaces, etc., will be performed by the Contractor on an immediate basis as directed verbally by the Government. Written verification will follow verbal instruction.

(g) If the Contractor fails to remedy any failure, defect, or damage within a reasonable time after receipt of verbal or written notice, the Government shall have the right to replace, repair, or otherwise remedy the failure, defect, or damage at the Contractor's expense.

(h) With respect to all warranties, express or implied, from subcontractors, manufacturers, or suppliers for work performed and materials furnished under this contract, the Contractor shall--

(1) Obtain all warranties that would be given in normal commercial practice;

(2) Require all warranties to be executed, in writing, for the benefit of the Government, if directed by the Contracting Officer; and

(3) Enforce all warranties for the benefit of the Government, if directed by the Contracting Officer.

(i) In the event the Contractor's warranty under paragraph (b) of this clause has expired, the Government may bring suit at its expense to enforce a subcontractor's, manufacturer's, or supplier's warranty.

(j) Unless a defect is caused by the negligence of the Contractor or subcontractor or supplier at any tier, the Contractor shall not be liable for the repair of any defects of material or design furnished by the Government nor for the repair of any damage that results from any defect in Government-furnished material or design.

(k) This warranty shall not limit the Government's rights under the Inspection and Acceptance clause of this contract with respect to latent defects, gross mistakes, or fraud.

1.2 ADDITIONAL WARRANTY REQUIREMENTS

1.2.1 Performance Bond

(a) It is understood that the Contractor's Performance Bond will remain effective for one (1) year from the date of acceptance.

(b) If either the Contractor or his representative doesn't diligently pursue warranty work to completion, the contractor and surety will be liable for all costs. The Government, at its option, will either have the work performed by others or require the surety to have it done. Both direct and administrative costs will be reimbursable to the Government.

1.2.2 Pre-Warranty Conference

(a) Prior to contract completion and at a time designated by the Contracting Officer or his authorized representative, the Contractor shall meet with the Contracting Officer or his authorized representative to develop a mutual understanding with respect to the requirements of the Paragraph: WARRANTY OF CONSTRUCTION. Communication procedures for Contractor notification of warranty defects, priorities with respect to the type of defect and other details deemed necessary by the Contracting Officer or his authorized representative for the execution of the construction warranty shall be established/reviewed at this meeting.

(b) In connection with these requirements and at the time of the

Contractor's quality control completion inspection, the Contractor will furnish the name, telephone number and address of the service representative which is authorized to initiate and pursue warranty work action on behalf of the Contractor and surety. This single point of contact will be located within the local service area of the warranted construction, will be continuously available, and will be responsive to Government inquiry on warranty work action and status. This requirement does not relieve the Contractor of any Contractual responsibilities in connection with the paragraph: WARRANTY OF CONSTRUCTION.

(c) Local service area is defined as the area in which the contractor or his representative can meet the response times as described in paragraph 1.2.4 and in any event shall not exceed 200 miles radius of the construction site.

1.2.3 Equipment Warranty Identification

The Contractor shall provide warranty identification tags on all mechanical and electrical equipment installed under this contract. Tags and installation shall be in accordance with the requirements of Paragraph: EQUIPMENT WARRANTY IDENTIFICATION TAGS.

1.2.4 Warranty Service Calls

The Contractor or his local service representative will respond to the site, to a call within the time periods as follows: Four (4) hours for Heating, Air Conditioning, Refrigeration, Air Supply and Distribution, Critical Electrical service Systems and Food Service Equipment and Twenty-Four (24) hours For All Other Systems.

1.2.5 Equipment Warranty Booklet

At or before 30 days prior to final inspection and acceptance of the work, the Contractor shall submit the data mentioned as follows:

The Contractor shall provided a Booklet, which consists of a listing of all equipment items (see paragraphs a. and b. below) which are specified to be guaranteed along with the warranty papers for each piece of equipment. Three (3) legible bound copies of the booklet shall be submitted for approval and shall be indexed alphabetically by equipment type. For each specific guaranteed item, the name, address, and telephone number shall be shown on the list for the subcontractor who installed equipment, equipment supplier or distributor, and equipment manufacturer. Completion date of the guarantee period shall correspond to the applicable specification requirements for each guaranteed item. The names of service representatives that will make warranty calls along with the day, night, weekend and holiday contacts for response to a call within the time period specified shall also be identified.

a. For Equipment in Place: The equipment list shall show unit retail value and nameplate data including model number, size, manufacturer, etc. This would include capital equipment and other nonexpendable supplies of a movable nature that are not affixed as an integral part of the facility and may be removed without destroying or reducing the usefulness of the facility. Some examples are spare parts, special tools, manufacturing equipment, maintenance equipment, instruments, installed under this contract.

b. For Installed Building Equipment: The equipment list shall show unit retail value and nameplate data including model number, size, manufacturer, etc. This would include items of equipment and furnishings (including material for installation thereof), which are required to make the facility usable and are affixed as a permanent part of the structure. Some examples are plumbing fixtures, laboratory counters and cabinets, kitchen equipment, mechanical equipment, electrical equipment, and fire protection systems installed under this contract.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330SUBMITTAL PROCEDURES:

SD-11 Closeout Submittals

Equipment Warranty Booklet

1.4 EQUIPMENT WARRANTY IDENTIFICATIONS TAGS

1.4.1 GENERAL REQUIREMENTS

The Contractor shall provide warranty identification tags on all Contractor and government furnished equipment which is Contractor installed.

1.4.1.1 Tags and Information

The tags and information shall be similar in format and size to the exhibits provided by this specification, and shall be suitable for interior and exterior locations, resistant to solvents, abrasion, and to fading caused by sunlight, precipitation, etc. These tags shall have a permanent pressure- sensitive adhesive back, and shall be installed in a position that is easily (or most easily) noticeable. If the equipment surface is not suitable for adhesive back, Contractor shall submit his alternative to the Contracting Officer's Authorized Representative for review and approval. Contractor furnished equipment that has differing warranties on its components will have each component tagged.

1.4.1.2 Tags for Warranted Equipment

The tag for his equipment shall be similar to the following:

EQUIPMENT WARRANTY	
CONTRACTOR FURNISHED EQUIPMENT	
MFG-----	MODEL NO.-----
SERIAL NO.-----	
CONTRACT NO.-----	
CONTRACTOR NAME-----	

CONTRACTOR ADDRESS-----
CONTRACTOR TELEPHONE-----
CONTRACTOR WARRANTY EXPIRES-----
IN CASE OF WARRANTY ACTION FIRST CONTACT
[DEH] [BCE] AT [TELEPHONE NUMBER]

EQUIPMENT WARRANTY	
GOVERNMENT FURNISHED EQUIPMENT	
MFG _____	MODEL NO. _____
SERIAL NO. _____	
CONTRACT NO. _____	
DATE EQUIP PLACED IN SERVICE _____	

1.4.1.3 Exclusion to Providing Tags

If the manufacturer's name (MFG), model number and serial number are on the manufacturer's equipment data plate and this data plate is easily found and fully legible, this information need not be duplicated on the equipment warranty tag. The Contractor's warranty expiration date and the final manufacturer's warranty expiration date will be determined as specified by the Paragraph "WARRANTY OF CONSTRUCTION".

1.4.2 EXECUTION

The Contractor will complete the required information on each tag and install these tags on the equipment by the time of and as a condition of final acceptance of the equipment. The Contractor shall be responsible for scheduling acceptance inspection with the Contracting Officer (verbal and written notification required). If this inspection is delayed by the Contractor, the Contractor shall, at his own expense, update the in-service and warranty expiration dates on these tags.

1.4.3 Equipment Warranty Tag Replacement

Under the terms of this contract, the Contractor's warranty with respect to work repaired or replaced shall run for one year from the date of repair or replacement. Such activity shall include a data warranty identification tag on the repaired or replaced equipment. The tag shall be furnished and installed by the Contractor, and shall be similar to the original tag, except that it should include the scope of repair and that the contractor's warranty expiration date will be one year from the date of acceptance of the repair or replacement. In the case of repair, the repair only will be covered by the extended warranty. In the case of replacement of a

component, the component only will be covered by the extended warranty. In these cases, the original tags will not be removed, but an additional tag will be installed for the repair or component replacement.

PART 2 NOT USED

PART 3 NOT USED

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SECTION 01320A

PROJECT SCHEDULE
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of the specification to the extent referenced. The publications are referenced in the text by basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

ER 1-1-11 (1995) Progress, Schedules, and Network
Analysis Systems

1.2 QUALIFICATIONS

The Contractor shall designate an authorized representative who shall be responsible for the preparation of all required project schedule reports.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

Pursuant to the Contract Clause, SCHEDULE FOR CONSTRUCTION CONTRACTS, a Project Schedule as described below shall be prepared. The scheduling of construction shall be the responsibility of the Contractor. Contractor management personnel shall actively participate in its development. Subcontractors and suppliers working on the project shall also contribute in developing and maintaining an accurate Project Schedule. The approved Project Schedule shall be used to measure the progress of the work, to aid in evaluating time extensions, and to provide the basis of all progress payments. The scheduler shall be a direct employee of the prime contractor and have a minimum of 2 years experience in scheduling.

3.2 BASIS FOR PAYMENT

The schedule shall be the basis for measuring Contractor progress. Lack of an approved schedule or scheduling personnel will result in an inability of the Contracting Officer to evaluate Contractor's progress for the purposes of payment. Failure of the Contractor to provide all information, as specified below, shall result in the disapproval of the entire Project Schedule submission and the inability of the Contracting Officer to evaluate Contractor progress for payment purposes. In the case where Project Schedule revisions have been directed by the Contracting Officer and those revisions have not been included in the Project Schedule, the Contracting Officer may hold retainage up to the maximum allowed by contract, each payment period, until revisions to the Project Schedule have been made.

3.3 PROJECT SCHEDULE

The computer software system utilized by the Contractor to produce the Project Schedule shall be capable of providing all requirements of this specification. Failure of the Contractor to meet the requirements of this specification shall result in the disapproval of the schedule. Manual methods used to produce any required information shall require approval by the Contracting Officer.

3.3.1 Use of the Critical Path Method

The Critical Path Method (CPM) of network calculation shall be used to generate the Project Schedule. The Contractor shall provide the Project Schedule in the Precedence Diagram Method (PDM).

3.3.2 Level of Detail Required

The Project Schedule shall include an appropriate level of detail. Failure to develop or update the Project Schedule or provide data to the Contracting Officer at the appropriate level of detail, as specified by the Contracting Officer, shall result in the disapproval of the schedule. The Contracting Officer will use, but is not limited to, the following conditions to determine the appropriate level of detail to be used in the Project Schedule:

3.3.2.1 Activity Durations

Contractor submissions shall follow the direction of the Contracting Officer regarding reasonable activity durations. Reasonable durations are those that allow the progress of activities to be accurately determined between payment periods (usually less than 2 percent of all non-procurement activities' Original Durations are greater than 20 days).

3.3.2.2 Procurement Activities

Tasks related to the procurement of long lead materials or equipment shall be included as separate activities in the project schedule. Long lead materials and equipment are those materials that have a procurement cycle of over 90 days. Examples of procurement process activities include, but are not limited to: submittals, approvals, procurement, fabrication, and delivery.

3.3.2.3 Critical Activities

The following activities shall be listed as separate line activities on the Contractor's project schedule:

- a. Submission and approval of mechanical/electrical layout drawings.
- b. Submission and approval of O & M manuals.
- c. Submission and approval of as-built drawings.
- d. Submission and approval of 1354 data and installed equipment lists.
- e. Submission and approval of testing and air balance (TAB).
- f. Submission of TAB specialist design review report.

- g. Submission and approval of fire protection specialist.
- h. Submission and approval of testing and balancing of HVAC plus commissioning plans and data.
- i. Air and water balance dates.
- j. HVAC commissioning dates.
- k. Controls testing plan.
- l. Controls testing.
- m. Performance Verification testing.
- n. Other systems testing, if required.
- o. Prefinal inspection.
- p. Correction of punchlist from prefinal inspection.
- q. Final inspection.

3.3.2.4 Government Activities

Government and other agency activities that could impact progress shall be shown. These activities include, but are not limited to: approvals, inspections, utility tie-in, Government Furnished Equipment (GFE) and Notice to Proceed (NTP) for phasing requirements.

3.3.2.5 Responsibility

All activities shall be identified in the project schedule by the party responsible to perform the work. Responsibility includes, but is not limited to, the subcontracting firm, contractor work force, or government agency performing a given task. Activities shall not belong to more than one responsible party. The responsible party for each activity shall be identified by the Responsibility Code.

3.3.2.6 Work Areas

All activities shall be identified in the project schedule by the work area in which the activity occurs. Activities shall not be allowed to cover more than one work area. The work area of each activity shall be identified by the Work Area Code.

3.3.2.7 Modification or Claim Number

Any activity that is added or changed by contract modification or used to justify claimed time shall be identified by a mod or claim code that changed the activity. Activities shall not belong to more than one modification or claim item. The modification or claim number of each activity shall be identified by the Mod or Claim Number. Whenever possible, changes shall be added to the schedule by adding new activities. Existing activities shall not normally be changed to reflect modifications.

3.3.2.8 Bid Item

All activities shall be identified in the project schedule by the Bid Item

to which the activity belongs. An activity shall not contain work in more than one bid item. The bid item for each appropriate activity shall be identified by the Bid Item Code.

3.3.2.9 Phase of Work

All activities shall be identified in the project schedule by the phases of work in which the activity occurs. Activities shall not contain work in more than one phase of work. The project phase of each activity shall be by the unique Phase of Work Code.

3.3.2.10 Category of Work

All Activities shall be identified in the project schedule according to the category of work which best describes the activity. Category of work refers, but is not limited, to the procurement chain of activities including such items as submittals, approvals, procurement, fabrication, delivery, installation, start-up, and testing. The category of work for each activity shall be identified by the Category of Work Code.

3.3.2.11 Feature of Work

All activities shall be identified in the project schedule according to the feature of work to which the activity belongs. Feature of work refers, but is not limited to, a work breakdown structure for the project. The feature of work for each activity shall be identified by the Feature of Work Code.

3.3.3 Scheduled Project Completion

The schedule interval shall extend from NTP to the contract completion date.

3.3.3.1 Project Start Date

The schedule shall start no earlier than the date on which the NTP was acknowledged. The Contractor shall include as the first activity in the project schedule an activity called "Start Project". The "Start Project" activity shall have an "ES" constraint date equal to the date that the NTP was acknowledged, and a zero day duration.

3.3.3.2 Constraint of Last Activity

Completion of the last activity in the schedule shall be constrained by the contract completion date. Calculation on project updates shall be such that if the early finish of the last activity falls after the contract completion date, then the float calculation shall reflect a negative float on the critical path. The Contractor shall include as the last activity in the project schedule an activity called "End Project". The "End Project" activity shall have an "LF" constraint date equal to the completion date for the project, and a zero day duration.

3.3.3.3 Early Project Completion

In the event the project schedule shows completion of the project prior to the contract completion date, the Contractor shall identify those activities that have been accelerated and/or those activities that are scheduled in parallel to support the Contractor's "early" completion. Contractor shall specifically address each of the activities noted in the narrative report at every project schedule update period to assist the Contracting Officer in evaluating the Contractor's ability to actually

complete prior to the contract period.

3.3.4 Interim Completion Dates

Contractually specified interim completion dates shall also be constrained to show negative float if the early finish date of the last activity in that phase falls after the interim completion date.

3.3.4.1 Start Phase

The Contractor shall include as the first activity for a project phase an activity called "Start Phase X" where "X" refers to the phase of work. The "Start Phase X" activity shall have an "ES" constraint date equal to the date on which the NTP was acknowledged, and a zero day duration.

3.3.4.2 End Phase

The Contractor shall include as the last activity in a project phase an activity called "End Phase X" where "X" refers to the phase of work. The "End Phase X" activity shall have an "LF" constraint date equal to the completion date for the project, and a zero day duration.

3.3.4.3 Phase X

The Contractor shall include a hammock type activity for each project phase called "Phase X" where "X" refers to the phase of work. The "Phase X" activity shall be logically tied to the earliest and latest activities in the phase.

3.3.5 Default Progress Data Disallowed

Actual Start and Finish dates shall not be automatically updated by default mechanisms that may be included in CPM scheduling software systems. Actual Start and Finish dates on the CPM schedule shall match those dates provided from Contractor Quality Control Reports. Failure of the Contractor to document the Actual Start and Finish dates on the Daily Quality Control report for every in-progress or completed activity, and failure to ensure that the data contained on the Daily Quality Control reports is the sole basis for schedule updating shall result in the disapproval of the Contractor's schedule and the inability of the Contracting Officer to evaluate Contractor progress for payment purposes. Updating of the percent complete and the remaining duration of any activity shall be independent functions. Program features which calculate one of these parameters from the other shall be disabled.

3.3.6 Out-of-Sequence Progress

Activities that have posted progress without all preceding logic being satisfied (Out-of-Sequence Progress) will be allowed only on a case-by-case approval of the Contracting Officer. The Contractor shall propose logic corrections to eliminate all out of sequence progress or justify not changing the sequencing for approval prior to submitting an updated project schedule.

3.3.7 Negative Lags

Lag durations contained in the project schedule shall not have a negative value.

3.4 PROJECT SCHEDULE SUBMISSIONS

The Contractor shall provide the submissions as described below. The data disk, reports, and network diagrams required for each submission are contained in paragraph SUBMISSION REQUIREMENTS.

3.4.1 Preliminary Project Schedule Submission

The Preliminary Project Schedule, defining the Contractor's planned operations for the first 60 calendar days shall be submitted for approval within 20 calendar days after the NTP is acknowledged. The approved preliminary schedule shall be used for payment purposes not to exceed 60 calendar days after NTP.

3.4.2 Initial Project Schedule Submission

The Initial Project Schedule shall be submitted for approval within 40 calendar days after NTP. The schedule shall provide a reasonable sequence of activities which represent work through the entire project and shall be at a reasonable level of detail.

3.4.3 Monthly Schedule Updates

Based on the result of progress meetings, specified in "Monthly Progress Meetings," the Contractor shall submit monthly schedule updates. These submissions shall enable the Contracting Officer to assess Contractor's progress. If the Contractor fails or refuses to furnish the information and project schedule data, which in the judgement of the Contracting Officer or authorized representative is necessary for verifying the Contractor's progress, the Contractor shall be deemed not to have provided an estimate upon which progress payment may be made.

3.4.4 Standard Activity Coding Dictionary

The Contractor shall use the activity coding structure defined in the Standard Data Exchange Format (SDEF) in ER 1-1-11, Appendix A. This exact structure is mandatory, even if some fields are not used.

3.5 SUBMISSION REQUIREMENTS

The following items shall be submitted by the Contractor for the preliminary submission, initial submission, and every monthly project schedule update throughout the life of the project:

3.5.1 Data Disks

Two data disks containing the project schedule shall be provided. Data on the disks shall adhere to the SDEF format specified in ER 1-1-11, Appendix A.

3.5.1.1 File Medium

Required data shall be submitted on 3.5 disks, formatted to hold 1.44 MB of data, compatible with Microsoft Windows 95/98 operating systems, unless otherwise approved by the Contracting Officer.

3.5.1.2 Disk Label

A permanent exterior label shall be affixed to each disk submitted. The

label shall indicate the type of schedule (Preliminary, Initial, Update, or Change), full contract number, project name, project location, data date, name and telephone number or person responsible for the schedule.

3.5.1.3 File Name

Each file submitted shall have a name related to either the schedule data date, project name, or contract number. The Contractor shall develop a naming convention that will ensure that the names of the files submitted are unique. The Contractor shall submit the file naming convention to the Contracting Officer for approval.

3.5.2 Narrative Report

A Narrative Report shall be provided with the preliminary, initial, and each update of the project schedule. This report shall be provided as the basis of the Contractor's progress payment request. The Narrative Report shall include: a description of activities along the 2 most critical paths, a description of current and anticipated problem areas or delaying factors and their impact, and an explanation of corrective actions taken or required to be taken. The narrative report is expected to relay to the Government, the Contractor's thorough analysis of the schedule output and its plans to compensate for any problems, either current or potential, which are revealed through that analysis.

3.5.3 Approved Changes Verification

Only project schedule changes that have been previously approved by the Contracting Officer shall be included in the schedule submission. The Narrative Report shall specifically reference, on an activity by activity basis, all changes made since the previous period and relate each change to documented, approved schedule changes.

3.5.4 Schedule Reports

The format for each activity for the schedule reports listed below shall contain: Activity Numbers, Activity Description, Original Duration, Remaining Duration, Early Start Date, Early Finish Date, Late Start Date, Late Finish Date, Total Float. Actual Start and Actual Finish Dates shall be printed for those activities in progress or completed.

3.5.4.1 Activity Report

A list of all activities sorted according to activity number.

3.5.4.2 Logic Report

A list of Preceding and Succeeding activities for every activity in ascending order by activity number. Preceding and succeeding activities shall include all information listed above in paragraph Schedule Reports. A blank line shall be left between each activity grouping.

3.5.4.3 Total Float Report

A list of all incomplete activities sorted in ascending order of total float. Activities which have the same amount of total float shall be listed in ascending order of Early Start Dates. Completed activities shall not be shown on this report.

3.5.4.4 Earnings Report

A compilation of the Contractor's Total Earnings on the project from the NTP until the most recent Monthly Progress Meeting. This report shall reflect the Earnings of specific activities based on the agreements made in the field and approved between the Contractor and Contracting Officer at the most recent Monthly Progress Meeting. Provided that the Contractor has provided a complete schedule update, this report shall serve as the basis of determining Contractor Payment. Activities shall be grouped by bid item and sorted by activity numbers. This report shall: sum all activities in a bid item and provide a bid item percent; and complete and sum all bid items to provide a total project percent complete. The printed report shall contain, for each activity: the Activity Number, Activity Description, Original Budgeted Amount, Total Quantity, Quantity to Date, Percent Complete (based on cost), and Earnings to Date.

3.5.5 Network Diagram

The network diagram shall be required on the initial schedule submission and on monthly schedule update submissions. The network diagram shall depict and display the order and interdependence of activities and the sequence in which the work is to be accomplished. The Contracting Officer will use, but is not limited to, the following conditions to review compliance with this paragraph:

3.5.5.1 Continuous Flow

Diagrams shall show a continuous flow from left to right with no arrows from right to left. The activity number, description, duration, and estimated earned value shall be shown on the diagram.

3.5.5.2 Project Milestone Dates

Dates shall be shown on the diagram for start of project, any contract required interim completion dates, and contract completion dates.

3.5.5.3 Critical Path

The critical path shall be clearly shown.

3.5.5.4 Banding

Activities shall be grouped to assist in the understanding of the activity sequence. Typically, this flow will group activities by category of work, work area and/or responsibility.

3.5.5.5 S-Curves

Earnings curves showing projected early and late earnings and earnings to date.

3.6 PERIODIC PROGRESS MEETINGS

Progress meetings to discuss payment shall include a monthly onsite meeting or other regular intervals mutually agreed to at the preconstruction conference. During this meeting the Contractor shall describe, on an activity by activity basis, all proposed revisions and adjustments to the project schedule required to reflect the current status of the project. The Contracting Officer will approve activity progress, proposed revisions,

and adjustments as appropriate.

3.6.1 Meeting Attendance

The Contractor's Project Manager and Scheduler shall attend the regular progress meeting.

3.6.2 Update Submission Following Progress Meeting

A complete update of the project schedule containing all approved progress, revisions, and adjustments, based on the regular progress meeting, shall be submitted not later than 4 working days after the monthly progress meeting.

3.6.3 Progress Meeting Contents

Update information, including Actual Start Dates, Actual Finish Dates, Remaining Durations, and Cost-to-Date shall be subject to the approval of the Contracting Officer. As a minimum, the Contractor shall address the following items on an activity by activity basis during each progress meeting.

3.6.3.1 Start and Finish Dates

The Actual Start and Actual Finish dates for each activity currently in-progress or completed .

3.6.3.2 Time Completion

The estimated Remaining Duration for each activity in-progress. Time-based progress calculations shall be based on Remaining Duration for each activity.

3.6.3.3 Cost Completion

The earnings for each activity started. Payment will be based on earnings for each in-progress or completed activity. Payment for individual activities will not be made for work that contains quality defects. A portion of the overall project amount may be retained based on delays of activities.

3.6.3.4 Logic Changes

All logic changes pertaining to NTP on change orders, change orders to be incorporated into the schedule, contractor proposed changes in work sequence, corrections to schedule logic for out-of-sequence progress, lag durations, and other changes that have been made pursuant to contract provisions shall be specifically identified and discussed.

3.6.3.5 Other Changes

Other changes required due to delays in completion of any activity or group of activities include: 1) delays beyond the Contractor's control, such as strikes and unusual weather. 2) delays encountered due to submittals, Government Activities, deliveries or work stoppages which make re-planning the work necessary. 3) Changes required to correct a schedule which does not represent the actual or planned prosecution and progress of the work.

3.7 REQUESTS FOR TIME EXTENSIONS

In the event the Contractor requests an extension of the contract completion date, or any interim milestone date, the Contractor shall furnish the following for a determination as to whether or not the Contractor is entitled to an extension of time under the provisions of the contract: justification, project schedule data, and supporting evidence as the Contracting Officer may deem necessary. Submission of proof of delay, based on revised activity logic, duration, and costs (updated to the specific date that the delay occurred) is obligatory to any approvals.

3.7.1 Justification of Delay

The project schedule shall clearly display that the Contractor has used, in full, all the float time available for the work involved with this request.

The Contracting Officer's determination as to the number of allowable days of contract extension shall be based upon the project schedule updates in effect for the time period in question, and other factual information. Actual delays that are found to be caused by the Contractor's own actions, which result in the extension of the schedule, will not be a cause for a time extension to the contract completion date.

3.7.2 Submission Requirements

The Contractor shall submit a justification for each request for a change in the contract completion date of under 2 weeks based upon the most recent schedule update at the time of the NTP or constructive direction issued for the change. Such a request shall be in accordance with the requirements of other appropriate Contract Clauses and shall include, as a minimum:

- a. A list of affected activities, with their associated project schedule activity number.
- b. A brief explanation of the causes of the change.
- c. An analysis of the overall impact of the changes proposed.
- d. A sub-network of the affected area.

Activities impacted in each justification for change shall be identified by a unique activity code contained in the required data file.

3.7.3 Additional Submission Requirements

For any requested time extension of over 2 weeks, the Contracting Officer may request an interim update with revised activities for a specific change request. The Contractor shall provide this disk within 4 days of the Contracting Officer's request.

3.8 DIRECTED CHANGES

If the NTP is issued for changes prior to settlement of price and/or time, the Contractor shall submit proposed schedule revisions to the Contracting Officer within 2 weeks of the NTP being issued. The proposed revisions to the schedule will be approved by the Contracting Officer prior to inclusion of those changes within the project schedule. If the Contractor fails to submit the proposed revisions, the Contracting Officer may furnish the Contractor with suggested revisions to the project schedule. The Contractor shall include these revisions in the project schedule until revisions are submitted, and final changes and impacts have been negotiated. If the Contractor has any objections to the revisions

furnished by the Contracting Officer, the Contractor shall advise the Contracting Officer within 2 weeks of receipt of the revisions. Regardless of the objections, the Contractor shall continue to update the schedule with the Contracting Officer's revisions until a mutual agreement in the revisions is reached. If the Contractor fails to submit alternative revisions within 2 weeks of receipt of the Contracting Officer's proposed revisions, the Contractor will be deemed to have concurred with the Contracting Officer's proposed revisions. The proposed revisions will then be the basis for an equitable adjustment for performance of the work.

3.9 OWNERSHIP OF FLOAT

Float available in the schedule, at any time, shall not be considered for the exclusive use of either the Government or the Contractor.

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 - 1.13.1 "G-AE" or "G-DO" Submittals
 - 1.13.2 "G-AO" Submittals
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-- End of Section Table of Contents --

SECTION 01330

SUBMITTAL PROCEDURES
09/01; Omaha Update 02/03

PART 1 GENERAL

Attachments: Submittal Register
ENG Form 4025, Transmittal Form

1.1 CONTRACTOR RESPONSIBILITIES

The Contractor is responsible for total management of his work including scheduling, control, and certification of all submittals. The submittal management system provided in these specifications is intended to be a complete system for the Contractor to use to control the quality of materials, equipment and workmanship provided by manufacturers, fabricators, suppliers and subcontractors. The Contractor shall review each submittal for contract compliance. Submittals that comply will be forwarded to the Government. Submittals that do not conform will be returned to the originator to be corrected. The Submittal Register (ENG Form 4288) will be utilized to log and monitor all submittal activities. No construction or installation activities shall be performed prior to required approvals of applicable submittals. The Contractor shall perform a check to assure that all materials and/or equipment have been tested, submitted and approved during the preparatory phase of quality control inspections.

1.2 SUBMITTAL IDENTIFICATION (SD)

Submittals required are identified by SD numbers and titles as follows:

SD-01 Preconstruction Submittals

Tabular lists showing location, features, or other pertinent information regarding products, materials, equipment, or components to be used in the work.

In addition, the following items are included:

Work plan
Quality control plan

Permits

SD-02 Shop Drawings

Submittals which graphically show relationship of various components of the work, schematic diagrams of systems, details of fabrication, layouts of particular elements, connections, and other relational aspects of the work.

SD-03 Product Data

Catalog cuts, illustrations, schedules, diagrams, performance charts, instructions and brochures illustrating size, physical appearance and other characteristics of materials or equipment for some portion of the work.

Samples of warranty language when the contract requires extended product warranties.

SD-04 Samples

Samples, including both fabricated and unfabricated physical examples of materials, products, and units of work as complete units or as portions of units of work.

Physical examples of materials, equipment or workmanship that illustrate functional and aesthetic characteristics of a material or product and establish standards by which the work can be judged. Color samples from the manufacturer's standard line (or custom color samples if specified) to be used in selecting or approving colors for the project.

Field samples and mock-ups constructed on the project site establish standards by which the ensuring work can be judged. Includes assemblies or portions of assemblies which are to be incorporated into the project and those which will be removed at conclusion of the work.

SD-05 Design Data

Calculations, mix designs, analyses or other data pertaining to a part of work.

SD-06 Test Reports

Report signed by authorized official of testing laboratory that a material, product or system identical to the material, product or system to be provided has been tested in accordance with specified requirements. (Testing must have been within three years of date of contract award for the project.)

Report which includes findings of a test required to be performed by the contractor on an actual portion of the work or prototype prepared for the project before shipment to job site.

Report which includes finding of a test made at the job site or on sample taken from the job site, on portion of work during or after installation.

Investigation reports

Daily checklists

Final acceptance test and operational test procedure

SD-07 Certificates

A document, required of the Contractor, or through the Contractor, from a supplier, installer, manufacturer, or other lower tier Contractor, the purpose of which is to confirm the quality or orderly progression of a portion of the work by documenting procedures, acceptability of methods or personnel, qualifications, or other verifications of quality.

Statement signed by an official authorized to certify on behalf of the

manufacturer of a product, system or material, attesting that the product, system or material meets specified requirements. The statement must be dated after the award of the contract, must state the Contractor's name and address, must name the project and location, and must list the specific requirements which are being certified.

Confined space entry permits.

SD-08 Manufacturer's Instructions

Preprinted material describing installation of a product, system or material, including special notices and material safety data sheets, if any, concerning impedances, hazards, and safety precautions.

SD-09 Manufacturer's Field Reports

Documentation of the testing and verification actions taken by manufacturer's representative to confirm compliance with manufacturer's standards or instructions.

Factory test reports.

SD-10 Operation and Maintenance Data

Data intended to be incorporated in operations and maintenance manuals.

SD-11 Closeout Submittals

Documentation to record compliance with technical or administrative requirements or to establish an administrative mechanism.

In addition, the following items are included:

As-built drawings

Special warranties

Posted operating instructions

Training plan

1.3 SUBMITTAL CLASSIFICATION

Submittals are classified as follows:

1.3.1 Government Approved

Governmental approval is required for extensions of design, critical materials, deviations, equipment whose compatibility with the entire system must be checked, and other items as designated by the Contracting Officer. Within the terms of the Contract Clause entitled "Specifications and Drawings for Construction," they are considered to be "shop drawings." All submittals noted in the technical specifications and Submittal Register as "G-DO", "G-AE" or "G-AO" are subject to Government Approval.

1.3.2 Information Only (FIO)

All submittals not requiring Government approval will be for information only. They are not considered to be "shop drawings" within the terms of

the Contract Clause referred to above. The Contracting Officer has the option to review any submittal.

1.3.3 Administrative Submittals

The submittal items listed below are not be included on the Submittal Register (as discussed below). Unless directed otherwise by the Contracting Officer, the following administrative submittals shall be submitted to the Project Office, for approval, via a Serial Letter: Quality Control Plans (Section 01451A CONTRACTOR QUALITY CONTROL), Accident Prevention Plans (Section 01400 SPECIAL SAFETY REQUIREMENTS, Environmental Protection Plans (Section 01355 ENVIRONMENTAL PROTECTION) and other submittals as directed by the Contracting Officer. Format for the Serial Letter shall be as directed by the Area or Resident Office.

1.4 APPROVED SUBMITTALS

The Contracting Officer's approval of submittals shall not be construed as a complete check, but will indicate only that the general method of construction, materials, detailing and other information are satisfactory. Approval will not relieve the Contractor of the responsibility for any error which may exist, as the Contractor under the Contractor Quality Control (CQC) requirements of this contract is responsible for dimensions, the design of adequate connections and details, and the satisfactory construction of all work. After submittals have been approved by the Contracting Officer, no resubmittal for the purpose of substituting materials or equipment will be considered unless accompanied by an explanation of why a substitution is necessary.

1.5 DISAPPROVED SUBMITTALS

The Contractor shall make all corrections required by the Contracting Officer and promptly furnish a corrected submittal in the form and number of copies specified for the initial submittal. If the Contractor considers any correction indicated on the submittals to constitute a change to the contract, a notice in accordance with the Contract Clause "Changes" shall be given promptly to the Contracting Officer.

1.6 WITHHOLDING OF PAYMENT

Payment for materials incorporated in the work will not be made if required approvals have not been obtained.

1.7 GENERAL

The Contractor shall make submittals as required by the specifications. The Contracting Officer may request submittals in addition to those specified when deemed necessary to adequately describe the work covered in the respective sections. Units of weights and measures used on all submittals shall be the same as those used in the contract drawings. Each submittal shall be complete and in sufficient detail to allow ready determination of compliance with contract requirements. Prior to submittal, all items shall be checked and approved by the Contractor's Quality Control (CQC) System Manager and each item shall be stamped, signed, and dated by the CQC System Manager indicating action taken. Proposed deviations from the contract requirements shall be clearly identified. Submittals shall include items such as: Contractor's, manufacturer's, or fabricator's drawings; descriptive literature including (but not limited to) catalog cuts, diagrams, operating charts or curves;

test reports; test cylinders; samples; O&M manuals (including parts list); certifications; warranties; and other such required submittals. Submittals requiring Government approval shall be scheduled and made prior to the acquisition of the material or equipment covered thereby. Samples remaining upon completion of the work shall be picked up and disposed of in accordance with manufacturer's Material Safety Data Sheets (MSDS) and in compliance with existing laws and regulations.

1.8 SUBMITTAL REGISTER AND ENG FORM 4288 (RMS) SUBMITTAL REGISTER

At the end of this section is a submittal register (submittal form) showing items of equipment and materials for which submittals are required by the specifications; this list may not be all inclusive and additional submittals may be required. The attached submittal register identifies only the submittal section, type of submittal, description of item submitted, paragraph number related to submittal item (section submittal paragraph if none listed), submittal classification (G), and submittal reviewer identifier (DO, AE or AO). Any submittal without a submittal classification and submittal reviewer identifier is considered to be For Information Only (FIO). The submittal register generated by the Government Resident Management System (RMS) Software is used for tracking construction submittals and is referred to as ENG Form 4288 (RMS). The Contractor shall maintain an ENG Form 4288 (RMS) for the project in accordance with the attached ENG Form 4288 (RMS) Instructions. The Contractor will be furnished one (1) set of ENG Forms 4288 (RMS) at the preconstruction conference. Much of the same information contained on the attached submittal register will be included on the ENG Forms 4288 (RMS) furnished to the Contractor. The Contractor shall complete the appropriate columns as indicated on the attached ENG Form 4288 (RMS) Instructions and return to the Contracting Officer for approval within 20 calendar days after the preconstruction conference. The ENG Form 4288 (RMS) will become a part of the contract after approval. A revised ENG Form 4288 (RMS) with ACTIVITY NO. filled in shall be submitted with the completed network analysis system when a network analysis system is a contract requirement. The TRANSMITTAL NUMBER AND ITEM NUMBER shall be left blank for use later to record the respective transmittal and item number corresponding to those listed on the transmittal form entitled: "TRANSMITTAL OF SHOP DRAWINGS, EQUIPMENT DATA, MATERIAL SAMPLES, OR MANUFACTURER'S CERTIFICATES OF COMPLIANCE" (ENG Form 4025). The approved ENG Form 4288 (RMS) will become the scheduling document and will be used to control submittals throughout the life of the contract. The ENG Form 4288 (RMS) and the progress schedules shall be coordinated.

1.9 SCHEDULING

Submittals covering component items forming a system or items that are interrelated shall be scheduled to be coordinated and submitted concurrently. Certifications to be submitted with the pertinent drawings shall be so scheduled. Adequate time (a minimum of 20 calendar days exclusive of mailing time) shall be allowed and shown on the register for review and approval. No delay damages or time extensions will be allowed for time lost in late submittals.

1.10 TRANSMITTAL FORM (ENG FORM 4025)

The sample transmittal form (ENG Form 4025) attached to this section shall be used for submitting both Government approved and information only

submittals in accordance with the instructions on the reverse side of the form. These forms will be furnished to the Contractor. This form shall be properly completed by filling out all the heading blank spaces and identifying each item submitted. Special care shall be exercised to ensure proper listing of the specification paragraph and/or sheet number of the contract drawings pertinent to the data submitted for each item.

1.11 SUBMITTAL PROCEDURES

Submittals shall be made as follows:

1.11.1 Procedures

1.11.1.1 "G-DO" or "G-AE" Submittals

All items listed as "G-DO" or "G-AE" submittals in the various sections or on the Submittal Register shall be mailed directly to the addressee shown below as directed. For each submittal, a completed information copy of the attached transmittal form shall also be mailed to the Area Engineer .

Technical Reviewer

Engineering Division (DO)
Attn: CENWO-ED-DI
U.S. Army Engineer District, Omaha
106 South 15th Street
Omaha, NE 68102-1618

Each required submittal which is in the form of a drawing shall be submitted as seven (7) prints of the drawing. Drawing prints shall be either blue or black line permanent-type prints on a white background or blueprint and shall be sufficiently clear and suitable for making legible copies.

All catalog and descriptive data shall be submitted in seven (7) copies. Catalog cuts and other descriptive data which have more than one model, size, or type or which shows optional equipment shall be clearly marked to show the model, size, or type and all optional equipment which is proposed for approval. Submittals on component items forming a system or that are interrelated shall be submitted at one time as a single submittal in order to demonstrate that the items have been properly coordinated and will function as a unit.

1.11.1.2 "G-AO" and FIO Submittals

Except as noted below, data for all items listed as "G-AO" Submittals in the various sections shall be submitted in five (5) copies to the Area Engineer using the transmittal form. Items not to be submitted in multiples, such as samples and test cylinders, shall be submitted to the Area Engineer or Project Office (as directed) accompanied by five (5) copies of the transmittal form.

Except as noted below, data for all items listed as FIO Submittals in the various sections shall be submitted in three (3) copies to the Area Engineer using the transmittal form. Items not to be submitted in multiples, such as samples and test cylinders, shall be submitted to the Area or Project Office(as directed) accompanied by three (3) copies of the transmittal form. The Government has the option to review any FIO submittal.

1.11.1.3 Certificates of Compliance

Each certificate shall be signed by an official authorized to certify in behalf of the manufacturing company and shall contain the name and address of the Contractor, the project name and location, and the quantity and date or dates of shipment or delivery to which the certificates apply. Copies of laboratory test reports submitted with certificates shall contain the name and address of the testing laboratory and the date or dates of the tests to which the report applies. Certification shall not be construed as relieving the Contractor from furnishing satisfactory material, if, after tests are performed on selected samples, the material is found not to meet the specific requirements.

1.11.1.4 Purchase Orders

Copies of purchase orders shall be furnished to the Contracting Officer when the Contractor requests assistance for expediting deliveries of equipment or materials, or when requested by the Contracting Officer for the purpose of quality assurance review. Each purchase order issued by the Contractor or his subcontractors for materials and equipment to be incorporated into the project shall (1) be clearly identified with the applicable DA contract number, (2) carry an identifying number, (3) be in sufficient detail to identify the material being purchased, (4) indicate a definite delivery date, and (5) display the DMS priority rating, if applicable.

1.11.1.5 Operation and Maintenance Instructions and/or Manuals

Where required by various technical sections, operations and maintenance instructions and/or manuals with parts lists included shall be provided by the Contractor in quintuplicate, unless otherwise specified, and shall be assembled in three-ring binders with index and tabbed section divider and having a cover indicating the contents by equipment or system name and project title and shall be submitted for approval to the Contracting Officer 90 days prior to final tests of mechanical and electrical systems, unless otherwise specified. Each operation and maintenance manual shall contain a copy of all warranties and a list of local service representatives required by Section 01200 Warranty of Construction. If field testing requires these copies to be revised, they shall be updated and resubmitted for approval within 10 calendar days after completion of tests.

1.11.1.6 Interior/Exterior Finish Sample and Data

All submittals regarding color boards (Section 09915 COLOR SCHEDULE) for interior finish samples and data shall be submitted concurrently and all submittals for exterior finish samples and data shall be submitted concurrently. These color boards are in addition to the samples required under the specific technical specifications listed as "samples".

1.11.2 Deviations

For submittals which include proposed deviations requested by the Contractor, the column "variation" of ENG Form 4025 shall be checked. The Contractor shall set forth in writing the reason for any deviations and annotate such deviations on the submittal. The Government reserves the right to rescind inadvertent approval of submittals containing unnoted

deviations.

1.12 CONTROL OF SUBMITTALS

The Contractor shall carefully control his procurement operations to ensure that each individual submittal is made on or before the Contractor scheduled submittal date shown on the approved "Submittal Register."

1.13 GOVERNMENT APPROVED SUBMITTALS

Upon completion of review of submittals requiring Government approval, the submittals will be identified as having received approval by being so stamped and dated.

1.13.1 "G-AE" or "G-DO" Submittals

The drawing print and five (5) sets of all catalog data and descriptive literature and drawing prints will be retained by the Contracting Officer and two (2) sets of catalog data and descriptive literature and drawing prints will be returned to the Contractor.

1.13.2 "G-AO" Submittals

Two (2) copies of "G-AO" submittals for approval will be returned to the Contractor except for samples, test cylinders, and O&M manuals for which two (2) copies of the transmittal form only will be returned to the Contractor.

1.14 INFORMATION ONLY SUBMITTALS

Normally submittals for information only will not be returned. Review by the Contracting Officer is not required on information only submittals. The Government reserves the right to require the Contractor to resubmit any item found not to comply with the contract. This does not relieve the Contractor from the obligation to furnish material conforming to the plans and specifications; will not prevent the Contracting Officer from requiring removal and replacement of nonconforming material incorporated in the work; and does not relieve the Contractor of the requirement to furnish samples for testing by the Government laboratory or for check testing by the Government in those instances where the technical specifications so prescribe.

1.15 STAMPS

Stamps used by the Contractor on the submittal data to certify that the submittal meets contract requirements shall be similar to the following. The stamp shall be affixed and filled out on the back of each ENG Form 4025.

<p>CONTRACTOR</p> <p>(Firm Name)</p> <p>_____ Approved</p> <p>_____ Approved with corrections as noted on submittal data and/or attached sheets(s).</p> <p>SIGNATURE: _____</p> <p>TITLE: _____</p> <p>DATE: _____</p>
--

INSTRUCTIONS
ENG FORM 4288 (RMS)

1. The Contractor shall utilize the ENG Form 4288 (RMS) generated by the Government Residential Management System (RMS) software for tracking construction submittals. The Submittal Register information, columns (c) thru (f) from the Submittal Forms furnished with this solicitation, [will be utilized by the Government] to generate the ENG Form 4288 (RMS). [The Government will furnish the Contractor a hard copy of the ENG Form 4288 (RMS) at the preconstruction conference.]. The ENG Form 4288 (RMS) includes the following items and parties responsible for completing the information required on the ENG Form 4288 (RMS). The subparagraph headings below do not correspond to the Submittal Register column headings.

a. Activity Number: will be provided by the Contractor from his Network Analysis, if required, and when a network analysis is accepted.

b. Transmittal Number and Item Number: will be provided by the Contractor from ENG Form 4025 for each item.

c. Specification Paragraph Number: will be provided by the Government from the Submittal Register from column entitled "Specification Paragraph Number".

d. Description of Submittal: will be provided by the Government from the Submittal Register from column entitled "Description of Item Submitted".

e. Type of Submittal: will be provided by the Government from the Submittal Register from column entitled "Type of Submittal" or "Description of Item Submitted".

f. Classification: will be provided by the Government from the Submittal Register from column entitled "Classification".

g. Reviewing Office - Reviewer: will be provided by the Government from the Submittal Register from column entitled "Classification" or "Reviewer".

h. Contractor Schedule Dates: the Contractor will provide schedule dates for

"Submit Needed By" (Date the Contractor expects to submit an item. It is the Contractors responsibility to calculate the lead time needed for the government approval. Note if resubmittal is required it is the Contractors responsibility to make all adjustments necessary to meet the contract completion date.)

"Approval Needed By" (date the Contractor can receive approval and still obtain the material by need date.), and

"Material Needed By" (date that the material is needed at the site. If there is a network analysis it should reflect that date on the analysis.)

i. Contractor Action: Includes the following items: "Code" and "Submit to the Corps". These items will be completed by the Contractor. The action codes will be one of the following:

A - Approved as submitted.

- B - Approved, except as noted.
- G - Other (specify)

j. Government Action: This item includes a Government Action "Code" and "Date" and is reserved for Government use. The Government reserves the right to review any submittal for contract compliance. Receipt of an Action Code "F - Receipt Acknowledged" or failure of the Contractor to receive an Action Code by the Government, does not mean that the submittal is in compliance with the contract requirements. When used by the Government, the action code will be one of the following:

- A - Approved as submitted.
- B - Approved except as noted on drawings.
- C - Approved, except as noted on drawings. Refer to attached ____ sheet resubmission required.
- D - Will be returned by separate correspondence.
- E - Disapproved (See Attached).
- F - Receipt Acknowledged.
- Fx - Receipt acknowledged, does not comply as noted with contract requirements.
- G - Other (specify).

2. Reviewer Abbreviation code will be as follows;

G-DO, G-AE or G-AO - Government Approved
For Information Only - Any submittal without a Government Approved abbreviation code.

INSTRUCTIONS
ENG FORM 4025

1. DATE at the top of form will be the date submitted to the Government which is to be completed by the Contractor.
2. TRANSMITTAL NO. Each new transmittal (i.e. [G-AE,] [G-DO,] G-AO or FIO) shall be numbered consecutively for each specification section in the space provided in "Transmittal No.". This number will be the identifying symbol for each submittal. Example: "15400A-001", "15895A-001" "15895A-002", "16415A-001", etc. For each new submittal or for a resubmittal, the appropriate box must be marked. Resubmittals must be designated by their original sequential number followed by an ".1", ".2", etc. for each sequential resubmittal. Example: "15895A-001.1" (previous submittal No. 15895A-001).
3. TO: Box will contain the name and address of the office which will review the submittal (as designated by the Contracting Officer).
4. FROM: Box will be the name and address of the Contractor. Contractor is to complete this box.
5. CONTRACT NO. box will contain the Contractors construction contract number (e.g., DACXXX-XX-C-XXXX).
6. CHECK ONE box
 - a. CHECK ONE box (for transmittal/ retransmittal) will be completed by the Contractor with one box marked. If a resubmittal is provided last transmittal number will be added.
 - b. CHECK ONE box will be completed by the Contractor with one box marked for the submittal type.
7. SPECIFICATION SECTION NO. box will be completed by the Contractor. The number will be the five digit number found in the specifications. No more than one section will be covered with each transmittal.
8. PROJECT TITLE AND LOCATION box will be completed by the Contractor.
9. Column a, will be completed by the Contractor and will contain a different number for each item submitted in that transmittal. Once a number is assigned to an item it will remain the same even if there is a resubmittal.
10. Column b, will be completed by the Contractor. The description of each item on this form will be the descriptions provided on the submittal register. The Contractor shall submit each submittal register item all at once on one transmittal if possible. If a submittal register item can not be submitted all at once Contractor should note that in the remarks box.
11. Column c, will be completed by the Contractor. The information will be the appropriate submittal description number as described this Section or shown on the submittal register (e.g. SD-XX).
12. Column d, will be completed by the Contractor. The number of copies will be determined by the Contractor after review of submittal register for the classification of the item and after review of paragraph: SUBMITTAL

PROCEDURES of this Section.

13. Column e, will be completed by the Contractor. The Contractor shall state all applicable paragraph numbers.

14. Column f, will be completed by the Contractor. The Contractor shall state all applicable drawing sheet numbers.

15. Column g, will be completed by the Contractor. The action codes will be one of the following:

- A - Approved as submitted.
- B - Approved, except as noted.
- G - Other (specify)

16. Column h, will be completely by the Contractor. A check shall be placed in this column when a submittal is not in accordance with the plans and specifications also, a written statement to that effect shall be included in the space provided for "Remarks".

17. Column i, is reserved for Government use and may or may not be provided. When used by the Government, the action code will be one of the following:

- A - Approved as submitted.
- B - Approved except as noted on drawings.
- C - Approved, except as noted on drawings. Refer to attached ____ sheet resubmission required.
- D - Will be returned by separate correspondence.
- E - Disapproved (See Attached).
- F - Receipt Acknowledged.
- Fx - Receipt acknowledged, does not comply as noted with contract requirements.
- G - Other (specify).

18. REMARKS box self explained.

19. Contractor Quality Control Manager must provide name and sign all Eng Form 4025 certifying conformance. In the space for the name and signature, also include a phone number where the CQC Manager may be reached.

20. Section II will be completed by the Government. Contractor is not to write in this space.

See reverse side of ENG Form 4025 for additional instructions.

-- End of Section --

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Conv. Air Launch Cruise Missile (CALCM) Beddown, Minot AFB, ND						CONTRACTOR												
ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASS SPEC ATTOR REV WR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS	
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION			
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	
		00800	SD-02 Shop Drawings															
			Equipment Room Drawings	1.45	G AO													
		01200	SD-11 Closeout Submittals															
			Equipment Warranty Booklet	1.2.5														
		01355A	SD-01 Preconstruction Submittals															
			Environmental Protection Plan	1.7	G AO													
		01566	SD-01 Preconstruction Submittals															
			Storm Water Pollution Prevention Plan	3.2.2	G AO													
		02220a	SD-03 Product Data															
			Work Plan		G AO													
		02300A	SD-03 Product Data															
			Earthwork		G AO													
			SD-06 Test Reports															
			Testing	3.13														
			SD-07 Certificates															
			Testing	3.13														
		02315a	SD-06 Test Reports															
			Testing	3.14	G AO													
		02316A	SD-06 Test Reports															
			Field Density Tests	3.4.3	G AO													
			Testing of Backfill Materials	3.4.2	G AO													
		02373	SD-03 Product Data															
			Manufacturing Quality Control		G AO													
			Manual Sampling and Testing															
			SD-07 Certificates															

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Conv. Air Launch Cruise Missile (CALCM) Beddown, Minot AFB, ND						CONTRACTOR												
ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASS SPEC ATTOR N R	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS	
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE RCD FROM CONTR	DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE			DATE OF ACTION
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	(q)	(r)	
		02373	Geotextile	2.1.1	G AO													
		02510A	SD-03 Product Data															
			Installation	3.1														
			Waste Water Disposal Method															
			Satisfactory Installation		G AO													
			Double check detector check		G DO													
			valve assembly															
			SD-06 Test Reports															
			Bacteriological Disinfection		G AO													
		02564	SD-03 Product Data															
			Plant, Equipment, and Tools	1.9														
			SD-06 Test Reports															
			Initial Tests	2.11	G AO													
			SD-07 Certificates															
			Asphalt Cement Binder	2.1.3	G AO													
			Bituminous Tack and Prime Coat	2.2	G AO													
			SD-08 Manufacturer's Instructions															
			Manufacturer's Recommendations		G AO													
			SD-09 Manufacturer's Field															
			Reports															
			Job Mix Formula	2.1.1	G DO													
			Contractor Quality Control	3.2.1	G AO													
			Acceptability of Work	3.2.2	G AO													
			Acceptability of Work	3.6.4	G AO													
		02620A	SD-07 Certificates															
			Filter Fabric	2.2														

SUBMITTAL REGISTER

CONTRACT NO.

TITLE AND LOCATION Conv. Air Launch Cruise Missile (CALCM) Beddown, Minot AFB, ND						CONTRACTOR											
ACTIVITY NO	TRANSMITTAL NO	SPEC SECT	DESCRIPTION ITEM SUBMITTED	PARAGRAPH	GOVT CLASS SIFIC ATION REV WR	CONTRACTOR: SCHEDULE DATES			CONTRACTOR ACTION		DATE FWD TO APPR AUTH/ DATE RCD FROM CONTR	APPROVING AUTHORITY				MAILED TO CONTR/ DATE RCD FRM APPR AUTH	REMARKS
						SUBMIT	APPROVAL NEEDED BY	MATERIAL NEEDED BY	ACTION CODE	DATE OF ACTION		DATE FWD TO OTHER REVIEWER	DATE RCD FROM OTH REVIEWER	ACTION CODE	DATE OF ACTION		
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		02620A	Pipe for Subdrains	2.1													
		02630A	SD-03 Product Data														
			Placing Pipe	3.3													
			SD-07 Certificates														
			Resin Certification	2.1.9													
		02721A	SD-03 Product Data														
			Equipment	1.7	G AO												
			SD-06 Test Reports														
			Sampling and Testing	1.5	G AO												
		02753A	SD-03 Product Data														
			Equipment	1.14	G AO												
			Proposed Techniques		G AO												
			Samples for Mixture Proportioning Studies		G DO												
			SD-06 Test Reports														
			Sampling and Testing	1.5.10.1	G DO												
		02760A	SD-03 Product Data														
			Manufacturer's Recommendations		G AO												
			Equipment	1.6	G AO												
			SD-04 Samples														
			Materials	1.5													
		02763A	SD-03 Product Data														
			Equipment	1.5	G AO												
			Composition Requirements		G AO												
			Qualifications		G AO												
			SD-06 Test Reports														

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		02763A	Sampling and Testing	2.6													
			SD-07 Certificates														
			Volatile Organic Compound (VOC)														
		02770A	SD-03 Product Data														
			Concrete	2.1	G AO												
			SD-06 Test Reports														
			Field Quality Control	3.8	G AO												
		02821a	SD-07 Certificates														
			Chain Link Fence	2.1.1	G AO												
		02921a	SD-03 Product Data														
			Equipment														
			Surface Erosion Control Material	2.8													
			Chemical Treatment Material	1.4.3	G AO												
			Delivery	1.4.1													
			Finished Grade and Topsoil	3.2.1													
			Topsoil	2.2													
			Quantity Check	3.5													
			Seed Establishment Period	3.9													
			Maintenance Record	3.9.3.5													
			Application of Pesticide	3.6	G AO												
			SD-04 Samples														
			Delivered Topsoil	1.4.1.1													
			Soil Amendments	2.3													
			Mulch	2.4													
			SD-06 Test Reports														

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		02921a	Equipment Calibration	3.1.3													
			SD-07 Certificates														
			Seed	2.1	G AO												
			Topsoil	2.2													
			Fertilizer	2.3.2													
			Organic Material	2.3.4													
			Mulch	2.4													
			Pesticide	2.7	G AO												
		03100a	SD-02 Shop Drawings														
			Formwork														
			SD-03 Product Data														
			Design	1.3													
			Form Materials														
		03150a	SD-07 Certificates														
			Sealant	2.3													
		03200a	SD-02 Shop Drawings														
			Reinforcement	3.1	G DO												
			SD-07 Certificates														
			Reinforcing Steel	2.3													
		03300	SD-03 Product Data														
			Mixture Proportions	1.9	G DO												
			SD-06 Test Reports														
			Testing and Inspection for Contractor Quality Control	3.17													
			SD-07 Certificates														
			Qualifications	1.5													

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		05120a	SD-02 Shop Drawings														
			Structural Steel System		G DO												
			Structural Connections	3.2.1	G DO												
			SD-03 Product Data														
			Welding	3.3	G AO												
			SD-06 Test Reports														
			Quality Control		G AO												
			SD-07 Certificates														
			Mill Test Reports														
			Welder Qualifications		G AO												
			Welding Inspector	1.5	G AO												
		05500A	SD-02 Shop Drawings														
			Miscellaneous Metal Items	1.6	G DO												
		07141N	SD-03 Product Data														
			Fluid-applied membrane	2.1													
			Membrane primer	2.2													
			Elastomeric sheet	2.8													
			Flexible foam-backed elastomeric sheet	2.10													
			Solvent	3.3													
			Moisture meter	3.4.1													
			Protection board	2.11													
			Bond breaker	2.7													
		07416A	SD-02 Shop Drawings														
			Structural Standing Seam Metal		G DO												
			Roof System														

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		07416A	SD-03 Product Data														
			Design Analysis		G DO												
			Qualifications		G DO												
			SD-04 Samples														
			Accessories	2.3													
			Roof Panels	2.1													
			Factory Color Finish	2.6	G DO												
			Fasteners	2.4													
			Gaskets and Insulating Compounds	2.10													
			Sealant	2.9													
			Concealed Anchor Clips	2.2													
			SD-06 Test Reports														
			Test Report for Uplift Resistance of the SSSMR		G DO												
			SD-07 Certificates														
			Structural Standing Seam Metal Roof System														
		07900A	SD-03 Product Data														
			Backing	2.1													
			Bond-Breaker	2.2													
			Sealant	2.5	G AO												
			SD-07 Certificates														
			Sealant	2.5	G AO												
		08110	SD-02 Shop Drawings														
			Doors	2.1	G G												

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		08110	Doors	2.1	G G													
			Frames	2.7	G G													
			Frames	2.7	G G													
			Accessories	2.5														
			Weatherstripping	2.9														
			SD-03 Product Data															
			Doors	2.1	G AO													
			Frames	2.7	G AO													
			Accessories	2.5														
			Weatherstripping	2.9														
			SD-04 Samples															
			Factory-applied enamel finish		G DO													
		08316	SD-02 Shop Drawings															
			Fabrication details		G DO													
			Erection diagrams		G DO													
			SD-03 Product Data															
			Descriptive data for door operators		G AO													
			Descriptive data for louvers		G AO													
			Erection		G AO													
			SD-05 Design Data															
			Design calculations		G DO													
			SD-06 Test Reports															
			Plain trolley test	3.0.0	G AO													
			Static overload test	3.0.0	G AO													
			Static overload test	3.0.0	G AO													

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		08316	Efficiency test	3.0.0	G AO												
			Field tests	3.0.0	G AO												
			SD-07 Certificates														
			Operator parts materials		G AO												
			Other materials and welders		G AO												
			Fabrication	3.0	G AO												
		08710	SD-02 Shop Drawings														
			Hardware schedule	1.3	G												
			Keying system														
			SD-03 Product Data														
			Hardware items	2.3	G AO												
			SD-08 Manufacturer's Instructions														
			Installation	3.1													
			SD-10 Operation and Maintenance														
			Data														
			Hardware Schedule	1.3	G AO												
			SD-11 Closeout Submittals														
			Key biting	1.4													
		09900	SD-02 Shop Drawings														
			Piping identification														
			stencil														
			SD-03 Product Data														
			Coating	2.1	G AO												
			Manufacturer's Technical Data	2.1													
			Sheets														
			[Sealant]														

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		09900	SD-04 Samples														
			Color	1.9	G AO												
			SD-07 Certificates														
			Applicator's qualifications	1.3													
			Qualification Testing		G												
			SD-08 Manufacturer's Instructions														
			Application instructions														
			Mixing	3.8.2													
			Manufacturer's Material Safety	1.7.2													
			Data Sheets														
			SD-10 Operation and Maintenance														
			Data														
			Coatings:	2.1	G AO												
		13100A	SD-02 Shop Drawings														
			Drawings		G DO												
			SD-07 Certificates														
			Materials	2.1	G DO												
		13110A	SD-02 Shop Drawings														
			Drawings	1.3.9	G DO												
			Contractor's Modifications	1.3.2	G DO												
			SD-03 Product Data														
			Equipment		G AO												
			Spare Parts	3.9													
			SD-06 Test Reports														
			Tests and Measurements	3.5	G DO												
			Contractor's Modifications	1.3.2	G DO												

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		13110A	SD-07 Certificates														
			Cathodic Protection System		G DO												
			Services of 'Corrosion Expert'	1.3.1	G DO												
			SD-10 Operation and Maintenance														
			Data														
			Cathodic Protection System		G AO												
			Training Course	3.6	G AO												
		15895	SD-02 Shop Drawings														
			Drawings		G AO												
			Installation	3.1	G AO												
			SD-03 Product Data														
			Components and Equipment	2.1	G DO												
			Test Procedures		G AO												
			Welding Procedures		G AO												
			Diagrams	3.1	G AO												
			Manufacturer's Experience	2.1	G AO												
			Welded Joints		G AO												
			Performance Tests	3.7	G AO												
			Field Training	3.9	G AO												
			SD-06 Test Reports														
			Performance Tests	3.7	G AO												
			Testing, Adjusting, and Balancing		G AO												
			SD-10 Operation and Maintenance														
			Data														
			Operating and Maintenance	3.9	G AO												
			Instructions														

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		16268N	SD-02 Shop Drawings														
			Frequency converters		G DO												
			SD-04 Samples														
			Frequency converters		G DO												
			SD-08 Manufacturer's Instructions														
			Work plan	1.5.3	G DO												
			Routine factory test procedures		G DO												
			Special factory test procedures		G DO												
			Qualifications of manufacturer	1.5.2	G DO												
			Field test procedures		G AO												
			SD-11 Closeout Submittals														
			Routine Factory Tests Report	1.5.8	G DO												
			Special Factory Tests Report	1.5.9	G DO												
			Burn-in test		G DO												
			Frequency converter	2.1	G DO												
			Routine Factory Test Certification		G DO												
			Special Factory Test Certification		G DO												
			Burn-In Test		G DO												
			Frequency converter	2.1													
			Remote Monitoring and Control	2.2													
			Panel														
		16375A	SD-02 Shop Drawings														
			Electrical Distribution System	3.11.3	G												
			AO														
			As-Built Drawings														
			SD-03 Product Data														

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		16375A	Nameplates	2.2	G												
			AO														
			Material and Equipment	2.1	G												
			General Installation Requirements	3.1	G												
			SD-06 Test Reports														
			Factory Tests	2.17	G												
			AO														
			Field Testing	3.11	G												
			Operating Tests	3.11.13	G												
			Cable Installation	3.2.1.4													
			SD-07 Certificates														
			Material and Equipment	2.1	G												
			AO														
			Cable Joints														
			Cable Installer Qualifications														
			SD-10 Operation and Maintenance														
			Data														
			Electrical Distribution System	3.11.3	G												
			AO														
		16415A	SD-02 Shop Drawings														
			Interior Electrical Equipment		G DO												
			SD-03 Product Data														
			Fault Current and Protective		G DO												
			Device Coordination Study														
			Manufacturer's Catalog		G DO												

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		16415A	Material, Equipment, and Fixture Lists		G AO												
			Installation Procedures		G AO												
			As-Built Drawings	1.2.6	G AO												
			Onsite Tests	3.24.2	G AO												
			SD-06 Test Reports														
			Factory Test Reports		G AO												
			Field Test Plan		G AO												
			Field Test Reports	3.22	G AO												
			SD-07 Certificates														
			Materials and Equipment	1.4	G DO												
		16528A	SD-02 Shop Drawings														
			Lighting System	1.3.1	G												
			AO														
			Detail Drawings		G												
			As-Built Drawings	3.16.3	G												
			SD-03 Product Data														
			Equipment and Materials		G												
			AO														
			Spare Parts														
			SD-06 Test Reports														
			Operating Test	3.16.2	G												
			AO														
			Ground Resistance	3.16.3	G												
			Measurements														

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Conv. Air Launch Cruise Missile (CALCM) Beddown, Minot AFB, ND

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INSTRUCTIONS

1. Section I will be initiated by the Contractor in the required number of copies.
2. Each transmittal shall be numbered consecutively in the space provided for "Transmittal No.". This number, in addition to the contract number, will form a serial number for identifying each submittal. For new submittals or resubmittals mark the appropriate box; on resubmittals, insert transmittal number of last submission as well as the new submittal number.
3. The "Item No." will be the same "Item No." as indicated on ENG FORM 4288-R for each entry on this form.
4. Submittals requiring expeditious handling will be submitted on a separate form.
5. Separate transmittal form will be used for submittals under separate sections of the specifications.
6. A check shall be placed in the "Variation" column when a submittal is not in accordance with the plans and specifications--also, a written statement to that effect shall be included in the space provided for "Remarks".
7. Form is self-transmittal, letter of transmittal is not required.
8. When a sample of material or Manufacturer's Certificate of Compliance is transmitted, indicate "Sample" or "Certificate" in column c, Section I.
9. U.S. Army Corps of Engineers approving authority will assign action codes as indicated below in space provided in Section I, column i to each item submitted. In addition they will ensure enclosures are indicated and attached to the form prior to return to the contractor. The Contractor will assign action codes as indicated below in Section I, column g, to each item submitted.

THE FOLLOWING ACTION CODES ARE GIVEN TO ITEMS SUBMITTED

- | | |
|---|---|
| A -- Approved as submitted. | E -- Disapproved (See attached). |
| B -- Approved, except as noted on drawings. | F -- Receipt acknowledged. |
| C -- Approved, except as noted on drawings.
Refer to attached sheet resubmission required. | FX -- Receipt acknowledged, does not comply
as noted with contract requirements. |
| D -- Will be returned by separate correspondence. | G -- Other (<i>Specify</i>) |

10. Approval of items does not relieve the contractor from complying with all the requirements of the contract plans and specifications.

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DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01355

ENVIRONMENTAL PROTECTION

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ENVIRONMENTAL PROTECTION
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1.1 REFERENCES

HWPM Hazardous Waste Management Plan

FRP	Facility Response Plan
NDRO2-0000	Minot North Dakota Pollutant Discharge Elimination System (NDPDES) Industrial Storm Water Discharge Permit
SPCCP	Spill Prevention Control and Counter-measures Plan (SPCCP)
SWPPP	Storm Water Pollution Prevention Plan

NORTH DAKOTA ADMINISTRATION CODE

33-15-13-02	Air Pollution Control Rules
33-15-17	Restriction of Fugitive Emissions

US ARMY CORPS OF ENGINEERS TECHNICAL REPORT

WETLAND MANUAL	Corps of Engineers Wetlands Delineation Manual Technical Report Y-87-1
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1.2 DEFINITIONS

1.2.1 Environmental Pollution and Damage

Environmental pollution and damage is the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances of importance to human life; affect other species of importance to humankind; or degrade the environment aesthetically, culturally and/or historically.

1.2.2 Environmental Protection

Environmental protection is the prevention/control of pollution and habitat disruption that may occur to the environment during construction. The control of environmental pollution and damage requires consideration of land, water, and air; biological and cultural resources; and includes management of visual aesthetics; noise; solid, chemical, gaseous, and liquid waste; radiant energy and radioactive material as well as other pollutants.

1.2.3 Contractor Generated Hazardous Waste

Contractor generated hazardous waste means materials that, if abandoned or disposed of, may meet the definition of a hazardous waste. These waste streams would typically consist of material brought on site by the Contractor to execute work, but are not fully consumed during the course of construction. Examples include, but are not limited to, excess paint thinners (i.e. methyl ethyl ketone, toluene etc.), waste thinners, excess paints, excess solvents, waste solvents, and excess pesticides, and contaminated pesticide equipment rinse water.

1.2.4 Installation Pest Management Coordinator

Installation Pest Management Coordinator (IPMC) is the individual officially designated by the Installation Commander to oversee the Installation Pest Management Program and the Installation Pest Management Plan.

1.2.5 Land Application for Discharge Water

The term "Land Application" for discharge water implies that the Contractor shall discharge water at a rate which allows the water to percolate into the soil. No sheeting action, soil erosion, discharge into storm sewers, discharge into defined drainage areas, or discharge into the "waters of the United States" shall occur. Land Application shall be in compliance with all applicable Federal, State, and local laws and regulations.

1.2.6 Pesticide

Pesticide is defined as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest, or intended for use as a plant regulator, defoliant or desiccant.

1.2.7 Pests

The term "pests" means arthropods, birds, rodents, nematodes, fungi, bacteria, viruses, algae, snails, marine borers, snakes, weeds and other organisms (except for human or animal disease-causing organisms) that adversely affect readiness, military operations, or the well-being of personnel and animals; attack or damage real property, supplies, equipment, or vegetation; or are otherwise undesirable.

1.2.8 Surface Discharge

The term "Surface Discharge" implies that the water is discharged with possible sheeting action and subsequent soil erosion may occur. Waters that are surface discharged may terminate in drainage ditches, storm sewers, creeks, and/or "waters of the United States" and would require a permit to discharge water from the governing agency.

1.2.9 Waters of the United States

All waters which are under the jurisdiction of the Clean Water Act, as defined in 33 CFR 328.

1.2.10 Wetlands

Wetlands means those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, and bogs. Official determination of whether or not an area is classified as a wetland must be done in accordance with WETLAND MANUAL.

1.3 GENERAL REQUIREMENTS

The Contractor shall minimize environmental pollution and damage that may occur as the result of construction operations. The environmental resources within the project boundaries and those affected outside the limits of permanent work shall be protected during the entire duration of this contract. The Contractor shall comply with all applicable environmental Federal, State, and local laws and regulations. The Contractor shall be responsible for any delays resulting from failure to comply with environmental laws and regulations.

1.4 SUBCONTRACTORS

The Contractor shall ensure compliance with this section by subcontractors.

1.5 PAYMENT

No separate payment will be made for work covered under this section. The Contractor shall be responsible for payment of fees associated with environmental permits, application, and/or notices obtained by the Contractor. All costs associated with this section shall be included in the contract price. The Contractor shall be responsible for payment of all fines/fees for violation or non-compliance with Federal, State, Regional and local laws and regulations.

1.6 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Environmental Protection Plan; G-AO

The environmental protection plan.

1.7 ENVIRONMENTAL PROTECTION PLAN

Prior to commencing construction activities or delivery of materials to the site, the Contractor shall submit an Environmental Protection Plan for review and approval by the Contracting Officer and shall furnish a copy (through the Contracting Officer) to Minot AFB Environmental Flight. The purpose of the Environmental Protection Plan is to present a comprehensive overview of known or potential environmental issues which the Contractor must address during construction. Issues of concern shall be defined within the Environmental Protection Plan as outlined in this section. The Contractor shall address each topic at a level of detail commensurate with the environmental issue and required construction task(s). Topics or issues which are not identified in this section, but which the Contractor considers necessary, shall be identified and discussed after those items formally identified in this section. Prior to submittal of the Environmental Protection Plan, the Contractor shall meet with the Contracting Officer for the purpose of discussing the implementation of the initial Environmental Protection Plan; possible subsequent additions and revisions to the plan including any reporting requirements; and methods for administration of the Contractor's Environmental Plans. The Contractor shall maintain a current version of the Environmental Protection Plan on site for review by interested parties.

1.7.1 Compliance

No requirement in this Section shall be construed as relieving the Contractor of any applicable Federal, State, and local environmental protection laws and regulations. During Construction, the Contractor shall be responsible for identifying, submitting for approval, and implementing any additional requirements to be included in the Environmental Protection Plan.

1.7.2 Contents

The environmental protection plan shall include, but shall not be limited to, the following:

- a. Name(s) of person(s) within the Contractor's organization who is(are) responsible for ensuring adherence to the Environmental Protection Plan.
- b. Name(s) and qualifications of person(s) responsible for manifesting hazardous waste to be removed from the site, if applicable.
- c. Name(s) and qualifications of person(s) responsible for training the Contractor's environmental protection personnel.
- d. Description of the Contractor's environmental protection personnel training program.
- e. An erosion and sediment control plan which identifies the type and location of the erosion and sediment controls to be provided. The plan shall include monitoring and reporting requirements to assure that the control measures are in compliance with the erosion and sediment control plan, Federal, State, and local laws and regulations. A Storm Water Pollution Prevention Plan (SWPPP) may be substituted for this plan.
- f. Drawings showing locations of proposed temporary excavations or embankments for haul roads, stream crossings, material storage areas, structures, sanitary facilities, and stockpiles of excess or spoil materials including methods to control runoff and to contain materials on the site.
- g. Traffic control plans including measures to reduce erosion of temporary roadbeds by construction traffic, especially during wet weather. Plan shall include measures to minimize the amount of mud transported onto paved public roads by vehicles or runoff.
- h. Work area plan showing the proposed activity in each portion of the area and identifying the areas of limited use or nonuse. Plan should include measures for marking the limits of use areas including methods for protection of features to be preserved within authorized work areas.
- i. Drawing showing the location of borrow areas.
- j. The Spill Control plan shall include the procedures, instructions, and reports to be used in the event of an unforeseen spill of a substance regulated by 40 CFR 68, 40 CFR 302, 40 CFR 355, and/or regulated under State or Local laws and regulations. The Spill Control Plan supplements the requirements of EM 385-1-1 and Minot AFB's FRP Facility Response Plan and SPCCP Spill Prevention and Counter-measures Plan that may be reviewed at Minot AFB Environmental Flight. This plan shall include as a minimum:
 1. The name of the individual who will report any spills or hazardous substance releases and who will follow up with complete documentation. This individual shall immediately notify the Contracting Officer and Facility Fire Department Facility Response Personnel Facility Environmental Office in addition to the legally

required Federal, State, and local reporting channels (including the National Response Center 1-800-424-8802) if a reportable quantity is released to the environment. The plan shall contain a list of the required reporting channels and telephone numbers.

2. The name and qualifications of the individual who will be responsible for implementing and supervising the containment and cleanup.

3. Training requirements for Contractor's personnel and methods of accomplishing the training.

4. A list of materials and equipment to be immediately available at the job site, tailored to cleanup work of the potential hazard(s) identified.

5. The names and locations of suppliers of containment materials and locations of additional fuel oil recovery, cleanup, restoration, and material-placement equipment available in case of an unforeseen spill emergency.

6. The methods and procedures to be used for expeditious contaminant cleanup.

k. A non-hazardous solid waste disposal plan identifying methods and locations for solid waste disposal including clearing debris. The plan shall include schedules for disposal. The Contractor shall identify any subcontractors responsible for the transportation and disposal of solid waste. Licenses or permits shall be submitted for solid waste disposal sites that are not a commercial operating facility. Evidence of the disposal facility's acceptance of the solid waste shall be attached to this plan during the construction. The Contractor shall attach a copy of each of the Non-hazardous Solid Waste Diversion Reports to the disposal plan. The report shall be submitted on the first working day after the first quarter that non-hazardous solid waste has been disposed and/or diverted and shall be for the previous quarter (e.g. the first working day of January, April, July, and October). The report shall indicate the total amount of waste generated and total amount of waste diverted in cubic yards or tons along with the percent that was diverted.

l. A recycling and solid waste minimization plan with a list of measures to reduce consumption of energy and natural resources. The plan shall detail the Contractor's actions to comply with and to participate in Federal, State, Regional, and local government sponsored recycling programs to reduce the volume of solid waste at the source.

m. An air pollution control plan detailing provisions to assure that dust, debris, materials, trash, etc., do not become air borne and travel off the project site. The plan shall include methods for minimizing emissions from volatile substances used throughout the project.

n. A contaminant prevention plan that: identifies potentially hazardous substances to be used on the job site; identifies the intended actions to prevent introduction of such materials into the air, water, or ground; and details provisions for compliance with Federal, State, and local laws and regulations for storage and handling of these materials. In accordance with EM 385-1-1, a copy of the

Material Safety Data Sheets (MSDS) and the maximum quantity of each hazardous material to be on site at any given time shall be included in the contaminant prevention plan. As new hazardous materials are brought on site or removed from the site, the plan shall be updated.

o. A waste water management plan that identifies the methods and procedures for management and/or discharge of waste waters which are directly derived from construction activities, such as concrete curing water, clean-up water, dewatering of ground water, disinfection water, hydrostatic test water, and water used in flushing of lines. If a settling/retention pond is required, the plan shall include the design of the pond including drawings, removal plan, and testing requirements for possible pollutants. If land application will be the method of disposal for the waste water, the plan shall include a sketch showing the location for land application along with a description of the pretreatment methods to be implemented. If surface discharge will be the method of disposal, a copy of the permit and associated documents shall be included as an attachment prior to discharging the waste water. If disposal is to a sanitary sewer, the plan shall include documentation that the Waste Water Treatment Plant Operator has approved the flow rate, volume, and type of discharge.

p. A historical, archaeological, cultural, biological, and wetland resources plan that defines procedures for identifying and protecting the resources known to be on the project site and/or any resources discovered during construction. The plan shall identify lines of communication between Contractor personnel and the Contracting Officer.

q. A pesticide treatment plan shall be included and updated, as information becomes available. The plan shall include: sequence of treatment, dates, times, locations, pesticide trade name, EPA registration numbers, authorized uses, chemical composition, formulation, original and applied concentration, application rates of active ingredient (i.e. pounds of active ingredient applied), equipment used for application and calibration of equipment. The Contractor is responsible for Federal, State, Regional and Local pest management record keeping and reporting requirements as well as any additional Installation specific requirements. The Contractor shall follow AFI 32-1053 Sections 3.4.13 and 3.4.14 for data required to be reported to the Installation.

1.7.3 Appendix

Copies of all Contractor's environmental permits, permit application packages, approvals to construct, notifications, certifications, reports, and termination documents shall be attached, as an appendix, to the Environmental Protection Plan.

1.8 PROTECTION FEATURES

This paragraph supplements the Contract Clause PROTECTION OF EXISTING VEGETATION, STRUCTURES, EQUIPMENT, UTILITIES, AND IMPROVEMENTS. Prior to start of any on site construction activities, the Contractor and the Contracting Officer shall make a joint condition survey. Immediately following the survey, the Contractor shall prepare a brief report including a plan describing the features requiring protection under the provisions of the Contract Clauses, which are not specifically identified on the drawings as environmental features requiring protection along with the condition of trees, shrubs and grassed areas immediately adjacent to the site of work

and adjacent to the Contractor's assigned storage area and access route(s), as applicable. This survey report shall be signed by both the Contractor and the Contracting Officer upon mutual agreement as to its accuracy and completeness. The Contractor shall protect those environmental features included in the survey report and any indicated on the drawings, regardless of interference which their preservation may cause to the Contractor's work under the contract.

1.8.1 Applications, Supporting Documents, and Fees

The Contractor shall obtain and complete all environmental permit applications and notices including any documents required for a modification for an existing permit held by the Facility. The Contractor is responsible for preparing all supporting documents, including but not limited to engineering reports, emission surveys, diagrams, pollutant load calculations, etc. If, in lieu of permits, the governing agency requires review and approval of the design, the Contractor shall submit and obtain approval of the design and associated documents. The Contractor shall be responsible for all fees associated with the permits, applications, reviews, approvals, and notices.

1.8.2 Environmental Permits, Notices, Reviews, and/or Approvals

The following is a listing of permits, notices, reviews, and/or approvals which **may be** required for this project. This listing and requirements are not to be considered all-inclusive by the Contractor, but is provided as information that may be used in successfully accomplishing the environmental compliances. See Internet site <http://http://www.health.state.nd.us/ndhd/for> North Dakota's Environmental Issues.

- a. The State of North Dakota has authority for the National Pollutant Discharge Elimination System (NPDES) program. Minot Air Force Base (MAFB) has been issued a North Dakota Department of Environmental and Natural Resources Authorization to Discharge Under the Surface Water Discharge System. The Storm Water Pollution Prevention Plan (SWPPP) is a requirement of this permit. The SWPPP may be reviewed at the Environmental Flight Office. The Contractor shall be responsible for coordination with the Environmental Flight for possible modifications to this permit for surface drainage discharges.
- b. If construction activities results in disturbance of 1 acre of land or more (sites that may be smaller than 1 acre but are part of common plan of development are considered to be over 1 acre), coverage under the Authorization to Discharge Under the North Dakota Pollutant Discharge Elimination System (NDPDES) Permit No. NDR03-0000 for storm water discharge from construction site is required. The Contractor shall be responsible for implementing the terms and requirements of the permit and shall be considered the "permittee". The Contractor shall prepare and implement a Storm Water Pollution Prevention Plan, inspections, and reporting in accordance with the NDR03-0000 Permit. The SWPPP and a copy of an unsigned Notice of Intent (NOI) shall be submitted with the 100% design submittals for review and comments by the Contracting Officer or at a minimum 45 days prior to construction commencing. The Contractor shall be responsible for all submittals to the State of North Dakota 30 days prior to construction activity

beginning in accordance with Permit No. NDR03-0000. The Contractor shall be responsible for assuring that their SWPPP is in accordance with Minot AFB's SWPPP (identified in the above paragraph). The Contractor shall retain copies of the storm water pollution prevention plan and all reports in accordance with the permit. All submissions to the State shall be by certified mail. The Contractor shall include copies of all submittals to the State of North Dakota (NOI/NOT), a return certified mail receipt, plans, and reports in the Appendix to the Environmental Protection Plan. The State of North Dakota web site for the NPDES Program is <http://www.health.state.nd.us/wq/Storm/Construction/ConstructionHome.htm>.

- c. Minot AFB has a State of North Dakota Title V Air Permit for the entire facility. The Contractor shall coordinate all air pollutant emissions with Minot's AFB Environmental Flight for possible modifications and/or permit to construct.
- e. Drinking water, new water mains including fire hydrants, lawn sprinkler systems, back-flow preventers, lift stations, stormwater and sanitary sewer approval of plans and specifications is required by the State of North Dakota prior to construction commencing. These plans and specifications shall be sent to the North Dakota Department of Health, Division of Municipal Facilities, ATTN: Gary Stefanovsky, 1200 Mission Avenue, Bismarck, North Dakota 58506-5520; for review and approval. The plans and specifications shall be submitted with a cover letter requesting a review and approval. The plans and specifications are required to have a stamp and signature of a registered engineer from the State of North Dakota. A copy of the request shall be forwarded to the Minot Environmental Flight Office and the COR. The State of North Dakota may take up to 30 days for approval.

1.9 ENVIRONMENTAL ASSESSMENT OF CONTRACT DEVIATIONS

Any deviations, requested by the Contractor, from the drawings, plans and specifications which may have an environmental impact will be subject to approval by the Contracting Officer and may require an extended review, processing, and approval time. The Contracting Officer reserves the right to disapprove alternate methods, even if they are more cost effective, if the Contracting Officer determines that the proposed alternate method will have an adverse environmental impact.

1.10 NOTIFICATION

The Contracting Officer will notify the Contractor in writing of any observed noncompliance with Federal, State or local environmental laws or regulations, permits, and other elements of the Contractor's Environmental Protection plan. The Contractor shall, after receipt of such notice, inform the Contracting Officer of the proposed corrective action and take such action when approved by the Contracting Officer. The Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has been taken. No time extensions shall be granted or equitable adjustments allowed to the Contractor for any such suspensions. This is in addition to any other actions the Contracting Officer may take under the contract, or in accordance with the Federal Acquisition Regulation or Federal Law.

PART 2 PRODUCTS (NOT USED)

PART 3 EXECUTION

3.1 LAND RESOURCES

The Contractor shall confine all activities to areas defined by the drawings and specifications. Prior to the beginning of any construction, the Contractor shall identify any land resources to be preserved within the work area. Except in areas indicated on the drawings or specified to be cleared, the Contractor shall not remove, cut, deface, injure, or destroy land resources including trees, shrubs, vines, grasses, topsoil, and land forms without approval. No ropes, cables, or guys shall be fastened to or attached to any trees for anchorage unless specifically authorized. The Contractor shall provide effective protection for land and vegetation resources at all times as defined in the following subparagraphs. Stone, soil, or other materials displaced into uncleared areas shall be removed by the Contractor.

3.1.1 Work Area Limits

Prior to commencing construction activities, the Contractor shall mark the areas that need not be disturbed under this contract. Isolated areas within the general work area which are not to be disturbed shall be marked or fenced. Monuments and markers shall be protected before construction operations commence. Where construction operations are to be conducted during darkness, any markers shall be visible in the dark. The Contractor's personnel shall be knowledgeable of the purpose for marking and/or protecting particular objects.

3.1.2 Landscape

Trees, shrubs, vines, grasses, land forms and other landscape features indicated and defined on the drawings to be preserved shall be clearly identified by marking, fencing, or wrapping with boards, or any other approved techniques. The Contractor shall restore landscape features damaged or destroyed during construction operations outside the limits of the approved work area.

3.1.3 Erosion and Sediment Controls

The Contractor shall be responsible for providing erosion and sediment control measures in accordance with Federal, State, and local laws and regulations. The erosion and sediment controls selected and maintained by the Contractor shall be such that water quality standards are not violated as a result of the Contractor's construction activities. The area of bare soil exposed at any one time by construction operations should be kept to a minimum. The Contractor shall construct or install temporary and permanent erosion and sediment control best management practices (BMPs) as indicated on the drawings and/or as specified in Section 01356 STORM WATER POLLUTION PREVENTION MEASURES. BMPs may include, but not be limited to, vegetation cover, stream bank stabilization, slope stabilization, silt fences, construction of terraces, interceptor channels, sediment traps, inlet and outfall protection, diversion channels, and sedimentation basins. The Contractor's best management practices shall be in accordance with NDRO2-0000 Minot AFB North Dakota Pollutant Discharge Elimination System (NPDES) Industrial Storm Water Permit including Minot AFB's Storm Water Pollution Prevention Plan (SWPPP) that may be reviewed at the Minot AFB's Environmental Office. Any temporary measures shall be removed after the area has been stabilized.

3.1.4 Contractor Facilities and Work Areas

The Contractor's field offices, staging areas, stockpile storage, and temporary buildings shall be placed in areas designated on the drawings or as directed by the Contracting Officer. Temporary movement or relocation of Contractor facilities shall be made only when approved. Erosion and sediment controls shall be provided for on-site borrow and spoil areas to prevent sediment from entering nearby waters. Temporary excavation and embankments for plant and/or work areas shall be controlled to protect adjacent areas.

3.2 WATER RESOURCES

The Contractor shall monitor construction activities to prevent pollution of surface and ground waters. Toxic or hazardous chemicals shall not be applied to soil or vegetation unless otherwise indicated. All water areas affected by construction activities shall be monitored by the Contractor. For construction activities immediately adjacent to impaired surface waters, the Contractor shall be capable of quantifying sediment or pollutant loading to that surface water when required by State or Federally issued Clean Water Act permits.

3.2.1 Wetlands

The Contractor shall not enter, disturb, destroy, or allow discharge of contaminants into any wetlands.

3.3 AIR RESOURCES

Equipment operation, activities, or processes performed by the Contractor shall be in accordance with all Federal and State air emission and performance laws and standards.

3.3.1 Particulates

Dust particles; aerosols and gaseous by-products from construction activities; and processing and preparation of materials, such as from asphaltic batch plants; shall be controlled at all times, including weekends, holidays and hours when work is not in progress. The Contractor shall comply with North Dakota Administration Code 33-15-17 Restriction of Fugitive Emissions. The Contractor shall maintain excavations, stockpiles, haul roads, permanent and temporary access roads, plant sites, spoil areas, borrow areas, and other work areas within or outside the project boundaries free from particulates which would cause the Federal, State, and local air pollution standards to be exceeded or which would cause a hazard or a nuisance. Sprinkling, chemical treatment of an approved type, baghouse, scrubbers, electrostatic precipitators or other methods will be permitted to control particulates in the work area. Sprinkling, to be efficient, must be repeated to keep the disturbed area damp at all times. The Contractor must have sufficient, competent equipment available to accomplish these tasks. Particulate control shall be performed as the work proceeds and prior to particulate matter becoming a nuisance or hazard. The Contractor shall comply with all State and local visibility regulations.

3.3.2 Odors

Odors from construction activities shall be controlled at all times. The odors shall not cause a health hazard and shall be in compliance with State regulations and/or local ordinances.

3.3.3 Sound Intrusions

The Contractor shall keep construction activities under surveillance and control to minimize environment damage by noise. The Contractor shall comply with the provisions of the State of North Dakota's rules.

3.3.4 Burning

Burning shall be prohibited on the Government premises.

3.4 MANAGEMENT AND DISPOSAL OF WASTE AND CHEMICAL MATERIALS

Management and disposal of wastes and chemical materials shall be as directed below, unless otherwise specified in other sections and/or shown on the drawings.

3.4.1 Solid Wastes

Solid wastes (excluding clearing debris) shall be placed in containers which are emptied on a regular schedule. Handling, storage, and disposal shall be conducted to prevent contamination. Segregation measures shall be employed so that no hazardous or toxic waste will become co-mingled with solid waste. The Contractor shall transport solid waste off Government property and dispose of it in compliance with Federal, State, and local requirements for solid waste disposal. A Subtitle D RCRA permitted landfill shall be the minimum acceptable off-site solid waste disposal option. The Contractor shall verify that the selected transporters and disposal facilities have the necessary permits and licenses to operate.

3.4.2 Chemicals and Chemical Wastes

Chemicals shall be dispensed ensuring no spillage to the ground or water. The Contractor shall be handled in a way that minimizes emissions from evaporation at all times. Periodic inspections of dispensing areas to identify leakage and initiate corrective action shall be performed and documented. This documentation will be periodically reviewed by the Government. Chemical waste shall be collected in corrosion resistant, compatible containers. Collection drums shall be monitored and removed to a staging or storage area when contents are within 6 inches of the top. Wastes shall be classified, managed, stored, and disposed of in accordance with Federal, State, and local laws and regulations.

3.4.3 Contractor Generated Hazardous Wastes/Excess Hazardous Materials

Hazardous wastes are defined in 40 CFR 261, or are as defined by applicable State and local regulations. Hazardous materials are defined in 49 CFR 171 - 178. The Contractor shall, at a minimum, manage and store hazardous waste in compliance with 40 CFR 262. and shall manage and store hazardous waste in accordance with the hazardous waste management plan. The Contractor shall take sufficient measures to prevent spillage of hazardous and toxic materials during dispensing. The Contractor shall segregate hazardous waste from other materials and wastes, shall protect it from the weather by placing it in a safe covered location, and shall take precautionary measures such as berming or other appropriate measures against accidental spillage. The Contractor shall be responsible for storage, describing, packaging, labeling, marking, and placarding of hazardous waste and hazardous material in accordance with 49 CFR 171 - 178, State, and local laws and regulations. The Contractor shall contact Minot

AFB's HAZMART office to arrange for acceptance of any Contractor generated hazardous waste. No hazardous waste will be taken off the facility by the Contractor. Unused or partially used containers of hazardous material (i.e., paint, adhesive) are not hazardous waste and will be taken off the facility for reuse by the Contractor. Spills of hazardous or toxic materials shall be immediately reported to the Contracting Officer. Cleanup and cleanup costs due to spills shall be the Contractor's responsibility. The disposition of Contractor generated hazardous waste and excess hazardous materials are the Contractor's responsibility.

3.4.4 Fuel and Lubricants

Storage, fueling and lubrication of equipment and motor vehicles shall be conducted in a manner that affords the maximum protection against spill and evaporation. Fuel, lubricants and oil shall be managed and stored in accordance with all Federal, State, Regional, and local laws and regulations. Used lubricants and used oil to be discarded shall be stored in marked corrosion-resistant containers and recycled or disposed in accordance with 40 CFR 279, State, and local laws and regulations. There shall be no storage of fuel on the project site. Fuel must be brought to the project site each day that work is performed.

3.4.5 Waste Water

Disposal of waste water shall be as specified below.

- a. Waste water from construction activities, such as on site material processing, concrete curing, foundation and concrete clean-up, water used in concrete trucks, forms, etc. shall not be allowed to enter water ways or to be discharged prior to being treated to remove pollutants. The Contractor shall dispose of the construction related waste water off-Government property in accordance with all Federal, State, Regional and Local laws and regulations.
- b. For discharge of ground water, the Contractor shall obtain coverage under the State of North Dakota's General Permit specific for pumping and dewatering activities prior to surface or "waters of the State" discharging. The Contractor shall be responsible for assuring that all discharge of water shall be in accordance with all Federal, State, Regional and local laws and regulations.
- c. For water generated from the disinfection and hydrostatic testing of the domestic water and sewer lines including firewater lines, the Contractor shall discharge the waste water into the sanitary sewer with prior approval and/or notification to the Waste Water Treatment Plant's Operator.
- d. For water generated from hydrostatic testing the new above and under ground storage tanks, the Contractor shall obtain coverage under the State of North Dakota Hydrostatic Testing General permit and shall discharge the water in accordance with all Federal, State, and local laws and regulations.

3.5 RECYCLING AND WASTE MINIMIZATION

The Contractor shall participate in State and local government sponsored recycling programs. The Contractor is further encouraged to minimize solid

waste generation throughout the duration of the project.

3.6 NON-HAZARDOUS SOLID WASTE DIVERSION REPORT

The Contractor shall maintain an inventory of non-hazardous solid waste diversion and disposal of construction and demolition debris. The Contractor shall submit a report to Minot AFB's Environmental Flight through the Contracting Officer on the first working day after each fiscal year quarter, starting the first quarter that non-hazardous solid waste has been generated. The following shall be included in the report:

- a. Construction and Demolition (C&D) Debris Disposed = _____ in cubic yards or tons, as appropriate.
- b. Construction and Demolition (C&D) Debris Recycled = _____ in cubic yards or tons, as appropriate.
- c. Total C&D Debris Generated = _____ in cubic yards or tons, as appropriate.
- d. Waste Sent to Waste-To-Energy Incineration Plant (This amount should not be included in the recycled amount) = _____ in cubic yards or tons, as appropriate.

3.7 HISTORICAL, ARCHAEOLOGICAL, AND CULTURAL RESOURCES

If during excavation or other construction activities any previously unidentified or unanticipated historical, archaeological, and cultural resources are discovered or found, all activities that may damage or alter such resources shall be temporarily suspended. Resources covered by this paragraph include but are not limited to: any human skeletal remains or burials; artifacts; shell, midden, bone, charcoal, or other deposits; rock or coral alignments, pavings, wall, or other constructed features; and any indication of agricultural or other historical human activities. Upon such discovery or find, the Contractor shall immediately notify the Contracting Officer so that the appropriate authorities may be notified and a determination made as to their significance and what, if any, special disposition of the finds should be made. The Contractor shall cease all activities that may result in impact to or the destruction of these resources. The Contractor shall secure the area and prevent Contractor personnel or other persons from trespassing on, removing, or otherwise disturbing such resources.

3.8 BIOLOGICAL RESOURCES

The Contractor shall minimize interference with, disturbance to, and damage to fish, wildlife, and plants including their habitat. The Contractor shall be responsible for the protection of threatened and endangered animal and plant species including their habitat in accordance with Federal, State, Regional, and local laws and regulations.

3.9 INTEGRATED PEST MANAGEMENT

In order to minimize impacts to existing fauna and flora, the Contractor, through the Contracting Officer, shall coordinate with the Installation Pest Management Coordinator (IPMC) at the earliest possible time prior to pesticide application. The Contractor shall discuss integrated pest management strategies with the IPMC and receive concurrence from the IPMC through the COR prior to the application of any pesticide associated with

these specifications. Installation Pest Management personnel shall be given the opportunity to be present at all meetings concerning treatment measures for pest or disease control and during application of the pesticide. The use and management of pesticides are regulated under 40 CFR 152 - 186.

3.9.1 Pesticide Delivery and Storage

Pesticides shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses. Pesticides shall be stored according to manufacturer's instructions and under lock and key when unattended.

3.9.2 Qualifications

For the application of pesticides, the Contractor shall use the services of a subcontractor whose principal business is pest control. The subcontractor shall be licensed and certified in the state where the work is to be performed.

3.9.3 Pesticide Handling Requirements

The Contractor shall formulate, treat with, and dispose of pesticides and associated containers in accordance with label directions and shall use the clothing and personal protective equipment specified on the labeling for use during all phases of the application. Material Safety Data Sheets (MSDS) shall be available for all pesticide products.

3.9.4 Application

Pesticides shall be applied by a State Certified Pesticide Applicator in accordance with EPA label restrictions and recommendation. The Certified Applicator shall wear clothing and personal protective equipment as specified on the pesticide label. Water used for formulating shall only come from locations designated by the Contracting Officer. The Contractor shall not allow the equipment to overflow. Prior to application of pesticide, all equipment shall be inspected for leaks, clogging, wear, or damage and shall be repaired prior to being used.

3.10 PREVIOUSLY USED EQUIPMENT

The Contractor shall clean all previously used construction equipment prior to bringing it onto the project site. The Contractor shall ensure that the equipment is free from soil residuals, egg deposits from plant pests, noxious weeds, and plant seeds. The Contractor shall consult with the USDA jurisdictional office for additional cleaning requirements.

3.11 MAINTENANCE OF POLLUTION FACILITIES

The Contractor shall maintain permanent and temporary pollution control facilities and devices for the duration of the contract or for that length of time construction activities create the particular pollutant.

3.12 MILITARY MUNITIONS

In the event the Contractor discovers or uncovers military munitions as defined in 40 CFR 260, the Contractor shall immediately stop work in that area and immediately inform the Contracting Officer.

3.13 TRAINING OF CONTRACTOR PERSONNEL

The Contractor's personnel shall be trained in all phases of environmental protection and pollution control. The Contractor shall conduct environmental protection/pollution control meetings for all Contractor personnel prior to commencing construction activities. The Contractor shall document all attendees in attendance at this and any subsequent meetings. Additional meetings shall be conducted for new personnel and when site conditions change. The training and meeting agenda shall include: methods of detecting and avoiding pollution; familiarization with statutory and contractual pollution standards; installation and care of devices, vegetative covers, and instruments required for monitoring purposes to ensure adequate and continuous environmental protection/pollution control; anticipated hazardous or toxic chemicals or wastes, and other regulated contaminants; recognition and protection of archaeological sites, artifacts, wetlands, and endangered species and their habitat that are known to be in the area.

3.14 CONTAMINATED MEDIA MANAGEMENT

Contaminated environmental media consisting of, but not limited to, ground water, soils, and sediments shall be managed in accordance with Section 02316a EXCAVATION, TRENCHING AND BACKFILLING FOR UTILITIES SYSTEMS.

3.15 POST CONSTRUCTION CLEANUP

The Contractor shall clean up all areas used for construction in accordance with Contract Clause: "Cleaning Up". The Contractor shall, unless otherwise instructed in writing by the Contracting Officer, obliterate all signs of temporary construction facilities such as haul roads, work area, structures, foundations of temporary structures, stockpiles of excess or waste materials, and other vestiges of construction prior to final acceptance of the work. The disturbed area shall be graded, filled and the entire area seeded unless otherwise indicated.

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SECTION 01400

SPECIAL SAFETY REQUIREMENTS
05/00 Rev 02/03

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR 1910	Occupational Safety and Health Standards
29 CFR 1926	Safety and Health Regulations for Construction

ENGINEERING MANUALS (EM)

EM 385-1-1	(1996 and Changes) Safety and Health Requirements Manual
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1.2 SUMMARY

1.2.1 General

This section provides guidelines for preparation of accident prevention plans, and to implement the accident prevention clause (this specification) and EM 385-1-1, Safety and Health Requirements Manual. The U.S. Army Corps of Engineers Safety and Health Requirements Manual, EM 385-1-1 is available from U.S. Government bookstores operated by the Government Printing Office and a copy is included on the CD-ROM issued with this solicitation. Changes to EM 385-1-1 applicable to this contract include only those revisions posted at the following website (all revisions up to the time this solicitation is issued): http://www.hq.usace.army.mil/soh/hqusace_soh.htm ("Changes to EM"). U.S. Government bookstores are located in most major cities including Milwaukee, Chicago, Kansas City, Denver, and Pueblo, Colorado.

1.3 PRECONSTRUCTION CONFERENCE

See Contract Clause "PRECONSTRUCTION CONFERENCE". A preconstruction conference will be scheduled prior to beginning of site work. Requirements relative to planning and administration of the overall safety program will be discussed.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be

submitted in accordance with Section 01330SUBMITTAL PROCEDURES:

Accident Prevention Plan; G-AO

The written site-specific Accident Prevention Plan.

1.5 ACCIDENT PREVENTION PLAN

The Contractor shall submit, prior to the start of on site construction activity, a proposed accident prevention plan which shall be the accident prevention policy to be followed by all of the Contractor's and subcontractor's personnel and supervisory staff during performance of the work.

1.5.1 Requirements

The proposed plan shall be developed after a careful analysis of the work involved and shall be tailored specifically to the conditions of this project. The Contractor's accident prevention plan shall contain, as a minimum, the following general information or procedures for the activity indicated. The Contractor shall submit his plan for review and acceptance prior to commencing work.

1.5.1.1 Responsible Individual(s)

The Contractor shall designate an onsite employee as the individual responsible for insuring the accident prevention plan is implemented and enforced.

1.5.1.2 Subcontractor Supervision

Explain procedures to assure that subcontractor(s) fully comply with the accident prevention plan.

1.5.1.3 Indoctrination of New Employees

The plan shall include provisions for advising workers of the purpose of the accident prevention plan, specific hazards on the job and precautions to be taken, emergency procedures, information concerning tool box safety meetings, required protective equipment, cleanup rules and location of company safety rules (posting or handout).

1.5.1.4 Tool Box Safety Meetings

Hold weekly "Tool Box" safety meetings. Timely safety subjects shall be determined by a responsible individual. Employees will be informed of time, location, who will conduct, and subject. Identify procedures for including subcontractors. The Contractor shall provide a copy of the Weekly Tool Box Meeting and Monthly Supervisor's Safety Meeting to the Contracting Officer.

1.5.1.5 Fire Prevention and Protection

Identify source of fire protection. Insure adequate fire extinguishers, water barrels, or other fire-fighting equipment is located on site.

Explain prevention activities to include storage areas and special hazards such as welding and use of flammable liquids, and other special hazards.

1.5.1.6 Housekeeping

Daily cleanup of all debris and waste materials is required. Adequate disposal containers should be placed strategically around the site. Debris shall be removed on a regular basis. Explain procedures that include use of barrels, dumpsters, trash chutes, etc.

1.5.1.7 Mechanical Equipment Inspection

All mechanical equipment (trucks, cranes, forklifts, backhoes, graders, etc.) shall be inspected prior to use and at fixed intervals throughout the life of the contract. Explain how inspections will be accomplished (frequency, by whom, and records to be kept).

1.5.1.8 First Aid and Medical Facilities

First aid facilities shall be made available on the job site. Arrangements for emergency medical attention shall be made prior to start of work. All emergency numbers (doctor, hospital, ambulance, fire department) shall be posted at the project superintendent's office.

1.5.1.9 Sanitation

Include provisions for toilet facilities, drinking water and washing facilities. A sufficient number of toilet facilities as specified in EM 385-1-1 shall be provided unless permission is granted to use existing facilities (portable chemical are authorized). Insure safe drinking water and individual cups are available. For the projects where corrosive or toxic materials are used, separate washing facilities are required.

1.5.1.10 Safety Promotions

The Contractor shall promote accident prevention. Identify method (posters, awards etc.).

1.5.1.11 Accident Reporting

All accidents (employee injuries, vehicle, building, or equipment damage etc.) regardless of their severity, shall be reported to the onsite government representative or to the area engineer, who in turn will advise the Contractor of forms to be submitted and timeframes.

1.5.1.12 Job Hazard Analysis

When job situations change and it is necessary to alter safety requirements, a Job Hazard Analysis will be accomplished, documented, and added as an addendum to the Accident Prevention Plan. Each Job Hazard Analysis shall include, but not be limited to, a description of the work, probable hazards related to that work and positive precautionary measures to be taken to reduce or eliminate each hazard. An example of changing situations may be new subcontractors performing work such as earth moving, trenching, concrete work, roofing, electrical, masonry etc. The onsite government representative will determine the format and amount of detail required of the written plan.

1.6 RADIOLOGICAL EQUIPMENT

In addition to any applicable Nuclear Regulatory Commission, state, local, or other federal licenses or permits, and in accordance with requirements of EM 385-1-1, Safety and Health Requirement Manual, the Contractor is required to obtain a service permit to use, store, operate, or handle a radiation producing machine or radioactive materials on a Department of Defense (DOD) Installation. The service permit shall be obtained from the appropriate U.S. Army or U.S. Air Force Command through the Contracting Officer's representative. The Contractor should notify the Contracting Officer during the prework conference if a radiation producing device will be utilized on a DOD Installation in order to determine the permit application requirements, and allow a lead time of 45 days for obtaining a permit.

1.7 AIRFIELD SAFETY PRECAUTIONS (DEC 1991)

1.7.1 Definitions

As used in this clause-

a. "Landing areas" means:

(1) The primary surfaces, comprising the surface of the runway, runway shoulders, and lateral safety zones. The length of each primary surface is the same as the runway length. The width of each primary surface is 2,000 feet (1,000 feet on each side of the runway centerline);

(2) The "clear zone" beyond the ends of each runway, i.e., the extension of the primary surface for a distance of 1,000 feet beyond each end of each runway.

(3) All taxiways, plus the lateral clearance zones along each side for the length of the taxiways (the outer edge of each lateral clearance zone is laterally 250 feet from the far or opposite edge of the taxiway, e.g., a 75-foot-wide taxiway would have a combined width of taxiway and lateral clearance zones of 425 feet); and

(4) All aircraft parking aprons, plus the area 125 feet in width extending beyond each edge all around the aprons.

b. "Safety precaution areas" means those portions of approach-departure clearance zones and transitional zones where placement of objects incident to contract performance might result in vertical projections at or above the approach-departure clearance, or the transitional surface.

(1) The "approach-departure clearance surface" is an extension of the primary surface and the clear zone at each end of each runway, for a distance of 50,000 feet, first along an inclined (glide angle) and then along a horizontal plane, both flaring symmetrically about the runway centerline extended.

(a) The inclined plane (glide angle) begins in the clear zone 200 feet past the end of the runway (and primary surface) at the same elevation as the end of the runway. It continues upward at a slope of 50:1 (1 foot vertically for each 50 feet horizontally) to an elevation of 500 feet above the established airfield elevation. At that point the plane become horizontal, continuing at that same uniform elevation to a point

50,000 feet longitudinally from the beginning of the inclined plane (glide angle) and ending there.

(b) The width of the surface at the beginning of the inclined plane (glide angle) is the same as the width of the clear zone. It then flares uniformly, reaching the maximum width of 16,000 feet at the end.

(2) The "approach-departure clearance zone" is the ground area under the approach-departure clearance surface.

(3) The "transitional surface" is a sideways extension of all primary surfaces, clear zones, and approach-departure clearance surfaces along inclined planes.

(a) The inclined plane in each case begins at the edge of the surface.

(b) The slope of the incline plane is 7:1 (1 foot vertically for each 7 feet horizontally). It continues to the point of intersection with the-

(i) Inner horizontal surface (which is the horizontal plane 500 feet above the established airfield elevation); or

(ii) Outer horizontal surface (which is the horizontal plane 500 feet above the established airfield elevation), whichever is applicable.

(4) The "transitional zone" is the ground area under the transitional surface. (It adjoins the primary surface, clear zone, and approach-departure clearance zone.)

1.7.2 General

a. The Contractor shall comply with the requirements of this clause while-

- (1) Operating all ground equipment (mobile or stationary);
- (2) Placing all materials; and
- (3) Performing all work, upon and around all airfields.

b. The requirements of this clause are in addition to any other safety requirements of this contract.

1.7.3 The Contractor shall

a. Report to the Contracting Officer before initiating any work;

b. Notify the Contracting Officer of proposed changes to locations and operations;

c. Not permit either its equipment or personnel to use any runway for purposes other than aircraft operation without permission of the Contracting Officer, unless the runway is-

- (1) Closed by order of the Contracting Officer; and

(2) Marked as provided in paragraph 1.6.4 (2) of this clause;

d. Keep all paved surfaces, such as runways, taxiways, and hardstands, clean at all times and, specifically, free from small stones which might damage aircraft propellers or jet aircraft;

e. Operate mobile equipment according to the safety provisions of this clause, while actually performing work on the airfield. At all other times, the Contractor shall remove all mobile equipment to locations-

(1) Approved by the Contracting Officer;

(2) At a distance of at least 750 feet from the runway centerline, plus any additional distance, and

(3) Necessary to ensure compliance with the other provisions of this clause; and

f. Not open a trench unless material is on hand and ready for placing in the trench. As soon as practicable after material has been placed and work approved, the Contractor shall backfill and compact trenches as required by the contract. Meanwhile, all hazardous conditions shall be marked and lighted in accordance with the other provisions of this clause.

1.7.4 Landing Areas

The Contractor shall:

a. Place nothing upon the landing areas without the authorization of the Contracting Officer;

b. Outline those landing areas hazardous to aircraft, using (unless otherwise authorized by the Contracting Officer) red flags by day, and electric, battery-operated low-intensity red flasher lights by night;

c. Obtain, at an airfield where flying is controlled, additional permission from the control tower operator every time before entering any land area, unless the landing area is marked as hazardous in accordance with paragraph 1.6.4 (2) of this clause;

d. Identify all vehicles it operates in landing areas by means of a flag on a staff attached to, and flying above, the vehicle. The flag shall be three feet square, and consist of a checkered pattern of international orange and white squares of 1 foot on each side (except that the flag may vary up to ten percent from each of these dimensions);

e. Mark all other equipment and materials in the landing areas, using the same marking devices as in paragraph 1.6.4 (2) of this clause; and

f. Perform work so as to leave that portion of the landing area which is available to aircraft free from hazards, holes, piles of material, and projecting shoulders that might damage an airplane tire.

1.7.5 Safety Precaution Areas

The Contractor shall:

a. Place nothing upon the safety precaution areas without authorization of the Contracting Officer.

b. Mark all equipment and materials in safety precaution areas, using (unless otherwise authorized by the Contracting Officer) red flags by day, and electric, battery-operated, low-intensity red flasher lights by night.

c. Provide all objects placed in safety precaution areas with a red light or red lantern at night, if the objects project above the approach-departure clearance surface or above the transitional surface. (DFAR 252.236-7005)

1.8 EXCAVATION AND TRENCHING

The standards for excavation and trenching are outlined in 29 CFR 1926, Subpart P. These standards shall be followed in addition to those outlined in EM 385-1-1.

PART 2 PRODUCTS (NOT APPLICABLE)

PART 3 EXECUTION (NOT APPLICABLE)

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SECTION 01451A

CONTRACTOR QUALITY CONTROL
1/03; Omaha Revision 2/03

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 3740	(2001) Minimum Requirements for Agencies Engaged in the Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
ASTM E 329	(2000b) Agencies Engaged in the Testing and/or Inspection of Materials Used in Construction

1.2 PAYMENT

Separate payment will not be made for providing and maintaining an effective Quality Control program, and all costs associated therewith shall be included in the applicable unit prices or lump-sum prices contained in the Bidding Schedule.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL REQUIREMENTS

The Contractor is responsible for quality control and shall establish and maintain an effective quality control system in compliance with the Contract Clause titled "Inspection of Construction." The quality control system shall consist of plans, procedures, and organization necessary to produce an end product which complies with the contract requirements. The system shall cover all operations, both onsite and offsite, and shall be keyed to the proposed construction sequence. The site project superintendent will be held responsible for the quality of work on the job and is subject to removal by the Contracting Officer for non-compliance with the quality requirements specified in the contract. The site project superintendent in this context shall be the highest level manager responsible for the overall construction activities at the site, including quality and production. The site project superintendent shall maintain a physical presence at the site at all times, except as otherwise acceptable to the Contracting Officer, and shall be responsible for all construction and construction related activities at the site.

3.2 QUALITY CONTROL PLAN

The Contractor shall furnish for review by the Government, not later than 10 days after receipt of notice to proceed, the Contractor Quality Control (CQC) Plan proposed to implement the requirements of the Contract Clause titled "Inspection of Construction." The plan shall identify personnel, procedures, control, instructions, tests, records, and forms to be used. The Government will consider an interim plan for the first 30 days of operation. will be permitted to begin only after acceptance of the CQC Plan or acceptance of an interim plan applicable to the particular feature of work to be started. Work outside of the features of work included in an accepted interim plan will not be permitted to begin until acceptance of a CQC Plan or another interim plan containing the additional features of work to be started.

3.2.1 Content of the CQC Plan

The CQC Plan shall include, as a minimum, the following to cover all operations, both onsite and offsite, including work by :

- a. A description of the quality control organization, including a chart showing lines of authority and acknowledgment that the CQC staff shall implement the three phase control system for all aspects of the work specified. The staff shall include a CQC System Manager who shall report to the project superintendent.
- b. The name, qualifications (in resume format), duties, responsibilities, and authorities of each person assigned a CQC function.
- c. A copy of the letter to the CQC System Manager signed by an authorized official of the firm which describes the responsibilities and delegates sufficient authorities to adequately perform the functions of the CQC System Manager, including authority to stop work which is not in compliance with the contract. The CQC System Manager shall issue letters of direction to all other various quality control representatives outlining duties, authorities, and responsibilities. Copies of these letters shall also be furnished to the Government.
- d. Procedures for scheduling, reviewing, certifying, and managing submittals, including those of . These procedures shall be in accordance with Section 01330 SUBMITTAL PROCEDURES.
- e. Control, verification, and acceptance testing procedures for each specific test to include the test name, specification paragraph requiring test, feature of work to be tested, test frequency, and person responsible for each test. (Laboratory facilities approved by the Contracting Officer shall be used.)
- f. Procedures for tracking preparatory, initial, and follow-up control phases and control, verification, and acceptance tests including documentation.
- g. Procedures for tracking deficiencies from identification through acceptable corrective action. These procedures shall establish verification that identified deficiencies have been corrected.
- h. Reporting procedures, including proposed reporting formats.

- i. A list of the definable features of work. A definable feature of work is a task which is separate and distinct from other tasks, has separate control requirements, and may be identified by different trades or disciplines, or it may be work by the same trade in a different environment. Although each section of the specifications may generally be considered as a definable feature of work, there are frequently more than one definable features under a particular section. This list will be agreed upon during the coordination meeting.

3.2.2 Acceptance of Plan

Acceptance of the Contractor's plan is required prior to the start of . Acceptance is conditional and will be predicated on satisfactory performance during the . The Government reserves the right to require the Contractor to make changes in his CQC Plan and operations including removal of personnel, as necessary, to obtain the quality specified.

3.2.3 Notification of Changes

After acceptance of the CQC Plan, the Contractor shall notify the Contracting Officer in writing of any proposed change. Proposed changes are subject to acceptance by the Contracting Officer.

3.3 COORDINATION MEETING

After the and prior to acceptance by the Government of the CQC Plan, the Contractor shall meet with the Contracting Officer or Authorized Representative and discuss the Contractor's quality control system. The CQC Plan shall be submitted for review a minimum of 10 calendar days prior to the Coordination Meeting. During the meeting, a mutual understanding of the system details shall be developed, including the forms for recording the CQC , control activities, testing, administration of the system for both onsite and offsite work, and the interrelationship of Contractor's Management and control with the Government's Quality Assurance. Minutes of the meeting shall be prepared by the Government and signed by both the Contractor and the Contracting Officer. The minutes shall become a part of the contract file. There may be occasions when subsequent conferences will be called by either party to reconfirm mutual understandings and/or address deficiencies in the CQC system or procedures which may require corrective action by the Contractor.

3.4 QUALITY CONTROL ORGANIZATION

3.4.1 Personnel Requirements

The requirements for the CQC organization are a and sufficient number of additional qualified personnel to ensure safety and contract compliance. The Safety and Health Manager shall receive direction and authority from the CQC System Manager and shall serve as a member of the CQC staff. Personnel identified in the technical provisions as requiring specialized skills to assure the required work is being performed properly will also be included as part of the CQC organization. The Contractor's CQC staff shall maintain a presence at the site at all times during progress of the work and have complete authority and responsibility to take any action necessary to ensure contract compliance. The CQC staff shall be subject to

acceptance by the Contracting Officer. The Contractor shall provide adequate office space, filing systems and other resources as necessary to maintain an effective and fully functional CQC organization. Complete records of all letters, material submittals, shop drawing submittals, schedules and all other project documentation shall be promptly furnished to the CQC organization by the Contractor. The CQC organization shall be responsible to maintain these documents and records at the site at all times, except as otherwise acceptable to the Contracting Officer.

3.4.2 CQC System Manager

The Contractor shall identify as CQC System Manager an individual within the onsite work organization who shall be responsible for overall management of CQC and have the authority to act in all CQC matters for the Contractor. The CQC System Manager shall be a construction person with a minimum of 5 years in related work. This CQC System Manager shall be on the site at all times during construction and shall be employed by the prime Contractor. The CQC System Manager shall be assigned no other duties. An alternate for the CQC System Manager shall be identified in the plan to serve in the event of the System Manager's absence. The requirements for the alternate shall be the same as for the designated CQC System Manager.

3.4.3 CQC Personnel

A staff shall be maintained under the direction of the CQC system manager to perform all QC activities. The staff must be of sufficient size to ensure adequate QC coverage of all work phases, work shifts, and work crews involved in the construction. These personnel may perform other duties, but must be fully qualified by experience and technical training to perform their assigned QC responsibilities and must be allowed sufficient time to carry out these responsibilities. The QC plan will clearly state the duties and responsibilities of each staff member. Other technical specifications may specify individuals for maintaining quality control for specific areas of work. These individuals shall be under the direction of the CQC System Manager.

3.4.4 Additional Requirement

In addition to the above experience and/or education requirements the CQC System Manager shall have completed the course entitled "Construction Quality Management For Contractors". This course is periodically offered at each of the four area offices in the Omaha District according to the following revolving training schedule:.

<u>Badger Area</u>	First Session	Between 15 & 25 April
	Second Session	Between 15 & 25 October
Point of Contact	Roy Brewer	(319) 753-1386
<u>Black Hills Area</u>	First Session	Between 1 & 10 March
	Second Session	Between 1 & 10 September
Point of Contact	Dwight Pochant	(605) 923-2983
<u>Fort Crook Area</u>	First Session	Between 15 & 25 January
	Second Session	Between 15 & 25 July
Point of Contact	Al Kreisler	(402) 293-2540

<u>Rocky Mountain</u>	First Session	Between 1 & 10 June
	Second Session	Between 1 & 10 December
Point of Contact	Paul Jendzejec	(719) 556-4184

The exact date and location for the sessions will be determined approximately 30 days in advance of the training. The cost of training is presently established at \$50 to be paid by each student in advance of the training. For information about a particular session, the best source is the point of contact listed above.

3.4.5 Organizational Changes

The Contractor shall maintain the CQC staff at full strength at all times. When it is necessary to make changes to the CQC staff, the Contractor shall revise the CQC Plan to reflect the changes and submit the changes to the Contracting Officer for acceptance.

3.5 SUBMITTALS AND DELIVERABLES

Submittals, if needed, shall be made as specified in Section 01330 SUBMITTAL PROCEDURES. The CQC organization shall be responsible for certifying that all submittals and deliverables are in compliance with the contract requirements. When Section 15950A HEATING, VENTILATING AND AIR CONDITIONING (HVAC) CONTROL SYSTEMS; 15951A DIRECT DIGITAL CONTROL FOR HVAC; 15990A TESTING, ADJUSTING, AND BALANCING OF HVAC SYSTEMS; or 15995A COMMISSIONING OF HVAC SYSTEMS are included in the contract, the submittals required by those sections shall be coordinated with Section 01330 SUBMITTAL PROCEDURES to ensure adequate time is allowed for each type of submittal required.

3.6 CONTROL

Contractor Quality Control is the means by which the Contractor ensures that the construction, to include that of subcontractors and suppliers, complies with the requirements of the contract. At least three phases of control shall be conducted by the CQC System Manager for each definable feature of the construction work as follows:

3.6.1 Preparatory Phase

This phase shall be performed prior to beginning work on each definable feature of work, after all required plans/documents/materials are approved/accepted, and after copies are at the work site. This phase shall include:

- a. A review of each paragraph of applicable specifications, reference codes, and standards. Prior to the preparatory meeting for each definable feature of work, the Contractor shall provide all technical references (i.e. building codes, life safety codes, etc.) referenced in the project specifications for feature(s) of work being addressed at the preparatory meeting. These technical references shall be onsite and available for use by Contractor and Government personnel before the preparatory meeting is held and maintained until the feature(s) of work is/are accepted by the Government.
- b. A review of the contract drawings.
- c. A check to assure that all materials and/or equipment have been

tested, submitted, and approved.

- d. Review of provisions that have been made to provide required control inspection and testing.
- e. Examination of the work area to assure that all required preliminary work has been completed and is in compliance with the contract.
- f. A physical examination of required materials, equipment, and sample work to assure that they are on hand, conform to approved shop drawings or submitted data, and are properly stored.
- g. A review of the appropriate activity hazard analysis to assure safety requirements are met.
- h. Discussion of procedures for controlling quality of the work including repetitive deficiencies. Document construction tolerances and workmanship standards for that feature of work.
- i. A check to ensure that the portion of the plan for the work to be performed has been accepted by the Contracting Officer.
- j. Discussion of the initial control phase.
- k. The Government shall be notified at least 48 hours in advance of beginning the preparatory control phase. This phase shall include a meeting conducted by the CQC System Manager and attended by the superintendent, other CQC personnel (as applicable), and the foreman responsible for the definable feature. The results of the preparatory phase actions shall be documented by separate minutes prepared by the CQC System Manager and attached to the daily CQC report. The Contractor shall instruct applicable workers as to the acceptable level of workmanship required in order to meet contract specifications.

3.6.2 Initial Phase

This phase shall be accomplished at the beginning of a definable feature of work. The following shall be accomplished:

- a. A check of work to ensure that it is in full compliance with contract requirements. Review minutes of the preparatory meeting.
- b. Verify adequacy of controls to ensure full contract compliance. Verify required control inspection and testing.
- c. Establish level of workmanship and verify that it meets minimum acceptable workmanship standards. Compare with required sample panels as appropriate.
- d. Resolve all differences.
- e. Check safety to include compliance with and upgrading of the safety plan and activity hazard analysis. Review the activity analysis with each worker.
- f. The Government shall be notified at least 48 hours in advance of beginning the initial phase. Separate minutes of this phase shall

be prepared by the CQC System Manager and attached to the daily CQC report. Exact location of initial phase shall be indicated for future reference and comparison with follow-up phases.

- g. The initial phase should be repeated for each new crew to work onsite, or any time acceptable specified quality standards are not being met.

3.6.3 Follow-up Phase

Daily checks shall be performed to assure control activities, including control testing, are providing continued compliance with contract requirements, until completion of the particular feature of work. The checks shall be made a matter of record in the CQC documentation. Final follow-up checks shall be conducted and all deficiencies corrected prior to the start of additional features of work which may be affected by the deficient work. The Contractor shall not build upon nor conceal non-conforming work.

3.6.4 Additional Preparatory and Initial Phases

Additional preparatory and initial phases shall be conducted on the same definable features of work if: the quality of on-going work is unacceptable; if there are changes in the applicable CQC staff, onsite production supervision or work crew; if work on a definable feature is resumed after a substantial period of inactivity; or if other problems develop.

3.7 TESTS

3.7.1 Testing Procedure

The Contractor shall perform specified or required tests to verify that control measures are adequate to provide a product which conforms to contract requirements. Upon request, the Contractor shall furnish to the Government duplicate samples of test specimens for possible testing by the Government. Testing includes operation and/or acceptance tests when specified. The Contractor shall procure the services of a Corps of Engineers approved testing laboratory or establish an approved testing laboratory at the project site. The Contractor shall perform the following activities and record and provide the following data:

- a. Verify that testing procedures comply with contract requirements.
- b. Verify that facilities and testing equipment are available and comply with testing standards.
- c. Check test instrument calibration data against certified standards.
- d. Verify that recording forms and test identification control number system, including all of the test documentation requirements, have been prepared.
- e. Results of all tests taken, both passing and failing tests, shall be recorded on the CQC report for the date taken. Specification paragraph reference, location where tests were taken, and the sequential control number identifying the test shall be given. If approved by the Contracting Officer, actual test reports may be submitted later with a reference to the test number and date

taken. An information copy of tests performed by an offsite or commercial test facility shall be provided directly to the Contracting Officer. Failure to submit timely test reports as stated may result in nonpayment for related work performed and disapproval of the test facility for this contract.

3.7.2 Testing Laboratories

3.7.2.1 Capability Check

The Government reserves the right to check laboratory equipment in the proposed laboratory for compliance with the standards set forth in the contract specifications and to check the laboratory technician's testing procedures and techniques. Laboratories utilized for testing soils, concrete, asphalt, and steel shall meet criteria detailed in ASTM D 3740 and ASTM E 329.

3.7.2.2 Capability Recheck

If the selected laboratory fails the capability check, the Contractor will be assessed the actual cost for the recheck to reimburse the Government for each succeeding recheck of the laboratory or the checking of a subsequently selected laboratory. Such costs will be deducted from the contract amount due the Contractor.

3.7.3 Onsite Laboratory

The Government reserves the right to utilize the Contractor's control testing laboratory and equipment to make assurance tests, and to check the Contractor's testing procedures, techniques, and test results at no additional cost to the Government.

3.7.4 Furnishing or Transportation of Samples for Testing

Costs incidental to the transportation of samples or materials shall be borne by the Contractor. Samples of materials for test verification and acceptance testing by the Government shall be delivered to the Area Office or Project Office (as directed). Corps of Engineers Division Laboratory, f.o.b., at the following address:

For delivery by mail: Commander and Director
U.S. Army Engineer Waterways Experiment Station
Attn: CEWES-GS
3909 Hallsferry Road
Vicksburg, Mississippi 39180-6199

For other deliveries: Commander and Director
U.S. Army Engineer Waterways Experiment Station
Attn: CEWES-GS
3909 Hallsferry Road
Vicksburg, Mississippi 39180-6199

Coordination for each specific test, exact delivery location, and dates will be made through the Area Office or Project Office.

3.8 COMPLETION INSPECTION

3.8.1 Punch-Out Inspection

Near the end of the work, or any increment of the work established by a time stated in the SPECIAL CONTRACT REQUIREMENTS Clause, "Commencement, Prosecution, and Completion of Work", or by the specifications, the CQC Manager shall conduct an inspection of the work. A punch list of items which do not conform to the approved drawings and specifications shall be prepared and included in the CQC documentation, as required by paragraph DOCUMENTATION. The list of deficiencies shall include the estimated date by which the deficiencies will be corrected. The CQC System Manager or staff shall make a second inspection to ascertain that all deficiencies have been corrected. Once this is accomplished, the Contractor shall notify the Government that the facility is ready for the Government Pre-Final inspection.

3.8.2 Pre-Final Inspection

The Government will perform the pre-final inspection to verify that the facility is complete and ready to be occupied. A Government Pre-Final Punch List may be developed as a result of this inspection. The Contractor's CQC System Manager shall ensure that all items on this list have been corrected before notifying the Government, so that a Final inspection with the customer can be scheduled. Any items noted on the Pre-Final inspection shall be corrected in a timely manner. These inspections and any deficiency corrections required by this paragraph shall be accomplished within the time slated for completion of the entire work or any particular increment of the work if the project is divided into increments by separate completion dates.

3.8.3 Final Acceptance Inspection

The Contractor's Quality Control Inspection personnel, plus the superintendent or other primary management person, and the Contracting Officer's Representative shall be in attendance at the final acceptance inspection. Additional Government personnel including, but not limited to, those from Base/Post Civil Facility Engineer user groups, and major commands may also be in attendance. The final acceptance inspection will be formally scheduled by the Contracting Officer based upon results of the Pre-Final inspection. Notice shall be given to the Contracting Officer at least 14 days prior to the final acceptance inspection and shall include the Contractor's assurance that all specific items previously identified to the Contractor as being unacceptable, along with all remaining work performed under the contract, will be complete and acceptable by the date scheduled for the final acceptance inspection. Failure of the Contractor to have all contract work acceptably complete for this inspection will be cause for the Contracting Officer to bill the Contractor for the Government's additional inspection cost in accordance with the contract clause titled "Inspection of Construction".

3.9 DOCUMENTATION

The Contractor shall maintain current records providing factual evidence that required quality control activities and/or tests have been performed. These records shall include the work of subcontractors and suppliers and shall be on an acceptable form that includes, as a minimum, the following information:

- a. Contractor/subcontractor and their area of responsibility.
- b. Operating plant/equipment with hours worked, idle, or down for

repair.

- c. Work performed each day, giving location, description, and by whom. When Network Analysis (NAS) is used, identify each phase of work performed each day by NAS activity number.
- d. Test and/or control activities performed with results and references to specifications/drawings requirements. The control phase shall be identified (Preparatory, Initial, Follow-up). List of deficiencies noted, along with corrective action.
- e. Quantity of materials received at the site with statement as to acceptability, storage, and reference to specifications/drawings requirements.
- f. Submittals and deliverables reviewed, with contract reference, by whom, and action taken.
- g. Offsite surveillance activities, including actions taken.
- h. Job safety evaluations stating what was checked, results, and instructions or corrective actions.
- i. Instructions given/received and conflicts in plans and/or specifications.
- j. Contractor's verification statement.

These records shall indicate a description of trades working on the project; the number of personnel working; weather conditions encountered; and any delays encountered. These records shall cover both conforming and deficient features and shall include a statement that equipment and materials incorporated in the work and workmanship comply with the contract. The original and one copy of these records in report form shall be furnished to the Contracting Officer's Representative on the first day following the date(s) covered by the report, except that reports need not be submitted for days on which no work is performed. As a minimum, one report shall be prepared and submitted for every 7 days of no work and on the last day of a no work period. All calendar days shall be accounted for throughout the life of the contract. The first report following a day of no work shall be for that day only. Reports shall be signed and dated by the CQC System Manager. The report from the CQC System Manager shall include copies of test reports and copies of reports prepared by all subordinate quality control personnel.

3.10 SAMPLE FORMS

Sample forms enclosed at the end of this section.

3.11 NOTIFICATION OF NONCOMPLIANCE

The Contracting Officer will notify the Contractor of any detected noncompliance with the foregoing requirements. The Contractor shall take immediate corrective action after receipt of such notice. Such notice, when delivered to the Contractor at the work site, shall be deemed sufficient for the purpose of notification. If the Contractor fails or refuses to comply promptly, the Contracting Officer may issue an order stopping all or part of the work until satisfactory corrective action has

been taken. No part of the time lost due to such stop orders shall be made the subject of claim for extension of time or for excess costs or damages by the Contractor.

3. Work Performed Today: (Indicate location and description of work performed by prime and/or subcontractors. When network analysis is used, identify work by NAS activity number).

4. Control Activities Performed:

Preparatory Inspections: (Identify feature of work and attach minutes).

Initial Inspections: (Identify feature of work and attach minutes).

Follow-Up Inspections: (List inspections performed, results of inspection compared to specification requirements, and corrective actions taken when deficiencies are noted).

5. Tests Performed and Test Results: (Identify test requirement by paragraph number in specifications and/or sheet number in plans).

6. Material Received: (Note inspection results and storage provided).

7. Submittals Reviewed:

(a) Submittal No.	(b) Spec/Plan Reference	(c) By Whom	(d) Action
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

8. Offsite Surveillance Activities, Including Action Taken:

9. Job Safety: (List items checked, results, instructions and corrective actions taken).

10. Remarks: (Instructions received or given. Conflict(s) in Plans and/or specifications. Delays encountered.).

Contractor's Verification: On behalf of the Contractor, I certify this report is complete and correct, and all materials and equipment used and work performed during this reporting period are in compliance with the contract plans and specifications, to the best of my knowledge, except as may be noted above.

CQC System Manager

Date

-- End of Section --

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03/03

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SECTION 01566

(NORTH DAKOTA) NPDES PERMIT REQUIREMENTS
FOR STORM WATER
DISCHARGES FROM CONSTRUCTION SITES
03/03

Attachments: Copy of the "Authorization To Discharge Under the North
Dakota Pollutant Discharge Elimination System"
Permit No. NDR03-0000
Notice of Intent (NOI)
Notice of Termination (NOT)
Construction Storm Water Pollution Prevention Plan
Guidance Forms
Site Inspection Record
Annual Location Record

PART 1 GENERAL

1.1 REFERENCES (Not Applicable)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-01 Preconstruction Submittals

Storm Water Pollution Prevention Plan; G-AO.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 GENERAL

The Contractor shall be responsible for implementing the terms and requirements of the attached "Authorization To Discharge Under The North Dakota Pollutant Discharge Elimination System" NDPDES General Permit for storm water discharges from construction sites and the Storm Water Pollution Prevention Plan. The Contractor shall be considered the "permittee". All submissions to the State shall be by certified mail. Copies of the return receipt for each submission shall be included with the submittal to the Contracting Officer's Representative (COR).

3.2 IMPLEMENTATION

3.2.1 Notice of Intent

The Contractor shall complete and submit a Notice of Intent (NOI) in accordance with the NDPDES general permit. A copy of the submitted Notice

of Intent shall be furnished to the COR at least 2 days prior to the commencement of construction activities.

3.2.2 Storm Water Pollution Prevention Plan

The Contractor shall prepare, submit and implement a Storm Water Pollution Prevention Plan (SWPPP) in accordance with the NDPDES general permit. Any temporary or permanent erosion and sedimentation control measures shown on the drawings shall be incorporated into the Contractor's SWPPP. A copy of the SWPPP shall be submitted for approval at least 10 calendar days prior to submission of the SWPPP to the State. A copy of the approved SWPPP shall be furnished to the Base Environmental Office. The Contractor shall modify the SWPPP whenever there is a change in design, construction, operation, or maintenance, which has a significant effect on the potential for the discharge of pollutants to the water of the state, or if the SWPPP proves to be ineffective in achieving the general objectives of controlling pollutants in stormwater discharges associated with construction activity.

3.2.3 Inspections and Reporting

The Contractor shall be responsible for all inspections and reporting required under the NDPDES general permit. Copies of each Site Inspection Report Form shall be furnished to the COR and the Base Environmental Office.

3.2.4 Retention of Records

The Contractor shall be retain copies of the SWPPP and all reports in accordance with NDPDES general permit.

3.2.5 Notice of Termination

If required by the NDPDES general permit, the Contractor shall complete and submit a Notice of Termination. A copy of the submitted Notice of Termination shall be furnished to the COR and the Base Environmental Office.

3.2.6 Annual Location Record

If applicable, the Contractor shall submit a copy of the Annual Location Record in accordance with the NPDES general permit.

3.2.7 Renotification

If the current NDPDES general permit expires prior to completion of construction, the Contractor shall request to retain coverage under a renewal of the permit in writing to the State at least 15 days prior to the expiration date of the permit. Upon request by the State, a new NOI shall be submitted. A copy of all submissions to the State shall be furnished to the COR.

-- End of Section --

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Permit No.: NDR03-0000

Effective Date: October 1, 1999

Mod. Effective Date: March 10, 2003

Expiration Date: September 30, 2004

AUTHORIZATION TO DISCHARGE UNDER THE
NORTH DAKOTA POLLUTANT DISCHARGE ELIMINATION SYSTEM

In compliance with Chapter 33-16-01 of the North Dakota Department of Health rules as promulgated under Chapter 61-28 (North Dakota Water Pollution Control Act) of the North Dakota Century Code,

facilities both qualifying for and satisfying the requirements identified in Part I of this permit are authorized to discharge storm water associated with **CONSTRUCTION ACTIVITY** to waters of the state

in accordance with effluent limitations, monitoring requirements, and other conditions set forth in Parts I-VI, hereof.

This permit and the authorization to discharge shall expire at midnight,

September 30, 2004.

Dennis R. Fewless, Director
Division of Water Quality

Date

PART I - PERMIT COVERAGE

A. Permit Area

This permit applies to all areas within the jurisdiction of the state of North Dakota

B. Eligibility and Limitations

1. Storm Water Discharges Covered by this Permit

This permit applies to all new and existing discharges of storm water from construction activity involving land disturbance of equal to or greater than one acre. This includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale, if the larger common plan will ultimately disturb equal to or greater than one acre. Construction activity involves any of the following:

- a. Construction activity including: clearing, grading, and excavation activities.
- b. Examples of eligible construction projects include but are not limited to: road building/rebuilding, site development, housing subdivision development, utility trenching, etc.
- c. Areas that are dedicated to producing earthen materials (such as soils, sand and gravel) for use at a construction site(s) as approved by the Department.

2. Storm Water Discharges *Not* Covered by this Permit

The following are not provided coverage under this permit:

- a. Storm water discharges associated with industrial activity from any source other than construction activities of those approved operations as in Part I.B.1.b.
- b. Storm water discharges associated with mining activities.
- c. Storm water discharges associated with industrial activity from discharge points subject to existing effluent limitations guidelines.
- d. Storm water discharges that the Department has shown to be contributing to a violation of a water quality standard.

C. Small Construction Activity Coverage

Small construction activity involves land disturbance of equal to or greater than one acre and less than five acres. Small construction activity also includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale, if the larger common plan will ultimately disturb equal to or greater than one and less than five acres.

1. Notice of Intent (NOI)

An operator must submit one single NOI form to the Department to obtain coverage for storm water discharges from all of their small construction sites. An operator is the individual who has day to day supervision and control of activities occurring at the construction sites. This can be the owner, developer, the general contractor or, in some circumstances, the agent of one of these parties.

2. Contents of the NOI

The NOI for small construction activity shall contain, at a minimum, the following information:

- a. Name and mailing address of the owner or operator.
- b. Contact name and phone number.
- c. A brief description of the construction activity type.
- d. The signature of the applicant(s), signed in accordance with Part IV.E of this permit.

3. Deadlines

The NOI for small construction activity shall be submitted to the Department prior to the start of construction.

4. Submittal

Completed NOIs shall be submitted, by mail or hand delivery, to:

North Dakota Department of Health
Division of Water Quality
1200 Missouri Avenue
PO Box 5520
Bismarck, ND 58506-5520

Operators of small construction activity shall submit an Annual Location Record (see Part III.D) to the Department by January 31.

D. **Large Construction Activity Coverage**

Large construction activity involves land disturbance of equal to or greater than 5 acres. Large construction activity also includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale, if the larger common plan will ultimately disturb equal to or greater than five acres.

1. Notice of Intent (NOI)

The operator of large construction activity shall submit an NOI and Storm Water Pollution Prevention (SWPP) Plan (see Part II.C.3) to obtain coverage for storm water discharges for each construction project. An operator is the individual who has day to day supervision and control of activities occurring at the construction site. This can be the owner, developer, the general contractor or, in some circumstances, the agent of one of these parties.

2. Contents of the NOI

The NOI for large construction activity shall contain, at a minimum, the following information:

- a. Name and mailing address of the owner or operator.
- b. Contact name and phone number.

- c. The type of owner or operator of the construction site (federal, state, private, or other entity).
- d. Name of construction project
- e. A brief description of the construction activity.
- f. List of contractors/subcontractors working at the site (if known).
- g. Construction project's SIC code.
- h. The anticipated starting date and the anticipated date of completion for the project.
- i. The estimated area of total disturbance in acres.
- j. County and location of the construction site, including latitude and longitude or township, range, section, and 1/4 section.
- k. Name of receiving water(s) or the name of the receiving municipal storm sewer system and receiving water(s).
- l. The signature of the applicant(s), signed in accordance with Part IV.E of this permit.

3. Deadlines

The NOI and SWPP Plan for large construction activity shall be submitted to the Department 30 days prior to the start of construction.

4. Submittal

Completed **NOIs** and **SWPP Plans** (see Part II.C) shall be submitted, by mail or hand delivery, to:

North Dakota Department of Health
Division of Water Quality
1200 Missouri Avenue
PO Box 5520
Bismarck, ND 58506-5520

E. Permit Certification

1. Automatic Coverage

If the applicant does not receive a request for additional information or a notification of denial from the Department within 10 days of receipt of the application by the Department, authorization to discharge in accordance with the conditions of this permit shall be deemed granted.

2. Request for Additional Information

The Department shall have the right to request additional data and/or deny the authorization for any particular discharge.

3. Individual or Alternative General Permits

- a. The Department may, at any time and by written notification only, require any person authorized by this permit to apply for and obtain either an individual NDPDES permit or to seek coverage under an alternative NDPDES general permit.

- b. Any person covered by this general permit may request to be excluded from such coverage by either applying for an individual NDPDES permit or filing a Notice of Intent to be covered under an alternative NDPDES general permit.
- c. When an individual NDPDES permit is issued to a person otherwise subject to this permit or the person is approved for coverage under an alternative NDPDES general permit, the applicability of this permit to the individual permittee is automatically terminated upon the effective date of the individual permit or the date of approval for coverage under the alternative general permit.
- d. When an individual NDPDES permit is denied to a person otherwise subject to this permit, or the person is denied for coverage under an alternative NDPDES general permit, the applicability of this permit remains in effect, unless otherwise specified by the Department.

4. Local Authority

This permit does not preempt or supersede the authority of local agencies to prohibit, restrict, or control discharges of storm water to storm sewer systems or other water courses within their jurisdiction.

F. **Continuation of Coverage**

Facilities covered under this permit can continue coverage under the renewed permit, provided a satisfactory request is made. Any request to retain coverage under a renewal of this permit shall be made in writing to the Department at least 15 days prior to the expiration date of this permit. If requested by the Department, a new NOI shall be submitted.

G. **Transfer of Ownership or Control**

- 1. Coverage under this permit may be transferred to a new permittee if the existing and new permittees notify the Department in writing, at least 48 hours before the transfer of ownership or control; and the notice includes a written agreement between the existing and new permittees containing a specific date of transfer or permit responsibility, coverage and liability between them. If requested by the Department, an NOT shall be submitted by the existing permittee and an NOI submitted by the new permittee.
- 2. The new owner or operator must comply with all regulations in this permit and with all provisions of the existing SWPP Plan until such time as the existing SWPP Plan is amended or replaced by a new SWPP Plan. If the personnel responsible for implementing the SWPP Plan change, these changes must be amended to the SWPP Plan within 30 days of transfer of ownership or control.

H. **Notice of Termination (NOT)**

1. Final Stabilization

Final stabilization is reached when all construction activities that are authorized by this permit have been completed, and uniform vegetative cover has been established with a

density of at least 70 percent of pre-disturbance levels, or equivalent permanent, physical erosion reduction methods have been employed.

2. Notice of Termination (NOT) for Small Construction Activity

Operators of small construction activity (see Part I.C) are not required to submit NOTs for their individual small construction sites, however, final stabilization is required on all sites. If an operator ceases all of its small construction activity and has submitted Annual Location Records (see Part III.D) that certify final stabilization has been completed on its small construction sites, an NOT must be submitted to end permit coverage for small construction activity.

3. Content of NOT for Small Construction Activity

- a. Permit number.
- b. Name and mailing address of the owner or operator.
- c. Contact name and phone number.
- d. Certification that all small construction activity has been finally stabilized.
- e. Signature of the applicant(s), signed in accordance with Part IV.E of this permit.

4. Notice of Termination (NOT) for Large Construction Activity

When a site has been finally stabilized, an NOT shall be submitted to the Department.

5. Content of NOT for Large Construction Activity

The NOT shall contain, at a minimum, the following information:

- a. Permit number.
- b. Name of construction site.
- c. Name and mailing address of the owner or operator.
- d. Contact name and phone number.
- e. County and location of the construction site, including latitude and longitude or township, range, section, and 1/4 section.
- f. Certification that the site has been finally stabilized.
- g. Signature of the applicant(s), signed in accordance with Part IV.E of this permit.

6. Submittal

Completed **NOTs** shall be submitted, by mail or hand delivery, to:

North Dakota Department of Health
Division of Water Quality
1200 Missouri Avenue
PO Box 5520
Bismarck, ND 58506-5520

PART II - SPECIAL CONDITIONS

A. Prohibition of Non-Storm Water Discharges

All discharges covered by this permit shall be composed entirely of storm water. The discharge of materials other than storm water must be identified as a potential source of pollution in the SWPP Plan (Part II.C.3). Unless otherwise determined by the Department, discharges of other than storm water must be in compliance with an appropriate NDPDES permit issued for the discharge.

B. Releases in Excess of Reportable Quantities

This permit does not relieve the permittee of the reporting requirements of 40 CFR 110, 40 CFR 117, and 40 CFR 302. Any release of a hazardous substance, including a release in a storm water discharge, must be reported to the agencies identified in Part IV.F. The discharge of hazardous substances in storm water discharges shall be minimized in accordance with the applicable SWPP Plan for the facility. Should a reportable quantity release occur, the SWPP Plan shall be revised to prevent the recurrence of such a release.

C. Storm Water Pollution Prevention Plans

All facilities covered by this permit shall prepare and implement a Storm Water Pollution Prevention (SWPP) Plan that is subject to Department approval. Guidance forms for preparing a SWPP Plan are located on the website

<http://www.health.state.nd.us/wq/Storm/Construction/ConstructionHome.htm> or can be obtained from the Department. The main objective of the plan shall be to identify potential sources of pollution which may reasonably be expected to affect the quality of storm water discharges associated with construction activity; and to describe Best Management Practices (BMPs) which will be used to reduce the pollutants in the storm water discharges associated with construction activity. The implementation of these objectives will be used to meet the terms and conditions of this permit.

1. Plan Preparation and Implementation Deadlines

- a. SWPP Plans for large construction activity (see Part I.D) shall be submitted with the NOI. It is not necessary for operators of small construction activity (see Part I.C) to submit their SWPP Plans to the Department, unless requested in writing by the Department.

Implementation of the plan shall be at the start of construction. The SWPP Plan may be submitted and/or implemented at later dates only upon written request by the permittee showing just cause and subsequent written approval by the Department.

- b. SWPP Plans approved and implemented under the previous version of this permit shall remain in effect under this permit. Operations covered under an existing SWPP Plan shall amend the SWPP Plan as described in Part II.C.2.d of this permit.

- c. If the permittee must also operate under an approved state or local sediment and erosion control plan, or any other storm water management plan, it will be the permittee's responsibility to ensure that the SWPP Plan for this permit complies with all other required plans. A SWPP Plan is not a substitute for a storm water management plan developed under other regulatory programs. It is acceptable for the plan to reflect the storm water management measures developed under other regulatory programs and to incorporate the applicable portions of such programs by reference.
- d. The permittee shall amend the SWPP Plan whenever there is a change in design, construction, operation, or maintenance, which has a significant effect on the potential for the discharge of pollutants to the water of the state, or if the SWPP Plan proves to be ineffective in achieving the general objectives of controlling pollutants in storm water discharges associated with construction activity.

2. SWPP Plan Contents

Key elements for SWPP Plans shall include, at a minimum, the following information:

a. *Site Description*

A site description shall contain, at a minimum, the following information:

- i) A description of the nature of the construction activity.
- ii) The proposed timetable for major activities.
- iii) Estimates of the total area of the site, and the area of the site that is expected to undergo clearing, excavation, or grading.
- iv) A description of the fill material to be used, the existing soils at the site, and the erodibility of such soils.
- v) The name of any receiving water(s) and the size, type and location of any outfall or; for discharges to a municipal separate storm sewer, the name of the municipal owner of the system, the location of the storm sewer discharge, and the name of the ultimate receiving waters.

b. *Site Map*

A site map that indicates, at a minimum, the following information:

- i) Construction site boundaries and area of soil disturbance
- ii) The location of springs, streams, wetlands, and other surface waters
- iii) The location of areas used for storage of building materials, soils, or wastes.
- iv) The locations of proposed and existing storm water controls
- v) Storm water runoff drainage patterns
- vi) Township, range, section or lines of latitude and longitude

c. *Significant Material Inventory*

The location and description of any potential pollution sources, such as vehicle fueling, fertilizers or chemicals, etc. Generally, significant materials are raw materials, finished products, and byproducts. The term also includes materials

necessary for an operation which have the potential to be released with storm water. The description should identify methods of storage, disposal, and outdoor processing involving significant materials.

d. Best Management Practices (BMPs)

The plan shall clearly describe the relationship between the phases of construction and the implementation and maintenance of BMPs. The description of BMPs shall include the following components:

i) Erosion and Sediment Controls

a) Nonstructural

A description of nonstructural practices designed to preserve existing vegetation where practicable and re-vegetate open areas as soon as practicable after construction activity ceases. In developing vegetative practices, the permittee shall consider: temporary and permanent seeding, mulching, sod stabilization, filter strips, grassed waterways, erosion blankets, geotextiles, preservation of mature vegetation and tree or shrub planting.

b) Structural

A description of structural practices which indicates how, to the degree practicable, the permittee will divert flows from exposed soil, store flows, or otherwise limit runoff from exposed areas of the site. In developing structural practices, the permittee shall consider the relevance of: straw bale dikes, silt fences, earth dikes, brush barriers, drainage swales, check dams, subsurface drains, pipe slope drains, rock outlet protection, drain inlet and outlet protection, temporary drain diversion, sediment traps, temporary sediment basins, infiltration trenches or basins, and retaining walls.

ii) Other Controls

a) A description of methods to reduce the tracking of sediment onto public or private roads.

b) If applicable, a description of methods for handling and disposing of contaminated soils.

c) A description of methods for recovering sediments.

d) A description of spill prevention and response procedures for areas where potential spills can occur.

e. *Post-Construction Controls*

A description of the post-construction control measures to be implemented until final stabilization is achieved.

f. *Inspection and Maintenance*

A description of procedures which will be used to inspect and maintain, in good and effective operating condition, the storm water controls identified in the SWPP Plan. Site inspections must be in accordance with Part III of this permit.

g. *Signature*

All SWPP Plans must be signed in accordance with Part IV.E of this permit.

D. **Additional Terms and Conditions**

Storm water discharges from construction sites shall not cause pollution, contamination, or degradation to waters of the state.

1. Visible or measurable erosion which leaves the construction site is prohibited. Visible or measurable erosion is defined as:
 - a. Deposits of mud, dirt, sediment, or similar material exceeding one-half cubic foot in volume in any area of 100 square feet or less on public or private streets, adjacent property, or into the storm and surface water either by deliberate actions or as a result of erosion; or
 - b. Evidence of concentrated flows of water over bare soils, turbid or sediment-laden flows, or evidence of on-site erosion on bare soil slopes, where runoff of water is not filtered or captured on the site using the techniques in the approved SWPP Plan; or
 - c. Earth slides, mud flows, earth sloughing, or other earth movement which leaves the property.
2. If any measurable quantity of sediment leaves the site because of structural failure or lack of design capacity of the BMPs, the sediment shall be placed back on the site or properly disposed of, as soon as is prudent. Under no conditions shall the sediment be washed into the storm sewers or drainage ways.
3. Concrete wash water shall not be discharged to waters of the state or to storm sewer systems.
4. Bulk storage structures for petroleum products and other chemicals shall have adequate protection so as to contain all spills and prevent any spilled materials from entering waters of the state.

5. No chemicals are to be added to the discharge unless permission for the use of a specific chemical is granted by the Department. In granting the use of such chemicals, special conditions and monitoring may need to be addressed through an individual NDPDES permit or an alternative NDPDES general permit.
6. All storm water discharges must comply with the requirements, policies, or guidelines, of municipalities and other local agencies. Any discharges of storm water to storm drainage systems or other water courses under their jurisdiction, including applicable requirements in municipal storm water management programs developed to comply with NDPDES permits, must comply with their local requirements.

PART III - EFFLUENT LIMITATIONS, MONITORING, RECORDING, AND REPORTING REQUIREMENTS

A. Effluent Limitations

The quality of storm water discharges associated with construction activity shall reflect the best which is attainable through the proper implementation of all items in the SWPP Plan for the construction site.

B. Monitoring Requirements

The permittee shall inspect the construction site to ensure that the storm water controls identified in the SWPP Plan are effective and properly maintained. The construction site perimeter, disturbed areas, and areas used for material storage shall be inspected for evidence of, or the potential for, erosion, sediment accumulation, sediment material residue and spills. The following guidelines shall be used for monitoring the effectiveness of the SWPP Plan:

1. Inspection Frequency:
 - a. Large Construction Activity

Inspections shall be performed by or under the direction of the permittee at least once every 7 calendar days and within 24 hours after any storm event of greater than 0.50 inches of rain per 24-hour period. The permittee shall have the option of maintaining a rain gauge at their site or utilizing the nearest National Weather Service precipitation gauge station. Any gauge station used shall be located within 10 miles of the storm water discharge.
 - b. Small Construction Activity

Inspections shall be performed by or under the direction of the permittee at least once every 14 calendar days and within 24 hours after any storm event of greater than 0.50 inches of rain per 24-hour period. The permittee shall have the option of maintaining a rain gauge at their site or utilizing the nearest National Weather Service precipitation gauge station. Any gauge station used shall be located within 10 miles of the storm water discharge.
2. During storm events or periods of snow melt, when runoff occurs daily, all SWPP Plan controls shall be inspected by or under the supervision of the permittee daily.

3. Storm water runoff discharges shall be visibly monitored at the above frequency to evaluate the effectiveness of the SWPP Plan controls. If any measurable quantities of sediment are leaving the property, corrective action shall be taken as soon as is prudent to reduce the discharge of sediments.
4. There may be times when the performance of the site inspection may not be practical during an inspection period. Adverse climatic conditions, such as flooding, high winds, tornadoes, electrical storms, etc., or impracticable climatic conditions, such as drought, extended frozen conditions, etc., may prohibit inspections. Should this occur, the permittee must make a record of the description of why the inspection(s) could not be performed in lieu of the actual inspection data. Any available documentation of the events which did not allow for the inspection should also be available.

C. **Recording Requirements**

Inspection results shall be summarized and recorded on a Site Inspection Record (SIR). The SIRs shall be maintained on-site, in accordance with Part IV.D of this permit. The SIR shall contain, at a minimum, the following information:

1. The date and time of inspection.
2. The name of the person performing the inspection.
3. The date and duration (in hours) of the storm event.
4. The rainfall measurements or estimates (in inches) of the storm event.
5. The duration of time between this event and the end of the most recent storm event which was 0.50 inches or greater in precipitation.
6. All incidents of erosion, sediment accumulation, material residue, or spills shall be documented and noted on the SIR. The report shall include the location and description of the incident, estimated quantity of material or size of area affected, and a brief explanation of potential cause and remedial action taken.
7. Any measurable quantities of sediment released off the site, shall be recorded with a brief explanation as to the measures taken to prevent future releases as well as any measures taken to clean up the sediment that has left the site.
8. If no storm event occurs during an inspection period, "no discharge" shall be recorded on the SIR.

D. **Annual Location Record Report - (Small Construction Activity only)**

Operators of Small Construction Activity shall maintain a Location Record that shows the locations they operated small construction activity.

1. **Contents of the Location Record**

The Location Record shall contain the following:

- a. Permit number
- b. Name and mailing address of the owner or operator
- c. Name of each small construction site
- d. Location of each site (street address, latitude and longitude, or legal land description of township, range, section, and 1/4 section)
- e. Start date of each site
- f. The estimated area of total disturbance in acres of each site
- g. Status of each site (in progress, grading complete, final stabilization date)

2. Submittal

A copy of the Annual Location Record shall be submitted to the Department by January 31 of each year, covering the small construction sites operated during the preceding calendar year (January 1 through December 31). The report shall be submitted to the Department at the following address:

North Dakota Department of Health
Division of Water Quality
1200 Missouri Avenue
PO Box 5520
Bismarck, ND 58506-5520

PART IV - COMPLIANCE RESPONSIBILITIES

- A. **Duty to Comply** The permittee must comply with all conditions of this permit. Any permit noncompliance constitutes a violation of the Act and is grounds for enforcement action; for permit termination, revocation and reissuance, or modification; or for denial of a permit renewal application. The permittee shall give the Department advance notice of any planned changes at the permitted facility or of any activity which may result in permit noncompliance.
- B. **Operation and Maintenance** The permittee shall at all times maintain in good working order, and operate as efficiently as possible, all treatment or control facilities or systems installed or used by the permittee to achieve compliance with the terms and conditions of this permit and with the requirement of the SWPP Plans. If necessary to achieve compliance with the conditions of this permit, this shall include the operation and maintenance of backup or auxiliary systems.
- C. **Duty to Provide Information** The permittee shall furnish to the Department, upon request, copies of records required to be kept by this permit. When a permittee becomes aware that it failed to submit any relevant facts or submitted incorrect information in a permit application or any report, it shall promptly submit such facts or information.
- D. **Records Retention** All records and information (including calibration and maintenance) required by this permit shall be kept for at least three years or longer if requested by the Department or EPA.
- E. **Signatory Requirements** All applications, reports, or information submitted to the Department shall be signed and certified by the permittee in accordance with the following criteria:
1. All permit applications shall be signed by a responsible corporate officer, a general partner, or a principal executive officer or ranking elected official, in addition to contractors and subcontractors involved in the construction activity or SWPP Plan.
 2. All reports required by the permit and other information requested by the Department shall be signed by a person described above or by a duly authorized representative of that person. A person is a duly authorized representative only if:
 - a. The authorization is made in writing by a person described above and submitted to the Department; or

- b. The authorization specifies either an individual or a position having responsibility for the overall operation of the regulated facility, such as the position of plant manager, superintendent, position of equivalent responsibility, or an individual or position having overall responsibility for environmental matters.
3. If an authorization under Part IV.E.2 is no longer accurate for any reason, a new authorization satisfying the above requirements must be submitted to the Department prior to or together with any reports, information, or applications to be signed by an authorized representative.
4. Any person signing a document under this section shall make the following certification:

“I certify under penalty of law that I have personally examined and am familiar with the information submitted herein. Based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.”

- F. **Immediate Notification** The permittee shall report any noncompliance of discharge which may seriously endanger health or the environment as soon as possible, but no later than twenty-four (24) hours from the time the permittee first became aware of the circumstance. The report shall be made to the EPA, Region VIII, National Emergency Response Center, at 1-800-424-8802 and the State of North Dakota, Division of Emergency Management, 1-800-472-2121. In addition, a written submission to both the Department and EPA shall be provided within five days of the time that the permittee became aware of the circumstances. The submission shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact dates and times; the estimated time noncompliance is expected to continue if it has not been corrected; and steps taken or planned to reduce, eliminate, and prevent recurrence of the noncompliance.
- G. **Bypassing** Any bypass is prohibited except where unavoidable to prevent loss of life, personal injury, or severe property damage, and there were no feasible alternatives to the bypass. The permittee shall provide notification of unanticipated bypasses as may be required by Part IV.F, Immediate Notification. If, for other reasons, a bypass is considered necessary, a request to bypass shall be submitted, at least 15 days in advance if possible, to the Department. No bypass of this type shall occur until permission has been obtained from the Department.
- H. **Upset Conditions** An upset constitutes an affirmative defense to an action brought for noncompliance with technology-based permit effluent limitations if the requirements of the following paragraph are not met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.

A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence, that:

1. An upset occurred and the permittee can identify its cause(s);
2. The permitted facility was, at the time, being properly operated;
3. The permittee submitted notice of the upset as may be required under Part IV.F, Immediate Notification; and

4. The permittee complied with any remedial measures required under Part IV.I, Duty to Mitigate.

In any enforcement proceeding, the permittee seeking to establish the occurrence of an upset has the burden of proof.

- I. **Duty to Mitigate** The permittee shall take all reasonable steps to minimize or prevent any discharge in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment. The permittee, at the Department's request, shall provide accelerated or additional monitoring as necessary to determine the nature and impact of any discharge.
- J. **Removed Materials** Collected screening, grit, solids, sludge, or other pollutants removed in the course of treatment shall be buried or disposed of in such a manner to prevent any pollutant from entering waters of the state or creating a health hazard.

PART V - GENERAL REQUIREMENT

- A. **Right of Entry** The permittee shall allow Department and EPA representatives, at reasonable times and, if requested, upon the presentation of credentials, to inspect any facilities or equipment (including monitoring and control equipment), to sample discharges, and to have access to and copy any records required to be kept by this permit. For facilities which discharge to a municipal or other separated storm sewer, this shall also pertain to authorized representatives of the municipal operator or the separate storm sewer receiving the discharge.
- B. **Availability of Reports** Except for data determined to be confidential under 40 CFR, Part 2, all reports prepared in accordance with the terms of this permit shall be available for public inspection at the offices of the Department. As required by the Act, permit applications, permits, and effluent data shall not be considered confidential.
- C. **Transfers** This permit is not transferable except upon the filing of a Statement of Acceptance by the new party and subsequent Department approval. The Department may require the new operator to apply for and obtain an individual NDPDES permit as stated in Part I.G. The current permit holder should inform the new controller, operator, or owner of the existence of this permit and also notify the Department of the possible change.
- D. **New Limitations or Prohibitions** The permittee shall comply with any effluent standards or prohibitions established under Section 306(a), Section 307 (a), of Section 405 of the Act for any pollutant (toxic or conventional) present in the discharge or removed substances within the time identified in the regulations, even if the permit has not yet been modified to incorporate the requirements.
- E. **Permit Actions** This permit may be modified, revoked and reissued, or terminated for cause. Also, if there is evidence indicating potential or realized impacts on water quality due to any storm water discharge associated with construction activity covered by this permit, the owner or operator of such discharge may be required to obtain an individual permit or coverage under an alternative general permit in accordance with Part I.E of this permit. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance does not stay any permit conditions.
- F. **Need to Halt or Reduce** It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit.

- G. **State Laws** Nothing in this permit shall be construed to preclude the institution of legal action or relieve the permittee from any responsibilities, liabilities, or penalties established pursuant to any applicable state law or regulation preserved under Section 510 of the Act.
- H. **Oil and Hazardous Substance Liability** Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties established pursuant to any applicable state law or regulation preserved under Section 311 of the Act.
- I. **Property Rights** The issuance of this permit does not convey any property rights of any sort, nor any exclusive privileges; nor does it authorize any injury to private property or any invasion of personal rights; nor any infringement of federal, state, or local laws or regulations.
- J. **Severability** The provisions of this permit are severable, and if any provision of this permit or the application of any provision of this permit to any circumstance is held invalid, the application of such provision to other circumstances and the remainder of this permit shall not be affected thereby.

PART VI - DEFINITIONS

"the Act" means the Clean Water Act.

"BMP" or "Best Management Practices" means schedules of activities, prohibitions of practices, maintenance procedures, and other management practices to prevent or reduce the pollution of waters of the state. BMPs also include treatment requirements, operating procedures and practices to control plant site runoff, spillage or leaks, sludge or waste disposal, or drainage from raw material storage.

"Bypass" means the intentional diversion of waste streams from any portion of a treatment facility. "Construction" means any activity associated with construction, including but not limited to: clearing, grading, and excavation.

"Department" means the North Dakota State Department of Health, Division of Water Quality.

"Grab" sample, for monitoring requirements, means a single "dip and take" sample collected at a representative point in the discharge stream.

"Large Construction Activity" means land disturbance of equal to or greater than 5 acres. Large construction activity also includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale, if the larger common plan will ultimately disturb equal to or greater than five acres.

"Non-storm water discharges" means discharges other than storm water. The term includes both process and non-process sources. Process waste water sources that require a separate NDPDES permit include, but are not limited to industrial processes, domestic facilities and cooling water. Non-storm water sources that may be addressed in this permit include, but are not limited to: fire hydrant flushing and testing, potable water line flushing, infrequent building and pavement washdowns without detergents, uncontaminated foundation drains, springs, lawn watering and air conditioning condensate.

"Severe property damage" means substantial physical damage to property, damage to treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in production.

"Significant materials" includes, but is not limited to: raw materials; fuels; materials such as solvents, detergents, and plastic pellets; finished materials such as metallic products; raw materials used in food processing or production; hazardous substances designated under Section 101(14) of CERCLA; any chemical the facility is required to report pursuant to Section 313 of Title III of SARA; fertilizers; pesticides; and waste products such as ashes, slag, and sludge that have the potential to be released with storm water discharges.

"Significant spills" includes, but is not limited to: releases of oil or hazardous substances in excess of reportable quantities under Section 311 of the Clean Water Act (see 40 CFR 110.10 and CFR 117.21) or Section 102 of CERCLA (see 40 CFR 302.4).

"Small Construction Activity" means land disturbance of equal to or greater than one acre and less than five acres. Small construction activity also includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale, if the larger common plan will ultimately disturb equal to or greater than one and less than five acres.

"Storm water" means storm water runoff, snow melt runoff, and surface runoff and drainage.

"Storm water discharge associated with industrial activity" means the discharge from any conveyance which is used for collecting and conveying storm water and which is directly related to manufacturing, processing, or raw materials storage areas at an industrial plant. The term does not include discharges from facilities or activities excluded from the NDPDES program. For the categories of industries identified in subparagraphs (i) through (x) of this subsection, the term includes, but is not limited to, storm water discharges from industrial plant yards; immediate access roads and rail lines used or traveled by carriers of raw materials, manufactured products, waste material, or byproducts used or created by the facility; material handling sites; refuse sites; sites used for the application or disposal of process waste waters (as defined at 40 CFR 401); sites used for the storage and maintenance of material handling equipment; sites used for residual treatment, storage, or disposal; shipping and receiving areas; manufacturing buildings; storage areas (including tank farms) for raw materials, and intermediate and finished products; and areas where industrial activity has taken place in the past and significant materials remain and are exposed to storm water. For the categories of industries identified in subparagraph (xi), the term includes only storm water discharges from all areas listed in the previous sentence (except access roads) where material handling equipment or activities, raw materials, intermediate products, final products, waste materials, by products, or industrial machinery are exposed to storm water. For the purposes of this paragraph, material handling activities include: storage, loading and unloading, transportation, or conveyance of any raw material, intermediate product, finished product, byproduct or waste product. The term excludes areas located on plant lands separate from the plant's industrial activities, such as office buildings and accompanying parking lots, as long as the drainage from the excluded areas is not mixed with storm water drained from the above described areas. Industrial facilities (including industrial facilities that are federally or municipally owned or operated that meet the description of the facilities listed in this paragraph [(i)-(xi)]) include those facilities designated under 122.26(a)(1)(v). The following categories of facilities are considered to be engaging in "industrial activity" for purposes of this subsection:

- (i) Facilities subject to storm water effluent limitations guidelines, new source performance standards, or toxic pollutant effluent standards under 40 CFR, Subchapter N (except facilities with toxic pollutant effluent standards which are exempted under category (xi) of this paragraph);
- (ii) Facilities classified as Standard Industrial Classifications 24 (except 2434), 26 (except 265 and 267), 28 (except 283), 29, 311, 32 (except 323), 33, 3441, 373;

(iii) Facilities classified as Standard Industrial Classifications 10 through 14 (mineral industry), including active or inactive mining operations [except for areas of coal mining operations meeting the definition of a reclamation area under 40 CFR 434.11(1)], and oil and gas exploration, production, processing, or treatment operations, or transmission facilities that discharge storm water contaminated by contact with, or that has come into contact with, any overburden, raw material, intermediate products, finished products, byproducts, or waste products located on the site of such operations; inactive mining operations are mining sites that are not being actively mined, but which have an identifiable owner/operator;

(iv) Hazardous waste treatment, storage, or disposal facilities, including those that are operating under interim status or a permit under Subtitle C of RCRA;

(v) Landfills, land application sites, and open dumps that have received any industrial wastes (waste that is received from any of the facilities described under this subsection), including those that are subject to regulation under Subtitle D of RCRA;

(vi) Facilities involved in the recycling of materials, including metal scrap yards, battery reclaimers, salvage yards, and automobile junkyards, including but limited to those classified as Standard Industrial Classification 5015 and 5093;

(vii) Steam electric power generating facilities, including coal handling sites;

(viii) Transportation facilities classified as Standard Industrial Classifications 40, 41, 42, 44, and 45, which have vehicle maintenance shops, equipment cleaning operations, or airport deicing operations. Only those portions of the facility that are either involved in vehicle maintenance (including vehicle rehabilitation, mechanical repairs, painting, fueling, and lubrication), equipment cleaning operations, airport deicing operations, or which are otherwise identified under paragraphs (i) - (vii) or (ix) - (xi) of this subsection are associated with industrial activity;

(ix) Treatment works treating domestic sewage or any other sewage sludge or wastewater treatment device or system used in the storage treatment, recycling, and reclamation of municipal or domestic sewage, including lands dedicated to the disposal of sewage sludge that are located within the confines of the facility, with design flow of 1.0 mgd or more, or required to have an approved pretreatment program under 40 CFR 403. Not included are farm lands, domestic gardens, or lands used for sludge management where sludge is beneficially reused and which are not physically located in the confines of the facility, or areas that are in compliance with 40 CFR 503;

(x) Construction activity including clearing, grading, and excavation activities except: operations that result in the disturbance of less than five acres of total land area which are not part of a larger common plan of development or sale;

(xi) Facilities under Standard Industrial Classifications 20, 21, 22, 23, 2434, 25, 265, 267, 27, 283, 31 (except 311), 34 (except 3441), 35, 36, 37 (except 373), 38, 39, 4221-25 [and which are not otherwise included within categories (i) - (x)].

“Storm water discharge associated with small construction activity” means the discharge of storm water from:

(i) Construction activities including clearing, grading, and excavating that result in land disturbance of equal to or greater than one acre and less than five acres. Small construction activity also includes the disturbance of less than one acre of total land area that is part of a larger common plan of development or sale if the larger common plan will ultimately disturb equal

to or greater than one and less than five acres. Small construction activity does not include routine maintenance that is performed to maintain the original line and grade, hydraulic capacity, or original purpose of the facility.

(ii) Any other construction activity designated by EPA or the Department, based on the potential for contribution to a violation of a water quality standard or for significant contribution of pollutants to waters of the state.

"Upset" means an exceptional incident in which there is unintentional and temporary noncompliance with technology-based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, lack of preventive maintenance, or careless or improper operation.

"Waters of the state": Any and all surface waters that are contained in or flow in or through the state of North Dakota as defined in NDCC 61-28-02. This definition includes all water courses, even if they are usually dry.

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**NOTICE OF INTENT TO OBTAIN COVERAGE UNDER NDPDES
GENERAL PERMIT FOR STORMWATER DISCHARGES
ASSOCIATED WITH CONSTRUCTION ACTIVITY**
NORTH DAKOTA DEPARTMENT OF HEALTH
DIVISION OF WATER QUALITY
SFN 19145 (11/02)

FOR DEPT. USE ONLY

Application No.

Date Received

GENERAL INFORMATION

Name of Owner or Operator of Construction Project Seeking Authorization to Discharge		Contact Person		Phone No.	
Mailing Address		City		State	Zip Code
Type of Owner or Operator	Developer/Builder State of ND	General Contractor Federal	Municipality Other (Specify):		
This NOI is to obtain coverage under Small Construction Activity (see Part I.C of permit):		YES	NO	There are special reporting requirements for Small Construction Activity in Part III.D of permit	
Name of Construction Project (Large Construction Activity only - see Part I.D of permit)					
Brief Description of Construction Activity (Please fill out for both Small and Large Construction Activity)					

LARGE CONSTRUCTION ACTIVITY INFORMATION

Names of Contractors Working at Site (if known)									
1)									
2)									
3)									
Project Start Date:				Estimated Area of Total Disturbance in Acres					
Estimated Completion Date:									
Facility Location	Street			City					
	OR	1/4	1/4	Section	Township	Range	County		
	OR	Latitude	o	I	II	Longitude	o	I	II
Receiving Waters	Natural Surface Drainage			Name or Description of Receiving Waters					
	OR	Municipal Storm Sewer			Name of City				

SIGNATURE INFORMATION

RETURN COMPLETED APPLICATION TO: North Dakota Department of Health Division of Water Quality 1200 Missouri Ave., Rm. 203 PO Box 5520 Bismarck, ND 58506-5520 Telephone: 701-328-5210	I certify I am familiar with NDCC 61-28-08, and with the information contained in this application. To the best of my knowledge and belief, the information in this application is true, complete, and accurate.	
	Printed Name of Applicant(s)	Title
	Signature of Applicant(s)	Application Date

(Attach additional pages if needed)

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**NOTICE OF TERMINATION TO CANCEL COVERAGE UNDER
(NDPDES) GENERAL PERMIT FOR STORM WATER DISCHARGES
ASSOCIATED WITH CONSTRUCTION ACTIVITY**
NORTH DAKOTA DEPARTMENT OF HEALTH
DIVISION OF WATER QUALITY
DRAFT SFN 19146 (11/02)

FOR DEPT. USE ONLY

Date Received: ____/____/____

GENERAL INFORMATION

Name of Construction Project			Permit Number NDR03 -
Name of Owner or Operator of Construction Project Which Received Authorization to Discharge		Contact Person	Telephone Number
Mailing Address	City	State	Zip Code
This Notice is to terminate coverage for all Small Construction Activity (see Part I.C of permit): YES NO There are special reporting requirements for Small Construction Activity in Part I.H and III.D of permit			

LOCATION (for Large Construction Activity Only)

SITE LOCATION	Street		City			
	OR	1/4 1/4	Section	Township	Range	County
	OR	Latitude 0 I II	Longitude 0 I II	County		

CERTIFICATION STATEMENT

I certify under penalty of law that for the construction project(s) and permit described above: As of _____, disturbed soils at the identified site(s) have been finally stabilized, seventy percent restored vegetative coverage as compared to preexisting vegetation, and temporary erosion control measures have been removed, or that all storm water discharges associated with construction activity from the identified site, authorized by a NDPDES general permit have been eliminated. I understand that by submitting this Notice of Termination, that I am no longer authorized to discharge storm water associated with construction activity by the general permit, and that discharging pollutants in storm water to waters of North Dakota is unlawful under North Dakota Century Code 61-28 where the discharge is not authorized by a NDPDES permit.		
Return Completed Application to: North Dakota Department of Health Division of Water Quality P.O. Box 5520 Bismarck, ND 58506-5520 Telephone: (701)328-5210	I certify I am familiar with NDCC 61-28-08 and with the information contained in this application. To the best of my knowledge and belief the information in this application is true, complete and accurate.	
	Printed Name of Applicant(s)	Title
	Signature of Applicant(s)	Application Date

(Attach additional page if needed)

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NORTH DAKOTA DEPARTMENT OF HEALTH NDPDES PROGRAM

Construction Storm Water Pollution Prevention Plan Guidance Forms



CONTENTS

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PROJECT DESCRIPTION
North Dakota Department of Health
Division of Water Quality

SFN 19388 (11/02)

Project Name

Project Type

Project Location

Estimate of Project Size

Description of the Nature of Activity

Description of Existing Soils, Fill Material, and Erodibility of Such Soils

Proposed Timetable for Construction Activities

Name of Receiving Waters

SITE MAP DEVELOPMENT

The site map should be suitably scaled and drawn to show the following required information:

MAP FEATURES

- 1) Construction site boundaries and area(s) of soil disturbance.
- 2) The location of springs, streams, wetlands, and other surface waters.
- 3) The location of areas used for storage of building materials, soils, or waste materials.
- 4) The locations of proposed and existing stormwater controls.
- 5) Stormwater runoff/run on drainage patterns.
- 6) Section, township, range, or lines of latitude and longitude.





BEST MANAGEMENT PRACTICES FOR EROSION AND SEDIMENT CONTROL
NORTH DAKOTA DEPARTMENT OF HEALTH
DIVISION OF WATER QUALITY
SFN 19389 (09/99)

STRUCTURAL PRACTICES:

Terraces/Contours	Drain Inlet Protection
Pipe Slope Drains	Brush Barriers
Straw Bale Dikes	Temporary Drain Diversion
Silt Fences	Drainage Swales
Infiltration Trenches or Basins	Sediment Traps
Earth Dikes	Temporary Sediment Basins
Rock Outlet Protection	Subsurface Drains
Check Dams	Retaining Walls

Additional Practices:

NONSTRUCTURAL PRACTICES:

Temporary Seeding	Permanent Seeding
Mulching	Grassed Waterways
Filter Strips	Tree Planting
Erosion Blankets	Sod Stabilization

Additional Practices:



OTHER BEST MANAGEMENT PRACTICES

Description of sediment tracking reduction and sediment recovery methods

NORTH DAKOTA DEPARTMENT OF HEALTH

DIVISION OF WATER QUALITY

SFN 19390 (11/02)

Description of Methods to Reduce Sediment Tracking:

Description of Methods for Recovering Sediments:

Description of Methods for Handling and Disposing of Contaminated Soils:



OTHER BEST MANAGEMENT PRACTICES

Spill Prevention methods, post construction controls and site inspections/maintenance

NORTH DAKOTA DEPARTMENT OF HEALTH

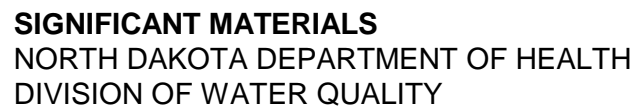
DIVISION OF WATER QUALITY

SFN 19390-2 (11/02)

Description of Spill Prevention and Response Procedures:

Description of Post Construction Controls:

Description of Procedures for Site Inspections and Maintenance



INSTRUCTIONS: Based on your site's material inventory, provide the following information. For the definition of "significant materials," see Part VI of the permit. The **location** of the significant materials should be indicated on the site map.

[illegible]



SIGNATORY CERTIFICATION
North Dakota Department of Health
Division of Water Quality
SFN 19137 (11/02)

For Department Use Only

Permit Number:

INSTRUCTIONS: The following statement shall be signed by a responsible corporate officer, general partner, principle executive officer or ranking elected official. The statement may be signed by a duly authorized representative of the person above in accordance with Part IV-E of the permit.

CERTIFICATION

"I _____, certify under penalty of law that I have personally examined and am familiar with the information submitted herein. Based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

Printed Name of Applicant

Title

Signature of Applicant

Date

ADDITIONAL SIGNATURES

INSTRUCTIONS: If more than one signature is required on the Stormwater Pollution Prevention Plan, use the space provided.

Date	Printed Name	Signature	Title	Company Name



Instructions: Please fill out the inspection information below for the permit number referenced above. See example below:

[illegible]

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ANNUAL LOCATION RECORD for Small Construction Activity
NORTH DAKOTA DEPARTMENT OF HEALTH
DIVISION OF WATER QUALITY
SFN 53637 (12/02)

Permit Number: NDR03-

Small Construction Sites for Reporting Period Year:

Name of Owner/Operator <input type="text"/>			
Mailing Address <input type="text"/>	City <input type="text"/>	State <input type="text"/>	Zip Code <input type="text"/>

Instructions: Please fill out the information below for the small construction sites operated during this year.
See example below:

Site Name	Site Location	Start Date of Site	Site Size (acres)	Status of Site
Example: Westpoint Acres – 3 lots	Example: 700, 730, & 840 Westpoint Parkway, Minot, ND	Example: 5/23/2003	Example: Approx. 1.2 acres each	Example: Construction complete, stabilization in progress
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

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SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01670

RECYCLED / RECOVERED MATERIALS

12/01

PART 1 GENERAL

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- 1.2 OBJECTIVES
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IN THE WORK

-- End of Section Table of Contents --

SECTION 01670

RECYCLED / RECOVERED MATERIALS

12/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

40 CFR 247

Comprehensive Procurement Guideline for
Products Containing Recovered Materials

1.2 OBJECTIVES

Government procurement policy is to acquire, in a cost effective manner, items containing the highest percentage of recycled and recovered materials practicable consistent with maintaining a satisfactory level of competition without adversely affecting performance requirements or exposing suppliers' employees to undue hazards from the recovered materials. The Environmental Protection Agency (EPA) has designated certain items which must contain a specified percent range of recovered or recycled materials. EPA designated products specified in this contract comply with the stated policy and with the EPA guidelines. The Contractor shall make all reasonable efforts to use recycled and recovered materials in providing the EPA designated products and in otherwise utilizing recycled and recovered materials in the execution of the work.

1.3 EPA DESIGNATED ITEMS INCORPORATED IN THE WORK

Various sections of the specifications contain requirements for materials that have been designated by EPA as being products which are or can be made with recovered or recycled materials. These items, when incorporated into the work under this contract, shall contain at least the specified percentage of recycled or recovered materials unless adequate justification (non-availability) for non-use is provided. When a designated item is specified as an option to a non-designated item, the designated item requirements apply only if the designated item is used in the work.

1.4 EPA PROPOSED ITEMS INCORPORATED IN THE WORK

Products other than those designated by EPA are still being researched and are being considered for future Comprehensive Procurement Guideline (CPG) designation. It is recommended that these items, when incorporated in the work under this contract, contain the highest practicable percentage of recycled or recovered materials, provided specified requirements are also met.

1.5 EPA LISTED ITEMS USED IN CONDUCT OF THE WORK BUT NOT INCORPORATED IN

THE WORK

There are many products listed in 40 CFR 247 which have been designated or proposed by EPA to include recycled or recovered materials that may be used by the Contractor in performing the work but will not be incorporated into the work. These products include office products, temporary traffic control products, and pallets. It is recommended that these non-construction products, when used in the conduct of the work, contain the highest practicable percentage of recycled or recovered materials and that these products be recycled when no longer needed.

-- End of Section --

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SECTION TABLE OF CONTENTS

DIVISION 01 - GENERAL REQUIREMENTS

SECTION 01781

OPERATION AND MAINTENANCE DATA

12/01

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PART 2 PRODUCTS

PART 3 EXECUTION

-- End of Section Table of Contents --

SECTION 01781

OPERATION AND MAINTENANCE DATA

12/01

PART 1 GENERAL

1.1 SUBMISSION OF OPERATION AND MAINTENANCE DATA

Submit Operation and Maintenance (O&M) Data specifically applicable to this contract and a complete and concise depiction of the provided equipment, product, or system. Organize and present information in sufficient detail to clearly explain O&M requirements at the system, equipment, component, and subassembly level. Include an index preceding each submittal. Submit in accordance with this section and Section 01330, "Submittal Procedures."

1.1.1 Package Quality

Documents must be fully legible. Poor quality copies and material with hole punches obliterating the text or drawings will not be accepted.

1.1.2 Package Content

Data package content shall be as shown in the paragraph titled "Schedule of Operation and Maintenance Data Packages." Comply with the data package requirements specified in the individual technical sections, including the content of the packages and addressing each product, component, and system designated for data package submission.

1.1.3 Changes to Submittals

Manufacturer-originated changes or revisions to submitted data shall be furnished by the Contractor if a component of an item is so affected subsequent to acceptance of the O&M Data. Changes, additions, or revisions required by the Contracting Officer for final acceptance of submitted data, shall be submitted by the Contractor within 30 calendar days of the notification of this change requirement.

1.2 TYPES OF INFORMATION REQUIRED IN O&M DATA PACKAGES

1.2.1 Operating Instructions

Include specific instructions, procedures, and illustrations for the following phases of operation:

1.2.1.1 Safety Precautions

List personnel hazards and equipment or product safety precautions for all operating conditions.

1.2.1.2 Operator Prestart

Include procedures required to set up and prepare each system for use.

1.2.1.3 Startup, Shutdown, and Post-Shutdown Procedures

Provide narrative description for Startup, Shutdown and Post-shutdown operating procedures including the control sequence for each procedure.

1.2.1.4 Normal Operations

Provide narrative description of Normal Operating Procedures. Include Control Diagrams with data to explain operation and control of systems and specific equipment.

1.2.1.5 Emergency Operations

Include Emergency Procedures for equipment malfunctions to permit a short period of continued operation or to shut down the equipment to prevent further damage to systems and equipment. Include Emergency Shutdown Instructions for fire, explosion, spills, or other foreseeable contingencies. Provide guidance and procedures for emergency operation of all utility systems including required valve positions, valve locations and zones or portions of systems controlled.

1.2.1.6 Operator Service Requirements

Include instructions for services to be performed by the operator such as lubrication, adjustment, inspection, and recording gage readings.

1.2.1.7 Environmental Conditions

Include a list of Environmental Conditions (temperature, humidity, and other relevant data) that are best suited for the operation of each product, component or system. Describe conditions under which the item equipment should not be allowed to run.

1.2.2 Preventive Maintenance

Include the following information for preventive and scheduled maintenance to minimize corrective maintenance and repair.

1.2.2.1 Lubrication Data

Include preventative maintenance lubrication data, in addition to instructions for lubrication provided under paragraph titled "Operator Service Requirements":

- a. A table showing recommended lubricants for specific temperature ranges and applications.
- b. Charts with a schematic diagram of the equipment showing lubrication points, recommended types and grades of lubricants, and capacities.
- c. A Lubrication Schedule showing service interval frequency.

1.2.2.2 Preventive Maintenance Plan and Schedule

Include manufacturer's schedule for routine preventive maintenance, inspections, tests and adjustments required to ensure proper and economical operation and to minimize corrective maintenance. Provide manufacturer's projection of preventive maintenance work-hours on a daily, weekly, monthly, and annual basis including craft requirements by type of craft. For periodic calibrations, provide manufacturer's specified frequency and

procedures for each separate operation.

1.2.3 Corrective Maintenance (Repair)

Include manufacturer's recommended procedures and instructions for correcting problems and making repairs.

1.2.3.1 Troubleshooting Guides and Diagnostic Techniques

Include step-by-step procedures to promptly isolate the cause of typical malfunctions. Describe clearly why the checkout is performed and what conditions are to be sought. Identify tests or inspections and test equipment required to determine whether parts and equipment may be reused or require replacement.

1.2.3.2 Wiring Diagrams and Control Diagrams

Wiring diagrams and control diagrams shall be point-to-point drawings of wiring and control circuits including factory-field interfaces. Provide a complete and accurate depiction of the actual job specific wiring and control work. On diagrams, number electrical and electronic wiring and pneumatic control tubing and the terminals for each type, identically to actual installation configuration and numbering.

1.2.3.3 Maintenance and Repair Procedures

Include instructions and a list of tools required to repair or restore the product or equipment to proper condition or operating standards.

1.2.3.4 Removal and Replacement Instructions

Include step-by-step procedures and a list required tools and supplies for removal, replacement, disassembly, and assembly of components, assemblies, subassemblies, accessories, and attachments. Provide tolerances, dimensions, settings and adjustments required. Instructions shall include a combination of text and illustrations.

1.2.3.5 Spare Parts and Supply Lists

Include lists of spare parts and supplies required for maintenance and repair to ensure continued service or operation without unreasonable delays. Special consideration is required for facilities at remote locations. List spare parts and supplies that have a long lead-time to obtain.

1.2.4 Corrective Maintenance Work-Hours

Include manufacturer's projection of corrective maintenance work-hours including requirements by type of craft. Corrective maintenance that requires completion or participation of the equipment manufacturer shall be identified and tabulated separately.

1.2.5 Appendices

Provide information required below and information not specified in the preceding paragraphs but pertinent to the maintenance or operation of the product or equipment. Include the following:

1.2.6 Parts Identification

Provide identification and coverage for all parts of each component, assembly, subassembly, and accessory of the end items subject to replacement. Include special hardware requirements, such as requirement to use high-strength bolts and nuts. Identify parts by make, model, serial number, and source of supply to allow reordering without further identification. Provide clear and legible illustrations, drawings, and exploded views to enable easy identification of the items. When illustrations omit the part numbers and description, both the illustrations and separate listing shall show the index, reference, or key number that will cross-reference the illustrated part to the listed part. Parts shown in the listings shall be grouped by components, assemblies, and subassemblies in accordance with the manufacturer's standard practice. Parts data may cover more than one model or series of equipment, components, assemblies, subassemblies, attachments, or accessories, such as typically shown in a master parts catalog

1.2.6.1 Warranty Information

List and explain the various warranties and include the servicing and technical precautions prescribed by the manufacturers or contract documents in order to keep warranties in force. Include warranty information for primary components such as the compressor of air conditioning system.

1.2.6.2 Personnel Training Requirements

Provide information available from the manufacturers that is needed for use in training designated personnel to properly operate and maintain the equipment and systems.

1.2.6.3 Testing Equipment and Special Tool Information

Include information on test equipment required to perform specified tests and on special tools needed for the operation, maintenance, and repair of components.

1.2.6.4 Contractor Information

Provide a list that includes the name, address, and telephone number of the General Contractor and each Subcontractor who installed the product or equipment, or system. For each item, also provide the name address and telephone number of the manufacturer's representative and service organization most convenient to the project site. Provide the name, address, and telephone number of the product, equipment, and system manufacturers.

1.3 SCHEDULE OF OPERATION AND MAINTENANCE DATA PACKAGES

Furnish the O&M data packages specified in individual technical sections. The required information for each O&M data package is as follows:

1.3.1 Data Package 1

- a. Safety precautions
- b. Maintenance and repair procedures
- c. Warranty information

- d. Contractor information
- e. Spare parts and supply list

1.3.2 Data Package 2

- a. Safety precautions
- b. Normal operations
- c. Environmental conditions
- d. Lubrication data
- e. Preventive maintenance plan and schedule
- f. Maintenance and repair procedures
- g. Removal and replacement instructions
- h. Spare parts and supply list
- i. Parts identification
- j. Warranty information
- k. Contractor information

1.3.3 Data Package 3

- a. Safety precautions
- b. Normal operations
- c. Emergency operations
- d. Environmental conditions
- e. Lubrication data
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques
- h. Wiring diagrams and control diagrams
- i. Maintenance and repair procedures
- j. Removal and replacement instructions
- k. Spare parts and supply list
- l. Parts identification
- m. Warranty information
- n. Testing equipment and special tool information
- o. Contractor information

1.3.4 Data Package 4

- a. Safety precautions
- b. Operator prestart
- c. Startup, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Emergency operations
- f. Operator service requirements
- g. Environmental conditions
- h. Lubrication data
- i. Preventive maintenance plan and schedule
- j. Troubleshooting guides and diagnostic techniques
- k. Wiring diagrams and control diagrams
- l. Maintenance and repair procedures
- m. Removal and replacement instructions
- n. Spare parts and supply list
- o. Corrective maintenance man-hours
- p. Parts identification
- q. Warranty information
- r. Personnel training requirements
- s. Testing equipment and special tool information
- t. Contractor information

1.3.5 Data Package 5

- a. Safety precautions
- b. Operator prestart
- c. Start-up, shutdown, and post-shutdown procedures
- d. Normal operations
- e. Environmental conditions
- f. Preventive maintenance plan and schedule
- g. Troubleshooting guides and diagnostic techniques

- h. Wiring and control diagrams
- i. Maintenance and repair procedures
- j. Spare parts and supply list
- k. Testing equipments and special tools
- l. Warranty information
- m. Contractor information

PART 2 PRODUCTS

Not used.

PART 3 EXECUTION

Not used.

-- End of Section --

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DIVISION 02 - SITE WORK

SECTION 02220A

DEMOLITION

05/01

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- 1.3 SUBMITTALS
- 1.4 DUST CONTROL
- 1.5 PROTECTION
 - 1.5.1 Traffic Control Signs
 - 1.5.2 Protection of Personnel
 - 1.5.3 FOREIGN OBJECT DAMAGE
 - 1.5.4 Protection of Existing Property
 - 1.5.5 Protection From the Weather
 - 1.5.6 Protection of Trees
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- 1.6 BURNING
- 1.7 USE OF EXPLOSIVES

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

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- 3.2 UTILITIES
- 3.3 FILLING
- 3.4 DISPOSITION OF MATERIAL
 - 3.4.1 Salvageable Items
 - 3.4.2 Unsalvageable Material
- 3.5 CLEAN UP
- 3.6 PAVEMENTS
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 - 3.6.3 PAVEMENT PROTECTION

-- End of Section Table of Contents --

SECTION 02220A

DEMOLITION
05/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

U.S. ARMY CORPS OF ENGINEERS (USACE)

EM 385-1-1 (1996) U.S. Army Corps of Engineers Safety and Health Requirements Manual

1.2 GENERAL REQUIREMENTS

The work includes demolition, salvage of identified items and materials, and removal of resulting rubbish and debris. Rubbish and debris shall be removed from Government property daily, unless otherwise directed, to avoid accumulation at the demolition site. Materials that cannot be removed daily shall be stored in areas specified by the Contracting Officer. In the interest of occupational safety and health, the work shall be performed in accordance with EM 385-1-1, Section 23, Demolition, and other applicable Sections. In the interest of conservation, salvage shall be pursued to the maximum extent possible ; salvaged items and materials shall be disposed of as specified.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Work Plan; G-AO

The procedures proposed for the accomplishment of the work. The procedures shall provide for safe conduct of the work, including procedures and methods to provide necessary supports, lateral bracing and shoring when required, careful removal and disposition of materials specified to be salvaged, protection of property which is to remain undisturbed, coordination with other work in progress, and timely disconnection of utility services. The procedures shall include a detailed description of the methods and equipment to be used for each operation, and the sequence of operations in accordance with EM 385-1-1.

1.4 DUST CONTROL

The amount of dust resulting from demolition shall be controlled to prevent the spread of dust to occupied portions of the construction site and to avoid creation of a nuisance in the surrounding area. Use of water will not be permitted when it will result in, or create, hazardous or objectionable conditions such as ice, flooding and pollution.

1.5 PROTECTION

1.5.1 Traffic Control Signs

Where aircraft safety is endangered in the removal of work, use traffic barricades with flashing lights. Anchor barricades in a manner to prevent displacement by jet or prop blast. Notify the Contracting Officer prior to beginning such work.

1.5.2 Protection of Personnel

During the demolition work the Contractor shall continuously evaluate the condition of the structure being demolished and take immediate action to protect all personnel working in and around the demolition site. No area, section, or other structural element will be allowed to be left standing without sufficient bracing, shoring, or lateral support to prevent collapse or failure while workmen remove debris or perform other work in the immediate area.

1.5.3 FOREIGN OBJECT DAMAGE

Aircraft and aircraft engines are subject to FOD from debris and waste material lying on airfield pavements. Remove all such materials that may appear on operational aircraft pavements due to the Contractor's operations. If necessary, the Contracting Officer may require the Contractor to install a temporary barricade at Contractor's expense to control the spread of FOD potential debris. The barricade shall consist of a fence covered with a fabric designed to stop the spread of debris; anchor the fence and fabric to prevent displacement by winds or jet/prop blasts. Remove barricade when no longer required.

1.5.4 Protection of Existing Property

Before beginning any demolition work, the Contractor shall survey the site and examine the drawings and specifications to determine the extent of the work. The Contractor shall take necessary precautions to avoid damage to existing items to remain in place, to be reused, or to remain the property of the Government; any damaged items shall be repaired or replaced as approved by the Contracting Officer. The Contractor shall coordinate the work of this section with all other work and shall construct and maintain shoring, bracing, and supports as required. The Contractor shall ensure that structural elements are not overloaded and shall be responsible for increasing structural supports or adding new supports as may be required as a result of any cutting, removal, or demolition work performed under this contract.

1.5.5 Protection From the Weather

Salvageable materials and equipment shall be protected from the weather at all times.

1.5.6 Protection of Trees

Trees within the project site which might be damaged during demolition, and which are indicated to be left in place, shall be protected by a 6 foot high fence. The fence shall be securely erected a minimum of 5 feet from the trunk of individual trees or follow the outer perimeter of branches or clumps of trees. Any tree designated to remain that is damaged during the work under this contract shall be replaced in kind or as approved by the Contracting Officer.

1.5.7 Environmental Protection

The work shall comply with the requirements of Section 01355A ENVIRONMENT.

1.6 BURNING

The use of burning at the project site for the disposal of refuse and debris will not be permitted.

1.7 USE OF EXPLOSIVES

Use of explosives will not be permitted.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 EXISTING STRUCTURES

Existing structures indicated shall be removed as shown and as specified herein.

3.2 UTILITIES

Disconnection of utility services, with related meters and equipment, are as shown. Existing utilities shall be removed as indicated. When utility lines are encountered that are not indicated on the drawings, the Contracting Officer shall be notified prior to further work in that area.

3.3 FILLING

Holes, open basements and other hazardous openings shall be filled in accordance with Section 02300a EARTHWORK.

3.4 DISPOSITION OF MATERIAL

Title to material and equipment to be demolished, except Government salvage, is vested in the Contractor upon receipt of notice to proceed. The Government will not be responsible for the condition, loss or damage to such property after notice to proceed.

3.4.1 Salvageable Items

Contractor shall salvage items as indicated.

3.4.2 Unsalvageable Material

Unsalvageable materials shall be classified as to the type of waste in accordance with federal, state, and local regulations and segregated prior to disposal. All unsalvageable material shall be disposed of at the

Contractor's expense outside Government-controlled lands and shall be in accordance with federal, state, and local regulations. The location of all disposal facilities shall be submitted to the Contracting Officer prior to removal from the project site. The Contractor shall submit documentation from the disposal facility to verify that it is licensed to accept the type of waste. No material shall be removed from the site without prior approval from the Contracting Officer.

3.5 CLEAN UP

Debris and rubbish shall be removed from all excavations. Debris shall be removed and transported in a manner that prevents spillage on streets or adjacent areas. Local regulations regarding hauling and disposal shall apply.

3.6 PAVEMENTS

3.6.1 EXISTING RIGID PAVMENT

Where indicated on the drawings, the existing rigid pavement shall be removed and replaced. The existing rigid pavement removal shall be accomplished by a double sawcut as depicted on the drawings. A sawcut shall be made along all the existing joints to the depth indicated on the drawings. This sawcut shall be accomplished using a standard diamond-type concrete saw. The Contractor shall not overcut into slabs that are to remain. Also, a full-depth sawcut shall be made parallel to the existing joint 18 inches into the slab to be removed. This sawcut shall be accomplished using a wheel saw as specified in paragraph "SAWING EQUIPEMENT". All pavement between the diamond-type and wheel sawcuts shall be carefully broken up and removed using hand-held jackhammers, 30 lb or less, or other approved light duty equipment which will not cause stresses to propagate across the outer diamond-type concrete sawcut and cause distress in the pavement which is to remain in place. Upon completion of removal operations the face of the existing pavement to remain, from the surface to the depth on sawcut, shall be clean, sound and essentially vertical. Any pavement beyond the limits of the removal area that is damaged by the Contractor's removal operations shall be removed and replaced by and at the Contractor's expense, using methods designated for rigid pavement removal and replacement under this contract. All pavement between the two wheel sawcuts shall be removed using methods proposed by the Contractor in his Work Plan submittal. The removal methods shall be demonstrated for approval prior to full production work. Any slab not designated for removal that is found to be damaged by the Contractor's removal methods shall be fully removed and replaced at no cost to the Government.

3.6.2 EXISTING FLEXIBLE PAVMENT

Where indicated on the drawings, flexible pavement removal shall be initiated by making a full-depth vertical sawcut at the locations indicated on the drawings. Sawcuts shall be accomplished using a standard diamond-type blade saw. The Contractor shall not overcut into flexible pavement that is to remain. Any pavement beyond the limits of the removal area that is damaged by the Contractor's removal operations shall be removed and replaced by and at the Contractor's expense, using methods designated for flexible pavement removal and replacement under this contract. The removal methods shall be demonstrated for approval prior to full production work.

3.6.3 PAVEMENT PROTECTION

The Contractor shall protect the new and existing pavement against all damage prior to final acceptance of the work by the Government. Aggregates and similar construction materials shall not be piled on the new or existing pavements. Traffic shall be excluded from new pavement by erecting and maintaining barricades and signs until the concrete is at least 14 days old, or for a longer period if so directed. If tracked equipment is used, it shall be driven on pads to prevent marking or damaging pavements. Pads shall extend a minimum of 6 inches on each side of each track and shall be lapped a minimum of 3 feet. All new and existing pavement carrying construction traffic or equipment shall be continuously kept clean. Spillage of concrete or other materials shall be cleaned up immediately upon occurrence.

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SECTION 02300A

EARTHWORK

12/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO T 180	(1997) Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and an 457 mm (18-in) Drop
AASHTO T 224	(1996) Correction for Coarse Particles in the Soil Compaction Test

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM D 422	(1963; R 1998) Particle-Size Analysis of Soils
ASTM D 1140	(1997) Amount of Material in Soils Finer than the No. 200 (75-micrometer) Sieve
ASTM D 1556	(1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996el) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1988; R 1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow

Depth)

ASTM D 4318

(1998) Liquid Limit, Plastic Limit, and
Plasticity Index of Soils

1.2 GENERAL

This guide specification covers the requirements for excavating, embankment, preparation of subgrades and grading for roadways, containment basins including excavation, filling, and shaping of drainage ways. See Section 02315a EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS for excavation, filling and backfilling, dewatering, shoring, and grading for buildings and storage tanks to within 5 feet outside of each building or structure. See Section 02316a EXCAVATION, FILLING AND BACKFILLING FOR UTILITIES SYSTEMES for excavation, trenching, and backfilling for utilities systems to the points of connection within 5 feet of the buildings.

1.3 DEFINITIONS

1.3.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SP, SC, . Satisfactory materials for grading shall be comprised of stones less than 8 inches, except for fill material for pavements and railroads which shall be comprised of stones less than 3 inches in any dimension.

1.3.2 Non-expansive fill

Non-expansive fill materials shall comprise materials classified by ASTM D 2487 as GW, GP, SW, and SP with a percent passing the 0.02 mm sieve not to exceed 3 percent when tested for gradation. Non-expansive fill materials for grading shall be comprised of stones less than 8 inches, except for fill material for pavements and railroads which shall be comprised of stones less than 3 inches in any dimension.

1.3.3 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills; trash; refuse; backfills from previous construction; and material classified as satisfactory which contains root and other organic matter or frozen material. The Contracting Officer shall be notified of any contaminated materials.

1.3.4 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM will be identified as cohesionless only when the fines are nonplastic. Testing required for classifying materials shall be in accordance with ASTM D 4318, ASTM C 136, ASTM D 422, and ASTM D 1140.

1.3.5 Degree of Compaction

Degree of compaction required, except as noted in the second sentence, is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557 abbreviated as a percent of laboratory

maximum density. Since ASTM D 1557 applies only to soils that have 30 percent or less by weight of their particles retained on the 3/4 inch sieve, the degree of compaction for material having more than 30 percent by weight of their particles retained on the 3/4 inch sieve shall be expressed as a percentage of the maximum density in accordance with AASHTO T 180 Method D and corrected with AASHTO T 224. To maintain the same percentage of coarse material, the "remove and replace" procedure as described in the NOTE 8 in Paragraph 7.2 of AASHTO T 180 shall be used.

1.3.6 Topsoil

Acceptable topsoil is defined as selectively excavated natural, friable soil that is representative of soils in the vicinity that produce heavy growths of crops, grass or other vegetation and is reasonably free from underlying subsoil, clay lumps, objectionable weeds, litter, brush, matted roots, toxic substances or any material that might be harmful to plant growth or be a hindrance to grading, planting or maintenance operations. Soil from ditch bottoms, drained ponds, eroded areas, or soil which is excessively wet or saturated is not acceptable. Topsoil shall not contain more than five percent by volume of stones, stumps or other objects larger than 1 inch in any dimension for field seeded areas and not to be excessively acid or alkaline (ph value 6.0 to 7.5). Topsoil shall be approved by the Contracting Officer. See Section 02921a SEEDING for additional requirements.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Earthwork; G-AO.

Procedure and location for disposal of unused satisfactory material.
Blasting plan when blasting is permitted. Proposed source of borrow material.

SD-06 Test Reports

Testing;

Within 24 hours of conclusion of physical tests, 2 copies of test results, including calibration curves and results of calibration tests.

SD-07 Certificates

Testing;

Qualifications of the commercial testing laboratory or Contractor's testing facilities.

1.5 SUBSURFACE DATA

Subsurface soil boring logs are appended to the SPECIAL CONTRACT REQUIREMENTS. These data represent the best subsurface information

available; however, variations may exist in the subsurface between boring locations.

1.6 CLASSIFICATION OF EXCAVATION

No consideration will be given to the nature of the materials, and all excavation will be designated as unclassified excavation.

1.6.1 Common Excavation

Common excavation shall include the satisfactory removal and disposal of all materials.

1.7 BLASTING

Blasting will not be permitted.

PART 2 PRODUCTS (Not Applicable)

PART 3 EXECUTION

3.1 STRIPPING OF TOPSOIL

Topsoil shall be stripped to a depth of 4 inches. Topsoil shall be spread on areas already graded and prepared for topsoil, or transported and deposited in stockpiles convenient to areas that are to receive application of the topsoil later, or at locations indicated or specified. Topsoil shall be kept separate from other excavated materials, brush, litter, objectionable weeds, roots, stones larger than 2 inches in diameter, and other materials that would interfere with planting and maintenance operations.

3.2 GENERAL EXCAVATION

The Contractor shall perform excavation of every type of material encountered within the limits of the project to the lines, grades, and elevations indicated and as specified. Grading shall be in conformity with the typical sections shown and the tolerances specified in paragraph FINISHING. Satisfactory excavated materials shall be transported to and placed in fill or embankment within the limits of the work. Unsatisfactory materials encountered within the limits of the work shall be excavated below grade and replaced with satisfactory materials as directed. Such excavated material and the satisfactory material ordered as replacement shall be included in excavation. Surplus satisfactory excavated material not required for fill or embankment shall be disposed of in areas approved for surplus material storage or designated waste areas. Unsatisfactory excavated material shall be disposed of in designated waste or spoil areas.

During construction, excavation and fill shall be performed in a manner and sequence that will provide proper drainage at all times. Material required for fill or embankment in excess of that produced by excavation within the grading limits shall be excavated from the borrow areas indicated or from other approved areas selected by the Contractor as specified.

3.2.1 Ditches, Gutters, and Channel Changes

Excavation of ditches, gutters, and channel changes shall be accomplished by cutting accurately to the cross sections, grades, and elevations shown. Ditches and gutters shall not be excavated below grades shown. Excessive

open ditch or gutter excavation shall be backfilled with satisfactory, thoroughly compacted, material or with suitable stone or cobble to grades shown. Material excavated shall be disposed of as shown or as directed, except that in no case shall material be deposited less than 4 feet from the edge of a ditch. The Contractor shall maintain excavations free from detrimental quantities of leaves, brush, sticks, trash, and other debris until final acceptance of the work.

3.2.2 Drainage Structures

Excavations shall be made to the lines, grades, and elevations shown, or as directed. Trenches and foundation pits shall be of sufficient size to permit the placement and removal of forms for the full length and width of structure footings and foundations as shown. Rock or other hard foundation material shall be cleaned of loose debris and cut to a firm, level, stepped, or serrated surface. Loose disintegrated rock and thin strata shall be removed. When concrete or masonry is to be placed in an excavated area, the bottom of the excavation shall not be disturbed. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

3.3 SELECTION OF BORROW MATERIAL

Borrow material shall be selected to meet the requirements and conditions of the particular fill or embankment for which it is to be used. Borrow material shall be obtained from the borrow areas from approved private sources. Unless otherwise provided in the contract, the Contractor shall obtain from the owners the right to procure material, pay royalties and other charges involved, and bear the expense of developing the sources, including rights-of-way for hauling. Unless specifically provided, no borrow shall be obtained within the limits of the project site without prior written approval. Necessary clearing, grubbing, and satisfactory drainage of borrow pits and the disposal of debris thereon shall be considered related operations to the borrow excavation.

3.4 OPENING AND DRAINAGE OF EXCAVATION AND BORROW PITS

Except as otherwise permitted, borrow pits and other excavation areas shall be excavated providing adequate drainage. Overburden and other spoil material shall be transported to designated spoil areas or otherwise disposed of as directed. Borrow pits shall be neatly trimmed and drained after the excavation is completed. The Contractor shall ensure that excavation of any area, operation of borrow pits, or dumping of spoil material results in minimum detrimental effects on natural environmental conditions.

3.5 BACKFILL

Backfill adjacent to any and all types of structures shall be placed and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials to prevent wedging action or eccentric loading upon or against the structure. Ground surface on which backfill is to be placed shall be prepared as specified in paragraph PREPARATION OF GROUND SURFACE FOR EMBANKMENTS. Compaction requirements for backfill materials shall also conform to the applicable portions of paragraphs PREPARATION OF GROUND SURFACE FOR EMBANKMENTS, EMBANKMENTS, and SUBGRADE PREPARATION, and Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired

rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.6 PREPARATION OF GROUND SURFACE FOR EMBANKMENTS

3.6.1 General Requirements

Ground surface on which fill is to be placed shall be stripped of live, dead, or decayed vegetation, rubbish, debris, and other unsatisfactory material; plowed, disked, or otherwise broken up to a depth of 6 inches; pulverized; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. The prepared ground surface shall be scarified and moistened or aerated as required just prior to placement of embankment materials to assure adequate bond between embankment material and the prepared ground surface.

3.6.2 Frozen Material

Embankment shall not be placed on a foundation which contains frozen material, or which has been subjected to freeze-thaw action. This prohibition encompasses all foundation types, including the natural ground, all prepared subgrades (whether in an excavation or on an embankment) and all layers of previously placed and compacted earth fill which become the foundations for successive layers of earth fill. All material that freezes or has been subjected to freeze-thaw action during the construction work, or during periods of temporary shutdowns, such as, but not limited to, nights, holidays, weekends, winter shutdowns, or earthwork operations, shall be removed to a depth that is acceptable to the Contracting Officer and replaced with new material. Alternatively, the material will be thawed, dried, reworked, and recompact to the specified criteria before additional material is placed. The Contracting Officer will determine when placement of fill shall cease due to cold weather. The Contracting Officer may elect to use average daily air temperatures, and/or physical observation of the soils for his determination. Embankment material shall not contain frozen clumps of soil, snow, or ice.

3.7 EMBANKMENTS

3.7.1 Earth Embankments

Earth embankments shall be constructed from satisfactory materials free of organic or frozen material and rocks with any dimension greater than 3 inches. The material shall be placed in successive horizontal layers of loose material not more than 12 inches in depth. Each layer shall be spread uniformly on a soil surface that has been moistened or aerated as necessary, and scarified or otherwise broken up so that the fill will bond with the surface on which it is placed. After spreading, each layer shall be plowed, disked, or otherwise broken up; moistened or aerated as necessary; thoroughly mixed; and compacted to at least 90 percent laboratory maximum density for cohesive materials or 95 percent laboratory maximum density for cohesionless materials. Compaction requirements for the upper portion of earth embankments forming subgrade for pavements shall be identical with those requirements specified in paragraph SUBGRADE PREPARATION. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or

other approved equipment.

3.8 SUBGRADE PREPARATION

3.8.1 Construction

Subgrade shall be shaped to line, grade, and cross section, and compacted as specified. This operation shall include plowing, disking, and any moistening or aerating required to obtain specified compaction. Soft or otherwise unsatisfactory material shall be removed and replaced with satisfactory excavated material or other approved material as directed. Rock encountered in the cut section shall be excavated to a depth of 6 inches below finished grade for the subgrade. Low areas resulting from removal of unsatisfactory material or excavation of rock shall be brought up to required grade with satisfactory materials, and the entire subgrade shall be shaped to line, grade, and cross section and compacted as specified. After rolling, the surface of the subgrade for roadways shall not show deviations greater than 1/4 inch when tested with a 10 foot straightedge applied both parallel and at right angles to the centerline of the area. The elevation of the finish subgrade shall not vary more than 0.05 foot from the established grade and cross section.

3.8.2 Compaction

Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment.

3.8.2.1 Subgrade for Pavements

Subgrade for pavements shall be compacted to at least 90 percentage laboratory maximum density for the depth below the surface of the pavement shown. When more than one soil classification is present in the subgrade, the top 6 inches of subgrade shall be scarified, windrowed, thoroughly blended, reshaped, and compacted.

3.8.2.2 Subgrade for Shoulders

Subgrade for shoulders shall be compacted to at least 90 percentage laboratory maximum density for the full depth of the shoulder.

3.9 SHOULDER CONSTRUCTION

Shoulders shall be constructed of satisfactory excavated or borrow material or as otherwise shown or specified. Shoulders shall be constructed as soon as possible after adjacent paving is complete, but in the case of rigid pavements, shoulders shall not be constructed until permission of the Contracting Officer has been obtained. The entire shoulder area shall be compacted to at least the percentage of maximum density as specified in paragraph SUBGRADE PREPARATION above, for specific ranges of depth below the surface of the shoulder. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, vibratory compactors, or other approved equipment. Shoulder construction shall be done in proper sequence in such a manner that adjacent ditches will be drained effectively and that no damage of any kind is done to the adjacent completed pavement. The completed shoulders shall be true to alignment and grade and shaped to drain in conformity with the cross section shown.

3.10 FINISHING

The surface of excavations, embankments, and subgrades shall be finished to a smooth and compact surface in accordance with the lines, grades, and cross sections or elevations shown. The degree of finish for graded areas shall be within 0.1 foot of the grades and elevations indicated except that the degree of finish for subgrades shall be specified in paragraph SUBGRADE PREPARATION. Gutters and ditches shall be finished in a manner that will result in effective drainage. The surface of areas to be turfed shall be finished to a smoothness suitable for the application of turving materials.

3.11 PLACING TOPSOIL

On areas to receive topsoil, the compacted subgrade soil shall be scarified to a 2 inch depth for bonding of topsoil with subsoil. Topsoil then shall be spread evenly to a thickness of 4 inches and graded to the elevations and slopes shown. Topsoil shall not be spread when frozen or excessively wet or dry. Material required for topsoil in excess of that produced by excavation within the grading limits shall be obtained from offsite areas.

3.12 TESTING

Testing shall be performed by an approved commercial testing laboratory or by the Contractor subject to approval. If the Contractor elects to establish testing facilities, no work requiring testing will be permitted until the Contractor's facilities have been inspected and approved by the Contracting Officer. Field in-place density shall be determined in accordance with ASTM D 1556, ASTM D 2167 or ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using only the sand cone method as described in ASTM D 1556. ASTM D 2922 results in a wet unit weight of soil and when using this method ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017; the calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. When test results indicate, as determined by the Contracting Officer, that compaction is not as specified, the material shall be removed, replaced and recompact to meet specification requirements. Tests on recompact areas shall be performed to determine conformance with specification requirements. Inspections and test results shall be certified by a registered professional civil engineer. These certifications shall state that the tests and observations were performed by or under the direct supervision of the engineer and that the results are representative of the materials or conditions being certified by the tests. The following number of tests, if performed at the appropriate time, will be the minimum acceptable for each type operation.

3.12.1 Fill and Backfill Material Gradation

One test per 1000 cubic yards stockpiled or in-place source material. Gradation of fill and backfill material shall be determined in accordance with ASTM D 422 .

3.12.2 In-Place Densities

- a. One test per 25,000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by other than

hand-operated machines.

- b. One test per 5,000 square feet, or fraction thereof, of each lift of fill or backfill areas compacted by hand-operated machines.
- c. One test per 250 linear feet, or fraction thereof, of each lift of embankment or backfill for roads .

3.12.3 Check Tests on In-Place Densities

If ASTM D 2922 is used, in-place densities shall be checked by ASTM D 1556 as follows:

- a. One check test per lift for each 150,000 square feet, or fraction thereof, of each lift of fill or backfill compacted by other than hand-operated machines.
- b. One check test per lift for each 30,000 square feet, of fill or backfill areas compacted by hand-operated machines.
- c. One check test per lift for each 1,500 linear feet, or fraction thereof, of embankment or backfill for roads .

3.12.4 Moisture Contents

In the stockpile, excavation, or borrow areas, a minimum of two tests per day per type of material or source of material being placed during stable weather conditions shall be performed. During unstable weather, tests shall be made as dictated by local conditions and approved by the Contracting Officer.

3.12.5 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 1000 cubic yards of fill and backfill, or when any change in material occurs which may affect the optimum moisture content or laboratory maximum density.

3.12.6 Tolerance Tests for Subgrades

Continuous checks on the degree of finish specified in paragraph SUBGRADE PREPARATION shall be made during construction of the subgrades.

3.13 SUBGRADE AND EMBANKMENT PROTECTION

During construction, embankments and excavations shall be kept shaped and drained. Ditches and drains along subgrade shall be maintained to drain effectively at all times. The finished subgrade shall not be disturbed by traffic or other operation and shall be protected and maintained by the Contractor in a satisfactory condition until ballast, subbase, base, or pavement is placed. The storage or stockpiling of materials on the finished subgrade will not be permitted. No subbase, base course, ballast, or pavement shall be laid until the subgrade has been checked and approved, and in no case shall subbase, base, surfacing, pavement, or ballast be

placed on a muddy, spongy, or frozen subgrade.

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SECTION 02315A

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SECTION 02315A

EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS & IGLOOS
08/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996el) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1991; R 1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu.m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2216	(1998) Laboratory Determination of Water (Moisture) Content of Soil and Rock
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996el) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 2937	(1994) Density of Soil in Place by the Drive-Cylinder Method
ASTM D 3017	(1988; R 1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1998) Liquid Limit, Plastic Limit, and Plasticity Index of Soils

1.2 DEGREE OF COMPACTION

Degree of compaction is expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557, abbreviated as percent laboratory maximum density.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Testing; G-AO

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GC, GM, SW, SP, SC, SM, CL and ML.

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include expansive soils (CH), man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 Expansive Soils

Expansive soils are defined as soils that have a plasticity index equal to or greater than when tested in accordance with ASTM D 4318.

2.2 CAPILLARY WATER BARRIER

Capillary Water Barrier shall consist of clean, crushed, nonporous rock, crushed gravel, or uncrushed gravel. The maximum particle size shall be 1-1/2 inches and no more than 2 percent by weight shall pass the No. 4 size sieve.

2.3 SELECT FILL

Select fill shall consist of crushed stone, gravel, sand, or other approved aggregates, processed and blended or naturally combined. Select fill shall be durable and sound, free from lumps and balls of clay, organic matter, objectionable coatings or other foreign matter. Select fill shall have a maximum particle size of 3-inches, a percent passing the 1-inch sieve of not less than 50 percent, and a percent passing the number 200 sieve of not

more than 10 percent.

PART 3 EXECUTION

3.1 CLEARING AND GRUBBING

The areas within lines 5 feet outside of each building and structure line shall be cleared and grubbed of trees, stumps, roots, brush and other vegetation, debris, existing foundations, pavements, utility lines, structures, fences, and other items that would interfere with construction operations. Stumps, logs, roots, and other organic matter shall be completely removed and the resulting depressions shall be filled with satisfactory material, placed and compacted in accordance with paragraph FILLING AND BACKFILLING. Materials removed shall be disposed of outside the limits of Government-controlled property at the Contractor's responsibility.

3.2 TOPSOIL

Topsoil shall be stripped within the designated excavations and grading lines and deposited in storage piles for later use as specified in Section 02300A EARTHWORK. Excess topsoil shall be disposed as specified for excess excavated material.

3.3 EXCAVATION

See the drawings for overexcavation requirements below foundations and slabs. Excavation shall conform to the dimensions and elevations indicated for each building, structure, and footing except as specified, and shall include trenching for utility and foundation drainage systems to a point 5 feet beyond the building line of each building and structure, excavation for and all work incidental thereof. Excavation shall extend a sufficient distance from walls and footings to allow for placing and removal of forms.

Excavations below indicated depths will not be permitted except to remove unsatisfactory material. Unsatisfactory material encountered below the grades shown shall be replaced with satisfactory material; and payment will be made in conformance with the CHANGES clause of the CONTRACT CLAUSES. Satisfactory material removed below the depths indicated, without specific direction of the Contracting Officer, shall be replaced, at no additional cost to the Government, with satisfactory materials to the indicated excavation grade; except that concrete footings shall be increased in thickness to the bottom of the overdepth excavations and over-break in rock excavation. Satisfactory material shall be placed and compacted as specified in paragraph FILLING AND BACKFILLING. Determination of elevations and measurements of approved overdepth excavation of unsatisfactory material below grades indicated shall be done under the direction of the Contracting Officer.

3.4 DRAINAGE AND DEWATERING

3.4.1 Drainage

Surface water shall be directed away from excavation and construction sites to prevent erosion and undermining of foundations. Diversion ditches, dikes and grading shall be provided and maintained as necessary during construction. Excavated slopes and backfill surfaces shall be protected to prevent erosion and sloughing. Excavation shall be performed so that the site, the area immediately surrounding the site, and the area affecting operations at the site shall be continually and effectively drained.

3.4.2 Dewatering

Groundwater flowing toward or into excavations shall be controlled to prevent sloughing of excavation slopes and walls, boils, uplift and heave in the excavation and to eliminate interference with orderly progress of construction. French drains, sumps, ditches or trenches will not be permitted within 3 feet of the foundation of any structure, except with specific written approval, and after specific contractual provisions for restoration of the foundation area have been made. Control measures shall be taken by the time the excavation reaches the water level in order to maintain the integrity of the in situ material. While the excavation is open, the water level shall be maintained continuously, at least 2 feet below the working level.

3.5 SHORING

Shoring, including sheet piling, shall be furnished and installed as necessary to protect workmen, banks, adjacent paving, structures, and utilities. Shoring, bracing, and sheeting shall be removed as excavations are backfilled, in a manner to prevent caving.

3.6 CLASSIFICATION OF EXCAVATION

Excavation will be unclassified regardless of the nature of material encountered.

3.7 BLASTING

Blasting will not be permitted.

3.8 UTILITY AND DRAIN TRENCHES

Trenches for underground utilities systems and drain lines shall be excavated as specified in Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS .

3.9 BORROW

Where satisfactory materials are not available in sufficient quantity from required excavations, approved materials shall be obtained as specified in Section 02300A EARTHWORK.

3.10 EXCAVATED MATERIALS

Satisfactory excavated material required for fill or backfill shall be placed in the proper section of the permanent work required under this section or shall be separately stockpiled if it cannot be readily placed. Satisfactory material in excess of that required for the permanent work and all unsatisfactory material shall be disposed of as specified in Section 02300A EARTHWORK.

3.11 FINAL GRADE OF SURFACES TO SUPPORT CONCRETE

Excavation to final grade shall not be made until just before concrete is to be placed. Approximately level surfaces shall be roughened, and sloped surfaces shall be cut as indicated into rough steps or benches to provide a satisfactory bond. All surfaces shall be protected from erosion resulting from ponding or flow of water.

3.12 SUBGRADE PREPARATION

Unsatisfactory material in surfaces to receive fill or in excavated areas shall be removed and replaced with satisfactory materials as directed by the Contracting Officer. The surface shall be scarified to a depth of 6 inches before the fill is started. Sloped surfaces steeper than 1 vertical to 4 horizontal shall be plowed, stepped, benched, or broken up so that the fill material will bond with the existing material. When subgrades are less than the specified density, the ground surface shall be broken up to a minimum depth of 6 inches, pulverized, and compacted to the specified density. When the subgrade is part fill and part excavation or natural ground, the excavated or natural ground portion shall be scarified to a depth of 12 inches and compacted as specified for the adjacent fill. Material shall not be placed on surfaces that are muddy, frozen, or contain frost. Compaction shall be accomplished by sheepsfoot rollers, pneumatic-tired rollers, steel-wheeled rollers, or other approved equipment well suited to the soil being compacted. Material shall be moistened or aerated as necessary to provide the moisture content that will readily facilitate obtaining the specified compaction with the equipment used. Minimum subgrade density shall be as specified in paragraph FILLING AND BACKFILLING.

3.13 FILLING AND BACKFILLING

See the drawings for overexcavation and backfill with select fill requirements below footings and slabs. See paragraph COMPACTING FILL OVER IGLOOS AND CONVERTER BUILDINGS for restrictions on compaction equipment used in those areas. Satisfactory materials shall be used in bringing fills and backfills to the lines and grades indicated and for replacing unsatisfactory materials. Satisfactory materials shall be placed in horizontal layers not exceeding 8 inches in loose thickness, or 6 inches when hand-operated compactors are used. After placing, each layer shall be plowed, disked, or otherwise broken up, moistened or aerated as necessary, thoroughly mixed and compacted as specified. Backfilling shall not begin until construction below finish grade has been approved, underground utilities systems have been inspected, tested and approved, forms removed, and the excavation cleaned of trash and debris. Backfill shall be brought to indicated finish grade. Backfill shall not be placed in wet or frozen areas. Where pipe is coated or wrapped for protection against corrosion, the backfill material up to an elevation 2 feet above sewer lines and 1 foot above other utility lines shall be free from stones larger than 1 inch in any dimension. Heavy equipment for spreading and compacting backfill shall not be operated closer to foundation or retaining walls than a distance equal to the height of backfill above the top of footing; the area remaining shall be compacted in layers not more than 4 inches in compacted thickness with power-driven hand tampers suitable for the material being compacted. Backfill shall be placed carefully around pipes or tanks to avoid damage to coatings, wrappings, or tanks. Backfill shall not be placed against foundation walls prior to 7 days after completion of the walls. As far as practicable, backfill shall be brought up evenly on each side of the wall and sloped to drain away from the wall. Each layer of fill and backfill shall be compacted to not less than the percentage of maximum density specified below:

	Percent Laboratory maximum density	
	Cohesive material	Cohesionless material
<u>Fill, embankment, and backfill</u>		
Under structures, building slabs, steps, paved areas, around footings, and in trenches	90	95
Under sidewalks and grassed areas	90	95
<u>Subgrade</u>		
Under building slabs, steps, and paved areas, top 12 inches	90	95
Under sidewalks, top 6 inches	90	95

Approved compacted subgrades that are disturbed by the Contractor's operations or adverse weather shall be scarified and compacted as specified herein before to the required density prior to further construction thereon. Recomposition over underground utilities and heating lines shall be by hand tamping.

3.13.1 Backfilling and Compacting Select Fill

Where select fill is indicated on the drawings, select fill shall be placed and compacted to 95 percent of maximum density in lifts not exceeding 8-inches in thickness. The moisture content shall be that suitable for the specific fill type. Where indicated on drawings, the overexcavation shall be lined with a 20-mil Geomembrane conforming to specifications section 02373 GEOMEMBRANE and an 8-0z non-woven geotextile conforming to specification section 02372A GEOTEXTILE before backfilling with select fill. Vehicle traffic shall not be permitted on the geotextile until after the first lift of select fill has been placed, and care shall be taken to prevent tearing of the geotextile by turning equipment. If subgrade conditions are too soft to allow compacting the initial lift to the specified density, it may be placed without compaction to bridge the soft subgrade, and subsequent lifts placed and compacted to the specified density.

3.13.2 Compacting Fill Over Igloos and Converter Buildings

Equipment heavier than 12,000 pounds shall not be permitted over igloos or converter buildings. The first lift of fill shall be 12 inches in thickness and sheepsfoot roller or other equipment that might damage concrete shall not be used to compact the first lift. Vibratory equipment shall not be used on fill over igloos or converter buildings until at least three feet of fill has been placed over the top of the structure.

3.14 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government. Testing shall be performed by an approved commercial testing laboratory or may be performed by the Contractor subject to approval. Field in-place density shall be determined in accordance with ASTM D 1556, ASTM D 2167, or ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted if necessary by the procedure described in ASTM D 2922, paragraph ADJUSTING CALIBRATION CURVE. ASTM D 2922 results in a wet unit weight of soil and when using this method ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job on each different type of material encountered and at intervals as directed by the Contracting Officer. ASTM D 2937 shall be used only for soft, fine-grained, cohesive soils. The following number of tests, if performed at the appropriate time, shall be the minimum acceptable for each type operation.

3.14.1 In-Place Densities

In-place density and moisture content test results shall be included with the Contractor's daily construction quality control reports.

3.14.1.1 In-Place Density of Subgrades

One test per 1000 square foot or fraction thereof.

3.14.1.2 In-Place Density of Fills and Backfills

One test per 3000 square foot or fraction thereof of each lift for fill or backfill areas compacted by other than hand or hand-operated machines. The density for each lift of fill or backfill materials for trenches, pits, building perimeters or other structures or areas less than 3 feet in width, which are compacted with hand or hand-operated machines shall be tested as follows: One test per each area less than 500 square feet, or one test for each 100 linear foot of long narrow fills. If ASTM D 2922 is used, in-place densities shall be checked by ASTM D 1556 at least twice daily.

3.14.2 Moisture Content

In the stockpile, excavation or borrow areas, a minimum of two tests per day per type of material or source of materials being placed is required during stable weather conditions. During unstable weather, tests shall be made as dictated by local conditions and approved moisture content shall be tested in accordance with ASTM D 2216.

3.14.3 Optimum Moisture and Laboratory Maximum Density

Tests shall be made for each type material or source of material, including borrow material to determine the optimum moisture and laboratory maximum density values. One representative test per 100 cubic yards of fill and backfill, or when any change in material occurs which may affect the

optimum moisture content or laboratory maximum density will be made.

3.15 CAPILLARY WATER BARRIER

Capillary water barrier under concrete slabs shall be compacted with a minimum of two passes of a hand-operated plate-type vibratory compactor.

3.16 GRADING

Areas within 5 feet outside of each building and structure line shall be constructed true-to-grade, shaped to drain, and shall be maintained free of trash and debris until final inspection has been completed and the work has been accepted.

3.17 PROTECTION

Settlement or washing that occurs in graded, topsoiled, or backfilled areas prior to acceptance of the work, shall be repaired and grades reestablished to the required elevations and slopes.

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SECTION 02316A

EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS
05/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1556	(1990; R 1996) Density and Unit Weight of Soil in Place by the Sand-Cone Method
ASTM D 1557	(1998) Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/cu. ft. (2,700 kN-m/cu. m.))
ASTM D 2167	(1994) Density and Unit Weight of Soil in Place by the Rubber Balloon Method
ASTM D 2487	(1998) Classification of Soils for Engineering Purposes (Unified Soil Classification System)
ASTM D 2922	(1996) Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth)
ASTM D 3017	(1988; R1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)

1.2 DEGREE OF COMPACTION

Degree of compaction shall be expressed as a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-06 Test Reports

Field Density Tests; G-AO
Testing of Backfill Materials; G-AO

Copies of all laboratory and field test reports within 24 hours of the completion of the test.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Satisfactory Materials

Satisfactory materials shall comprise any materials classified by ASTM D 2487 as GW, GP, GM, GP-GM, GW-GM, GC, GP-GC, GM-GC, SW, SM, SW-SM, SC, SW-SC, SP-SM, SP-SC, CL, ML, .

2.1.2 Unsatisfactory Materials

Materials which do not comply with the requirements for satisfactory materials are unsatisfactory. Unsatisfactory materials also include man-made fills, trash, refuse, or backfills from previous construction. Unsatisfactory material also includes material classified as satisfactory which contains root and other organic matter, frozen material, and stones larger than 3 inches. The Contracting Officer shall be notified of any contaminated materials.

2.1.3 Cohesionless and Cohesive Materials

Cohesionless materials shall include materials classified in ASTM D 2487 as GW, GP, SW, and SP. Cohesive materials shall include materials classified as GC, SC, ML, CL, MH, and CH. Materials classified as GM and SM shall be identified as cohesionless only when the fines are nonplastic.

2.1.4 Unyielding Material

Unyielding material shall consist of rock and gravelly soils with stones greater than 3 inches in any dimension or as defined by the pipe manufacturer, whichever is smaller.

2.1.5 Unstable Material

Unstable material shall consist of materials too wet to properly support the utility pipe, conduit, or appurtenant structure.

2.1.6 Select Granular Material

Select granular material shall consist of well-graded sand, gravel, crushed gravel, crushed stone or crushed slag composed of hard, tough and durable particles, and shall contain not more than 10 percent by weight of material passing a No. 200 mesh sieve and no less than 95 percent by weight passing the 1 inch sieve. The maximum allowable aggregate size shall be 1 inch, or the maximum size recommended by the pipe manufacturer, whichever is smaller.

2.1.7 Initial Backfill Material

Initial backfill shall consist of select granular material or satisfactory materials free from rocks 1 1/2 inches or larger in any dimension or free from rocks of such size as recommended by the pipe manufacturer, whichever is smaller. When the pipe is coated or wrapped for corrosion protection, the initial backfill material shall be free of stones larger than 3/4 inch in any dimension or as recommended by the pipe manufacturer, whichever is

smaller.

2.2 PLASTIC MARKING TAPE

Plastic marking tape shall be acid and alkali-resistant polyethylene film, 6 inches wide with minimum thickness of 0.004 inch. Tape shall have a minimum strength of 1750 psi lengthwise and 1500 psi crosswise. The tape shall be manufactured with integral wires, foil backing or other means to enable detection by a metal detector when the tape is buried up to 3 feet deep. The tape shall be of a type specifically manufactured for marking and locating underground utilities. The metallic core of the tape shall be encased in a protective jacket or provided with other means to protect it from corrosion. Tape color shall be as specified in TABLE 1 and shall bear a continuous printed inscription describing the specific utility.

TABLE 1. Tape Color

Red:	Electric
Yellow:	Gas, Oil, Dangerous Materials
Orange:	Telephone, Telegraph, Television, Police, and Fire Communications
Blue:	Water Systems
Green:	Sewer Systems

2.3 Detection Wire For Non-Metallic Piping

Detection wire shall be insulated single strand, solid copper with a minimum diameter of 12 AWG.

PART 3 EXECUTION

3.1 EXCAVATION

Excavation shall be performed to the lines and grades indicated. During excavation, material satisfactory for backfilling shall be stockpiled in an orderly manner at a distance from the banks of the trench equal to 1/2 the depth of the excavation, but in no instance closer than 2 feet. Excavated material not required or not satisfactory for backfill shall be removed from the site. Grading shall be done as may be necessary to prevent surface water from flowing into the excavation, and any water accumulating shall be removed to maintain the stability of the bottom and sides of the excavation. Contractor shall be responsible for providing and operating dewatering systems, including spare pumps, as required to maintain trenches in a dry condition during construction. Unauthorized overexcavation shall be backfilled in accordance with paragraph BACKFILLING AND COMPACTION at no additional cost to the Government.

3.1.1 Trench Excavation Requirements

The trench shall be excavated as recommended by the manufacturer of the pipe to be installed. Trench walls below the top of the pipe shall be sloped, or made vertical, and of such width as recommended in the manufacturer's installation manual. Where no manufacturer's installation manual is available, trench walls shall be made vertical. Trench walls shall be shored, cut back to a stable slope, or provided with equivalent means of protection for employees in accordance with the requirements of 29 CFR 1926 Subpart P and all other applicable Corps of Engineers requirements. Trench walls which are cut back shall be excavated to at least the angle of repose of the soil. Special attention shall be given to

slopes which may be adversely affected by weather or moisture content. The trench width below the top of pipe shall not exceed 24 inches plus pipe outside diameter (O.D.) for pipes of less than 24 inches inside diameter and shall not exceed 36 inches plus pipe outside diameter for sizes larger than 24 inches inside diameter. Where recommended trench widths are exceeded, redesign, stronger pipe, or special installation procedures shall be utilized by the Contractor. The cost of redesign, stronger pipe, or special installation procedures shall be borne by the Contractor without any additional cost to the Government.

3.1.1.1 Bottom Preparation

The bottoms of trenches shall be accurately graded to provide uniform bearing and support for the bottom quadrant of each section of the pipe. Bell holes shall be excavated to the necessary size at each joint or coupling to eliminate point bearing. Stones of 3 inches or greater in any dimension, or as recommended by the pipe manufacturer, whichever is smaller, shall be removed to avoid point bearing.

3.1.1.2 Removal of Unyielding Material

Where unyielding material is encountered in the bottom of the trench, such material shall be removed 6 inches below the required grade and replaced with suitable materials as provided in paragraph BACKFILLING AND COMPACTION.

3.1.1.3 Removal of Unstable Material

Where unstable material is encountered in the bottom of the trench, such material shall be removed to the depth directed and replaced to the proper grade with select granular material as provided in paragraph BACKFILLING AND COMPACTION. When removal of unstable material is required due to the Contractor's fault or neglect in performing the work, the resulting material shall be excavated and replaced by the Contractor without additional cost to the Government.

3.1.1.4 Excavation for Appurtenances

Excavation for manholes, catch-basins, inlets, or similar structures shall be sufficient to leave at least 12 inches clear between the outer structure surfaces and the face of the excavation or support members. Removal of unstable material shall be as specified above. When concrete or masonry is to be placed in an excavated area, special care shall be taken not to disturb the bottom of the excavation. Excavation to the final grade level shall not be made until just before the concrete or masonry is to be placed.

3.1.1.5 Jacking, Boring, and Tunneling

Unless otherwise indicated or specified, excavation shall be by open cut except that sections of a trench may be jacked, bored, or tunneled if, in the opinion of the Contracting Officer, the pipe, cable, or duct can be safely and properly installed and backfill can be properly compacted in such sections. Open cutting shall not be used where crossing existing roadways.

3.1.2 Stockpiles

Stockpiles of satisfactory, unsatisfactory and wasted materials shall be placed and graded as specified. Stockpiles shall be kept in a neat and

well drained condition, giving due consideration to drainage at all times. The ground surface at stockpile locations shall be cleared, grubbed, and sealed by rubber-tired equipment, excavated satisfactory and unsatisfactory materials shall be separately stockpiled. Stockpiles of satisfactory materials shall be protected from contamination which may destroy the quality and fitness of the stockpiled material. If the Contractor fails to protect the stockpiles, and any material becomes unsatisfactory, such material shall be removed and replaced with satisfactory material from approved sources at no additional cost to the Government. Locations of stockpiles of satisfactory materials shall be subject to prior approval of the Contracting Officer.

3.2 BACKFILLING AND COMPACTION

Backfill material shall consist of satisfactory material, select granular material, or initial backfill material as required. Backfill shall be placed in layers not exceeding 6 inches loose thickness for compaction by hand operated machine compactors, and 8 inches loose thickness for other than hand operated machines, unless otherwise specified. Each layer shall be compacted to at least 95 percent maximum density for cohesionless soils and 90 percent maximum density for cohesive soils, unless otherwise specified.

3.2.1 Trench Backfill

Trenches shall be backfilled to the grade shown. The trench shall be backfilled to a minimum of 1 foot above the top of pipe prior to performing the required pressure tests. The joints and couplings shall be left uncovered during the pressure test.

3.2.1.1 Replacement of Unyielding Material

Unyielding material removed from the bottom of the trench shall be replaced with select granular material or initial backfill material.

3.2.1.2 Replacement of Unstable Material

Unstable material removed from the bottom of the trench or excavation shall be replaced with select granular material placed in layers not exceeding 6 inches loose thickness.

3.2.1.3 Bedding and Initial Backfill

Bedding shall be of the type and thickness shown. Initial backfill material shall be placed and compacted with approved tampers to a height of at least one foot above the utility pipe or conduit. The backfill shall be brought up evenly on both sides of the pipe for the full length of the pipe. Care shall be taken to ensure thorough compaction of the fill under the haunches of the pipe.

3.2.1.4 Final Backfill

The remainder of the trench, except for special materials for roadways, railroads and airfields, shall be filled with satisfactory material. Backfill material shall be placed and compacted as follows:

- a. Roadways: Backfill shall be placed up to the elevation at which the requirements in Section 02300 EARTHWORK control. Water flooding or jetting methods of compaction will not be permitted.

Utilities crossing existing roadways shall be installed by jacking and boring or tunneling as approved; no open cutting of existing roads shall be allowed.

- b. Sidewalks, Turfed or Seeded Areas and Miscellaneous Areas: Backfill shall be deposited in layers of a maximum of 12 inch loose thickness, and compacted to 85 percent maximum density for cohesive soils and 90 percent maximum density for cohesionless soils. Compaction by water flooding or jetting will not be permitted. This requirement shall also apply to all other areas not specifically designated above.

3.2.2 Backfill for Appurtenances

After the manhole, catchbasin, inlet, or similar structure has been constructed and the concrete has been allowed to cure for 7 days], backfill shall be placed in such a manner that the structure will not be damaged by the shock of falling earth. The backfill material shall be deposited and compacted as specified for final backfill, and shall be brought up evenly on all sides of the structure to prevent eccentric loading and excessive stress.

3.3 SPECIAL REQUIREMENTS

Special requirements for both excavation and backfill relating to the specific utilities are as follows:

3.3.1 Gas Distribution

Trenches shall be excavated to a depth that will provide not less than 24 inches of cover. Trenches shall be graded as specified for pipe-laying requirements in Section 02556 GAS DISTRIBUTION SYSTEM.

3.3.2 Water Lines

Trenches shall be of a depth to provide a minimum cover of 8 feet from the existing ground surface, or from the indicated finished grade, whichever is lower, to the top of the pipe.

3.3.3 Electrical Distribution System

Direct burial cable and conduit or duct line shall have a minimum cover of 24 inches from the finished grade, unless otherwise indicated. Special trenching requirements for direct-burial electrical cables and conduits are specified in Section 16375 ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND.

3.3.4 Plastic Marking Tape

Warning tapes and wires shall be installed directly above the pipe, at a depth of 18 inches below finished grade unless otherwise shown.

3.4 TESTING

Testing shall be the responsibility of the Contractor and shall be performed at no additional cost to the Government.

3.4.1 Testing Facilities

Tests shall be performed by an approved commercial testing laboratory or

may be tested by facilities furnished by the Contractor. No work requiring testing will be permitted until the facilities have been inspected and approved by the Contracting Officer.

3.4.2 Testing of Backfill Materials

Classification of backfill materials shall be determined in accordance with ASTM D 2487 and the moisture-density relations of soils shall be determined in accordance with ASTM D 1557. A minimum of one soil classification and one moisture-density relation test shall be performed on each different type of material used for bedding and backfill.

3.4.3 Field Density Tests

Tests shall be performed in sufficient numbers to ensure that the specified density is being obtained. A minimum of one field density test per lift of backfill for every 200 feet of installation shall be performed. One moisture density relationship shall be determined for every 1500 cubic yards of material used. Field in-place density shall be determined in accordance with ASTM D 1556, ASTM D 2167 or ASTM D 2922. When ASTM D 2922 is used, the calibration curves shall be checked and adjusted using the sand cone method as described in paragraph Calibration of the ASTM publication. ASTM D 2922 results in a wet unit weight of soil and when using this method, ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made at the beginning of a job, on each different type of material encountered, at intervals as directed by the Contracting Officer. Copies of calibration curves, results of calibration tests, and field and laboratory density tests shall be furnished to the Contracting Officer. Trenches improperly compacted shall be reopened to the depth directed, then refilled and compacted to the density specified at no additional cost to the Government.

3.4.4 Displacement of Sewers

Contractor shall take care to prevent displacement or damage to existing sewer line. The line shall be carefully supported when conducting construction around or near the sewer and while installing concrete encasement. If, in the judgement of the Contracting Officer, the pipe shows poor alignment or any other defects that would cause improper functioning of the system, the defects shall be remedied as directed at no additional cost to the Government.

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SECTION 02372A

GEOMEMBRANE
10/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of the specification to the extent referenced. The publications are referenced in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 638	(1999) Tensile Properties of Plastics
ASTM D 1004	(1994a) Initial Tear Resistance of Plastic Film and Sheeting
ASTM D 1505	(1998) Density of Plastics by the Density-Gradient Technique
ASTM D 1603	(1994) Carbon Black in Olefin Plastics
ASTM D 3895	(1998) Method for Oxidative-Induction Time of Polyolefins by Differential Scanning Calorimetry
ASTM D 4218	(1996) Test Method for Determination of Carbon Black Content in Polyethylene Compounds By the Muffle-Furnace Technique
ASTM D 4833	(2000) Index Puncture Resistance of Geotextiles, Geomembranes, and Related Products
ASTM D 5199	(1999) Measuring Nominal Thickness of Geotextiles and Geomembranes
ASTM D 5397	(1995) Evaluation of Stress Crack Resistance of Polyolefin Geomembranes Using Notched Constant Tensile Load Test
ASTM D 5596	(1994) Microscopic Evaluation of the Dispersion of Carbon Black in Polyolefin Geosynthetics
ASTM D 5721	(1995) Air-Oven Aging of Polyolefin Geomembranes
ASTM D 5885	(1997) Oxidative Induction Time of Polyolefin Geosynthetics by High-Pressure Differential Scanning Calorimetry

GEOSYNTHETIC INSTITUTE (GSI)

GSI GRI GM-11

(1997) Accelerated Weathering of
Geomembrane Using a Fluorescent UVA Device

1.2 DELIVERY, STORAGE AND HANDLING

1.2.1 Storage

Temporary storage at the project site shall be on a level surface, free of sharp objects where water cannot accumulate. The geomembrane shall be protected from puncture, abrasion, excessive heat or cold, material degradation, or other damaging circumstances. Storage shall not result in crushing the core of roll goods or flattening of the rolls. Rolls shall not be stored more than two high. Palletted materials shall be stored on level surfaces and shall not be stacked on top of one another. Ultraviolet sensitive materials (i.e., PVC) shall be covered with a sacrificial opaque and waterproof covering or placed in a temporary shelter. Damaged geomembrane shall be removed from the site and replaced with geomembrane that meets the specified requirements.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Raw Materials

Resin used in manufacturing geomembrane sheets shall be made of virgin uncontaminated ingredients. No more than 10 percent regrind, reworked, or trim material in the form of chips or edge strips shall be used to manufacture the geomembrane sheets. All regrind, reworked, or trim materials shall be from the same manufacturer and exactly the same formulation as the geomembrane sheet being produced. No post consumer materials or water-soluble ingredients shall be used to produce the geomembrane. For geomembranes with plasticizers, only primary plasticizers that are resistant to migration shall be used.

2.1.2 Sheet Materials

Geomembrane sheets shall be unreinforced and manufactured as wide as possible to minimize factory and field seams. Geomembrane sheets shall be uniform in color, thickness, and surface texture. The sheets shall be free of and resistant to fungal or bacterial attack and free of cuts, abrasions, holes, blisters, contaminants and other imperfections. Geomembrane sheets and factory seams shall conform to the requirements listed in Table 1 for Manufacturing Quality Control (MQC).

TABLE 1. SMOOTH HDPE GEOMEMBRANE PROPERTIES

PROPERTY	TEST VALUE	MQC TESTING FREQUENCY (MIN.)	TEST METHOD
Thickness (min ave)	20 mils	per roll	ASTM D 5199

TABLE 1. SMOOTH HDPE GEOMEMBRANE PROPERTIES

PROPERTY	TEST VALUE	MQC TESTING FREQUENCY (MIN.)	TEST METHOD
Lowest individual of 10 values	-10 percent	per roll	ASTM D 5199
Density (min)	0.939 g/cc	per 200,000 lb	ASTM D 1505
Tensile Properties (1) (min ave)		per 20,000 lb	ASTM D 638 Type IV
-break stress	75 lb/in		
-break elong	800 percent		
Tear Resistance (min ave)	11 lb	per 45,000 lb	ASTM D 1004
Puncture Resistance (min ave)	27 lb	per 45,000 lb	ASTM D 4833
Stress Crack Resistance (2)	200 hr	per 200,000 lb	ASTM D 5397 (Appendix)
Carbon Black Content	2.0-3.0 percent	per 45,000 lb	ASTM D 1603 (3)
Carbon Black Dispersion	Note (4)	per 45,000 lb	ASTM D 5596
Oxidative Induction Time (OIT) (min ave) (5)		per 200,000 lb	
-Std OIT	100 min		ASTM D 3895
or			
-High Pres OIT	400 min		ASTM D 5885
Oven Aging at 85 deg C (min ave) (5), (6)		per year and change in formulation	ASTM D 5721
-Std OIT	35 percent at 90 days		ASTM D 3895
or			
-High Pres OIT	60 percent at 90 days		ASTM D 5885
UV Resistance (min ave) (7)		per year and change in	GSI GRI GM-11

TABLE 1. SMOOTH HDPE GEOMEMBRANE PROPERTIES

PROPERTY	TEST VALUE	MQC TESTING FREQUENCY (MIN.)	TEST METHOD
		formulation	
-High Pres OIT	35 percent at 1600 hours		ASTM D 5885

TABLE 1 NOTES:

Note (1): Minimum average machine direction and minimum average cross machine direction values shall be based on 5 test specimens in each direction. Yield elongation is calculated using a gauge length of 1.3 inches. Break elongation is calculated using a gauge length of 2.0 inches.

Note (2): The yield stress used to calculate the applied load for test method ASTM D 5397 (Appendix), shall be the manufacturer's mean value.

Note (3): Other methods such as ASTM D 4218 or microwave methods are acceptable if an appropriate correlation to ASTM D 1603 can be established.

Note (4): Carbon black dispersion for 10 different views:
 - minimum 8 of 10 in Categories 1 or 2
 - all 10 in Categories 1,2, or 3

Note (5): The manufacturer has the option to select either one of the OIT methods to evaluate the antioxidant content.

Note (6): Evaluate samples at 30 and 60 days and compare with the 90 day response.

Note (7): The condition of the test shall be a 20 hour UV cycle at 167 degrees F followed by a 4 hour condensation cycle at 140 degrees F.

PART 3 EXECUTION

3.1 PREPARATION

3.1.1 Surface Preparation

Surface preparation shall be performed in accordance with Section 02315a EXCAVATION, FILLING AND BACKFILLING FOR BUILDINGS. Rocks larger than 1/2 inch in diameter and any other material which could damage the geomembrane shall be removed from the surface to be covered with the geomembrane.

3.2 GEOMEMBRANE PLACEMENT

The procedures and equipment used shall not elongate, wrinkle, scratch, or otherwise damage the geomembrane, other geosynthetic layers, or the underlying subgrade. Geomembrane damaged during installation shall be replaced or repaired, at the Contracting Officer's discretion, at no

additional cost to the Government .

3.2.1 Wrinkles

The methods used to deploy and backfill over the geomembrane shall minimize wrinkles and tensile stresses in the geomembrane. The geomembrane shall have adequate slack to prevent the creation of tensile stress. The wrinkle height to width ratio for installed geomembrane shall not exceed 0.5. In addition, geomembrane wrinkles shall not exceed 6 inches in height. Wrinkles that do not meet the above criteria shall be cut out and repaired in accordance with the installer's approved QC manual.

3.3 SEAMS

Geomembrane panels shall be continuously overlapped a minimum of 6 inches.

3.4 DEFECTS AND REPAIRS

3.4.1 Patches

Tears, holes, blisters and other defects shall be repaired with patches. Patches shall have rounded corners, be made of the same geomembrane, and extend a minimum of 6 inches beyond the edge of defects.

3.5 VISUAL INSPECTION AND EVALUATION

Immediately prior to covering, the geomembrane shall be visually inspected by the installer and Contracting Officer for defects, holes, or damage due to weather conditions or construction activities. At the Contracting Officer's discretion, the surface of the geomembrane shall be brushed, blown, or washed by the installer if the amount of dust, mud, or foreign material inhibits inspection or functioning of the overlying material.

3.6 PROTECTION AND BACKFILLING

The geomembrane shall be overlain with a geotextile as specified in Section 02373a prior to placement of the select fill material. The geomembrane shall be covered with geotextile and select fill material within 14 calendar days of acceptance. Wrinkles in the geomembrane shall be prevented from folding over during placement of cover materials.

-- End of Section --

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09/01

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SECTION 02373

GEOTEXTILE
09/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of the specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 4355	(1999) Deterioration of Geotextiles from Exposure to Ultraviolet Light and Water (Xenon-Arc Type Apparatus)
ASTM D 4491	(1999a) Water Permeability of Geotextiles by Permittivity
ASTM D 4533	(1991; R 1996) Trapezoid Tearing Strength of Geotextiles
ASTM D 4632	(1991; R 1997) Grab Breaking Load and Elongation of Geotextiles
ASTM D 4751	(1999a) Determining Apparent Opening Size of a Geotextile
ASTM D 4833	(2000) Index Puncture Resistance of Geotextiles, Geomembranes, and Related Products
ASTM D 4873	(2001) Identification, Storage, and Handling of Geosynthetic Rolls and Samples

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Manufacturing Quality Control Manual Sampling and Testing; G-AO

A minimum of 14 days prior to scheduled use, manufacturer's quality control manual including instructions for storage, handling, installation, seaming and repair.

SD-07 Certificates

Geotextile; G-AO

A minimum of 14 days prior to scheduled use, manufacturer's certificate of compliance stating that the geotextile meets the requirements of this section, and copies of manufacturer's quality control test results.

1.3 DELIVERY, STORAGE AND HANDLING

Delivery, storage, and handling of geotextile shall be in accordance with ASTM D 4873.

1.3.1 Delivery

Rolls shall be packaged in an opaque, waterproof, protective plastic wrapping. The plastic wrapping shall not be removed until deployment. If quality assurance samples are collected, rolls shall be immediately rewrapped with the plastic wrapping. Geotextile or plastic wrapping damaged during storage or handling shall be repaired or replaced, as directed. Each roll shall be labeled with the manufacturer's name, geotextile type, roll number, roll dimensions (length, width, gross weight), and date manufactured.

1.3.2 Storage

Rolls of geotextile shall be protected from construction equipment, chemicals, sparks and flames, temperatures in excess of 160 degrees F, or any other environmental condition that may damage the physical properties of the geotextile. To protect geotextile from becoming saturated, rolls shall either be elevated off the ground or placed on a sacrificial sheet of plastic in an area where water will not accumulate.

1.3.3 Handling

Geotextile rolls shall be handled and unloaded with load carrying straps, a fork lift with a stinger bar, or an axial bar assembly. Rolls shall not be dragged along the ground, lifted by one end, or dropped to the ground.

PART 2 PRODUCTS

2.1 RAW MATERIALS

2.1.1 Geotextile

Geotextile shall be a nonwoven pervious sheet of polymeric material and shall consist of long-chain synthetic polymers composed of at least 95 percent by weight polyolefins, polyesters, or polyamides. Stabilizers and/or inhibitors shall be added to the base polymer, as needed, to make the filaments resistant to deterioration by ultraviolet light, oxidation, and heat exposure. Regrind material, which consists of edge trimmings and other scraps that have never reached the consumer, may be used to produce the geotextile. Post-consumer recycled material may also be used. Geotextile shall be formed into a network such that the filaments or yarns retain dimensional stability relative to each other, including the edges. Geotextiles shall meet the requirements specified in Table 1. Where applicable, Table 1 property values represent minimum average roll values (MARV) in the weakest principal direction. Values for AOS represent maximum average roll values.

TABLE 1
MINIMUM PHYSICAL REQUIREMENTS FOR DRAINAGE GEOTEXTILE

PROPERTY	ACCEPTABLE VALUES	TEST METHOD
GRAB STRENGTH	200 pounds	ASTM D 4632
ELONGATION AT BREAK	> 50%	ASTM D 4632
PUNCTURE	80 pounds	ASTM D 4833
TRAPEZOID TEAR	80 pounds	ASTM D 4533
APPARENT OPENING SIZE	0.00835 inches	ASTM D 4751
PERMITTIVITY	0.5 sec ⁻¹	ASTM D 4491
ULTRAVIOLET DEGRADATION (strength retained at 500 hours)	50%	ASTM D 4355

PART 3 EXECUTION

3.1 QUALITY ASSURANCE SAMPLES AND TESTS

3.2 INSTALLATION

3.2.1 Placement

Geotextile rolls which are damaged or contain imperfections shall be repaired or replaced as directed. The geotextile shall be laid flat and smooth so that it is in direct contact with the geomembrane and subgrade. The geotextile shall also be free of tensile stresses, folds, and wrinkles.

3.3 SEAMS

3.3.1 Overlap Seams

Geotextile panels shall be continuously overlapped a minimum of 12 inches at all longitudinal and transverse joints.

3.4 PROTECTION

The geotextile shall be protected during installation from clogging, tears, and other damage. Damaged geotextile shall be repaired or replaced as directed. The geotextile shall not be left uncovered for more than 14 days after installation.

3.5 REPAIRS

Torn or damaged geotextile shall be repaired. Clogged areas of geotextile shall be removed. Repairs shall be performed by placing a patch of the

same type of geotextile over the damaged area. The patch shall extend a minimum of 12 inches beyond the edge of the damaged area. Patches shall be continuously fastened using approved methods. The machine direction of the patch shall be aligned with the machine direction of the geotextile being repaired. Geotextile rolls which cannot be repaired shall be removed and replaced. Repairs shall be performed at no additional cost to the Government

3.6 PENETRATIONS

Engineered penetrations of the geotextile shall be constructed by methods recommended by the geotextile manufacturer.

3.7 COVERING

Geotextile shall not be covered with select fill material prior to inspection and approval by the Contracting Officer. Select fill material shall be placed and compacted in a manner that prevents material from entering the geotextile overlap zone, prevents tensile stress from being mobilized in the geotextile, and prevents wrinkles from folding over onto themselves. No equipment shall be operated directly on top of the geotextile.

-- End of Section --

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SECTION 02510A

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05/02

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SECTION 02510A

WATER DISTRIBUTION SYSTEM

05/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 1784 (1999a) Rigid Poly(Vinyl Chloride) (PVC) Compounds and Chlorinated Poly(Vinyl Chloride) (CPVC) Compounds

ASME INTERNATIONAL (ASME)

ASME B16.1 (1998) Cast Iron Pipe Flanges and Flanged Fittings

AMERICAN WATER WORKS ASSOCIATION (AWWA)

AWWA B300 (1992) Hypochlorites

AWWA B301 (1992) Liquid Chlorine

AWWA C104 (1995) Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water

AWWA C110 (1993) Ductile-Iron and Gray-Iron Fittings, 3 In. Through 48 In. (75 mm through 1200 mm), for Water and Other Liquids

AWWA C153 (1994; Errata Nov 1996) Ductile-Iron Compact Fittings, 3 In. Through 24 In. (76 mm through 610 mm) and 54 In. through 64 In. (1,400 mm through 1,600 mm) for Water Service

AWWA C500 (1993; C500a) Metal-Sealed Gate Valves for Water Supply Service

AWWA C502 (1994; C502a) Dry-Barrel Fire Hydrants

AWWA C509 (1994; Addendum 1995) Resilient-Seated Gate Valves for Water Supply Service

AWWA C600	(1993) Installation of Ductile-Iron Water Mains and Their Appurtenances
AWWA C606	(1997) Grooved and Shouldered Joints
AWWA C651	(1992) Disinfecting Water Mains
AWWA C900	(1997; C900a) Polyvinyl Chloride (PVC) Pressure Pipe, 4 In. Through 12 In., for Water Distribution
AWWA M23	(1980) Manual: PVC Pipe - Design and Installation

ASBESTOS CEMENT PIPE PRODUCERS ASSOCIATION (ACPPA)

ACPPA 1344	(1988) Recommended Work Practices for A/C Pipe
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DUCTILE IRON PIPE RESEARCH ASSOCIATION (DIPRA)

DIPRA TRD	(1997) Thrust Restraint Design for Ductile Iron Pipe
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MANUFACTURERS STANDARDIZATION SOCIETY OF THE VALVE AND FITTINGS INDUSTRY (MSS)

MSS SP-80	(1997) Bronze Gate, Globe, Angle and Check Valves
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NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 49	(1994) Hazardous Chemicals Data
NFPA 325-1	(1994) Fire Hazard Properties of Flammable Liquids, Gases, and Volatile Solids
NFPA 704	(1996) Identification of the Fire Hazards of Materials for Emergency Response

NSF INTERNATIONAL (NSF)

NSF 14	(1998) Plastics Piping Components and Related Materials
NSF 61	(1999) Drinking Water System Components - Health Effects (Sections 1-9)

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC Paint 21	(1991) White or Colored Silicone Alkyd Paint
SSPC Paint 25	(1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (Without Lead and Chromate Pigments)

1.2 PIPING

This section covers water distribution lines. The Contractor shall have a copy of the manufacturer's recommendations for each material or procedure to be utilized available at the construction site at all times.

1.2.1 Distribution Lines

Piping for water distribution lines shall be polyvinyl chloride (PVC).

1.2.2 Potable Water Lines

Piping and components of potable water systems which come in contact with the potable water shall conform to NSF 61.

1.2.3 Plastic Piping System

Plastic piping system components (PVC) intended for transportation of potable water shall comply with NSF 14 and be legibly marked with their symbol. (Note: Although designated as "Fire Water Line", future use of the system may include supplying domestic water, therefore the entire water distribution system shall be considered as intended for transportation of potable water, regardless of designation.)

1.2.4 Excavation, Trenching, and Backfilling

Excavation, trenching, and backfilling shall be in accordance with the applicable provisions of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS, except as modified herein.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Installation;

The manufacturer's recommendations for each material or procedure to be utilized.

Waste Water Disposal Method;

The method proposed for disposal of waste water from hydrostatic tests and disinfection, prior to performing hydrostatic tests.

Satisfactory Installation; G-A0

A statement signed by the principal officer of the contracting firm stating that the installation is satisfactory and in accordance with the contract drawings and specifications, and the manufacturer's prescribed procedures and techniques, upon completion of the project and before final acceptance.

Double check detector check valve assembly; G-D0

Product data indicating over all configuration, size, type and industry approvals for double check detector check valve assembly, including bypass meter and valves and test features.

SD-06 Test Reports

Bacteriological Disinfection; G-AO

Test results from commercial laboratory verifying disinfection.

1.4 HANDLING

Pipe and accessories shall be handled to ensure delivery to the trench in sound, undamaged condition, including no injury to the pipe coating or lining. If the coating or lining of any pipe or fitting is damaged, the repair shall be made by the Contractor in a satisfactory manner, at no additional cost to the Government. No other pipe or material shall be placed inside a pipe or fitting after the coating has been applied. Pipe shall be carried into position and not dragged. Use of pinch bars and tongs for aligning or turning pipe will be permitted only on the bare ends of the pipe. The interior of pipe and accessories shall be thoroughly cleaned of foreign matter before being lowered into the trench and shall be kept clean during laying operations by plugging or other approved method. Before installation, the pipe shall be inspected for defects. Material found to be defective before or after laying shall be replaced with sound material without additional expense to the Government. Rubber gaskets that are not to be installed immediately shall be stored in a cool and dark place.

1.4.1 Miscellaneous Plastic Pipe and Fittings

Polyvinyl Chloride (PVC) pipe and fittings shall be handled and stored in accordance with the manufacturer's recommendations. Storage facilities shall be classified and marked in accordance with NFPA 704, with classification as indicated in NFPA 49 and NFPA 325-1.

PART 2 PRODUCTS

2.1 PIPE

Pipe shall conform to the respective specifications and other requirements specified below.

2.1.1 Plastic Pipe

2.1.1.1 PVC Plastic Pipe

Pipe, couplings and fittings shall be manufactured of material conforming to ASTM D 1784, Class 12454B.

Pipe 4 through 12 inch Diameter: Pipe, couplings and fittings shall conform to AWWA C900, Class 150, CIOD pipe dimensions, elastomeric-gasket joint, unless otherwise shown or specified.

2.2 FITTINGS AND SPECIALS

2.2.1 PVC Pipe System

For pipe 4 inch diameter and larger, fittings and specials shall be iron, bell end in accordance with AWWA C110, 150 psi pressure rating unless otherwise shown or specified, except that profile of bell may have special dimensions as required by the pipe manufacturer; or fittings and specials may be of the same material as the pipe with elastomeric gaskets, all in conformance with AWWA C900. Iron fittings and specials shall be cement-mortar lined (standard thickness) in accordance with AWWA C104. Fittings shall be bell and spigot or plain end pipe, or as applicable. Ductile iron compact fittings shall be in accordance with AWWA C153.

2.3 JOINTS

2.3.1 Plastic Pipe Jointing

2.3.1.1 PVC Pipe

Joints, fittings, and couplings shall be as specified for PVC pipe. Joints connecting pipe of differing materials shall be made in accordance with the manufacturer's recommendations, and as approved by the Contracting Officer.

2.3.2 Bonded Joints

For all ferrous pipe and fittings, a metallic bond shall be provided at each joint, including joints made with flexible couplings, caulking, or rubber gaskets, of ferrous metallic piping to effect continuous conductivity. The bond wire shall be Size 1/0 copper conductor suitable for direct burial shaped to stand clear of the joint. The bond shall be of the thermal weld type.

2.4 VALVES

2.4.1 Check Valves

Check valves shall be designed for a minimum working pressure of 150 psi or as indicated. Valves shall have a clear waterway equal to the full nominal diameter of the valve. Valves shall open to permit flow when inlet pressure is greater than the discharge pressure, and shall close tightly to prevent return flow when discharge pressure exceeds inlet pressure. The size of the valve, working pressure, manufacturer's name, initials, or trademark shall be cast on the body of each valve. Valves shall be outside lever and weight type unless otherwise specified.

- a. Double check detector check valve assemblies shall be University of Southern California Foundation for Cross-Connection Control and Hydraulic Research approved, testable, and shall include UL approved OS&Y gate valves and metered bypass with approved bypass double check. Assembly shall be submitted for approval.
- b. Valves 2 inches and smaller shall be all bronze designed for screwed fittings, and shall conform to MSS SP-80, Class 150, Types 3 and 4 as suitable for the application. Valves larger than 2 inches shall be iron body, bronze mounted, shall have flanged

ends, and shall be the non-slam type. Flanges shall be the Class 125 type conforming to ASME B16.1.

2.4.2 Gate Valves

Gate valves shall be designed for a working pressure of not less than 150 psi. Valve connections shall be as required for the piping in which they are installed. Valves shall have a clear waterway equal to the full nominal diameter of the valve, and shall be opened by turning counterclockwise. The operating nut or wheel shall have an arrow, cast in the metal, indicating the direction of opening.

- a. Valves 3 inches and larger shall be iron body, bronze mounted, and shall conform to AWWA C500. Flanges shall not be buried. An approved pit shall be provided for all flanged connections.
- b. Resilient-Seated Gate Valves: For valves 3 to 12 inches in size, resilient-seated gate valves shall conform to AWWA C509.

2.5 VALVE BOXES

Valve boxes shall be cast iron or concrete, except that concrete boxes may be installed only in locations not subjected to vehicular traffic. Cast-iron boxes shall be extension type with slide-type adjustment and with flared base. The minimum thickness of metal shall be 3/16 inch. Concrete boxes shall be the standard product of a manufacturer of precast concrete equipment. The word "WATER" shall be cast in the cover. The box length shall adapt, without full extension, to the depth of cover required over the pipe at the valve location.

2.6 FIRE HYDRANTS

Hydrants shall be equal to American Darling, model matching Base's standard, dry-barrel type and conforming to AWWA C502 with valve opening at least 5 inches in diameter and designed so that the flange at the main valve seat can be removed with the main valve seat apparatus remaining intact, closed and reasonably tight against leakage and with a breakable valve rod coupling and breakable flange connections located no more than 8 inches above the ground grade. Hydrants shall have a 6 inch bell connection, two 2-1/2 inch hose connections and one 4-1/2 inch pumper connection. Outlets shall have American National Standard fire-hose coupling threads. Working parts shall be bronze. Design, material, and workmanship shall be equal to the latest stock pattern ordinarily produced by the manufacturer. Hydrants shall be painted with 1 coat of red iron oxide, zinc oxide primer conforming to SSPC Paint 25 and 2 finish coats of silicone alkyd paint conforming to SSPC Paint 21, of the installation's standard colors.

2.7 MISCELLANEOUS ITEMS

2.7.1 Tapping Sleeves

Tapping sleeves of the sizes indicated for connection to existing main shall be the cast gray, ductile, or malleable iron, split-sleeve type with flanged or grooved outlet, and with bolts, follower rings and gaskets on each end of the sleeve. Construction shall be suitable for a maximum working pressure of 150 psi. Bolts shall have square heads and hexagonal nuts. Longitudinal gaskets and mechanical joints with gaskets shall be as recommended by the manufacturer of the sleeve. When using grooved

mechanical tee, it shall consist of an upper housing with full locating collar for rigid positioning which engages a machine-cut hole in pipe, encasing an elastomeric gasket which conforms to the pipe outside diameter around the hole and a lower housing with positioning lugs, secured together during assembly by nuts and bolts as specified, pretorqued to 50 foot-pound.

2.7.2 Disinfection

Chlorinating materials shall conform to the following:

Chlorine, Liquid: AWWA B301.

Hypochlorite, Calcium and Sodium: AWWA B300.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Cutting of Pipe

Cutting of pipe shall be done in a neat and workmanlike manner without damage to the pipe. Unless otherwise recommended by the manufacturer and authorized by the Contracting Officer, cutting shall be done with an approved type mechanical cutter. Wheel cutter shall be used when practicable. Squeeze type mechanical cutters shall not be used for ductile iron.

3.1.2 Adjacent Facilities

3.1.2.1 Sewer Lines

Where the location of the water pipe is not clearly defined in dimensions on the drawings, the water pipe shall not be laid closer horizontally than 10 feet from a sewer except where the bottom of the water pipe will be at least 12 inches above the top of the sewer pipe, in which case the water pipe shall not be laid closer horizontally than 6 feet from the sewer. Where water lines cross under gravity-flow sewer lines, the sewer pipe, for a distance of at least 10 feet each side of the crossing, shall be fully encased in 4 inches of concrete or shall be made of pressure pipe with no joint located within 3 feet horizontally of the crossing. Water lines shall in all cases cross above sewage force mains or inverted siphons and shall be not less than 2 feet above the sewer force main. Joints in the sewer main, closer horizontally than 3 feet to the crossing, shall be encased in concrete.

3.1.2.2 Water Lines

Water lines shall not be laid in the same trench with sewer lines, gas lines, fuel lines, or electric wiring.

3.1.3 Joint Deflection

3.1.3.1 Offset for Plastic Pipe

Maximum offset in alignment between adjacent pipe joints shall be as recommended by the manufacturer and approved by the Contracting Officer, but shall not exceed 5 degrees.

3.1.4 Placing and Laying

Pipe and accessories shall be carefully lowered into the trench by means of derrick, ropes, belt slings, or other authorized equipment. Water-line materials shall not be dropped or dumped into the trench. Abrasion of the pipe coating shall be avoided. Except where necessary in making connections with other lines or as authorized by the Contracting Officer, pipe shall be laid with the bells facing in the direction of laying. The full length of each section of pipe shall rest solidly upon the pipe bed, with recesses excavated to accommodate bells, couplings, and joints. Pipe that has the grade or joint disturbed after laying shall be taken up and relaid. Pipe shall not be laid in water or when trench conditions are unsuitable for the work. Water shall be kept out of the trench until joints are complete. When work is not in progress, open ends of pipe, fittings, and valves shall be securely closed so that no trench water, earth, or other substance will enter the pipes or fittings. Where any part of the coating or lining is damaged, the repair shall be made by and at the Contractor's expense in a satisfactory manner. Pipe ends left for future connections shall be valved, plugged, or capped, and anchored, as shown.

3.1.4.1 Plastic Pipe Installation

PVC pipe shall be installed in accordance with AWWA M23.

3.1.4.2 Piping Connections

Where connections are made between new work and existing mains, the connections shall be made by using specials and fittings to suit the actual conditions. When made under pressure, these connections shall be installed using standard methods as approved by the Contracting Officer. Connections to existing asbestos-cement pipe shall be made in accordance with ACPPA 1344.

3.1.4.3 Penetrations

Pipe passing through walls of valve pits and structures shall be provided with ductile-iron or Schedule 40 steel wall sleeves. Annular space between walls and sleeves shall be filled with rich cement mortar. Annular space between pipe and sleeves shall be sealed with a link-type seal.

3.1.4.4 Flanged Pipe

Flanged pipe shall only be installed above ground or with the flanges in valve pits.

3.1.5 Jointing

3.1.5.1 PVC Plastic Pipe Requirements

Except where otherwise indicated, joints shall be elastomeric gasket as specified in AWWA C900. Pipe ends for push-on joints shall be beveled to facilitate assembly and marked to indicate when the pipe is fully seated. The gasket shall be prelubricated to prevent displacement. The gasket and ring groove in the bell or coupling shall match. The manufacturer of the pipe or fitting shall supply the elastomeric gasket. Couplings shall be provided with stops or centering rings to assure that the coupling is centered on the joint.

3.1.5.2 Ductile-Iron Fitting Requirements

Mechanical and push-on type joints shall be installed in accordance with AWWA C600 for buried lines or AWWA C606 for grooved and shouldered fittings in pits.

3.1.5.3 Bonded Joints Requirements

Bonded joints shall be installed in accordance with details specified for joints in paragraph JOINTS.

3.1.5.4 Transition Fittings

Connections between different types of pipe and accessories shall be made with transition fittings approved by the Contracting Officer.

3.1.6 Setting of Fire Hydrants, Valves and Valve Boxes

3.1.6.1 Location of Fire Hydrants

Fire hydrants shall be located and installed as shown. Each hydrant shall be connected to the main with a 6 inch branch line having at least as much cover as the distribution main. Hydrants shall be set plumb with pumper nozzle facing the roadway, with the center of the lowest outlet not less than 18 inches above the finished surrounding grade, and the operating nut not more than 48 inches above the finished surrounding grade. Except where approved otherwise, the backfill around hydrants shall be thoroughly compacted to the finished grade immediately after installation to obtain beneficial use of the hydrant as soon as practicable. The hydrant shall be set upon a slab of concrete not less than 6 inches thick and 15 inches square. Not less than 7 cubic feet of free-draining broken stone or gravel shall be placed around and beneath the waste opening of dry barrel hydrants to ensure drainage.

3.1.6.2 Location of Valves

After delivery, valves, including those in hydrants, shall be drained to prevent freezing and shall have the interiors cleaned of all foreign matter before installation. Stuffing boxes shall be tightened and hydrants and valves shall be fully opened and fully closed to ensure that all parts are in working condition. Check and air relief valves shall be installed in valve pits or vaults. Valves and valve boxes shall be installed where shown or specified, and shall be set plumb. Valve boxes shall be centered on the valves. Boxes shall be installed over each outside gate valve. Where feasible, valves shall be located outside the area of roads and streets. Earth fill shall be tamped around each valve box or pit to a distance of 4 feet on all sides of the box, or the undisturbed trench face if less than 4 feet.

3.1.7 Thrust Restraint

Plugs, caps, tees and bends deflecting 11.25 degrees or more, either vertically or horizontally, on waterlines 4 inches in diameter or larger, and fire hydrants shall be provided with thrust restraints. Valves shall be securely anchored or shall be provided with thrust restraints to prevent movement. Thrust restraints shall be either thrust blocks or, for ductile-iron pipes, restrained joints.

3.1.7.1 Thrust Blocks

Thrust blocking shall be concrete of a mix not leaner than: 1 cement, 2-1/2 sand, 5 gravel; and having a compressive strength of not less than 2,000 psi after 28 days. Blocking shall be placed between solid ground and the hydrant or fitting to be anchored. Unless otherwise indicated or directed, the base and thrust bearing sides of thrust blocks shall be poured directly against undisturbed earth. The sides of thrust blocks not subject to thrust may be poured against forms. The area of bearing shall be as shown or as directed. Blocking shall be placed so that the fitting joints will be accessible for repair. Steel rods and clamps, protected by galvanizing or by coating with bituminous paint, shall be used to anchor vertical down bends into gravity thrust blocks.

3.1.7.2 Restrained Joints

For ductile-iron pipe, restrained joints shall be designed by the Contractor or the pipe manufacturer in accordance with DIPRA TRD.

3.2 HYDROSTATIC TESTS

Where any section of a water line is provided with concrete thrust blocking for fittings or hydrants, the hydrostatic tests shall not be made until at least 5 days after installation of the concrete thrust blocking, unless otherwise approved.

3.2.1 Pressure Test

Contractor shall use extreme care in filling water lines so as to prevent entrapment of air. Lines shall be filled slowly and air released at intermediate fire hydrants as filling progresses. After the pipe is laid, the joints completed, fire hydrants permanently installed, and the trench partially backfilled leaving the joints exposed for examination, the newly laid piping or any valved section of piping shall, unless otherwise specified, be subjected for 1 hour to a hydrostatic pressure test of 200 psi. Each valve shall be opened and closed several times during the test. Exposed pipe, joints, fittings, hydrants, and valves shall be carefully examined during the partially open trench test. Joints showing visible leakage shall be replaced or remade as necessary. Cracked or defective pipe, joints, fittings, hydrants and valves discovered in consequence of this pressure test shall be removed and replaced with sound material, and the test shall be repeated until the test results are satisfactory. The requirement for the joints to remain exposed for the hydrostatic tests may be waived by the Contracting Officer when one or more of the following conditions is encountered:

- a. Wet or unstable soil conditions in the trench.
- b. Compliance would require maintaining barricades and walkways around and across an open trench in a heavily used area that would require continuous surveillance to assure safe conditions.
- c. Maintaining the trench in an open condition would delay completion of the project.

The Contractor may request a waiver, setting forth in writing the reasons for the request and stating the alternative procedure proposed to comply with the required hydrostatic tests. Backfill placed prior to the tests shall be placed in accordance with the requirements of Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.2.2 Leakage Test

Leakage test shall be conducted after the pressure tests have been satisfactorily completed. The duration of each leakage test shall be at least 2 hours, and during the test the water line shall be subjected to not less than 200 psi pressure. Leakage is defined as the quantity of water to be supplied into the newly laid pipe, or any valved or approved section, necessary to maintain pressure within 5 psi of the specified leakage test pressure after the pipe has been filled with water and the air expelled. Piping installation will not be accepted if leakage exceeds the allowable leakage which is determined by the following formula:

$$L = 0.0001351ND(P \text{ raised to } 0.5 \text{ power})$$

L = Allowable leakage in gallons per hour

N = Number of joints in the length of pipeline tested

D = Nominal diameter of the pipe in inches

P = Average test pressure during the leakage test, in psi gauge

Should any test of pipe disclose leakage greater than that calculated by the above formula, the defective joints shall be located and repaired until the leakage is within the specified allowance, without additional cost to the Government.

3.2.3 Time for Making Test

Except for joint material setting or where concrete thrust blocks necessitate a 5-day delay, pipelines jointed with rubber gaskets, mechanical or push-on joints, or couplings may be subjected to hydrostatic pressure, inspected, and tested for leakage at any time after partial completion of backfill. Cement-mortar lined pipe may be filled with water as recommended by the manufacturer before being subjected to the pressure test and subsequent leakage test.

3.2.4 Concurrent Hydrostatic Tests

The Contractor may elect to conduct the hydrostatic tests using either or both of the following procedures. Regardless of the sequence of tests employed, the results of pressure tests, leakage tests, and disinfection shall be as specified. Replacement, repair or retesting required shall be accomplished by the Contractor at no additional cost to the Government.

- a. Pressure test and leakage test may be conducted concurrently.
- b. Hydrostatic tests and disinfection may be conducted concurrently, using the water treated for disinfection to accomplish the hydrostatic tests. If water is lost when treated for disinfection and air is admitted to the unit being tested, or if any repair procedure results in contamination of the unit, disinfection shall be reaccomplished.

3.3 BACTERIAL DISINFECTION

3.3.1 Bacteriological Disinfection

Before acceptance of potable water operation, each unit of completed waterline shall be disinfected as prescribed by AWWA C651. The chlorinating material shall be either liquid chlorine, calcium hypochlorite, or sodium hypochlorite, conforming to paragraph MISCELLANEOUS

ITEMS. Polyvinyl Chloride (PVC) pipe lines shall be chlorinated using only the above specified chlorinating material in solution. The agent shall not be introduced into the line in a dry solid state. The treated water shall be retained in the pipe long enough to destroy all non-spore forming bacteria. Valves on the lines being disinfected shall be opened and closed several times during the contact period. The line shall then be flushed with clean water until the residual chlorine is reduced to less than 1.0 ppm. During the flushing period, each fire hydrant on the line shall be opened and closed several times. From several points in the unit, personnel from the Contractor's commercial laboratory shall take at least 3 water samples from different points, approved by the Contracting Officer, in proper sterilized containers and perform a bacterial examination in accordance with state approved methods. The commercial laboratory shall be certified by the state's approving authority for examination of potable water. The disinfection shall be repeated until tests indicate the absence of pollution for at least 2 full days. The unit will not be accepted until satisfactory bacteriological results have been obtained.

3.4 CLEANUP

Upon completion of the installation of water lines, and appurtenances, all debris and surplus materials resulting from the work shall be removed.

-- End of Section --

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SECTION 02564

(NORTH DAKOTA) PAVEMENTS FOR SMALL PROJECTS
05/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

NORTH DAKOTA DEPARTMENT OF TRANSPORTATION (NDDOT)

NDDOT Standard Specifications for Road and Bridge Construction, 1997 Edition

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO MP 1 (1998) Provisional Specification for Performance Graded Asphalt Binder

AASHTO T 180 (1997) Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and an 457 mm (18-in) Drop

AASHTO TP53 (1998; Interim 1999) Determining Asphalt Content of Hot Mix Asphalt by the Ignition Method

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 136 (2001) Sieve Analysis of Fine and Coarse Aggregates

ASTM C 566 (1997) Total Evaporable Moisture Content of Aggregate by Drying

ASTM D 1461 (1985; R 2001) Moisture or Volatile Distillates in Bituminous Paving Mixtures

ASTM D 1557 (2000) Laboratory Compaction Characteristics of Soils Using Modified Effort (56,000 ft-lbf/ft (2,700 kN-m/m))

ASTM D 2041 (2000) Theoretical Maximum Specific Gravity and Density of Bituminous Paving Mixtures

ASTM D 2172 (1995) Quantitative Extraction of Bitumen from Bituminous Paving Mixtures

ASTM D 2726 (2000) Bulk Specific Gravity and Density of Non-Absorptive Compacted Bituminous

Mixture

ASTM D 2950	(1991; R 1997) Density of Bituminous Concrete in Place by Nuclear Method
ASTM D 3666	(2001) Minimum Requirements for Agencies Testing and Inspecting Bituminous Paving Materials
ASTM D 4125	(1994) Asphalt Content of Bituminous Mixtures by the Nuclear Method
ASTM D 4867/D 4867M	(1996) Effect of Moisture on Asphalt Concrete Paving Mixtures
ASTM D 5444	(1998) Mechanical Size Analysis of Extracted Aggregate
ASTM D 6307	(1998) Asphalt Content of Hot Mix Asphalt by Ignition Method

CORPS OF ENGINEERS (COE) HAND BOOK FOR CONCRETE AND CEMENT

CRD-C 649	(1995) Standard Test Method For Determining Unit Weight, Marshall Stability, and Flow of Bituminous Mixtures
CRD-C 650	(1995) Standard Test Method For Density and Percent Voids in Compacted Bituminous Paving Mixtures

ASPHALT INSTITUTE (AI)

AI MS-2	(1994) Mix Design Methods for Asphalt Concrete and Other Hot-Mix Types
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1.2 MEASUREMENT AND PAYMENT

Section "MEASUREMENT AND PAYMENT" of the NDDOT shall not apply.

1.3 MODIFICATION TO THE NDDOT

Reference to "Engineer" and "Department" in the NDDOT shall mean the Contracting Officer or Representative. Sections "Acceptance", "Method of Measurement" and "Basis of Payment" shall not apply.

1.4 DEFINITIONS

For the purposes of this specification, the following definitions apply.

1.4.1 Degree of Compaction

Degree of compaction of aggregate base course shall be expressed as a percentage of the maximum density obtained by the test procedure presented in either ASTM D 1557 or AASHTO T 180, Method D. The maximum density shall be determined in accordance with ASTM D 1557 if the material gradation contains less than 30 percent retained on the 3/4 inch sieve or AASHTO T 180

if the material gradation contains more than 30 percent retained on the 3/4 inch sieve. In this specification, degree of compaction shall be a percentage of laboratory maximum density.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Plant, Equipment, and Tools

SD-06 Test Reports

Initial Tests; G-AO.

Certified copies of test results for approval not less than 20 days before material is required for the work.

SD-07 Certificates

Asphalt Cement Binder; G-AO.

Copies of certified test data.

Bituminous Tack and Prime Coat; G-AO.

Copies of certified test data.

SD-08 Manufacturer's Instructions

Manufacturer's Recommendations; G-AO.

Where installation procedures, or any part thereof, are required to be in accordance with the manufacturer's recommendations, printed copies of these recommendations, 20 days prior to use on the project. Installation of the material will not be allowed until the recommendations are received. Failure to furnish these recommendations can be cause for rejection of the material.

SD-09 Manufacturer's Field Reports

Job Mix Formula; G-DO.

Proposed JMF.

Contractor Quality Control; G-AO.

The Contractor shall submit all QC test results to the Contracting Officer on a daily basis as the tests are performed.

Acceptability of Work; G-AO.

The Contractor shall submit all test results to the Contracting Officer on a daily basis as the tests are performed.

1.6 SAMPLING AND TESTING

Sampling and testing shall be the responsibility of the Contractor. Sampling and testing shall be performed by a testing laboratory approved in accordance with Section 01451A CONTRACTOR QUALITY CONTROL. Work requiring testing will not be permitted until the testing laboratory has been inspected and approved. The materials shall be tested to establish compliance with the specified requirements; testing shall be performed at the specified frequency. The Contracting Officer may specify the time and location of the tests.

1.7 APPROVAL OF MATERIAL

The source of the material for aggregate base course, subbase course, rigid pavement base course, and aggregate surface course shall be selected 30 days prior to the time the material will be required in the work. Tentative approval of material will be based on initial test results. Final approval of the materials will be based on sieve analysis, liquid limit, and plasticity index tests performed on samples taken from the completed and fully compacted base and subbase course.

1.8 WEATHER LIMITATIONS

1.8.1 Hot-Mix Asphalt Pavement

The hot-mix asphalt pavement shall not be placed upon a wet surface or when the surface temperature of the underlying course is less than specified in Table 1. The temperature requirements may be waived by the Contracting Officer, if requested; however, all other requirements, including compaction, shall be met.

Table 1. Surface Temperature Limitations of Underlying Course

Mat Thickness, inches	Degrees F
3 or greater	40
Less than 3	45

1.8.2 Bituminous Prime and Tack Coat

Bituminous coat shall be applied only when the surface to receive the bituminous coat is dry. Bituminous coat shall be applied only when the atmospheric temperature in the shade is 50 degrees F or above and when the temperature has not been below 35 degrees F for the 12 hours prior to application.

1.8.3 Portland Cement Concrete Pavement

1.8.3.1 Hot Weather Paving

The temperature of concrete shall not exceed 90 degrees F. Steel forms, dowels and reinforcing shall be cooled prior to concrete placement when steel temperatures are greater than 120 degrees F.

1.8.3.2 Cold Weather Paving

The ambient temperature of the air at the placing site and the temperature

of surfaces to receive concrete shall be not less 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F.

Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Calcium chloride shall not be used at any time. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period. Pavement damaged by freezing shall be completely removed and replaced at the Contractor's expense.

1.8.4 Base Course, Subbase Course, Aggregate Surface Course

Construction of aggregate base course shall be done when the atmospheric temperature is above 35 degrees F. When the temperature falls below 35 degrees F, the Contractor shall protect all completed areas by approved methods against detrimental effects of freezing. Completed areas damaged by freezing, rainfall, or other weather conditions shall be corrected to meet specified requirements.

1.9 PLANT, EQUIPMENT, AND TOOLS

All plant, equipment, and tools used in the performance of the work shall be subject to approval before the work is started and shall be maintained in satisfactory working condition at all times. The equipment shall be adequate and shall have the capability of producing pavements meeting the requirements as set forth herein.

1.10 Hot-Mix Asphalt Equipment

Equipment for the preparation and placement of hot-mix asphalt shall conform to the requirements specified in the NDDOT, Section 408.03, "EQUIPMENT".

PART 2 PRODUCTS

2.1 HOT-MIX ASPHALT PAVEMENT

Bituminous wearing course shall conform to the requirements specified in the NDDOT, Section 408, "HOT BITUMINOUS PAVEMENT", except as modified herein. The aggregate shall be Class 29. Coarse aggregate shall meet the following additional requirements: Percentage of loss shall not exceed 12 after 5 cycles when performed in accordance with AASHTO T 104, using sodium sulfate. The Contractor shall develop the mix design. The laboratory used to develop the JMF shall meet the requirements of ASTM D 3666. A certification signed by the manager of the laboratory stating that it meets these requirements or clearly listing all deficiencies shall be submitted to the Contracting Officer prior to the start of construction. The asphalt mix shall be dense-graded and composed of a mixture of well-graded aggregate, mineral filler if required, and asphalt material. The hot-mix asphalt shall be designed using Marshall method of mix design contained in AI MS-2 and the criteria shown in Table 3. If the Tensile Strength Ratio (TSR) of the composite mixture, as determined by ASTM D 4867/D 4867M is less than 75, the aggregates shall be rejected or the asphalt mixture treated with an approved anti-stripping agent. The amount of anti-stripping agent added shall be sufficient to produce a TSR of not less than 75. If an antistrip agent is required, it shall be provided by the Contractor at no additional cost. The hot-mix asphalt pavement shall not contain more than 15 percent reclaimed asphalt pavement.

2.1.1.1 JMF Requirements

The job mix formula shall be submitted in writing by the Contractor for approval at least 14 days prior to the start of the test section and shall include as a minimum:

- a. Percent passing each sieve size.
- b. Percent of asphalt cement.
- c. Percent of each aggregate and mineral filler to be used.
- d. Asphalt viscosity grade, penetration grade, or performance grade.
- e. Number of blows of hammer per side of molded specimen.
- f. Laboratory mixing temperature.
- g. Lab compaction temperature.
- h. Temperature-viscosity relationship of the asphalt cement.
- i. Plot of the combined gradation on the 0.45 power gradation chart, stating the nominal maximum size.
- j. Graphical plots of stability, flow, air voids, voids in the mineral aggregate, and unit weight versus asphalt content as shown in AI MS-2.
- k. Specific gravity and absorption of each aggregate.
- l. Percent natural sand.
- m. Percent particles with 2 or more fractured faces (in coarse aggregate).
- n. Fine aggregate angularity.
- o. Percent flat or elongated particles (in coarse aggregate).
- p. Tensile Strength Ratio(TSR).
- q. Antistrip agent (if required) and amount.
- r. List of all modifiers and amount.
- s. Percentage and properties (asphalt content, binder properties, and aggregate properties) of reclaimed asphalt pavement (RAP) if RAP is used.

Table 2. Marshall Design Criteria

<u>Test Property</u>	<u>75 Blow Mix</u>
Stability, pounds minimum	*1800

Table 2. Marshall Design Criteria

<u>Test Property</u>	<u>75 Blow Mix</u>
Flow, 0.01 inch	8-16
Air voids, percent	3-5
Percent Voids in mineral aggregate VMA, (minimum) Class 29	15.0
TSR, minimum percent	75

* This is a minimum requirement. The average during construction shall be significantly higher than this number to ensure compliance with the specifications.

** Calculate VMA in accordance with AI MS-2, based on ASTM D 2726 bulk specific gravity for the aggregate.

2.1.2 Adjustments to Field JMF

The Laboratory JMF for each mixture shall be in effect until a new formula is approved in writing by the Contracting Officer. Should a change in sources of any materials be made, a new laboratory jmf design shall be performed and a new JMF approved before the new material is used.

2.1.3 Asphalt Cement Binder

Asphalt cement conform to the requirements specified in Section 818, "Bituminous Materials" of the NDDOT. Asphalt cement binder shall be either viscosity grade AC-5 or penetration grade 120-150 or AASHTO MP 1 Performance Grade (PG) 58-46. Test data indicating grade certification shall be provided by the supplier at the time of delivery of each load to the mix plant. Copies of these certifications shall be submitted to the Contracting Officer. The supplier is defined as the last source of any modification to the binder.

2.2 BITUMINOUS TACK AND PRIME COAT

Test data indicating grade certification shall be provided by the supplier. Copies of these certifications shall be submitted to the Contracting Officer.

2.2.1 Bituminous Prime Coat

Bituminous prime coat shall conform to the requirements specified in Section 818, "BITUMINOUS MATERIALS", of the NDDOT. Bituminous materials shall be liquid asphalt, designation MC-30, or MC-70 at the Contractor's option, except that only MC-30 shall be used on dense graded base courses if MC-70 does not adequately penetrate the base course material. In lieu of cut-back asphalt, the Contractor may use cationic emulsified asphalt, designation CSS-1 or CSS-1h.

2.2.2 Bituminous Tack Coat

Bituminous tack coat shall conform to the requirements specified in Section 818, "BITUMINOUS MATERIALS" of the NDDOT. Bituminous material shall be

emulsified asphalt designation SS-1 or SS-1h, or cationic emulsified asphalt designation CSS-1 or CSS-1h.

2.3 AGGREGATE BASE COURSE (ABC)

Aggregate base course shall conform to the requirements specified in Section 816.03, "AGGREGATES FOR SURFACING, BASE, ASPHALT MIXES, BLOTTER, AND SEAL COATS" of the NDDOT, except as modified herein. Aggregate base course shall be NDDOT Class 5 except as otherwise specified herein. The portion retained on the No. 4 sieve shall be known as coarse aggregate; that portion passing the No. 4 sieve shall be known as fine aggregate. Aggregates shall be angular particles of uniform density. Coarse aggregate shall be crushed gravel, crushed stone, crushed recycled concrete, or crushed slag. Fine aggregate shall consist of screenings, angular sand, crushed recycled concrete fines, or other finely divided mineral matter processed or naturally combined with the coarse aggregate. Materials that break up when alternately frozen and thawed or wetted and dried shall not be used. The amount of flat and elongated particles shall not exceed 30 percent. A flat particle is one having a ratio of width to thickness greater than 3; an elongated particle is one having a ratio of length to width greater than 3. In the portion retained on each sieve specified, the crushed aggregates shall contain at least 50 percent by weight of crushed pieces having two or more freshly fractured faces with the area of each face being at least equal to 75 percent of the smallest midsectional area of the piece. When two fractures are contiguous, the angle between planes of the fractures must be at least 30 degrees in order to count as two fractured faces. Crushed gravel shall be manufactured from gravel particles 50 percent of which, by weight, are retained on the maximum size sieve. The portion of the material passing the No. 40 sieve shall have a liquid limit not greater than 25 and a plasticity index not greater than 5. When tested for gradation, the percentage passing the 0.02 mm sieve shall not exceed 3.0 percent.

2.4 INITIAL TESTS

One of each of the following tests shall be performed on the proposed aggregate base course material prior to commencing construction to demonstrate that the proposed material meets all specified requirements when furnished. If materials from more than one source are going to be utilized, this testing shall be completed for each source.

- a. Sieve Analysis including 0.02 mm size material.
- b. Liquid limit and plasticity index moisture-density relationship.
- c. Moisture-density relationship.
- d. Wear.

PART 3 EXECUTION

3.1 PAVEMENT REMOVAL

Where bituminous pavement is to be removed at the locations shown on the drawings, the pavement shall be sawed with a pre-approved concrete saw so as to leave a straight true edge. P.C. concrete pavement removal shall be accomplished by a full depth double sawcut. The initial sawcut shall be located in the pavement area to be removed and shall be 18 inches from the final sawcut. The pavement material and existing base course shall be

removed in a manner that will not damage the adjacent in-place pavement to remain. The Contractor must demonstrate that his method of removal will not damage adjacent concrete pavement slabs. Any slab found by the Contracting Officer to be damaged by the Contractor's removal methods shall be fully removed and replaced at no cost to the Government. Pavement material from the removal area shall be disposed of outside the limits of Government controlled land at the Contractor's expense.

3.2 HOT-MIX ASPHALT PAVEMENT

Hot-mix asphalt pavement wearing course shall be placed to the requirements specified in the NDDOT, Section 408, "HOT BITUMINOUS PAVEMENT", except as modified herein.

3.2.1 Contractor Quality Control

A standard lot for all requirements will be equal to 8 hours of production.

3.2.1.1 Asphalt Content

A minimum of two tests to determine asphalt content will be performed per lot by one of the following methods: the extraction method in accordance with ASTM D 2172, Method A or B, the ignition method in accordance with the AASHTO TP53 or ASTM D 6307, or the nuclear method in accordance with ASTM D 4125, provided the nuclear gauge is calibrated for the specific mix being used. For the extraction method, the weight of ash, as described in ASTM D 2172, shall be determined as part of the first extraction test performed at the beginning of plant production; and as part of every tenth extraction test performed thereafter, for the duration of plant production. The last weight of ash value obtained shall be used in the calculation of the asphalt content for the mixture.

3.2.1.2 Gradation

Aggregate gradations shall be determined a minimum of twice per lot from mechanical analysis of recovered aggregate in accordance with ASTM D 5444. When asphalt content is determined by the nuclear method, aggregate gradation shall be determined from hot bin samples on batch plants, or from the cold feed on drum mix plants. For batch plants, aggregates shall be tested in accordance with ASTM C 136 using actual batch weights to determine the combined aggregate gradation of the mixture.

3.2.1.3 Temperatures

Temperatures shall be checked at least four times per lot, at necessary locations, to determine the temperature at the dryer, the asphalt cement in the storage tank, the asphalt mixture at the plant, and the asphalt mixture at the job site.

3.2.1.4 Aggregate Moisture

The moisture content of aggregate used for production shall be determined a minimum of once per lot in accordance with ASTM C 566.

3.2.1.5 Moisture Content of Mixture

The moisture content of the mixture shall be determined at least once per lot in accordance with ASTM D 1461 or an approved alternate procedure.

3.2.1.6 Laboratory Air Voids, Marshall Stability and Flow

Mixture samples shall be taken at least four times per lot and compacted into specimens, using 75 blows per side with the Marshall hammer. After compaction, the laboratory air voids of each specimen shall be determined, as well as the Marshall stability and flow.

3.2.2 Acceptability of Work

The pavement will be accepted on the basis of tests made by the the Contractor or its suppliers, as specified herein. The Government may, at its discretion, make check tests to validate the results of the Contractor's testing.

3.2.2.1 Sampling Pavements

Samples of the finished pavement, shall be obtained by the Contractor. The location of the samples shall be as directed and the cores shall be at least 4 inches in diameter. The samples shall be tested by the Contractor to determine conformance to density. Specimens shall be tested in accordance with the requirements of Method 101 of CRD-C 650. Three samples shall be taken and tested for each 750 tons or less of bituminous mixture placed each day. A minimum of one core shall be obtained from the longitudinal joint. The Contractor shall fill all cores holes with new material and shall meet the requirements as described herein.

3.2.2.2 Laboratory Air Voids

Laboratory air voids will be calculated by determining the Marshall density of each lab compacted specimen using ASTM D 2726 and determining the theoretical maximum density of every other subplot sample using ASTM D 2041.

Laboratory air void calculations for each subplot will use the latest theoretical maximum density values obtained, either for that subplot or the previous subplot. The mean absolute deviation of the four laboratory air void contents (one from each subplot) from the JMF air void content will be evaluated. The mean absolute deviation shall be less than 1.00. All laboratory air void tests will be completed and reported within 24 hours after completion of construction of each lot.

3.2.2.3 In-place Density

Density of the compacted mixture of the bituminous wearing course shall be between 97 and 100 percent (joint density 95 to 100 percent) of the maximum laboratory compacted density. The maximum laboratory compacted specimens shall be determined from the same mixture taken from the plant in accordance to CRD-C 649 and CRD-C 650. Densities of the in-place compacted mixture may be determined by the nuclear method in accordance with ASTM D 2950 for Contractor quality control purposes. In any event, the basis of acceptance for density shall be determined from the specific gravity method.

3.2.2.4 Surface Smoothness

After the final rolling, but not later than 24 hours after placement, the surface of the pavement in each entire lot shall be tested by the Contractor in such a manner as to reveal all surface irregularities exceeding the tolerances specified below. If any pavement areas are ground, these areas shall be retested immediately after grinding. All testing shall be performed in the presence of the Contracting Officer. Detailed notes of the results of the testing shall be kept and a copy

furnished to the Government immediately after each day's testing. The entire area of the pavement shall be tested in both a longitudinal and a transverse direction on parallel lines. The transverse lines shall be 25 feet or less apart, as directed. The longitudinal lines shall be at the centerline of each paving lane for lines less than 20 feet and at the third points for lanes 20 feet or greater. Other areas having obvious deviations shall also be tested. Longitudinal testing lines shall be continuous across all joints. Where drawings show required deviations from a plane surface (crowns, drainage inlets, etc.), the surface shall be finished to meet the approval of the Contracting Officer. The straightedge shall be held in contact with the surface and moved ahead one-half the length of the straightedge for each successive measurement. The amount of surface irregularity shall be determined by placing the freestanding (unleveled) straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length, and measuring the maximum gap between the straightedge and the pavement surface in the area between these two high points. The finished surfaces of the pavements shall have no abrupt change of 3/16 inch or more, and all pavements shall be within the tolerances specified in Table 3 when checked with an approved 10 foot straightedge.

Table 3. Straightedge Surface Smoothness--Pavements

Pavement Category	Direction of Testing	Tolerance, inches
-----	-----	-----
All	Longitudinal	3/16
paved areas	Transverse	3/16

3.3 BITUMINOUS TACK AND PRIME COAT

Except as otherwise specified herein, application of bituminous tack and prime coat shall be in accordance with Section 401, PRIME, TACK, OR FOG COAT" of the NDDOT. Following application of the bituminous material and prior to the application of the pavement, the bituminous coat shall be allowed to cure and to obtain evaporation of any volatiles or moisture.

3.3.1 Bituminous Tack Coat

Contact surfaces of previously constructed pavement, curbs, manholes, and other structures shall be sprayed with a thin coat of bituminous tack coat. Rate of application shall be not less than 0.05 gallon nor more than 0.15 gallon per square yard.

3.3.2 Bituminous Prime Coat

Surfaces of previously constructed base course shall be sprayed with a coat of bituminous prime coat. Rate of application shall be not less than 0.15 gallon nor more than 0.40 gallon per square yard. Prime coat shall be allowed to cure without being disturbed for a period of at least 48 hours or longer, as may be necessary to attain penetration into the treated course.

3.4 AGGREGATE COURSES

Aggregate base course shall be placed in accordance with Section 302, "AGGREGATE BASE OR SURFACE COURSE" of the NDDOT, except as modified herein. The aggregate base course, subbase course, rigid pavement base course, and aggregate surface course shall be compacted to 100 percent of laboratory

maximum density.

3.4.1 General Requirements

When the aggregate course is constructed in more than one layer, the previously constructed layer shall be cleaned of loose and foreign matter by sweeping with power sweepers or power brooms, except that hand brooms may be used in areas where power cleaning is not practicable. Adequate drainage shall be provided during the entire period of construction to prevent water from collecting or standing on the working area. Line and grade stakes shall be provided as necessary for control. Grade stakes shall be in lines parallel to the centerline of the area under construction and suitably spaced for string lining.

3.4.2 Preparation of Underlying Course

Prior to constructing the aggregate courses, the underlying course or subgrade shall be cleaned of all foreign substances. At the time of construction of the aggregate course, the underlying course shall contain no frozen material. The surface of the underlying course or subgrade shall meet specified compaction and surface tolerances. Ruts or soft yielding spots in the underlying courses, areas having inadequate compaction, and deviations of the surface from the requirements set forth herein shall be corrected by loosening and removing soft or unsatisfactory material and by adding approved material, reshaping to line and grade, and recompacting to specified density requirements. The finished underlying course shall not be disturbed by traffic or other operations and shall be maintained by the Contractor in a satisfactory condition until the aggregate course is placed.

3.4.3 Installation

3.4.3.1 Mixing

The coarse and fine aggregates shall be mixed and placed to obtain uniformity of the material. The Contractor shall make adjustments in mixing procedures or in equipment as directed to obtain true grades, to minimize segregation or degradation, to obtain the required water content, and to insure a satisfactory aggregate course meeting all requirements of this specification.

3.4.3.2 Placing

The mixed material shall be placed on the underlying course or subgrade in layers of uniform thickness with an approved spreader. When a compacted layer 6 inches or less in thickness is required, the material shall be placed in a single layer. When a compacted layer in excess of 6 inches is required, the material shall be placed in layers of equal thickness. No layer shall exceed 6 inches or less than 3 inches when compacted. The layers shall be so placed that when compacted they will be true to the grades or levels required with the least possible surface disturbance. Adjustments in placing procedures or equipment shall be made as may be directed to obtain true grades, to minimize segregation and degradation, to adjust the water content, and to insure an acceptable aggregate course.

3.4.3.3 Edges of Base Course

Approved material shall be placed along the edges of aggregate course in such quantity as will compact to the thickness of the course being constructed. When the course is being constructed in two or more layers,

at least a 1 foot width of this material shall be rolled and compacted simultaneously with rolling and compacting of each layer of aggregate course.

3.4.3.4 Compaction

Each layer of the aggregate course shall be compacted as specified with approved compaction equipment. Water content shall be maintained during the compaction procedure to within plus or minus 1 percent of the optimum water content determined from laboratory tests. Rolling shall begin at the outside edge of the surface and proceed to the center, overlapping on successive trips at least one-half the width of the roller. Alternate trips of the roller shall be slightly different lengths. Speed of the roller shall be such that displacement of the aggregate does not occur. In all places not accessible to the rollers, the mixture shall be compacted with hand-operated power tampers. Compaction shall continue until each layer has a degree of compaction that is at least 100 percent of laboratory maximum density through the full depth of the layer. The Contractor shall make such adjustments in compacting or finishing procedures as may be directed to obtain true grades, to minimize segregation and degradation, to reduce or increase water content, and to ensure a satisfactory aggregate course. Any materials that are found to be unsatisfactory shall be removed and replaced with satisfactory material or reworked, as directed, to meet the requirements of this specification.

3.4.3.5 Aggregate Base Course Finishing

The surface of the top layer of aggregate base course shall be finished after final compaction by cutting any overbuild to grade and rolling with a steel-wheeled roller. Thin layers of material shall not be added to the top layer of base course to meet grade. If the elevation of the top layer is 1/2 inch or more below grade, then the top layer should be scarified to a depth of at least 3 inches and new material shall be blended in and compacted to bring to grade. Adjustments to rolling and finishing procedures shall be made as directed to minimize segregation and degradation, obtain grades, maintain moisture content, and insure an acceptable aggregate base course. Should the surface become rough, corrugated, uneven in texture, or traffic marked prior to completion, the unsatisfactory portion shall be scarified, reworked and recompacted or it shall be replaced as directed.

3.4.4 Acceptability of Work

The aggregate base course, subbase course, rigid pavement base course, and aggregate surface course will be accepted on the basis of tests made by the Contractor as specified herein. The Government may, at its discretion, make check tests to validate the results of the Contractor's testing.

3.4.4.1 In-Place Tests

One of each of the following tests shall be performed on samples taken from the placed and compacted aggregate course. Samples shall be taken and tested at the rates indicated for each layer of material placed.

a. Density tests shall be performed on every lift of material placed and at a frequency of one set of tests for every 250 square yards, or portion thereof, of completed area.

b. Sieve Analysis shall be performed on every lift of material placed

and at a frequency of one test for every 1000 square meters, or portion thereof, of completed area for every 500 tons, or portion thereof, of material placed.

c. Liquid limit and plasticity index tests shall be performed at the same frequency as the sieve analysis.

3.4.4.2 Thickness

The total compacted thickness of the aggregate course shall be within 1/2 inch of the thickness indicated. Where the measured thickness is more than 1/2 inch deficient, such areas shall be corrected by scarifying, adding new material of proper gradation, reblading, and recompact as directed. Where the measured thickness is more than 1/2 inch thicker than indicated, the course shall be considered as conforming to the specified thickness requirements. Average job thickness shall be the average of all thickness measurements taken for the job, but shall be within 1/4 inch of the thickness indicated. The total thickness of the aggregate course shall be measured at intervals in such a manner as to ensure one measurement for each 500 square yards of aggregate course. Measurements shall be made in 3 inch diameter test holes penetrating the aggregate course.

3.4.4.3 Smoothness

The surface of the top layer shall show no deviations in excess of 3/8 inch when tested with a 10 foot straightedge applied parallel with and at right angles to the centerline of the area to be paved. Measurements shall be taken in successive positions parallel to the centerline of the area to be paved. Measurements shall also be taken perpendicular to the centerline at 50 foot intervals. Deviations exceeding this amount shall be corrected by removing material and replacing with new material, or by reworking existing material and compacting it to meet these specifications.

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SECTION 02620A

SUBDRAINAGE SYSTEM

09/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 2751	(1996a) Acrylonitrile-Butadiene-Styrene (ABS) Sewer Pipe and Fittings
ASTM D 3034	(1998) Type PSM Poly(Vinyl Chloride) (PVC) Sewer Pipe and Fittings
ASTM D 3212	(1996a) Joints for Drain and Sewer Plastic Pipes Using Flexible Elastomeric Seals
ASTM D 5034	(1995) Breaking Strength and Elongation of Textile Fabrics (Grab Test)
ASTM F 758	(1995) Smooth-Wall Poly(Vinyl Chloride) (PVC) Plastic Underdrain Systems for Highway, Airport, and Similar Drainage
ASTM F 949	(2000) Poly(Vinyl Chloride) (PVC) Corrugated Sewer Pipe With a Smooth Interior and Fittings

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Filter Fabric
Pipe for Subdrains

Certifications from the manufacturers attesting that materials meet specification requirements.

1.3 DELIVER, STORAGE, AND HANDLING

1.3.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with minimum handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. During shipment and storage, filter fabric shall be wrapped in burlap or similar heavy duty protective covering. The storage area shall protect the fabric from mud, soil, dust, and debris. Filter fabric materials that are not to be installed immediately shall not be stored in direct sunlight. Plastic pipe shall be installed within 6 months from the date of manufacture unless otherwise approved.

1.3.2 Handling

Materials shall be handled in such a manner as to insure delivery to the trench in sound undamaged condition. Pipe shall be carried and not dragged to the trench.

PART 2 PRODUCTS

2.1 PIPE FOR SUBDRAINS

Pipe for subdrains shall be of the types and sizes indicated.

2.1.1 Plastic Pipe

Plastic pipe shall contain ultraviolet inhibitor to provide protection from exposure to direct sunlight.

2.1.1.1 Acrylonitrile-Butadiene-Styrene (ABS) Piping

Acrylonitrile-butadiene-styrene (ABS) piping and fittings shall conform to ASTM D 2751, with maximum SDR of 35.

2.1.1.2 Polyvinyl Chloride (PVC) Pipe and Fittings

Polyvinyl chloride (PVC) pipe and fittings shall conform to [ASTM D 3034,] [ASTM F 949,] [ASTM F 758, Type PS 46].

2.1.1.3 Pipe Perforations

Water inlet area shall be a minimum of 0.5 square inch per linear foot. Manufacturer's standard perforated pipe which essentially meets these requirements may be substituted with prior approval of the Contracting Officer.

- a. Circular Perforations in Plastic Pipe: Circular holes shall be cleanly cut not more than 3/8 inch or less than 3/16 inch in diameter and arranged in rows parallel to the longitudinal axis of the pipe. Perforations shall be approximately 3 inches center-to-center along rows. The rows shall be approximately 1-1/2 inches apart and arranged in a staggered pattern so that all perforations lie at the midpoint between perforations in adjacent rows. The rows shall be spaced over not more than 155 degrees of circumference. The spigot or tongue end of the pipe shall not be perforated for a length equal to the depth of the socket, and

perforations shall continue at uniform spacing over the entire length of the pipe.

- b. Slotted Perforations in Plastic Pipe: Circumferential slots shall be cleanly cut so as not to restrict the inflow of water and uniformly spaced along the length and circumference of the tubing. Width of slots shall not exceed 1/8 inch nor be less than 1/32 inch. The length of individual slots shall not exceed 1-1/4 inches on 3 inch diameter tubing, 10 percent of the tubing inside nominal circumference on 4 to 8 inch diameter tubing, and 2-1/2 inches on 10 inch diameter tubing. Rows of slots shall be symmetrically spaced so that they are fully contained in 2 quadrants of the pipe. Slots shall be centered in the valleys of the corrugations of profile wall pipe.

2.2 FILTER FABRIC

Filter fabric shall be a pervious sheet of polyester, nylon, or polypropylene filaments woven or otherwise formed into a uniform pattern with distinct and measurable openings. The filter fabric shall provide an equivalent opening size (AOS) no finer than the US Standard Sieve No. [_____] and no coarser than the US Standard Sieve No. [_____]. AOS is defined as the number of the US Standard sieve having openings closest in size to the filter fabric openings. [The percent open area provided shall not be less than [_____] percent and not more than [_____] percent. Percent open area is defined as the summation of open areas divided by the total area of the filter fabric and expressed as a percent.] [The filaments shall consist of a long-chain synthetic polymer composed of at least 85 percent by weight of propylene, ethylene, or vinylidene-chloride, and shall contain stabilizers and/or inhibitors added to the base plastic to make the filaments resistant to deterioration due to ultraviolet and heat exposure.] The fabric shall have a minimum physical strength of [_____] pounds per inch in any direction when tested in accordance with ASTM D 5034 using the grab test method with 1 square inch jaws and a constant rate of travel of 12 inches per minute. Elongation at failure shall be between [30] [_____] and [70] [_____] percent. The fabric shall be constructed so that the filaments will retain their relative position with respect to each other. [The edges of the fabric shall be selvaged or otherwise finished to prevent the outer material from pulling away from the fabric.] [The fabric shall be woven into a width that may be installed as shown without longitudinal seams.]

2.3 SUBDRAIN FILTER MATERIAL

Subdrain filter material shall be washed sand, sand and gravel, crushed stone, crushed stone screenings, or slag composed of hard, tough, durable particles free from adherent coatings. Filter material shall not contain corrosive agents, organic matter, or soft, friable, thin, or elongated particles and shall be evenly graded between the limits specified in TABLE I. Gradation curves will exhibit no abrupt changes in slope denoting skip or gap grading. Filter materials shall be clean and free from soil and foreign materials. Filter blankets found to be dirty or otherwise contaminated shall be removed and replaced with material meeting the specific requirements, at no additional cost to the Government.

TABLE I. FILTER GRADATION

Sieve Designation	Percent by Weight Passing		
	Gradation A	Gradation B	Gradation C

PART 3 EXECUTION

3.1 EXCAVATION AND BEDDING FOR SUBDRAIN SYSTEMS

Trenching and excavation, including the removal of rock and unstable material, shall be in accordance with Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Bedding material shall be placed in the trench as indicated or as required as replacement materials used in those areas where unstable materials were removed. Compaction of the bedding material shall be as specified for cohesionless material in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

3.2 INSTALLATION OF FILTER FABRIC AND PIPE FOR SUBDRAINS

3.2.1 Installation of Filter Fabric

3.2.1.1 Overlaps on Perforated or Slotted Pipes

One layer of filter fabric shall be wrapped around perforated or slotted collector pipes in such a manner that longitudinal overlaps of fabric are in unperforated or unslotted quadrants of the pipes. The overlap shall be at least 2 inches. The fabric shall be secured to the pipe in such a manner that backfill material will not infiltrate through any fabric overlaps.

3.2.1.2 Installation on Open-Joint Pipe

One layer of filter fabric shall be wrapped around open joints. The overlap should be at least 2 inches. The fabric shall be secured to the pipe in such a manner that backfill material will not infiltrate through the overlap or the edges of the fabric to either side of the open joint.

3.2.1.3 Trench Lining and Overlaps

Trenches to be lined with filter fabric shall be graded to obtain smooth side and bottom surfaces so that the fabric will not bridge cavities in the soil or be damaged by projecting rock. The fabric shall be laid flat but not stretched on the soil, and it shall be secured with anchor pins. Overlaps shall be at least [_____] inches, and anchor pins shall be used along the overlaps.

3.2.2 Installation of Pipe for Subdrains

3.2.2.1 Pipelaying

Each pipe shall be carefully inspected before it is laid. Any defective or damaged pipe shall be rejected. No pipe shall be laid when the trench conditions or weather is unsuitable for such work. Water shall be removed from trenches by sump pumping or other approved methods. The pipe shall be laid to the grades and alignment as indicated. The pipe shall be bedded to the established gradeline. Perforations shall be centered on the bottom of

the pipe. Pipes of either the bell-and-spigot type or the tongue-and-groove type shall be laid with the bell or groove ends upstream. All pipes in place shall be approved before backfilling.

3.2.2.2 Jointings

- a. Nonperforated Concrete and Clay Pipe: Pipe shall be laid with 1/8 to 1/4 inch opening between the ends of the pipe or as required by spacing lugs constructed in the pipe. Mortar shall be placed in the joint at three points and pressed firmly into place to hold the pipe securely in line. The mortar shall be the full depth of the bell or groove and approximately 1 inch in width, and shall be located at the third points around the joint with the top point at the center of the pipe. The inside of the pipe shall be free of excess mortar.
- b. Perforated Concrete and Clay Pipe: The pipe shall be laid with closed joints with positive provision for centering each section of the pipe in the bell or groove of the previously placed section. Plain-end perforated clay pipe sections shall be securely fastened together with spring wire clips furnished by the pipe manufacturer.
- c. Perforated Corrugated Metal Pipe or Bituminous Coated, Perforated Corrugated Metal Pipe: The sections of perforated corrugated metal pipe or bituminous coated, perforated corrugated metal pipe shall be securely fastened together with standard connecting bands furnished by the manufacturer of the pipe.
- d. Drain Tile: Drain tile shall be bedded as provided for bell-and-spigot or tongue-and-groove types of pipe and laid with open joints of approximately 1/8 inch width but not over 1/4 inch width. Drain tile shall be protected against the entrance of filter material into the line by the use of filter fabric.
- e. Porous Concrete Pipe: Porous concrete pipe shall be installed with mortar joints.
- f. Perforated Asbestos-Cement Pipe: Couplings shall be of the sleeve type suitable for holding the pipe firmly in alignment without the use of sealing compounds or gaskets. Tapered couplings will be acceptable.
- g. Bituminous Coated or Uncoated Semicircular Steel Pipe: Coupling bands shall consist of an uncorrugated top and bottom section fabricated to fit around two adjacent pieces of pipe. Coupling bands shall be bolted together with four bolts.
- h. Bituminous Coated or Uncoated Corrugated Aluminum Pipe: If aluminum pipe is to be connected to dissimilar metal, the connection shall be insulated by bituminous coating or other nonconductive material. Standard joints between corrugated aluminum pipe shall be securely fastened with standard connecting bands furnished by the manufacturer of the pipe.
- i. Acrylonitrile-Butadiene-Styrene (ABS): Solvent cement or elastomeric joints for ABS pipe shall be in accordance with ASTM D 2751. Dimensions and tolerances shall be in accordance with TABLE II of ASTM D 2751.

- j. Polyvinyl Chloride (PVC) Pipe: Joints shall be in accordance with the requirements of ASTM D 3034, ASTM D 3212, or ASTM F 949.
- k. Perforated Corrugated Polyethylene Pipe: Perforated corrugated polyethylene drainage pipe shall be installed in accordance with the manufacturer's specifications and as specified herein. A pipe with physical imperfections shall not be installed. No more than 5 percent stretch in a section will be permitted.

3.3 INSTALLATION OF FILTER MATERIAL AND BACKFILLING FOR SUBDRAINS

After pipe for subdrains has been laid, inspected, and approved, filter material shall be placed around and over the pipe to the depth indicated. The filter material shall be placed in layers not to exceed 8 inches thick, and each layer shall be [saturated by flooding] [thoroughly compacted by mechanical tampers or rammers] to obtain the required density.

Compaction of filter material and the placement and compaction of overlying backfill material shall be in accordance with the applicable provisions specified in Section 02316 EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS.

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SECTION 02630A

CULVERTS

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SECTION 02630A

CULVERTS
03/00

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 198	(1998) Joints for Circular Concrete Sewer and Culvert Pipe Using Flexible Watertight Gaskets
AASHTO M 294	(2002) Corrugated Polyethylene Pipe, 300- to 1200- mm Diameter
AASHTO MP 7	(1997) Corrugated Polyethylene Pipe, 1350 and 1500 mm Diameter

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 742/A 742M	(1998) Steel Sheet, Metallic Coated and Polymer Precoated for Corrugated Steel Pipe
ASTM A 762/A 762M	(1998) Corrugated Steel Pipe, Polymer Precoated for Sewers and Drains
ASTM A 798/A 798M	(1997a) Installing Factory-Made Corrugated Steel Pipe for Sewers and Other Applications
ASTM A 929/A 929M	(1997) Steel Sheet, Metallic-Coated by the Hot-Dip Process for Corrugated Steel Pipe
ASTM C 76	(1999) Reinforced Concrete Culvert, Storm Drain, and Sewer Pipe
ASTM C 270	(1997) Mortar for Unit Masonry
ASTM C 443	(1998) Joints for Circular Concrete Sewer and Culvert Pipe, Using Rubber Gaskets
ASTM D 1056	(1998) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D 1171	(1994) Rubber Deterioration - Surface Ozone Cracking Outdoors or Chamber (Triangular Specimens)

ASTM D 2321	(1989; R 1995) Underground Installation of Thermoplastic Pipe for Sewers and Other Gravity-Flow Applications
ASTM D 3350	(1998a) Polyethylene Plastics Pipe and Fittings Materials
ASTM F 477	(1999) Elastomeric Seals (Gaskets) for Joining Plastic Pipe

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Placing Pipe

Printed copies of the manufacturer's recommendations for installation procedures of the material being placed, prior to installation.

SD-07 Certificates

Resin Certification

1.3 DELIVERY, STORAGE, AND HANDLING

1.3.1 Delivery and Storage

Materials delivered to site shall be inspected for damage, unloaded, and stored with a minimum of handling. Materials shall not be stored directly on the ground. The inside of pipes and fittings shall be kept free of dirt and debris. Before, during, and after installation, plastic pipe and fittings shall be protected from any environment that would result in damage or deterioration to the material. The Contractor shall have a copy of the manufacturer's instructions available at the construction site at all times and shall follow these instructions unless directed otherwise by the Contracting Officer. Solvents, solvent compounds, lubricants, elastomeric gaskets, and any similar materials required to install plastic pipe shall be stored in accordance with the manufacturer's recommendations and shall be discarded if the storage period exceeds the recommended shelf life. Solvents in use shall be discarded when the recommended pot life is exceeded.

1.3.2 Handling

Materials shall be handled in a manner that ensures delivery to the trench in sound, undamaged condition. Pipe shall be carried to the trench, not dragged.

PART 2 PRODUCTS

2.1 PIPE FOR CULVERTS

Pipe for culverts shall be of the sizes indicated and shall conform to the requirements specified.

2.1.1 Concrete Pipe

ASTM C 76, Class as indicated

2.1.2 Polymer Precoated Corrugated Steel Pipe

ASTM A 762/A 762M corrugated steel pipe fabricated from ASTM A 742/A 742M Grade 10/10 polymer precoated sheet of either:

- a. Type I pipe with helical 2-2/3 by 1/2 inch corrugations.
- b. Type IR pipe with helical 3/4 by 3/4 by 7-1/2 inch corrugations.

2.1.3 PE Pipe

The pipe manufacturer's resin certification indicating the cell classification of PE used to manufacture the pipe shall be submitted prior to installation of the pipe. The minimum cell classification for polyethylene plastic shall apply to each of the seven primary properties of the cell classification limits in accordance with ASTM D 3350.

2.1.3.1 Corrugated PE Pipe

AASHTO M 294, Type S or D, for pipes 12 to 48 inches and AASHTO MP 7, Type S or D, for pipes 54 to 60 inches produced from PE certified by the resin producer as meeting the requirements of ASTM D 3350, minimum cell class in accordance with AASHTO M 294. Pipe walls shall have the following properties:

Nominal Size (in.)	Minimum Wall Area (square in/ft)	Minimum Moment of Inertia of Wall Section (in to the 4th/in)
12	1.50	0.024
15	1.91	0.053
18	2.34	0.062
24	3.14	0.116
30	3.92	0.163
36	4.50	0.222
42	4.69	0.543
48	5.15	0.543
54	5.67	0.800
60	6.45	0.800

2.2 DRAINAGE STRUCTURES

2.2.1 Flared End Sections

Sections for corrugated steel and polyethylene pipe shall be of a standard design fabricated from zinc coated steel sheets meeting requirements of ASTM A 929/A 929M. Concrete sections for reinforced concrete pipe shall conform to the manufacturer's standard design.

2.3 MISCELLANEOUS MATERIALS

2.3.1 Mortar

Mortar for pipe joints, connections to other drainage structures, and brick or block construction shall conform to ASTM C 270, Type M, except that the maximum placement time shall be 1 hour. The quantity of water in the mixture shall be sufficient to produce a stiff workable mortar but in no case shall exceed 5 gallons of water per sack of cement. Water shall be clean and free of harmful acids, alkalies, and organic impurities. The mortar shall be used within 30 minutes after the ingredients are mixed with water. The inside of the joint shall be wiped clean and finished smooth. The mortar head on the outside shall be protected from air and sun with a proper covering until satisfactorily cured.

2.3.2 Joints

2.3.2.1 Flexible Watertight Joints For Concrete Pipe

- a. Materials: Flexible watertight joints shall be made with plastic or rubber-type gaskets for concrete pipe. The design of joints and the physical requirements for plastic gaskets shall conform to AASHTO M 198, and rubber-type gaskets shall conform to ASTM C 443. Gaskets shall have not more than one factory-fabricated splice.

2.3.2.2 Flexible Watertight Joints For Corrugated Steel Pipe

- a. Gaskets: Corrugated steel pipe couplings shall have gaskets. Gaskets for annular bands shall be closed-cell expanded rubber and shall be a continuous band approximately 7 inches wide and approximately 3/8 inch thick, meeting the requirements of ASTM D 1056, Type 2 A1, and shall have a quality retention rating of not less than 70 percent when tested for weather resistance by ozone chamber exposure, Method B of ASTM D 1171. Hugger bands shall have rubber O-ring gaskets 13/16 inch in diameter for pipe diameters of 36 inches or smaller and 7/8 inch in diameter for larger pipe having 1/2 inch deep end corrugation. O-rings shall meet the requirements of AASHTO M 198 or ASTM C 443. Flexible plastic gaskets shall conform to requirements of AASHTO M 198, Type B.
- b. Connecting Bands: Connecting bands shall be of the type, size and sheet thickness of band, and the size of angles, bolts, rods and lugs as indicated or where not indicated as specified in the applicable standards or specifications for the pipe.

2.3.2.3 Corrugated PE Plastic Pipe

Water tight joints shall be made using a PVC or PE coupling and rubber gaskets as recommended by the pipe manufacturer. Rubber gaskets shall conform to ASTM F 477.

PART 3 EXECUTION

3.1 EXCAVATION FOR PIPE CULVERTS

Excavation of trenches for culverts shall be in accordance with the applicable portions of Section 02316A "Excavation, Trenching, and

Backfilling for Utilities Systems".

3.2 BEDDING

The bedding surface for the pipe shall provide a firm foundation of uniform density throughout the entire length of the pipe.

3.2.1 Concrete Pipe Requirements

Select granular material and initial backfill conforming to Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS shall be compacted to at least 90 percent maximum density and shall be placed in layers not exceeding 6 inch loose thickness for compaction by hand-operated compactors and 8 inches for other than hand-operated machines. After the pipe has been properly bedded, select granular material, at a moisture content that will facilitate compaction, shall be placed evenly along both sides of the pipe and each layer thoroughly compacted with mechanical tampers or rammers to the depth indicated on the drawings. The backfill shall be thoroughly compacted under the haunches of the pipe. Initial backfill material shall be placed above the select granular material to at least 12 inches above the top of the pipe. Bell holes and depressions for joints shall be not more than the length, depth, and width required for properly making the particular type of joint.

3.2.2 Corrugated Metal Pipe

Bedding for corrugated metal pipe and pipe arch shall be in accordance with ASTM A 798/A 798M. It is not required to shape the bedding to the pipe geometry. Structural backfill material for corrugated metal pipe shall be a coarse-grained soil. However, for pipe arches, the Contractor shall either shape the bedding to the relatively flat bottom arc or fine grade the foundation to a shallow v-shape.

3.2.3 Plastic Pipe

Bedding for PE pipe shall meet the requirements of ASTM D 2321. Bedding, haunching, and initial backfill shall be either Class IB or II material.

3.3 PLACING PIPE

Each pipe shall be thoroughly examined before being laid; defective or damaged pipe shall not be used. Plastic pipe shall be protected from exposure to direct sunlight prior to laying, if necessary to maintain adequate pipe stiffness and meet installation deflection requirements. Pipelines shall be laid to the grades and alignment indicated. Proper facilities shall be provided for lowering sections of pipe into trenches. Pipe shall not be laid in water, and pipe shall not be laid when trench conditions or weather are unsuitable for such work. Diversion of drainage or dewatering of trenches during construction shall be provided as necessary. Deflection of installed flexible pipe shall not exceed the following limits:

TYPE OF PIPE	MAXIMUM ALLOWABLE DEFLECTION (%)
Corrugated Steel	5
Plastic	7.5

TYPE OF PIPE	MAXIMUM ALLOWABLE DEFLECTION (%)
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Not less than 30 days after the completion of backfilling, the Government may perform a deflection test on the entire length of installed flexible pipe using a mandrel or other suitable device. Installed flexible pipe showing deflections greater than those indicated above shall be retested by a run from the opposite direction. If the retest also fails, the suspect pipe shall be replaced at no cost to the Government.

3.3.1 Concrete Pipe

Laying shall proceed upgrade with spigot ends of bell-and-spigot pipe and tongue ends of tongue-and-groove pipe pointing in the direction of the flow.

3.3.2 Elliptical Reinforced Concrete Pipe

The manufacturer's reference lines, designating the top of the pipe, shall be within 5 degrees of a vertical plane through the longitudinal axis of the pipe, during placement. Damage to or misalignment of the pipe shall be prevented in all backfilling operations.

3.3.3 Corrugated PE Pipe

Laying shall be with the separate sections joined firmly on a bed shaped to line and grade and shall follow manufacturer's recommendations.

3.3.4 Corrugated Metal Pipe and Pipe Arch

Laying shall be with the separate sections joined firmly together, with the outside laps of circumferential joints pointing upstream, and with longitudinal laps on the sides. During transportation and installation, pipe or pipe arch and coupling bands shall be handled with care to preclude damage to the lining. Damaged linings shall be repaired in accordance with the manufacturer's recommendations prior to placing backfill. Pipe on which lining has been damaged to such an extent that satisfactory field repairs cannot be made shall be removed and replaced.

3.3.5 Multiple Culverts

Where multiple lines of pipe are installed, adjacent sides of pipe shall be at least half the nominal pipe diameter or 3 feet apart, whichever is less.

3.4 JOINTING

3.4.1 Concrete Pipe

3.4.1.1 Plastic Sealing Compound Joints for Tongue-and-Grooved Pipe

Sealing compounds shall follow the recommendation of the particular manufacturer in regard to special installation requirements. Surfaces to receive lubricants, primers, or adhesives shall be dry and clean. Sealing compounds shall be affixed to the pipe not more than 3 hours prior to installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Sealing compounds shall be inspected before installation of the pipe, and any loose or improperly affixed sealing compound shall be removed and replaced. The pipe shall be

aligned with the previously installed pipe, and the joint pulled together. If, while making the joint with mastic-type sealant, a slight protrusion of the material is not visible along the entire inner and outer circumference of the joint when the joint is pulled up, the pipe shall be removed and the joint remade. After the joint is made, all inner protrusions shall be cut off flush with the inner surface of the pipe. If nonmastic-type sealant material is used, the "Squeeze-Out" requirement above will be waived.

3.4.1.2 Flexible Watertight Joints

Gaskets and jointing materials shall be as recommended by the particular manufacturer in regard to use of lubricants, cements, adhesives, and other special installation requirements. Surfaces to receive lubricants, cements, or adhesives shall be clean and dry. Gaskets and jointing materials shall be affixed to the pipe not more than 24 hours prior to the installation of the pipe, and shall be protected from the sun, blowing dust, and other deleterious agents at all times. Gaskets and jointing materials shall be inspected before installing the pipe; any loose or improperly affixed gaskets and jointing materials shall be removed and replaced. The pipe shall be aligned with the previously installed pipe, and the joint pushed home. If, while the joint is being made the gasket becomes visibly dislocated the pipe shall be removed and the joint remade.

3.4.2 Corrugated Metal Pipe

3.4.2.1 Field Joints

Transverse field joints shall be designed so that the successive connection of pipe sections will form a continuous line free of appreciable irregularities in the flow line. In addition, the joints shall meet the general performance requirements described in ASTM A 798/A 798M. The space between the pipe and connecting bands shall be kept free from dirt and grit so that corrugations fit snugly. The connecting band, while being tightened, shall be tapped with a soft-head mallet of wood, rubber or plastic, to take up slack and ensure a tight joint. Field joints for each type of corrugated metal pipe shall maintain pipe alignment during construction and prevent infiltration of fill material during the life of the installations. The type, size, and sheet thickness of the band and the size of angles or lugs and bolts shall be as indicated or where not indicated, shall be as specified in the applicable standards or specifications for the pipe.

3.4.2.2 Flexible Watertight, Gasketed Joints

Installation shall be as recommended by the gasket manufacturer for use of lubricants and cements and other special installation requirements. The gasket shall be placed over one end of a section of pipe for half the width of the gasket. The other half shall be doubled over the end of the same pipe. When the adjoining section of pipe is in place, the doubled-over half of the gasket shall then be rolled over the adjoining section. Any unevenness in overlap shall be corrected so that the gasket covers the end of pipe sections equally. Connecting bands shall be centered over adjoining sections of pipe, and rods or bolts placed in position and nuts tightened. Band Tightening: The band shall be tightened evenly, even tension being kept on the rods or bolts, and the gasket; the gasket shall seat properly in the corrugations. Watertight joints shall remain uncovered for a period of time designated, and before being covered, tightness of the nuts shall be measured with a torque wrench. If the nut has tended to loosen its grip on the bolts or rods, the nut shall be

retightened with a torque wrench and remain uncovered until a tight, permanent joint is assured.

3.5 BACKFILLING

3.5.1 Backfilling Pipe in Trenches

Final backfill of the trench above the initial backfill shall be backfilled in accordance with Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS.A

3.5.2 Movement of Construction Machinery

When compacting by rolling or operating heavy equipment parallel with the pipe, displacement of or injury to the pipe shall be avoided. Movement of construction machinery over a culvert at any stage of construction shall be at the Contractor's risk. Any damaged pipe shall be repaired or replaced.

3.5.3 Compaction

3.5.3.1 General Requirements

Except as otherwise specified herein, density testing shall be in accordance with Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS. A minimum of one field density test per lift of select granular material for concrete pipe and for bedding, haunching, and initial backfill material for plastic pipe and structural backfill material for corrugated metal pipe shall be performed for every 450 feet of pipe installed.

-- End of Section --

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DIVISION 02 - SITE WORK

SECTION 02721A

RIGID BASE AND SUBBASE COURSES

03/97

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SECTION 02721A

RIGID BASE AND SUBBASE COURSES

03/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO T 180 (1997) Moisture-Density Relations of Soils
Using a 4.54-kg (10-lb) Rammer and an
457-mm (18-in) Drop

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 29/C 29M (1997) Bulk Density ("Unit Weight") and
Voids in Aggregates

ASTM C 117 (1995) Materials Finer Than 75 micrometer
(No. 200) Sieve in Mineral Aggregates by
Washing

ASTM C 131 (1996) Resistance to Degradation of
Small-Size Coarse Aggregate by Abrasion
and Impact in the Los Angeles Machine

ASTM C 136 (1996) Sieve Analysis of Fine and Coarse
Aggregates

ASTM D 75 (1987; R 1997) Sampling Aggregates

ASTM D 422 (1963; R 1998) Particle-Size Analysis of
Soils

ASTM D 1556 (1990; R 1996el) Density and Unit Weight
of Soil in Place by the Sand-Cone Method

ASTM D 1557 (1998) Laboratory Compaction
Characteristics of Soil Using Modified
Effort (56,000 ft-lbf/cu. ft. (2,700
kN-m/cu.m.))

ASTM D 2167 (1994) Density and Unit Weight of Soil in
Place by the Rubber Balloon Method

ASTM D 2922 (1996el) Density of Soil and
Soil-Aggregate in Place by Nuclear Methods
(Shallow Depth)

ASTM D 3017	(1988; R 1996el) Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth)
ASTM D 4318	(1998) Liquid Limit, Plastic Limit, and Plasticity Index of Soils
ASTM E 11	(1995) Wire-Cloth Sieves for Testing Purposes

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment; G-AO

List of proposed equipment to be used in performance of construction work, including descriptive data.

SD-06 Test Reports

Sampling and Testing; G-AO

Copies of initial and in-place test results.

1.3 DEGREE OF COMPACTION

Degree of compaction is a percentage of the maximum density obtained by the test procedure presented in ASTM D 1557 or AASHTO T 180, Method D. In this specification, degree of compaction shall be a percentage of laboratory maximum density.

1.4 SAMPLING AND TESTING

Sampling and testing shall be the responsibility of the Contractor. Sampling and testing shall be performed by an approved testing laboratory in accordance with Section 01451 CONTRACTOR QUALITY CONTROL. Tests shall be performed at the specified frequency. No work requiring testing will be permitted until the testing laboratory has been inspected and approved. The materials shall be tested to establish compliance with the specified requirements.

1.4.1 Sampling

Samples for laboratory testing shall be taken in conformance with ASTM D 75. When deemed necessary, the sampling will be observed by the Contracting Officer.

1.4.2 Tests

1.4.2.1 Sieve Analysis

Sieve analysis shall be made in conformance with ASTM C 117 and ASTM C 136 and ASTM D 422. Sieves shall conform to ASTM E 11.

1.4.2.2 Liquid Limit and Plasticity Index

Liquid limit and plasticity index shall be determined in accordance with ASTM D 4318.

1.4.2.3 Moisture-Density Determinations

The maximum density and optimum moisture shall be determined in accordance with ASTM D 1557 if the material gradation contains less than 30 percent retained on the 19 mm sieve or AASHTO T 180, Method D if the material gradation contains more than 30 percent retained on the 19 mm sieve.

1.4.2.4 Density Tests

Density shall be field measured in accordance with either ASTM D 1556, ASTM D 2167, or ASTM D 2922. If density is field measured in accordance with ASTM D 1556, the base plate, as shown in the drawing shall be used. The calibration curves shall be checked and adjusted, if necessary, using only the sand cone method as described in paragraph Calibration, of the ASTM publication. Tests performed in accordance with ASTM D 2922 result in a wet unit weight of soil and, when using this method, ASTM D 3017 shall be used to determine the moisture content of the soil. The calibration curves furnished with the moisture gauges shall also be checked along with density calibration checks as described in ASTM D 3017. The calibration checks of both the density and moisture gauges shall be made by the prepared containers of material method, as described in paragraph Calibration, in ASTM D 2922, on each different type of material to be tested at the beginning of a job and at intervals as directed.

1.4.2.5 Wear Test

Wear tests shall be made on rigid base and subbase course material in conformance with ASTM C 131.

1.4.2.6 Weight of Slag

Weight per cubic foot of slag shall be determined in accordance with ASTM C 29/C 29M on the rigid base and subbase course material.

1.4.3 Testing Frequency

1.4.3.1 Initial Tests

One of each of the following tests shall be performed on the proposed material prior to commencing construction to demonstrate that the proposed material meets all specified requirements prior to installation.

- a. Sieve Analysis including 0.02 mm size material
- b. Liquid limit and plasticity index moisture-density relationship
- c. Wear

1.4.3.2 In-Place Tests

One of each of the following tests shall be performed on samples taken from

the placed and compacted rigid base and subbase course. Samples shall be taken for each 1000 square yards of each layer of material placed in each area.

- a. Sieve Analysis including 0.02 mm size material
- b. Field Density
- c. Moisture liquid limit and plasticity index

1.4.4 Approval of Material

The source of the material shall be selected 30 days prior to the time the material will be required in the work. Approval of the materials will be based on tests for gradation, liquid limit, and plasticity index performed on samples taken from the completed and compacted rigid base and subbase course.

1.5 WEATHER LIMITATIONS

Construction shall be done when the atmospheric temperature is above 35 degrees F. When the temperature falls below 35 degrees F, the Contractor shall protect all completed areas by approved methods against detrimental effects of freezing. Completed areas damaged by freezing, rainfall, or other weather conditions shall be corrected to meet specified requirements.

1.6 EQUIPMENT

All plant, equipment, and tools used in the performance of the work will be subject to approval before the work is started and shall be maintained in satisfactory working condition at all times. The equipment shall be adequate and shall have the capability of producing the required compaction, meeting grade controls, thickness control, and smoothness requirements as set forth herein.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 Rigid Base and Subbase Course

Aggregates shall consist of crushed stone or slag, gravel, shell, sand, or other sound, durable, approved materials processed and blended or naturally combined. Aggregates shall be durable and sound, free from lumps and balls of clay, organic matter, objectionable coatings, and other foreign material. Material retained on the No. 4 sieve shall have a percentage of wear not to exceed 50 percent after 500 revolutions when tested as specified in ASTM C 131. Aggregate shall be reasonably uniform in density and quality. Slag shall be an air-cooled, blast-furnace product having a dry weight of not less than 65 pcf. Aggregates shall have a maximum size of 2 inch. Subbase course shall be within the limits specified in gradation No. 2 and rigid base course shall be within the limits specified in gradation No. 4 as follows:

Maximum Allowable Percentage by Weight
Passing Square-Mesh Sieve

Sieve Designation	No.2	No.4
No. 10	80	85
No. 200	10	10

Particles having diameters less than 0.0008 inches shall not be in excess of 3 percent by weight of the total sample tested as determined in accordance with ASTM D 422. The portion of any blended component and of the completed course passing the No. 40 sieve shall be either nonplastic or shall have a liquid limit not greater than 25 and a plasticity index not greater than 5.

PART 3 EXECUTION

3.1 OPERATION OF AGGREGATE SOURCES

All clearing, stripping and excavating work involved in the opening or operation of aggregate sources shall be performed by the Contractor. Aggregate sources shall be opened to working depth in a manner that produces excavation faces that are as nearly vertical as practicable for the materials being excavated. Materials excavated from aggregate sources shall be obtained in successive cuts extending through all exposed strata. All pockets or strata of unsuitable materials overlying or occurring in the deposit shall be wasted as directed. The methods of operating aggregate sources and the processing and blending of the material may be changed or modified by the Contracting Officer, when necessary, in order to obtain material conforming to specified requirements. Upon completion of work, aggregate sources on Government reservations shall be conditioned to drain readily, and shall be left in a satisfactory condition. Aggregate sources on private lands shall be conditioned in agreement with local laws and authorities.

3.2 STOCKPILING MATERIAL

Prior to stockpiling of material, storage sites shall be cleared and leveled by the Contractor. All materials, including approved material available from excavation and grading, shall be stockpiled in the manner and at the locations designated. Aggregates shall be stockpiled on the cleared and leveled areas designated by the Contracting Officer so as to prevent segregation. Materials obtained from different sources shall be stockpiled separately.

3.3 PREPARATION OF UNDERLYING MATERIAL

Prior to constructing the rigid base or subbase course, the underlying course or subgrade shall be cleaned of all foreign substances. The surface of the underlying course or subgrade shall meet specified compaction and surface tolerances. Ruts, or soft yielding spots, in the underlying courses, subgrade areas having inadequate compaction, and deviations of the surface from the specified requirements, shall be corrected by loosening and removing soft or unsatisfactory material and by adding approved material, reshaping to line and grade, and recompact to specified density requirements. The finished underlying course shall not be

disturbed by traffic or other operations and shall be maintained by the Contractor in a satisfactory condition until the rigid base and subbase course is placed.

3.4 GRADE CONTROL

The finished and completed rigid base and subbase course shall conform to the lines, grades, and cross sections shown. The lines, grades, and cross sections shown shall be maintained by means of line and grade stakes placed by the Contractor at the work site.

3.5 MIXING AND PLACING MATERIALS

The materials shall be mixed and placed to obtain uniformity of the rigid base subbase material at the water content specified. The Contractor shall make such adjustments in mixing or placing procedures or in equipment as may be directed to obtain the true grades, to minimize segregation and degradation, to reduce or accelerate loss or increase of water, and to insure a satisfactory rigid base and subbase course.

3.6 LAYER THICKNESS

The compacted thickness of the completed course shall be as indicated. When a compacted layer of 6 inches is specified, the material may be placed in a single layer; when a compacted thickness of more than 6 inches is required, no layer shall exceed 6 inches nor be less than 3 inches when compacted.

3.7 COMPACTION

Each layer of the rigid base subbase course shall be compacted as specified with approved compaction equipment. Water content shall be maintained during the compaction procedure to within plus or minus 1 percent of optimum water content, as determined from laboratory tests, as specified in paragraph SAMPLING AND TESTING. In all places not accessible to the rollers, the mixture shall be compacted with hand-operated power tampers. Compaction shall continue until each layer is compacted through the full depth to at least 100 percent of laboratory maximum density. The Contractor shall make such adjustments in compacting or finishing procedures as may be directed to obtain true grades, to minimize segregation and degradation, to reduce or increase water content, and to ensure a satisfactory rigid base and subbase course. Any materials that are found to be unsatisfactory shall be removed and replaced with satisfactory material or reworked, as directed, to meet the requirements of this specification.

3.8 EDGES

Approved material shall be placed along the edges of the rigid base and subbase course in such quantity as will compact to the thickness of the course being constructed. When the course is being constructed in two or more layers, at least a 1 foot width of the shoulder shall be rolled and compacted simultaneously with the rolling and compacting of each layer of the rigid base and subbase course, as directed.

3.9 SMOOTHNESS TEST

The surface of each layer shall not show deviations in excess of 3/8 inch when tested with a 12 foot straightedge applied parallel with and at right

angles to the centerline of the area to be paved. Deviations exceeding this amount shall be corrected by removing material, replacing with new material, or reworking existing material and compacting, as directed.

3.10 THICKNESS CONTROL

The completed thickness of the rigid base and subbase course shall be in accordance with the thickness and grade indicated on the drawings. The thickness of each course shall be measured at intervals providing at least one measurement for each 500 square yards or part thereof of rigid base and subbase course. The thickness measurement shall be made by test holes, at least 3 inches in diameter through the course. The completed rigid base and subbase course shall not be more than 1/2 inch deficient in thickness nor more than 1/2 inch above or below the established grade. Where any of these tolerances are exceeded, the Contractor shall correct such areas by scarifying, adding new material of proper gradation or removing material, and compacting, as directed. Where the measured thickness is 1/2 inch or more thicker than shown, the course will be considered as conforming with the specified thickness requirements plus 1/2 inch. The average job thickness shall be the average of the job measurements as specified above but within 1/4 inch of the thickness shown.

3.11 MAINTENANCE

The rigid base and subbase course shall be maintained in a satisfactory condition until accepted.

-- End of Section --

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SECTION 02753A

CONCRETE PAVEMENT FOR AIRFIELDS AND OTHER HEAVY-DUTY PAVEMENTS
01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

- | | |
|------------|---|
| ACI 211.1 | (1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete |
| ACI 214.3R | (1988; R 1997) Simplified Version of the Recommended Practice for Evaluation of Strength Test Results of Concrete |
| ACI 305R | (1999) Hot Weather Concreting |

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS (AASHTO)

- | | |
|--------------|---|
| AASHTO M 182 | (1991; R 1996) Burlap Cloth Made from Jute or Kenaf |
|--------------|---|

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- | | |
|---------------------|--|
| ASTM A 184/A 184M | (2001) Fabricated Deformed Steel Bar Mats for Concrete Reinforcement |
| ASTM A 185 | (1997) Steel Welded Wire Fabric, Plain, for Concrete Reinforcement |
| ASTM A 497 | (1999) Steel Welded Wire Fabric, Deformed, for Concrete Reinforcement |
| ASTM A 53/A 53M | (2001) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless |
| ASTM A 615/A 615M | (2000) Deformed and Plain Billet-Steel Bars for Concrete Reinforcement |
| ASTM A 616/A 616M | (1996a) Rail-Steel Deformed and Plain Bars for Concrete Reinforcement |
| ASTM A 617/A 617M | (1996a) Axle-Steel Deformed and Plain Bars for Concrete Reinforcement |
| ASTM C 1064/C 1064M | (1999) Temperature of Freshly Mixed Portland Cement Concrete |

ASTM C 1077	(1998) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation
ASTM C 117	(1995) Materials Finer Than 75 micrometer (No. 200) Sieve in Mineral Aggregates by Washing
ASTM C 123	(1998) Lightweight Particles in Aggregate
ASTM C 1240	(2000) Silica Fume for Use as a Mineral Admixture in Hydraulic-Cement Concrete, Mortar and Grout
ASTM C 1260	(1994) Potential Alkali Reactivity of Aggregates (Mortar-Bar Method)
ASTM C 131	(1996) Resistance to Degradation of Small-Size Coarse Aggregate by Abrasion and Impact in the Los Angeles Machine
ASTM C 136	(1996a) Sieve Analysis of Fine and Coarse Aggregates
ASTM C 142	(1978; R 1997) Clay Lumps and Friable Particles in Aggregates
ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 171	(1997a) Sheet Materials for Curing Concrete
ASTM C 172	(1999) Sampling Freshly Mixed Concrete
ASTM C 174/C 174M	(1997) Measuring Thickness of Concrete Elements Using Drilled Concrete Cores
ASTM C 192/C 192M	(2000) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997e1) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 295	(1998) Petrographic Examination of Aggregates for Concrete
ASTM C 31/C 31M	(2000e1) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ae1) Concrete Aggregates
ASTM C 330	(2000) Lightweight Aggregates for Structural Concrete

ASTM C 39/C 39M	(2001) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 470/C 470M	(1998) Molds for Forming Concrete Test Cylinders Vertically
ASTM C 494/C 494M	(1999ae1) Chemical Admixtures for Concrete
ASTM C 618	(2000) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM C 78	(1994) Flexural Strength of Concrete (Using Simple Beam With Third-Point Loading)
ASTM C 881	(1999) Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete
ASTM C 989	(1999) Ground Granulated Blast-Furnace Slag for Use in Concrete and Mortars
ASTM D 1751	(1999) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996e1) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D 3665	(1999) Random Sampling of Construction Materials

NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY (NIST)

NIST HB 44	(1997) NIST Handbook 44: Specifications, Tolerances, and other Technical Requirements for Weighing and Measuring Devices
------------	--

NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)

NRMCA CPMB 100	(1996) Concrete Plant Standards
----------------	---------------------------------

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION (CDT)

CDT Test 526	(1978) Operation of California Profilograph and Evaluation of Profiles
--------------	--

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 100	(1975) Method of Sampling Concrete Aggregate and Aggregate Sources, and Selection of Material for Testing
---------------	---

COE CRD-C 104	(1980) Method of Calculation of the Fineness Modulus of Aggregate
COE CRD-C 114	(1997) Test Method for Soundness of Aggregates by Freezing and Thawing of Concrete Specimens
COE CRD-C 119	(1991) Standard Test Method for Flat or Elongated Particles in Coarse Aggregate
COE CRD-C 130	(1989) Scratch Hardness of Coarse Aggregate Particles
COE CRD-C 171	(1995) Test Method for Determining Percentage of Crushed Particles in Aggregate
COE CRD-C 300	(1990) Specifications for Membrane-Forming Compounds for Curing Concrete
COE CRD-C 400	(1963) Requirements for Water for Use in Mixing or Curing Concrete
COE CRD-C 521	(1981) Standard Test Method for Frequency and Amplitude of Vibrators for Concrete
COE CRD-C 55	(1992) Test Method for Within-Batch Uniformity of Freshly Mixed Concrete

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-DTL-24441/20	(Rev. A) Paint, Epoxy-Polyamide, Green Primer, Formula 150, Type III
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1.2 SYSTEM DESCRIPTION

This section is intended to stand alone for construction of concrete (rigid) pavement. However, where the construction covered herein interfaces with other sections, the construction at each interface shall conform to the requirements of both this section and the other section, including tolerances for both.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment; G-AO

a. Details and data on the batching and mixing plant prior to plant assembly including manufacturer's literature showing that the equipment meets all requirements specified herein.

b. A description of the equipment proposed for transporting

concrete mixture from the central mixing plant to the paving equipment at least 7 days prior to start of paving unless otherwise specified.

c. At the time the materials are furnished for the mixture proportioning study, a description of the equipment proposed for the placing of the concrete mixture, method of control, and manufacturer's literature on the paver and finisher, together with the manufacturer's written instructions on adjustments and operating procedures necessary to assure a tight, smooth surface on the concrete pavement, free of tears and other surface imperfections, including excessive paste on the surface. The literature shall show that the equipment meets all details of these specifications.

Proposed Techniques; G-A0

a. A description of the placing and protection methods proposed prior to construction of the test section, if concrete is to be placed in or exposed to hot or cold weather conditions.

b. A detailed plan of the proposed paving pattern showing all planned construction joints. No deviation from the jointing pattern shown on the drawings shall be made without written approval of the Omaha District Geotechnical Branch.

c. Data on the curing media and methods to be used.

Samples for Mixture Proportioning Studies; G-D0

The results of the Contractor's mixture proportioning studies along with a statement giving the maximum nominal coarse aggregate size and the proportions of all ingredients that will be used in the manufacture of concrete at least 14 days prior to commencing concrete placing operations. Aggregate quantities shall be based on the mass in a saturated surface dry condition. The statement shall be accompanied by test results from an independent commercial testing laboratory, inspected by the Government, and approved in writing, showing that mixture proportioning studies have been made with materials proposed for the project and that the proportions selected will produce concrete of the qualities indicated. No substitutions shall be made in the materials used in the mixture proportions without additional tests to show that the quality of the concrete is satisfactory.

SD-06 Test Reports

Sampling and Testing; G-D0

Certified copies of laboratory test reports, including all test data, for cement, pozzolan, aggregate, admixtures, and curing compound proposed for use on this project. These tests shall be made by an approved commercial laboratory or by a laboratory maintained by the manufacturers of the materials. No material shall be used until notice of acceptance has been given. Materials may be subjected to check testing by the Government from samples obtained at the manufacturer, at transfer points, or at the project site.

1.4 ACCEPTABILITY OF WORK AND PAYMENT ADJUSTMENTS

Concrete samples shall be taken by the Contractor in the field to determine the slump, air content, and strength of the concrete. Test beams and test cylinders shall be made for determining conformance with the strength requirements of these specifications. Any pavement not meeting the requirement for 'specified strength' shall be removed and replaced at no additional cost to the Government. The air content shall be determined in accordance with ASTM C 231. Slump tests shall be made in accordance with ASTM C 143/C 143M. Test beams and cylinders shall be molded and cured in accordance with ASTM C 31/C 31M and as specified below. Steel molds shall be used for molding the beams specimens. Molds for cylinder test specimens shall conform to ASTM C 470/C 470M. The Contractor shall furnish all materials, labor, and facilities required for molding, curing, testing, and protecting test specimens at the site and in the laboratory. Laboratory curing facilities for test specimens shall include furnishing and operating water tanks equipped with temperature-control devices that will automatically maintain the temperature of the water at 73 plus or minus 5 degrees F. The Contractor shall furnish and maintain at the site boxes or other facilities suitable for storing the specimens while in the mold at a temperature of 73 plus or minus 10 degrees F. Tests of the fresh concrete and of the hardened concrete specimens shall be made by and at the expense of the Contractor.

1.4.1 Pavement Lots

Appropriate adjustment in payment for individual lots of concrete pavement will be made in accordance with the following paragraphs. No such adjustment in payment will be made for any material other than concrete. A lot will be that quantity of construction that will be evaluated for compliance with specification requirements. A lot will be equal to 8 hour's production. In order to evaluate thickness, each lot will be divided into four equal sublots. Grade and surface smoothness (and condition) determinations will be made on the lot as a whole. However, any pavement not meeting the required 'specified strength' shall be removed and replaced at no additional cost to the Government. Strength will be evaluated, but will not be considered for payment adjustment. Edge slump requirements will be applied to each individual slab into which the primary paving lanes are divided by transverse joints, and will not be considered for payment adjustment. Samples for determining aggregate grading for fine aggregate and each size of coarse aggregate shall be taken as the aggregate bins discharge into the weigh hoppers. Results of tests on aggregates shall be used to control aggregate production and concreting operations, as specified in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL, but will not be used for payment adjustment. Samples for determining air content and slump and for fabricating strength specimens shall be taken in accordance with ASTM C 172 during or immediately following delivery of the concrete at the paving site and deposition of the concrete immediately in front of the paver or transfer spreader. Results of strength tests shall be used to control concreting operations, but will not be used for payment adjustment. Cores for thickness determination shall be drilled and evaluated as specified. Location of all samples shall be as directed and will be deliberately selected on a truly random basis, not haphazard, using commonly recognized methods of assuring randomness, employing randomizing tables or computer programs, in accordance with ASTM D 3665.

1.4.2 Acceptance of Lots

When a lot of material fails to meet the specification requirements, that lot will be accepted at a reduced price or shall be removed and replaced. The lowest computed percent payment determined for any pavement characteristic (i.e., thickness, grade, and surface smoothness) discussed below shall be the actual percent payment for that lot. The actual percent payment will be applied to the bid price and the quantity of concrete placed in the lot to determine actual payment.

1.4.3 Evaluation

The Contractor shall provide facilities for and, where directed, personnel to assist in obtaining samples for any Government testing, all at no additional cost to the Government. Such testing will in no way relieve the Contractor of any specified testing responsibilities. The Contractor shall provide all sampling and testing required for acceptance and payment adjustment at its expense. Such sampling and testing shall be performed by a commercial testing laboratory inspected by the Government and approved in writing. The laboratory performing the tests shall be on-site and shall conform with ASTM C 1077. The individuals who sample and test concrete or the constituents of concrete as required in this specification shall be certified as American Concrete Institute (ACI) Concrete Field Testing Technicians, Grade I, or shall have otherwise demonstrated to the satisfaction of the Contracting Officer other training providing knowledge and ability equivalent to the ACI minimum requirements for certification. The individuals who perform the inspection of concrete shall be certified as ACI Concrete Construction Inspector, Level II, or have otherwise demonstrated to the satisfaction of the Contracting Officer other training providing knowledge and ability equivalent to the ACI minimum requirements for certification. The Government will inspect the laboratory, equipment, and test procedures prior to start of concreting operations and at least once per year thereafter for conformance with ASTM C 1077.

1.4.4 Additional Sampling and Testing

The Contracting Officer reserves the right to direct additional samples and tests for any area which appears to deviate from the specification requirements. Testing in these areas will be in addition to the subplot or lot testing, and the requirements for these areas will be the same as those for a subplot or lot, but shall be at no additional cost to the Government.

1.4.5 Air Content Tests

Air content of the concrete shall be controlled as specified in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL and will not be considered for payment adjustment.

1.4.6 Slump Tests

Slump of the concrete shall be controlled as specified in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL and will not be considered for payment adjustment.

1.4.7 Surface Smoothness

The Contractor shall use one of the following methods to test and evaluate surface smoothness of the pavement. All testing shall be performed in the presence of the Contracting Officer's representative. Detailed notes shall be kept of the results of the testing and a copy furnished to the Government immediately after each day's testing. The profilograph method

shall be used for all longitudinal and transverse testing, except where the runs would be less than 200 feet in length and at the ends where the straightedge shall be used. Where drawings show required deviations from a plane surface (crowns, drainage inlets, etc.), the surface shall be finished to meet the approval of the Contracting Officer.

1.4.7.1 Smoothness Requirements

- a. Straightedge Testing: The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and all pavements shall be within the limits specified in Table 1 when checked with an approved 12 foot straightedge.

TABLE 1
STRAIGHTEDGE SURFACE SMOOTHNESS--PAVEMENTS

Pavement Category	Direction of Testing	Limits Inches
Runways and Taxiways	Longitudinal	1/8
	Transverse	1/4
Calibration Hardstands & Compass Swinging Bases	Longitudinal	1/8
	Transverse	1/8
All Other Airfield and Helicopter Paved Areas	Longitudinal	1/4
	Transverse	1/4
Roads and Streets	Longitudinal	3/16
	Transverse	1/4
Tank Hardstands, Parking Areas, Open Storage Areas	Longitudinal	1/4
	Transverse	1/4

- b. Profilograph Testing: The finished surfaces of the pavements shall have no abrupt change of 1/8 inch or more, and all pavement shall have a Profile Index not greater than specified in Table 2 when tested with an approved California-type profilograph. If the extent of the pavement in either direction is less than 200 feet, that direction shall be tested by the straightedge method and shall meet requirements specified for such.

TABLE 2
PROFILOGRAPH SURFACE SMOOTHNESS--PAVEMENTS

Pavement Category	Direction of Testing	Maximum Specified Profile Index Inch per mile
Runways	Longitudinal	7
	Transverse	9
Taxiways	Longitudinal	9
	Transverse	(Use Straightedge)
Calibration Hardstands and Compass Swinging Bases		(Use Straightedge)

TABLE 2
PROFILOGRAPH SURFACE SMOOTHNESS--PAVEMENTS

Pavement Category	Direction of Testing	Maximum Specified Profile Index Inch per mile
All Other Airfield and Helicopter Paved Areas	Longitudinal	9
	Transverse	9
Roads and Streets	Longitudinal	9
	Transverse	(Use Straightedge)
Tank Hardstands, Parking Areas, Open Storage Areas	Longitudinal	11
	Transverse	11

1.4.7.2 Testing Method

After the concrete has hardened sufficiently to permit walking thereon, but not later than 36 hours after placement, the surface of the pavement in each entire lot shall be tested by the Contractor in such a manner as to reveal all surface irregularities exceeding the tolerances specified above.

However, transverse profilograph testing of multiple paving lanes shall be performed at the timing directed. Separate testing of individual sublots is not required. If any pavement areas are ground, these areas shall be retested immediately after grinding. The entire area of the pavement shall be tested in both a longitudinal and a transverse direction on parallel lines. The transverse lines shall be 15 feet or less apart, as directed. The longitudinal lines shall be at the centerline of each paving lane shown on the drawings, regardless of whether the Contractor is allowed to pave two lanes at a time, and at the 1/8th point in from each side of the lane. Other areas having obvious deviations shall also be tested. Longitudinal testing lines shall be continuous across all joints. Transverse testing lines for pilot lanes shall be carried to construction joint lines and for fill-in lanes shall be carried 24 inches across construction joints, and the readings in this area applied to the fill-in lane. Straightedge testing of the longitudinal edges of slipformed pilot lanes shall also be performed before paving fill-in lanes as specified in paragraph "Edge Slump and Joint Face Deformation".

- a. **Straightedge Testing:** The straightedge shall be held in contact with the surface and moved ahead one-half the length of the straightedge for each successive measurement. The amount of surface irregularity shall be determined by placing the freestanding (unleveled) straightedge on the pavement surface and allowing it to rest upon the two highest spots covered by its length and measuring the maximum gap between the straightedge and the pavement surface, in the area between these two high points.
- b. **Profilograph Testing:** Profilograph testing shall be performed using approved equipment and procedures described in CDT Test 526. The equipment shall utilize electronic recording and automatic computerized reduction of data to indicate "must-grind" bumps and the Profile Index for the pavement. The "blanking band" shall be 0.2 inches wide and the "bump template" shall span 1 inch with an offset of 0.4 inch. The profilograph shall be operated by an approved, factory-trained operator on the alignments specified

above. A copy of the reduced tapes shall be furnished the Government at the end of each day's testing.

1.4.7.3 Payment Adjustment for Smoothness

- a. Straightedge Testing: Location and deviation from straightedge for all measurements shall be recorded. When between 5.0 and 10.0 percent and less than 15.0 percent of all measurements made within a lot exceed the tolerance specified in paragraph "Smoothness Requirements" above, after any reduction of high spots or removal and replacement, the computed percent payment based on surface smoothness will be 95 percent. When more than 10.0 percent and less than 15.0 percent of all measurements exceed the tolerance, the computed percent payment will be 90 percent. When between 15.0 and 20.0 percent of all measurements exceed the tolerance, the computed percent payment will be 75 percent. When 20.0 percent or more of the measurements exceed the tolerance, the lot shall be removed and replaced at no additional cost to the Government. Regardless of the above, any small individual area with surface deviation which exceeds the tolerance given above by more than 50 percent shall be corrected by grinding to meet the specification requirements above or shall be removed and replaced at no additional cost to the Government.
- b. Profilograph Testing: Location and data from all profilograph measurements shall be recorded. When the Profile Index of a lot exceeds the tolerance specified in paragraph "Smoothness Requirements" above by 1.0 inch per mile but less than 2.0 inches per mile, after any reduction of high spots or removal and replacement, the computed percent payment based on surface smoothness will be 95 percent. When the Profile Index exceeds the tolerance by 2.0 inches per mile but less than 3.0 inches per mile, the computed percent payment will be 90 percent. When the Profile Index exceeds the tolerance by 3.0 inches per mile but less than 4.0 inches per mile, the computed percent payment will be 75 percent. When the Profile Index exceeds the tolerance by 4.0 inches per mile or more, the lot shall be removed and replaced at no additional cost to the Government. Regardless of the above, any small individual area with surface deviation which exceeds the tolerance given above by more than 5.0 inches per mile or more, shall be corrected by grinding to meet the specification requirements above or shall be removed and replaced at no additional cost to the Government.
- c. Bumps ("Must Grind" Areas): Any bumps ("must grind" areas) shown on the profilograph trace which exceed 0.4 inch in height shall be reduced by grinding in accordance with subparagraph "Areas Defective In Plan Grade Or Smoothness" until they do not exceed 0.3 inch when retested. Such grinding shall be tapered in all directions to provide smooth transitions to areas not requiring grinding. Areas of textured pavement shall be retextured in accordance with the subparagraph listed above. At the Contractor's option, pavement areas including ground areas may be rechecked with the profilograph in order to record a lower Profile Index.

1.4.8 Edge Slump and Joint Face Deformation

The following requirements on testing and evaluation of edge slump and

joint face deformation apply only to pavements 10 inches or more in thickness. Use of slip-form paving equipment and procedures that fail to consistently provide edges within the specified tolerances on edge slump and joint face deformation shall be discontinued and the pavements shall be constructed by means of standard paving procedures using fixed forms. Slabs having more than the allowable edge slump shall be removed and replaced as specified in subparagraph "Excessive Edge Slump" before the adjacent lane is placed. Edge slump and joint face deformation will not be applied to payment adjustment.

1.4.8.1 Edge Slump

When slip-form paving is used, not more than 15.0 percent of the total free edge of any slab of the pavement, as originally constructed, shall have an edge slump exceeding 1/4 inch, and no slab shall have an edge slump exceeding 3/8 inch as determined in accordance with the measurements as specified in paragraph "Determination of Edge Slump". (The total free edge of the pavement will be considered to be the cumulative total linear measurement of pavement edge originally constructed as non-adjacent to any existing pavement; i.e., 100 feet of pilot lane, a paving lane originally constructed as a separate lane, will have 200 feet of free edge; 100 feet of fill-in lane will have no free edge, etc.,). The area affected by the downward movement of the concrete along the pavement edge shall not exceed 18 inches back from the edge.

1.4.8.2 Joint Face Deformation

In addition to the edge slump limits specified above, the vertical joint face shall have a surface within the maximum limits shown below:

Offset from Straightedge Applied Longitudinally To Pavement Surface 1 Inch Back From Joint Line	Offset From Straightedge Applied Longitudinally to Vertical Face	Offset From Straightedge Applied Top to Bottom Against the Joint Face	Abrupt Offset in Any Direction	Offset of Joint Face From True Vertical
Airfield Pavement	1/8 inch	1/4 inch	3/8 inch	1/8 inch
All other Pavement	1/4 inch	All other items same as airfield pavement.		

12 inches

1.4.8.3 Determination of Edge Slump

Immediately after the concrete has hardened sufficiently to permit walking thereon, the pavement surface shall be tested by the Contractor in the presence of a representative of the Contracting Officer. Testing shall be performed with a straightedge to reveal irregularities exceeding the edge slump tolerance specified above. The edge slump shall be determined at each free edge of each slipformed paving lane constructed. The straightedge shall be placed transverse to the direction of paving and the end of the straightedge located at the edge of the paving lane. Measurements shall be made at 5 to 15 foot spacings, as directed, commencing at the header where paving was started. Initially measurements shall be made at 5 foot intervals in each lane. When no deficiencies are

present, the Contracting Officer may approve an increase in the interval. When any deficiencies exist, the interval will be returned to 5 feet. In no case shall the interval exceed 15 feet. In addition to the transverse edge slump determination above, the Contractor, at the same time, shall check the longitudinal surface smoothness of the joint on a continuous line 1 inch back from the joint line using the straightedge advanced one-half its length for each reading. Other tests of the exposed joint face shall be made as directed to ensure that a uniform, true vertical joint face is attained. These tests shall include longitudinal straightedge testing of the vertical face and vertical testing of the face for both smoothness and angle. The measurements shall be made by the Contractor, shall be properly referenced in accordance with paving lane identification and stationing, and a report given to the Contracting Officer within 24 hours after measurement is made. The report shall also identify areas requiring replacement in accordance with paragraph "Excessive Edge Slump" as well as the cumulative percentage of total free edge of pavement constructed to date which has an edge slump exceeding 1/4 inch.

1.4.8.4 Excessive Edge Slump

When edge slump exceeding the limits specified above is encountered on either side of the paving lane, additional straightedge measurements shall be made, if required, to define the linear limits of the excessive slump. The concrete for the entire width of the paving lane within these limits of excessive edge slump or joint deformation shall be removed and replaced in conformance with paragraph REPAIR, REMOVAL, REPLACEMENT OR SLABS. Partial slabs removed and replaced shall extend across the full width of the pavement lane, parallel to the transverse joints, and both the section of the slab removed and the section remaining in place shall have a minimum length of 10 feet to the nearest scheduled transverse joint. If less than 10 feet remains, the entire slab shall be removed and replaced. Adding concrete or paste to the edge or otherwise manipulating the plastic concrete after the sliding form has passed, or patching the hardened concrete, shall not be used as a method for correcting excessive edge slump.

1.4.9 Plan Grade

1.4.9.1 Plan Grade Tolerances

The finished surfaces of pavements shall conform, within the tolerances shown below, to the lines, grades, and cross sections shown. The finished surfaces of airfield runway, taxiway, and apron pavements shall vary not more than 1/2 inch above or below the plan grade line or elevation indicated. The surfaces of other pavements shall vary not more than 3/4 inch. Plan grade shall be checked on the lot as a whole and when more than 5.0 and less than 10.0 percent of all measurements made within a lot are outside the specified tolerance, the computed percent payment for that lot will be 95 percent. When more than 10.0 percent are outside the specified tolerances, the computed percent payment for the lot will be 75 percent. However, in any areas where the deviation from grade exceeds the specified tolerances by 50 percent or more, the deficient area shall be removed and replaced at no additional cost to the Government. However, the above deviations from the approved grade line and elevation will not be permitted in areas where closer conformance with the planned grade and elevation is required for the proper functioning of appurtenant structures. The finished surfaces of new abutting pavements shall coincide at their juncture.

1.4.9.2 Grade Conformance Tests

Each pavement category shall be checked by the Contractor for conformance with plan grade requirements. For the purpose of making grade conformance tests, the pavements will be subdivided into the same lots used for all other payment adjustment items. Within 5 days after paving of each lot, the finished surface of the pavement area in each lot shall be tested by the Contractor, in the presence of a representative of the Contracting Officer, by running lines of levels at intervals corresponding with every longitudinal and transverse joint to determine the elevation at each joint intersection. The results of this survey shall be recorded and a copy given to the Government at the completion of the survey of each lot.

1.4.10 Flexural Strength

Each lot of pavement will be evaluated for acceptance in accordance with the following procedures. The Contractor shall be responsible for all testing required herein. Testing shall be performed by an approved commercial laboratory. Results of strength tests will not be used for payment adjustment.

1.4.10.1 Sampling and Testing

One composite sample of concrete from each subplot shall be obtained in accordance with ASTM C 172 from one batch or truckload. Test cylinders, 6 x 12 in. shall be fabricated and cured in accordance with ASTM C 31/C 31M; and tested in accordance with ASTM C 39/C 39M. Two test cylinders per subplot (8 per lot) shall be fabricated and cured for compressive strength, and two tested at 14-day age and two at 28-day age. The remaining four shall be tested at the ages directed. At the same time 2 additional test cylinders per subplot to be used for CQC tests shall be fabricated and cured; and tested as specified in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL. Two beams for flexural strength shall be fabricated and cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 78 for every 2500 cubic yards of concrete. These shall be tested at the ages directed.

1.4.10.2 Computations

The following computations shall be performed:

- a. Average the eight 14-day compressive strength tests for the lot and also compute the standard deviation(s) for the eight tests.
- b. Convert the 14-day average compressive strength for the lot to equivalent 90-day average flexural strength for the lot, using the Correlation Ratio determined during mixture design studies.
- c. Report results of strength tests to the Contracting Officer daily. These values will be used for acceptance, but will not be used for payment adjustment.

1.4.11 Thickness

Each lot of pavement will be evaluated for acceptance and payment adjustment in accordance with the following procedure. The Contractor shall be responsible for drilling the cores, measuring the cores in the presence of the Contracting Officer's representative, and for filling the core holes as directed.

1.4.11.1 Drilling, Measuring, and Computations

Two cores, between 3 and 6 in. in diameter, shall be drilled from the pavement, per subplot (8 per lot). The Contractor shall fill the core holes with concrete containing an expanding admixture, as directed. The cores shall be evaluated for thickness of the pavement in accordance with ASTM C 174/C 174M. The pavement thickness from the 8 cores for the lot shall be averaged and the standard deviation for the 8 thickness measurements shall be computed.

1.4.11.2 Evaluation and Payment Adjustment for Thickness

Using the Average Thickness of the lot, the computed percent payment for thickness shall be determined by entering the following table:

Pavements Over 8 inches In Thickness

Deficiency in Thickness Determined by Cores Inches	Computed Percent Payment for Thickness
0.00 to 0.24	100
0.25 to 0.49	75
0.50 to 0.74	50
0.75 or greater	0

Pavements 8 inches or Less In Thickness

Deficiency in Thickness Determined by Cores Inches	Computed Percent Payment for Thickness
0.00 to 0.24	100
0.25 to 0.49	65
0.50 or greater	0

Where 0 percent payment is indicated, the entire lot shall be removed and replaced at no additional cost to the Government. Where either of the two cores from a subplot show a thickness deficiency of 0.75 inch or greater, two more cores shall be drilled in the subplot and the average thickness of the four cores computed. If this average shows a thickness deficiency of 0.75 inch or more the entire subplot shall be removed.

1.4.12 Partial Lots

When operational conditions cause a lot to be terminated before the specified four sublots have been completed, the following procedure shall be used to adjust the lot size and number of tests for the lot. Where three sublots have been completed, they shall constitute a lot and acceptance criteria adjusted accordingly. Where one or two sublots have been completed, they shall be incorporated into the next lot or the previous lot, as directed, and the total number of sublots shall be used and acceptance criteria adjusted accordingly.

1.4.13 Areas Defective in Plan Grade or Smoothness

In areas not meeting the specified limits for surface smoothness and plan grade, high areas shall be reduced to attain the required smoothness and grade, except as depth is limited below. High areas shall be reduced either by hand rubbing the freshly finished concrete with a silicon carbide brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 14 days or more old. Rubbing with a silicon carbide brick and water shall be discontinued as soon as contact with the coarse aggregate is made, and all further necessary reduction shall be accomplished by grinding the hardened concrete with a surface-grinding machine after it is 14 days old. The area corrected by grinding the surface of the hardened concrete shall not exceed 5 percent of the area of any integral slab, and shall not exceed 1 percent of the total area of any sublot. The depth of grinding shall not exceed 1/4 inch. All pavement areas requiring plan grade or surface smoothness corrections in excess of the limits specified above, shall be removed and replaced in conformance with paragraph REPAIR, REMOVAL, REPLACEMENT OF SLABS. In pavement areas given a wire comb or tined texture, areas exceeding 25 square feet that have been corrected by rubbing or grinding shall be retextured by transverse grooving using an approved grooving machine of standard manufacture. The grooves shall be 1/8 inch deep by 1/4 inch wide on 2 inch centers and shall be carried into, and tapered to zero depth within the non-corrected surface, or shall match any existing grooves in the adjacent pavement. All areas in which rubbing or grinding has been performed will be subject to the thickness tolerances specified in paragraph Thickness. Any rubbing or grinding performed on individual slabs with excessive deficiencies shall be performed at the Contractor's own decision without entitlement to additional compensation if eventual removal of the slab is required.

1.5 ACCEPTABILITY OF WORK

The materials and the pavement itself will be accepted on the basis of tests made by the Government and by the Contractor's approved commercial laboratory or the supplier's approved laboratory, all as specified herein. The Government may, at its discretion, make check tests to validate the results of the Contractor's testing. If the results of the Government and Contractor tests vary by less than 2.0 percent, of the Government's test results, the results of the Contractor's tests will be used. If the results of the Government and Contractor tests vary by 2.0 percent or more, but less than 4.0 percent, the average of the two will be considered the value to be used. If these vary by 4.0 percent or more, each sampling and testing procedure shall be carefully evaluated and both the Government and the Contractor shall take another series of tests on duplicate samples of material. If these vary by 4.0 percent or more, the results of the tests made by the Government shall be used and the Government will continue check testing of this item on a continuous basis until the two sets of tests agree within less than 4.0 percent on a regular basis. Testing performed by the Government will in no way at any time relieve the Contractor from the specified testing requirements.

1.6 PRECONSTRUCTION TESTING OF MATERIALS

The Contractor shall not be entitled to any additional payment or extension of time because of delays caused by sampling and testing additional sources, or samples, necessitated by failure of any samples.

1.6.1 Aggregates

Aggregates shall be sampled by the Contractor in the presence of a Government representative. Samples shall be obtained in accordance with COE CRD-C 100 and of the size indicated therein, or larger if specified in paragraph Testing Sequence Deleterious Materials -- Airfields Only and shall be representative of the materials to be used for the project. Testing of samples shall be the responsibility of the Contractor and shall be performed by an approved commercial laboratory. Test results shall be submitted 14 days before commencing paving. No material shall be used unless test results show that it meets all requirements of these specifications.

1.6.2 Chemical Admixtures

The Contractor shall provide satisfactory facilities for ready procurement of adequate test samples. All sampling and testing of an admixture will be by and at the expense of the Government. Tests will be conducted with materials proposed for the project. An air-entraining admixture that has been in storage at the project site for longer than 6 months or that has been subjected to freezing will be retested at the expense of the Contractor when considered appropriate and shall be rejected if test results are not satisfactory.

1.6.3 Curing Compound

The Contractor shall provide satisfactory facilities for ready procurement of adequate test samples. The sampling and testing will be by and at the expense of the Government.

1.6.4 Epoxy-Resin Material

At least 30 days before the material is used, the Contractor shall submit certified copies of test results showing that the specific lots or batches from which the material will be furnished to this project have been tested by the manufacturer and that the material conforms to the requirements of these specifications. When epoxy resin arrives at the job site, the Contractor shall assist the Government to sample the material. The Government will test the sample or will retain it in storage for possible future testing, as considered appropriate.

1.6.5 Cements, Pozzolans, and GGBF Slag

Preconstruction sampling and testing of cement, pozzolan, and GGBF slag shall conform to the requirements specified for sampling and testing during construction except that test results showing that each material meets specification requirements shall be available at least 5 days before start of paving operations.

1.7 TESTING BY CONTRACTOR DURING CONSTRUCTION

1.7.1 Contractor's Testing Requirements

During construction, the Contractor shall be responsible for sampling and testing aggregates, cementitious materials (cement and pozzolan), and concrete to determine compliance with the specifications. All sampling and testing shall be performed by an approved commercial laboratory, or for cementitious materials, the manufacturer's laboratory. Samples of aggregate shall be obtained as the bins discharge into the the weigh

hopper. Samples of concrete shall be obtained at the point of delivery to the paver. The Government will sample and test concrete and ingredient materials as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Testing by the Government will in no way relieve the Contractor of the specified testing requirements.

1.7.2 Cementitious Materials

Cement and pozzolan will be accepted on the basis of manufacturer's certification of compliance, accompanied by mill test reports showing that the material in each shipment meets the requirements of the specification under which it is furnished. No cementitious material shall be used until notice of acceptance has been given by the Contracting Officer. Cementitious material may be subjected to check testing by the Government from samples obtained at the mill, at transfer points, or at the project site.

1.8 TESTING BY GOVERNMENT DURING CONSTRUCTION

1.8.1 Government Testing

During construction, the Government will be responsible for sampling and testing aggregates, cementitious materials (cement and pozzolan), and concrete to determine compliance with the specifications. Samples of aggregate will be obtained as the bin discharges into the weigh hopper. Samples of concrete will be obtained at the point of delivery to the paver.

The Government will sample and test concrete and ingredient materials as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Testing by the Government will in no way relieve the Contractor of the specified testing requirements.

1.8.2 Cementitious Materials

Cementitious materials shall be furnished either from sources tested by the Government especially for this project or from prequalified sources, at the Contractor's option. Cementitious material will be sampled at the mill or shipping point or at the site of the work and tested by and at the expense of the Government. If tests prove that a cementitious material that has been delivered is unsatisfactory, it shall be promptly removed from the site of the work. Cementitious material that has not been used within 6 months after testing will be retested by the Government at the expense of the Contractor when directed by the Contracting Officer and shall be rejected if test results are not satisfactory. The cost of testing cementitious material excess to the project requirements will also be at the expense of the Contractor.

1.8.2.1 Prequalified Cement and Pozzolan

Cement or pozzolan shall be delivered and used directly from the mill of a producer designated as a prequalified source specifically for the particular "type" of cement or pozzolan involved (including any supplementary requirements). Samples of cement or pozzolan for check testing will be taken at the project site or the concrete producing plant by a representative of the Contracting Officer for testing at the expense of the Government. A list of prequalified cement and pozzolan sources is available from the Commander, U.S. Army Engineer Waterways Experiment Station (ATTN: CEWES-SC), 3909 Halls Ferry Road, Vicksburg, Mississippi

39180-6199.

1.8.2.2 Cement Sources Not Prequalified

The sampling, testing, and, when the point of sampling is other than the site of the work, the inspection during shipping will be made by the Government at its expense. Cement meeting all other requirements will be accepted before the required 7-day age when the strength is equal to or greater than the 7-day requirement. In the event of failure, the cement may be resampled at the request of the Contractor and at its expense. When the point of sampling is other than the site of the work, the fill gate or gates of the sampled bin will be sealed and kept sealed until shipment from the bin has been completed. Sealing of fill gates and of conveyances used in shipment will be done by the Government. Conveyances will not be accepted at the site of the work unless received with all seals intact. If tested cement is rehandled at transfer points, the extra cost of inspection will be at the Contractor's expense.

1.8.2.3 Pozzolan Sources Not Prequalified

Pozzolan will be sampled at the source and shall be stored in sealed bins pending completion of required tests. When determined necessary, pozzolan will also be stored at the site. All sampling and testing will be performed by the Government. Release for shipment and approval for use will be based on compliance with 7-day lime-pozzolan strength requirements and other physical, chemical, and uniformity requirements for which tests can be completed by the time the 7-day lime-pozzolan strength test is completed, as well as on continuing compliance with the other requirements of the specifications. If the sample from a bin fails, the contents of the bin may be resampled and tested at the Contractor's expense. In this event, pozzolan may be sampled as it is loaded into cars or trucks, provided they are kept at the source until released for shipment. Unsealing and resealing of bins and sealing of shipping conveyances will be done by the Government. Shipping conveyances will not be accepted at the site of the work unless they are received with all seals intact. If pozzolan is damaged in shipment, handling, or storage, it shall be promptly removed from the site of the work. Pozzolan not used within 6 months after testing will be retested by the Government at the expense of the Contractor and shall be rejected if the test results are not satisfactory. If tested pozzolan is rehandled at transfer points, the extra cost of inspection will be at the Contractor's point of delivery to the paver. The Government will sample and test concrete and ingredient materials as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Testing by the Government will in no way relieve the Contractor of the specified testing requirements.

1.8.2.4 Mill Tests for Cementitious Materials

Cement,, and pozzolan will be accepted on the basis of manufacturer's certification of compliance accompanied by mill test reports showing that the material in each shipment used meets the requirements of the specification under which it is furnished. No cementitious material shall be used until notice of acceptance has been given by the Contracting Officer. Cementitious material may be subjected to check testing by the Government on samples obtained at the mill, at transfer points, or at the project site.

1.9 QUALIFICATIONS

All Contractor Quality Control personnel assigned to concrete construction shall be American Concrete Institute (ACI) Certified Workmen in one of the following grades (or shall have approved written evidence of having completed similar qualification programs):

Concrete Field Testing Technician, Grade I
Concrete Laboratory Testing Technician, Grade I or II
Concrete Construction Inspector, Level II

The foreman or lead journeyman of the finishing crew shall have similar qualification for ACI Concrete Flatwork Technician/Finisher, or equal. Written documentation shall be furnished for each workman in the above groups.

1.10 DELIVERY, STORAGE, AND HANDLING OF MATERIALS

1.10.1 Bulk Cementitious Materials

All cementitious material shall be furnished in bulk. The temperature of the cementitious material, as delivered to storage at the site, shall not exceed 150 degrees F.

1.10.1.1 Transportation

When bulk cementitious material is not unloaded from primary carriers directly into weather-tight hoppers at the batching plant, transportation from the railhead, mill, or intermediate storage to the batching plant shall be accomplished in adequately designed weather-tight trucks, conveyors, or other means that will protect the cementitious material from exposure to moisture.

1.10.1.2 Storage Requirements

Immediately upon receipt at the site of the work, cementitious materials shall be stored in a dry and properly ventilated structure. All storage facilities shall be subject to approval and shall allow easy access for inspection and identification. Sufficient cementitious materials shall be in storage to sustain continuous operation of the concrete mixing plant while the pavement is being placed. To prevent cement from becoming unduly aged after delivery, any cement that has been stored at the site for 60 days or more shall be used before using cement of lesser age.

1.10.1.3 Separation of Materials

Separate facilities shall be provided which will prevent any intermixing during unloading, transporting, storing, and handling of each type of cementitious material.

1.10.2 Aggregate Materials

1.10.2.1 Storage

Aggregate shall be stored at the site of the batching and mixing plant avoiding breakage, segregation, or contamination by foreign materials. Each size of aggregate from each source shall be stored separately in free-draining stockpiles. Fine aggregate and the smallest size coarse aggregate shall remain in free-draining storage for at least 24 hours immediately prior to use. Sufficient aggregate shall be maintained at the

site at all times to permit continuous uninterrupted operation of the mixing plant at the time concrete pavement is being placed.

1.10.2.2 Handling

Aggregate shall be handled avoiding segregation or degradation. Vehicles used for stockpiling or moving aggregate shall be kept clean of foreign materials. Tracked equipment shall not be allowed on coarse aggregate stockpiles. Stockpiles shall be built up and worked avoiding segregation in the piles and preventing different sizes of aggregate from being mixed during storage or batching. Aggregate shall not be stored directly on ground unless a sacrificial layer is left undisturbed and unused.

1.10.3 Other Materials

Reinforcing bars and accessories shall be stored above the ground on platforms, skids, or other supports. Other materials shall be stored avoiding contamination and deterioration. Chemical admixtures which have been in storage at the project site for longer than 6 months or which have been subjected to freezing shall not be used unless retested and proven to meet the specified requirements. The Contractor shall ensure that materials can be accurately identified after bundles or containers are opened.

1.11 EQUIPMENT

All plant, equipment, tools, and machines used in the work shall be maintained in satisfactory working conditions at all times.

1.11.1 Batching and Mixing Plant

1.11.1.1 Location of Batching and Mixing Plant

The batching and mixing plant shall be located off Government promises no more than 15 minutes haul time from the placing site. There shall be operable telephonic or radio communication between the batching plant and the placing site at all times concreting is taking place.

1.11.1.2 Type and Capacity of Batching and Mixing Plant

The batching and mixing plant shall be a stationary-type plant. The plant shall be designed and operated to produce concrete within the specified tolerances, and shall have a capacity of at least 250 cu. yd. per hour. The batching plant shall conform to the requirements of NRMCA CPMB 100 and as specified; however, rating plates attached to batch plant equipment are not required.

1.11.1.3 Equipment Requirements

The batching controls shall be either semiautomatic or automatic. Semiautomatic batching system shall be provided with interlocks. Separate bins or compartments shall be provided for each size group of aggregate and each cementitious material. Aggregates shall be weighed either in separate weigh batchers with individual scales or cumulatively in one weigh batcher on one scale, provided the fine aggregate is weighed first. Aggregate shall not be weighed in the same batcher with cementitious material. If both cement and pozzolan are used, they may be batched cumulatively, provided portland cement is batched first. Water shall not be weighed or measured cumulatively with another ingredient. Water batcher filling and

discharging valves shall be so interlocked that the discharge valve cannot be opened before the filling valve is fully closed. An accurate mechanical device for measuring and dispensing each chemical admixture shall be provided. Each dispenser shall be interlocked with the batching cycle and discharged automatically to obtain uniform distribution throughout the batch in the specified mixing period. Different chemical admixtures shall not be combined before introduction in water and cement. The plant shall be arranged to facilitate the inspection of all operations at all times. Suitable facilities shall be provided for obtaining representative samples of aggregates from each bin or compartment.

1.11.1.4 Scales

Adequate facilities shall be provided for the accurate measurement and control of each of the materials entering each batch of concrete. The weighing equipment shall conform to the applicable requirements of NIST HB 44, except that the accuracy shall be within 0.2 percent of scale capacity. The Contractor shall provide standard test weights and any other auxiliary equipment required for checking the operating performance of each scale or other measuring device. Each weighing unit shall include a visible springless dial, which shall indicate the scale load at all stages of the weighing operation or shall include a beam scale with a beam balance indicator that will show the scale in balance at zero load and at any beam setting. The indicator shall have an over and under travel equal to at least 5 percent of the capacity of the beam. Approved electronic digital indicators and load cells may also be used. The weighing equipment shall be arranged to allow the concrete plant operator to conveniently observe the dials or indicators.

1.11.1.5 Batching Tolerances

The following tolerances shall apply.

Materials	Percentage of Required Mass
Cement (and Pozzolan)	plus or minus 1
Aggregate	plus or minus 2
Water	plus or minus 1
Admixture	plus or minus 3

For volumetric batching equipment for water and admixtures, the above numeric tolerances shall apply to the required volume of material being batched. Concentrated admixtures shall be uniformly diluted, if necessary, to provide sufficient volume per batch to ensure that the batchers will consistently operate within the above tolerance.

1.11.1.6 Moisture Control

The plant shall be capable of ready adjustment to compensate for the varying moisture contents of the aggregates and to change the quantities of the materials being batched.

1.11.1.7 Recorders

A graphic or digital recorder conforming to the requirements of NRMCA CPMB 100 shall be furnished and kept operational at the batching plant.

1.11.2 Concrete Mixers

Mixers shall be truck mixers. Mixers shall be capable of combining the materials into a uniform mixture and of discharging this mixture without segregation. The mixers shall not be charged in excess of the capacity recommended by the manufacturer. The mixers shall be operated at the drum or mixing blade speed designated by the manufacturer. The mixers shall be maintained in satisfactory operating condition, and the mixer drums shall be kept free of hardened concrete. Mixer blades or paddles shall be replaced when worn down more than 10 percent of their depth when compared with the manufacturer's dimension for new blades or paddles.

1.11.2.1 Stationary, Central Plant, Mixers

Stationary mixers shall be drum mixers of tilting, nontilting, horizontal-shaft, or vertical-shaft type. Mixers shall be provided with an acceptable device to lock the discharge mechanism until the required mixing time has elapsed.

1.11.2.2 Truck Mixers

The only truck mixers used for mixing or transporting paving concrete shall be those designed with extra large blading and rear opening specifically for low-slump paving concrete. Truck mixers, the mixing of concrete therein, and concrete uniformity and testing thereof shall conform to the requirements of ASTM C 94/C 94M. A truck mixer may be used either for complete mixing (transit-mixed) or to finish the partial mixing done in a stationary mixer (shrink-mixed). Each truck shall be equipped with two counters which will show the number of revolutions at mixing speed and the number of revolutions at agitating speed. Concrete completely mixed in a truck mixer shall be mixed 70 to 100 revolutions at the designated mixing speed after all ingredients, including mixing water, have been charged into the drum. Concrete first partially mixed in a concrete plant mixer (shrink-mixed) a minimum time, as required to combine the ingredients, shall then be completely mixed in a truck mixer. The number of revolutions between 70 to 100 for truck-mixed concrete and the number of revolutions for shrink-mixed concrete shall be determined by uniformity tests as specified in ASTM C 94/C 94M and in requirements for mixer performance stated in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL. If requirements for the uniformity of concrete are not met with 100 revolutions of mixing after all ingredients including water are in the truck mixer drum, the mixer shall not be used until the condition is corrected. Additional revolutions beyond the number determined to produce the required uniformity shall be at the designated agitating speed. Water shall not be added after the initial introduction of mixing water except, when on arrival at the job site, the slump is less than specified and the water-cement ratio is less than that given as a maximum in the approved mixture. Additional water may be added to bring the slump within the specified range provided the approved water-cement ratio is not exceeded. Water shall be injected into the head of the mixer (end opposite the discharge opening) drum under pressure, and the drum or blades shall be turned a minimum of 30 additional revolutions at mixing speed. Water shall not be added to the batch at any later time.

1.11.2.3 Mixing Time and Uniformity

- a. Stationary Mixers: For stationary mixers, before uniformity data are available, the mixing time for each batch after all solid materials are in the mixer, provided that all of the mixing water

is introduced before one-fourth of the mixing time has elapsed, shall be 1 minute for mixers having a capacity of 1 cubic yard. For mixers of greater capacity, this minimum time shall be increased 20 seconds for each additional 1.33 cubic yard or fraction thereof. After results of uniformity tests are available, the mixing time may be reduced to the minimum time required to meet uniformity requirements; but if uniformity requirements are not being met, the mixing time shall be increased as directed. Mixer performance tests at new mixing times shall be performed immediately after any change in mixing time. When regular testing is performed, the concrete shall meet the limits of any five of the six uniformity requirements listed in Table 4, below. When abbreviated testing is performed, the concrete shall meet only those requirements listed for abbreviated testing. The concrete proportions used for uniformity tests shall be as used on the project. Regular testing shall consist of performing all six tests on three batches of concrete. The range for regular testing shall be the average of the ranges of the three batches. Abbreviated testing shall consist of performing the three required tests on a single batch of concrete. The range for abbreviated testing shall be the range for one batch. If more than one mixer is used and all are identical in terms of make, type, capacity, condition, speed of rotation, etc., the results of tests on one of the mixers shall apply to the others, subject to the approval of the Contracting Officer. All mixer performance (uniformity) testing shall be performed by the Contractor in accordance with COE CRD-C 55 and with paragraph titled TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL.

TABLE 4
UNIFORMITY REQUIREMENTS--STATIONARY MIXERS

Parameter	Regular Tests Allowable Maximum Range for Average of 3 Batches	Abbreviated Tests Allowable Maximum Range for 1 Batch
Unit weight of air-free mortar, lb/cubic ft	2.0	2.0
Air content, percent	1.0	--
Slump, inches	25	--
Coarse aggregate, percent	6.0	6.0
Compressive strength at 7 days, percent	10.0	10.0
Water content, percent	1.5	--

- b. Truck Mixers: Mixer performance (uniformity) tests for truck mixers shall be made by the Contractor in accordance with ASTM C 94/C 94M.

1.11.3 Transporting Equipment

Concrete shall be transported to the paving site in nonagitating equipment

conforming to ASTM C 94/C 94M in approved truck mixers designed with extra large blading and rear opening specifically for low slump concrete or in approved agitators. All transporting equipment shall be designed and operated to deliver and discharge the required concrete mixture completely without segregation.

1.11.4 Transfer and Spreading Equipment

Equipment for transferring concrete from the transporting equipment to the paving lane in front of the paver shall be specially manufactured, self-propelled transfer equipment which will accept the concrete outside the paving lane and will transfer and spread it evenly across the paving lane in front of the paver and strike off the surface evenly to a depth which permits the paver to operate efficiently.

1.11.5 Paver-Finisher

The paver-finisher shall be a heavy-duty, self-propelled machine designed specifically for paving and finishing high quality pavement. The paver-finisher shall weigh at least 2200 lb. per foot of lane width, and shall be powered by an engine having at least 6.0 horsepower per foot of lane width. The paver-finisher shall spread, consolidate, and shape the plastic concrete to the desired cross section in one pass. The mechanisms for forming the pavement shall be easily adjustable in width and thickness and for required crown. In addition to other spreaders required by paragraph Transfer and Spreading Equipment, the paver-finisher shall be equipped with a full width knock-down auger or paddle mechanism, capable of operating in both directions, which will evenly spread the fresh concrete in front of the screed or extrusion plate. Immersion vibrators shall be gang mounted at the front of the paver on a frame equipped with suitable controls so that all vibrators can be operated at any desired depth within the slab or completely withdrawn from the concrete, as required. The vibrators shall be automatically controlled so that they will be immediately stopped as forward motion of the paver ceases. The spacing of the immersion vibrators across the paving lane shall be as necessary to properly consolidate the concrete, but the clear distance between vibrators shall not exceed 30 inches. Spud vibrators shall operate at a frequency of not less than 8000 impulses per minute and an amplitude of not less than 0.03 inch and tube vibrators at a frequency of not less than 5000 impulses per minute and an amplitude of not less than 0.03 inch, as determined by COE CRD-C 521. The paver-finisher shall be equipped with a transversely oscillating screed or an extrusion plate to shape, compact, and smooth the surface and shall so finish the surface that no significant amount of hand finishing, except use of cutting straightedges, is required. The screed or extrusion plate shall be constructed to provide adjustment for crown in the pavement. The entire machine shall provide adjustment for variation in lane width or thickness and to prevent more than 8 inches of the screed or extrusion plate extending over previously placed concrete on either end when paving fill-in lanes. Machines that cause displacement of properly installed forms or cause ruts or indentations in the prepared underlying materials and machines that cause frequent delays due to mechanical failures shall be replaced as directed.

1.11.5.1 Paver-Finisher with Fixed Forms

The paver-finisher shall be equipped with wheels designed to keep it aligned with the forms and to spread the load so as to prevent deformation of the forms.

1.11.5.2 Slipform Paver-Finisher

The slipform paver-finisher shall be automatically controlled and crawler mounted with four padded tracks so as to be completely stable under all operating conditions. The paver-finisher shall finish the surface and edges so that no edge slump beyond allowable tolerance occurs. Horizontal alignment shall be electronically referenced to a taut wire guideline. Vertical alignment shall be electronically referenced on both sides of the paver to a taut wire guideline, to an approved laser control system, or, only where permitted by paragraph Slipform Paving, to a ski operating on a completed lane. Suitable moving side forms shall be provided that are adjustable and will produce smooth, even edges, perpendicular to the top surface and meeting specification requirements for alignment and freedom from edge slump.

1.11.5.3 Longitudinal Mechanical Float

A longitudinal mechanical float shall be specially designed and manufactured to smooth and finish the pavement surface without working excess paste to the surface. It shall be rigidly attached to the rear of the paver-finisher or to a separate self-propelled frame spanning the paving lane. The float plate shall be at least 5 feet long by 8 inches wide and shall automatically be oscillated in the longitudinal direction while slowly moving from edge to edge of the paving lane, with the float plate in contact with the surface at all times.

1.11.5.4 Nonrotating Pipe Float

A pipe float if used, shall be a nonrotating pipe 6 to 10 inches in diameter and sufficiently long to span the full paving width when oriented at an angle of approximately 60 degrees with the centerline. The pipe float shall be mounted on a self-propelled frame that spans the paving lane. No means of applying water to the surface shall be incorporated in the pipe float.

1.11.5.5 Other Types of Finishing Equipment

Clary screeds or other rotating tube floats, or bridge deck finishers, shall not be allowed on the project. Concrete finishing equipment of types other than specified above may be demonstrated on a test section outside the production pavement if approved in writing. If the Contracting Officer's representative decides from evaluation of the test section that the equipment is better than the specified finishing equipment, its use will be permitted as long as it continues to perform better than the specified equipment.

1.11.6 Curing Equipment

Equipment for applying membrane-forming curing compound shall be mounted on a self-propelled frame that spans the paving lane. The reservoir for curing compound shall be constantly mechanically (not air) agitated during operation and shall contain means for completely draining the reservoir. The spraying system shall consist of a mechanically powered pump which will maintain constant pressure during operation, an operable pressure gauge, and either a series of spray nozzles evenly spaced across the lane to give uniformly overlapping coverage or a single spray nozzle which is mounted on a carriage which automatically traverses the lane width at a speed correlated with the forward movement of the overall frame. All spray nozzles shall be protected with wind screens. Any hand-operated sprayers

allowed by paragraph Membrane Curing shall be compressed air supplied by a mechanical air compressor. If the curing machine fails to apply an even coating of compound at the specified rate, it shall immediately be replaced.

1.11.7 Texturing Equipment

Texturing equipment shall be as specified below. Before use, the texturing equipment shall be demonstrated on a test section, and the equipment shall be modified as necessary to produce the texture directed.

1.11.7.1 Fabric Drag

A fabric drag shall consist of a piece of material as long as the lane width securely attached to a separate wheel mounted frame spanning the paving lane or to one of the other similar pieces of equipment. Width of the material shall provide 12 to 18 inches dragging flat on the pavement surface. Length shall be at least equal to the width of the slab plus 24 inches. The material shall be clean, reasonably new burlap, completely saturated with water before attachment to the frame and always resaturated before start of use and kept clean and saturated during use. Burlap shall conform to AASHTO M 182, Class 3 or 4.

1.11.7.2 Deep Texturing Equipment

Texturing equipment shall consist of a stiff bristled broom, a comb with spring wire tines, or spring strips which will produce true, even grooves forming a drag at least 4 feet long. This drag shall be mounted in a wheeled frame spanning the paving lane and so constructed that the drag is mechanically pulled in a straight line across the paving lane perpendicular to the centerline.

1.11.8 Sawing Equipment

Equipment for sawing joints and for other similar sawing of concrete shall be standard diamond-type concrete saws mounted on a wheeled chassis which can be easily guided to follow the required alignment. Blades shall be diamond tipped. If demonstrated to operate properly, abrasive blades may be used. All saws shall be capable of sawing to the full depth required.

1.11.9 Straightedge

The Contractor shall furnish and maintain at the job site, in good condition, one 12 foot straightedge for each paving train for testing the hardened portland cement concrete surfaces. These straightedges shall be constructed of aluminum or magnesium alloy and shall have blades of box or box-girder cross section with flat bottom, adequately reinforced to insure rigidity and accuracy. Straightedges shall have handles for operation on the pavement.

1.11.10 Profilograph

The Contractor shall furnish a 25 foot profilograph for testing the finished pavement surface. The profilograph shall produce a record on tape of the results of testing the pavement surface and shall automatically mark the Profile Index of each section tested as well as indicate and measure each "must grind" point, all in accordance with CDT Test 526 and as required by paragraph Surface Smoothness.

PART 2 PRODUCTS

2.1 CEMENTITIOUS MATERIALS

Cementitious materials shall be portland cement, or portland-pozzolan cement, or only portland cement in combination with pozzolan and shall conform to appropriate specifications listed below. Temperature of cementitious materials as supplied to the project shall not exceed 150 degrees F.

2.1.1 Portland Cement

Portland cement shall conform to ASTM C 150, Type I , . . .

2.1.2 Pozzolan (Fly Ash and Silica Fume)

2.1.2.1 Fly Ash

Fly ash shall conform to ASTM C 618, Class F, including the optional requirements in Tables 1A and 2A. Loss on ignition shall not exceed 3 percent. Class F fly ash, when used to mitigate alkali-aggregate reactivity, shall have a Calcium Oxide (CaO) content of less than 8 percent. The Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

2.1.2.2 Silica Fume

Silica fume shall conform to ASTM C 1240; available alkalies shall conform to the optimal limit given in Table 2. Silica fume may be furnished as a dry, densified material or as a slurry.

2.1.3 Ground Granulated Blast-Furnace (GGBF) Slag

Ground Granulated Blast-Furnace Slag shall conform to ASTM C 989, Grade 120.

2.2 AGGREGATES

In addition to the grading requirements specified for coarse aggregate and for fine aggregate, the combined aggregate grading shall meet the following requirements.

- a. If necessary, a blending aggregate shall be used to meet the required combined grading. This blending aggregate shall be batched separately. The combined grading of all aggregates used, in the proportions selected, shall be computed on the basis of cumulative percent retained on each sieve specified for fine and coarse aggregate.
- b. The materials selected and the proportions used shall be such that when the Coarseness Factor (CF) and the Workability Factor (W) are plotted on a diagram as described in d. below, the point thus determined shall fall within the parallelogram described therein.
- c. The Coarseness Factor (CF) shall be determined from the following equation:

$$CF = (\text{cumulative percent retained on the } 3/8 \text{ in. sieve})(100)/(\text{cumulative percent retained on the No. 8 sieve})$$

The Workability Factor (W) is defined as the cumulative percent passing the No. 8 sieve. However, W shall be adjusted, upwards only, by 2.5 percentage points for each 94 pounds of cementitious material per cubic yard greater than 564 pounds per cubic yard.

- d. A diagram shall be plotted using a rectangular scale with W on the Y-axis with units from 20 (bottom) to 45 (top), and with CF on the X-axis with units from 80 (left side) to 30 (right side). On this diagram a parallelogram shall be plotted with corners at the following coordinates (CF-75, W-28), (CF-75, W-40), (CF-45, W-32.5), and (CF-45, W-41). If the point determined by the intersection of the computed CF and W does not fall within the above parallelogram, the grading of each size of aggregate used and the proportions selected shall be changed as necessary.
- e. In addition, the individual percent retained on each sieve shall be plotted for the combined aggregate grading, on either rectangular or semi-log graph paper. The graph shall show a relative smooth transition between coarse and fine aggregate and shall have no major valleys or peaks in the area smaller than the No. 8 sieve. If this plot does not meet the above criteria, the grading of each size aggregate used and the proportions selected shall be changed as necessary.

2.2.1 Aggregate Sources

Fine and coarse aggregates to be used in all concrete shall be evaluated and tested by the Contractor for alkali-aggregate reactivity in accordance with ASTM C 1260. Both coarse aggregate size groups shall be tested if from different sources. Test results shall have a measured expansion equal to or less than 0.08 percent at 16 days after casting. Should the test data indicate an expansion greater than 0.08 percent, the aggregate(s) shall be rejected, or additional testing, using a modified version of ASTM C 1260, shall be performed by the Contractor as described below. ASTM C 1260 shall be modified as follows to include one of the following options:

- a. Utilize the Contractor's proposed low alkali portland cement and Class F fly ash in combination for the test proportioning. Class F fly ash shall contain less than 8 percent Calcium Oxide (CaO) and shall be used in the range of 25 to 40 percent of the total cementitious material by mass. The quantity shall be determined that will meet all the requirements of these specifications and which will lower the expansion equal to or less than 0.08 percent at 16 days after casting.
- b. Utilize the Contractor's proposed low alkali portland cement and ground granulated blast furnace (GGBF) slag in combination for the test proportioning. GGBF slag shall be used in the range of 40 to 50 percent of the total cementitious material by mass. The quantity shall be determined that will meet all the requirements of these specifications and which will lower the expansion equal to or less than 0.08 percent at 16 days after casting.

If any of the above options does not lower the expansion equal to or less than 0.08 percent at 16 days after casting, the aggregate(s) shall be rejected and the Contractor shall submit new aggregate sources for retesting. The results of the testing shall be submitted to the Contracting Officer for evaluation and acceptance.

2.2.2 Coarse Aggregate

Coarse aggregate shall have a satisfactory service record of at least 5 years successful service in three paving projects or, if a new source is used, shall meet the requirements when tested for resistance to freezing and thawing.

2.2.2.1 Material Composition

Coarse aggregate shall consist of crushed gravel, crushed stone, , . Crushed gravel shall contain not less than 75 percent of crushed particles by mass in each sieve size, as determined by COE CRD-C 171.

2.2.2.2 Quality

Aggregates as delivered to the mixers shall consist of clean, hard, uncoated particles meeting the requirements of ASTM C 33 and other requirements specified herein. Coarse aggregate shall be washed. Washing shall be sufficient to remove dust and other coatings. .

2.2.2.3 Particle Shape Characteristics

Particles of the coarse aggregate shall be generally spherical or cubical in shape. The quantity of flat and elongated particles in any size group shall not exceed 20 percent by weight as determined by COE CRD-C 119. A flat particle is defined as one having a ratio of width to thickness greater than 3; an elongated particle is one having a ratio of length to width greater than 3.

2.2.2.4 Size and Grading

The nominal maximum size of the coarse aggregate shall be 2 inches and shall meet the size groups below. When the nominal maximum coarse size is greater than 1 inch, the aggregates shall be furnished in two size groups as follows:

Nominal Maximum Size Inches	Size Group
3/4	ASTM C 33 --No. 67 (No. 4 to 3/4 inch)
1-1/2	ASTM C 33 --No. 4 (3/4 to 1-1/2 inch)

The grading of the coarse aggregate within the separated size groups shall conform to the requirements of ASTM C 33, Sizes 67 and 4 as delivered to the mixer.

2.2.2.5 Deleterious Materials - Airfield Pavements

The amount of deleterious material in each sieve size of coarse aggregate shall not exceed the limits shown in Table 5 below, determined in accordance with the test methods shown.

TABLE 5
LIMITS OF DELETERIOUS MATERIALS IN COARSE AGGREGATE
FOR AIRFIELD PAVEMENTS
Percentage by Mass

Materials	Areas with Major Popouts	Areas with Major Popouts	Areas with Minor Popouts	Areas with Minor Popouts
	Severe Weather	Moderate Weather	Severe Weather	Moderate Weather
Clay lumps and friable particles (ASTM C 142)	0.2	0.2	2.0	2.0
Shale (a) (ASTM C 295)	0.1	0.2	1.0	1.0
Material finer than 0.075 mm (No. 200 sieve) (b) (ASTM C 117)	0.5	0.5	1.0	1.0
Lightweight particles (c) (ASTM C 123)	0.2	0.2	0.5	0.5
Clay ironstone (d) (ASTM C 295)	0.1	0.5	1.0	1.0
Chert and cherty stone (less than 2.40 Mg/cubic meter density SSD (2.40 Sp. Gr.)) (e) (ASTM C 295)	0.1	0.5	1.0	5.0
Claystone, mudstone, and siltstone (f) (ASTM C 295)	0.1	0.1	1.0	1.0
Shaly and argillaceous limestone (g) (ASTM C 295)	0.2	0.2	1.0	1.0
Other soft particles COE CRD-C 130	1.0	1.0	1.0	2.0
Total of all deleterious substances exclusive of material finer than 0.075 mm (No. 200 sieve)	1.0	2.0	3.0	5.0

- a. Shale is defined as a fine-grained, thinly laminated or fissile sedimentary rock. It is commonly composed of clay or silt or both. It has been indurated by compaction or by cementation, but not so much as to have become slate.
- b. Limit for material finer than 0.075 mm (No. 200 sieve) will be increased to 1.5 percent for crushed aggregates if the fine material consists of crusher dust that is essentially free from clay or shale.
- c. The separation medium shall have a density of 2.0 Mg/cubic meter (Sp. Gr. of 2.0). This limit does not apply to coarse aggregate

manufactured from blast-furnace slag unless contamination is evident.

- d. Clay ironstone is defined as an impure variety of iron carbonate, iron oxide, hydrous iron oxide, or combinations thereof, commonly mixed with clay, silt, or sand. It commonly occurs as dull, earthy particles, homogeneous concretionary masses, or hard-shell particles with soft interiors. Other names commonly used for clay ironstone are "chocolate bars" and limonite concretions.
- e. Chert is defined as a rock composed of quartz, chalcedony or opal, or any mixture of these forms of silica. It is variable in color. The texture is so fine that the individual mineral grains are too small to be distinguished by the unaided eye. Its hardness is such that it scratches glass but is not scratched by a knife blade. It may contain impurities such as clay, carbonates, iron oxides, and other minerals. Other names commonly applied to varieties of chert are: flint, jasper, agate, onyx, hornstone, porcellanite, novaculite, sard, carnelian, plasma, bloodstone, touchstone, chrysoprase, heliotrope, and petrified wood. Cherty stone is defined as any type of rock (generally limestone) that contains chert as lenses and nodules, or irregular masses partially or completely replacing the original stone.
- f. Claystone, mudstone, or siltstone, is defined as a massive fine-grained sedimentary rock that consists predominantly of indurated clay or silt without laminations or fissility. It may be indurated either by compaction or by cementation.
- g. Shaly limestone is defined as limestone in which shale occurs as one or more thin beds or laminae. These laminae may be regular or very irregular and may be spaced from a few inches down to minute fractions of an inch. Argillaceous limestone is defined as a limestone in which clay minerals occur disseminated in the stone in the amount of 10 to 50 percent by weight of the rock; when these make up from 50 to 90 percent, the rock is known as calcareous (or dolomitic) shale (or claystone, mudstone, or siltstone).

2.2.2.6 Testing Sequence Deleterious Materials -- Airfields Only

The size of the sample shall be at least 200 pounds for the 3/4 to 1-1/2 inch size and 25 pounds for the No. 4 to 3/4 inch coarse aggregate and 10 pounds for the fine aggregate. The Contractor shall provide facilities for the ready procurement of representative test samples. Samples shall be taken and tested by and at the expense of the Contractor, using appropriate Corps of Engineers laboratory and ASTM test methods. Additional tests and analyses of aggregates at various stages in the processing and handling operations may be made by the Government at the discretion of the Contracting Officer. Such Government testing will not relieve the Contractor of any of its testing responsibilities. The testing procedure on each sample of coarse aggregate for compliance with limits on deleterious materials shall be as follows:

Step 1: Test approximately one-fifth of sample for material finer than the No. 200 sieve.

Step 2: Wash off material finer than No. 200 sieve from the remainder of the sample and recombine the remainder with material retained on the No. 200

sieve from Step 1.

Step 3: Test remaining full sample for clay lumps and friable particles and remove.

Step 4: Test remaining full sample for lightweight particles and remove, and then for chert and/or cherty stone with SSD density of less than 2.40 Mg/cubic meter (Sp. Gr. 2.40) and remove.

Step 5: Test remaining sample for clay-ironstone, shale, claystone, mudstone, siltstone, shaly and/or argillaceous limestone, and remove.

Step 6: Test approximately one-fifth of remaining full sample for other soft particles.

Determination of deleterious materials listed in Steps 4 and 5 shall be performed by an individual specifically trained in petrographic identification. The individual selected to perform the identification of these deleterious materials shall be subject to approval and, at least 10 days before any individual is proposed to commence this type of work, the Contractor shall submit a written resume of the individual's training and experience for approval by the Omaha District Laboratory. The Contractor will not be entitled to any extension of time or additional payment due to any delays caused by the testing, evaluation, or personnel requirements.

2.2.2.7 Resistance to Freezing and Thawing

Coarse aggregate not having a satisfactory demonstrable service record shall have a durability factor of 50 or more when subjected to freezing and thawing in concrete in accordance with COE CRD-C 114.

2.2.2.8 Resistance to Abrasion

Coarse aggregate shall not show more than 40 percent loss when subjected to the Los Angeles abrasion test in accordance with ASTM C 131.

2.2.2.9 Deleterious Material-Road Pavements

The amount of deleterious material in each sieve size of coarse aggregate shall not exceed the limits in the following table when tested as indicated.

LIMITS OF DELETERIOUS MATERIALS IN COARSE AGGREGATE FOR ROAD PAVEMENTS Percentage by Mass

Clay lumps and friable particles (ASTM C 142)	2.0
Material finer than 0.075 mm (No. 200 sieve) (ASTM C 117)	1.0
Lightweight particles (ASTM C 123)	1.0
Other soft particles (ASTM C 330)	2.0

The total of all deleterious substances shall not exceed 5.0 percent of the mass of the aggregate. The percentage of material finer than the No. 200

sieve shall not be included in this total. The limit for material finer than the No. 200 sieve will be increased to 1.5 percent for crushed aggregates consisting of crusher dust that is essentially free from clay or shale. The separation medium for lightweight particles shall have a density of 2.0 Mg/cubic meter (Sp. Gr. 2.0). This limit does not apply to coarse aggregate manufactured from blast-furnace slag unless contamination is evident.

2.2.3 Fine Aggregate

Fine aggregate shall have a service record of at least 5 years satisfactory service in three paving projects or, if a new source is used, shall meet the requirements for resistance to freezing and thawing.

2.2.3.1 Composition

Fine aggregate shall consist of natural sand, manufactured sand, or a combination of the two, and shall be composed of clean, hard, durable particles. Irrespective of the source from which it is obtained, all fine aggregate shall be composed of clean, hard, durable particles meeting the requirements of ASTM C 33. Each type of fine aggregate shall be stockpiled and batched separately. Any degree of contamination will be cause for the rejection of the entire stockpile.

2.2.3.2 Particle Shape

Particles of the fine aggregate shall be generally spherical or cubical in shape.

2.2.3.3 Grading

Grading of the fine aggregate, as delivered to the mixer, shall conform to the requirements of ASTM C 33. In addition, the fine aggregate, as delivered to the mixer, shall have a fineness modulus of not less than 2.50 nor more than 3.00. The grading of the fine aggregate also shall be controlled so that the fineness moduli of at least nine of every set of ten consecutive samples of the fine aggregate, as delivered to the mixer, will not vary more than 0.15 from the average fineness moduli of all samples previously taken. The fineness modulus shall be determined by COE CRD-C 104.

2.2.3.4 Deleterious Material

The amount of deleterious material in the fine aggregate shall not exceed the following limits by mass:

Material	Percentage by Mass
Clay lumps and friable particles ASTM C 142	1.0
Material finer than 0.075 mm (No. 200 sieve) ASTM C 117	3.0
Lightweight particles ASTM C 123 using a medium with a density of 2.0 Mg/cubic meter (Sp. Gr. of 2.0))	0.5
Total of all above	3.0

2.2.3.5 Resistance to Freezing and Thawing

Fine aggregate not having a satisfactory demonstrable service record shall have a durability factor of 50 or more when subjected to freezing and thawing in concrete in accordance with COE CRD-C 114.

2.3 CHEMICAL ADMIXTURES

2.3.1 Air-Entraining Admixtures

The air-entraining admixture shall conform to ASTM C 260 and shall consistently entrain the air content in the specified ranges under field conditions. The air-entraining admixture shall be in a solution of suitable concentration for field use.

2.3.2 Accelerator

An accelerator shall be used only when specified in paragraph SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES and shall not be used to reduce the amount of cementitious material used. Accelerator shall conform to ASTM C 494/C 494M, Type C. Calcium chloride and admixtures containing calcium chloride shall not be used.

2.3.3 Retarder

A retarding admixture shall meet the requirements of ASTM C 494/C 494M, Type B, except that the 6-month and 1-year compressive strength tests are waived. The use of the admixture is at the option of the Contractor, but shall not be used to reduce the amount of cementitious material.

2.3.4 Water-Reducer

A water-reducing admixture shall meet the requirements of ASTM C 494/C 494M, Type A or D except that the 6-month and 1-year compressive strength tests are waived. The admixture may be added to the concrete mixture only when its use is approved or directed, and only when it has been used in mixture proportioning studies to arrive at approved mixture proportions.

2.4 CURING MATERIALS

2.4.1 Membrane Forming Curing Compound

Membrane forming curing compound shall be a white pigmented compound conforming to COE CRD-C 300.

2.4.2 Burlap

Burlap used for curing shall conform to AASHTO M 182, Class 3 or 4. Materials shall be new or shall be clean materials never used for anything other than curing concrete.

2.4.3 Impervious Sheet Materials

Impervious sheet materials shall conform to ASTM C 171, type optional, except polyethylene sheet shall be white opaque .

2.5 WATER

Water for mixing and curing shall be fresh, clean, potable, and free of injurious amounts of oil, acid, salt, or alkali, except that non-potable water may be used if it meets the requirements of COE CRD-C 400.

2.6 JOINT MATERIALS

2.6.1 Expansion Joint Material

Expansion joint filler shall be a preformed material conforming to ASTM D 1751 or ASTM D 1752 Type I . Expansion joint filler shall be 3/4 inch thick.

2.6.2 Slip Joint Material

Slip joint material shall be 1/4 inch thick expansion joint filler conforming to ASTM D 1751 or ASTM D 1752 or .

2.7 REINFORCING

All reinforcement shall be free from loose, flaky rust, loose scale, oil, grease, mud, or other coatings that might reduce the bond with concrete. Removal of thin powdery rust and tight rust is not required. However, reinforcing steel which is rusted to the extent that it does not conform to the required dimensions or mechanical properties shall not be used.

2.7.1 Reinforcing Bars and Bar Mats

Reinforcing bars shall conform to ASTM A 615/A 615M, billet-steel , Grade 60. Bar mats shall conform to ASTM A 184/A 184M. The bar members shall be billet steel.

2.7.2 Welded Wire Fabric

Welded steel wire fabric shall conform to ASTM A 185.

2.7.3 Deformed Wire Fabric

Welded deformed steel wire fabric shall conform to ASTM A 497.

2.7.4 Steel Fiber Reinforcing

Minimum ultimate tensile strength of the fibers shall be 50,000 psi. The maximum aspect ratio (length divided by diameter) shall not exceed 100. Fibers longer than 2-1/2 inches shall not be used without approval of the Contracting Officer. The fibers shall be deformed and shall be furnished in small bundles adhered with water soluble glue. The fibers shall be clean and free of rust, oil, and deleterious materials.

2.8 DOWELS AND TIE BARS

2.8.1 Dowels

Dowels shall be single piece bars fabricated or cut to length at the shop or mill before delivery to the site. Dowels shall be free of loose, flaky rust and loose scale and shall be clean and straight. Dowels may be sheared to length provided that the deformation from true shape caused by shearing does not exceed 0.04 inch on the diameter of the dowel and does not extend more than 0.04 inch from the end of the dowel. Dowels shall be plain (non-deformed) steel bars conforming to ASTM A 615/A 615M, Grade 40 or 60; ASTM A 616/A 616M, Grade 50 or 60; or ASTM A 617/A 617M, Grade 40 or 60; or shall be steel pipe conforming to ASTM A 53/A 53M, extra strong, as indicated. If split dowels are proposed for use, a complete description of

the materials and installation procedures shall be submitted for approval at least 15 days before start of construction. Paint for dowels shall conform to MIL-DTL-24441/20.

2.8.2 Tie Bars

Tie bars shall be deformed steel bars conforming to ASTM A 615/A 615M, ASTM A 616/A 616M, or ASTM A 617/A 617M, Grade 60, and of the sizes and dimensions indicated. Deformed rail steel bars and high-strength billet or axle steel bars, Grade 60 or higher, shall not be used for bars that are bent and straightened during construction.

2.9 EPOXY RESIN

All epoxy-resin materials shall be two-component materials conforming to the requirements of ASTM C 881, Class as appropriate for each application temperature to be encountered, except that in addition, the materials shall meet the following requirements:

- a. Material for use for embedding dowels and anchor bolts shall be Type IV, Grade 3.
- b. Material for use as patching materials for complete filling of spalls, wide cracks, and other voids and for use in preparing epoxy resin mortar shall be Type III, Grade as approved.
- c. Material for use for injecting cracks shall be Type IV, Grade 1.
- d. Material for bonding freshly mixed portland cement concrete or mortar or freshly mixed epoxy resin concrete or mortar to hardened concrete shall be Type V, Grade as approved.

2.10 SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES

2.10.1 Specified Flexural Strength

Specified flexural strength, R , for concrete is 650 psi at 90 days, as determined by tests made in accordance with ASTM C 78 of beams fabricated and cured in accordance with ASTM C 192/C 192M or as determined by equivalent flexural strength for acceptance as specified in paragraph, Flexural Strength. Maximum allowable water-cementitious material ratio is 0.45. The water-cementitious material ratio will be the equivalent water-cement ratio as determined by conversion from the weight ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace slag by the mass equivalency method described in ACI 211.1. The concrete shall be air-entrained with a total air content of 4 plus or minus 1.5 percentage points, at the point of placement. Air content shall be determined in accordance with ASTM C 231. The maximum allowable slump of the concrete at the point of placement shall be 2 inches for pavement constructed with fixed forms. For slipformed pavement, at the start of the project, the Contractor shall select a maximum allowable slump which will produce in-place pavement meeting the specified tolerances for control of edge slump.

2.10.2 Concrete Temperature

The temperature of the concrete as delivered shall conform to the requirements of paragraphs, Paving in Hot Weather and Paving in Cold Weather. Temperature of concrete shall be determined in accordance with

ASTM C 1064/C 1064M.

2.10.3 Concrete Strength for Final Acceptance

The strength of the concrete will be considered acceptable when the average equivalent 28-day Flexural strengths for each lot are above the 'Specified Flexural Strength' as determined by correlation with 14-day compressive strength tests specified in paragraph MIXTURE PROPORTIONS BY CONTRACTOR for 28-day flexural Strength, and no individual set (2 cylinders per subplot) in the lot are 25 psi or more below the equivalent 'Specified Flexural Strength'. If any lot or subplot, respectively, fails to meet the above criteria, the lot or subplot shall be removed and replaced at no additional cost to the Government. This is in addition to and does not replace the average strength required for day-to-day CQC operations as specified in paragraph Average Flexural Strength Required for Mixtures.

2.11 MIXTURE PROPORTIONS BY CONTRACTOR

2.11.1 Composition

Concrete shall be composed of cementitious material, water, fine and coarse aggregates, and admixtures. The cementitious material shall be portland cement, ; . Fly ash, if used with non alkali-reactive aggregates, shall consist of not less than 15 percent of the cementitious material by mass and not more than 35 percent. GGBF slag, if used with non alkali-reactive aggregates, shall consist of not less than 20 percent of the cementitious material by mass and not more than 50 percent. If Class F fly ash or GGBF slag is required to mitigate potential alkali-aggregate reactivity, the percentage by mass determined from the modified ASTM C 1260 testing shall be used in the mixture proportioning studies.. The total cementitious material content shall be at least 470 lb./cu. yd. . Admixtures shall consist of air entraining admixture and may also include, as approved accelerator, retarder, or water-reducing admixture. If water-reducer is used, it shall be used only at the dosage determined during mixture proportioning studies. High range water-reducing admixtures and admixtures to produce flowable concrete shall not be used.

2.11.2 Concrete Proportioning Studies, Pavement Concrete

Trial design batches, mixture proportioning studies, and testing requirements shall be the responsibility of the Contractor. Mixture proportioning studies shall be performed by a commercial laboratory, inspected by the Government, and approved in writing. The laboratory performing the mixture proportioning shall conform with ASTM C 1077. Strength requirements during mixture proportioning studies shall be based on flexural strength as determined by test specimens fabricated in accordance with ASTM C 192/C 192M and tested in accordance with ASTM C 78. Samples of all materials used in mixture proportioning studies shall be representative of those proposed for use on the project and shall be accompanied by the manufacturer's or producer's test reports indicating compliance with these specifications. Trial mixtures having proportions, slumps, and air content suitable for the work shall be based on methodology described in ACI 211.1, modified as necessary to accommodate flexural strength.

2.11.2.1 Water-Cement Ratio

At least three different water-cement ratios, which will produce a range of strength encompassing that required on the project, shall be used. The

maximum allowable water-cement ratio required in paragraph Maximum Water-Cement Ratio will be the equivalent water-cement ratio as determined by conversion from the mass ratio of water to cement plus pozzolan, silica fume, and ground granulated blast furnace (GGBF) slag by the weight equivalency method as described in ACI 211.1. In the case where silica fume or GGBF slag is used, the mass of the silica fume and GGBF slag shall be included in the equations in ACI 211.1 for the term P, which is used to denote the mass of pozzolan. Laboratory trial mixtures shall be proportioned for maximum permitted slump and air content.

2.11.2.2 Trial Mixture Studies

Separate sets of trial mixture studies shall be made for each combination of cementitious materials and each combination of admixtures proposed for use. No combination of either shall be used until proven by such studies, except that, if approved in writing and otherwise permitted by these specifications, an accelerator or a retarder may be used without separate trial mixture study. Separate trial mixture studies shall also be made for concrete for any placing method proposed which requires special properties.

The temperature of concrete in each trial batch shall be reported. Each mixture shall be designed to promote easy and suitable concrete placement, consolidation and finishing, and to prevent segregation and excessive bleeding. Concrete proportioning studies shall be performed using the following procedures:

2.11.2.3 Mixture Proportioning for 90-day Flexural Strength

The following step by step procedure shall be followed:

- a. Fabricate all beams and cylinders for each mixture from the same batch or blend of batches. Fabricate and cure all beams and cylinders in accordance with ASTM C 192/C 192M, using 6 x 6 inch beams and 6 x 12 inch cylinders.
- b. Test beams in accordance with ASTM C 78, cylinders in accordance with ASTM C 39/C 39M.
- c. Fabricate and cure test beams from each mixture for 7, 14, 28 and 90-day flexural tests; 6 beams to be tested per age.
- d. Fabricate and cure test cylinders from each mixture for 7, 14, 28 and 90-day compressive strength tests; 6 cylinders to be tested per age.
- e. Using the average strength for each w/c at each age, plot all results from each of the three mixtures on separate graphs for w/c versus:
 - 7-day flexural strength
 - 14-day flexural strength
 - 28-day flexural strength
 - 90-day flexural strength
 - 7-day compressive strength
 - 14-day compressive strength
 - 28-day compressive strength
 - 90-day compressive strength
- f. From these graphs select a w/c that will produce a mixture giving

a 90-day flexural strength equal to the required strength determined in accordance with paragraph "Average Flexural Strength Required for Mixtures".

- g. Using the above selected w/c, select from the graphs the expected 7, 14, 28 and 90-day flexural strengths and the expected 7, 14, 28 and 90-day compressive strengths for the mixture.
- h. From the above expected strengths for the selected mixture determine the following Correlation Ratios:
 - (1) Ratio of the 14-day compressive strength of the selected mixture to the 90-day flexural strength of the mixture (for acceptance).
 - (2) Ratio of the 7-day compressive strength of the selected mixture to the 90-day flexural strength of the mixture (for CQC control).
- i. If there is a change in materials, additional mixture design studies shall be made using the new materials and new Correlation Ratios shall be determined.
- j. No concrete pavement shall be placed until the Contracting Officer has approved the Contractor's mixture proportions.

2.11.3 Contractor Quality Control for Average Flexural Strength

The Contractor's day to day production shall be Controlled (CQC) in accordance with the criteria herein, in the following subparagraphs, and in par. 'Concrete Strength Testing for CQC'. This is entirely different from the acceptance requirements of par. 'Concrete Strength for Final Acceptance', and it is mandatory that both sets of requirements must be met. If at any time, the 'equivalent average 90-day flexural strength', for any lot, as determined by correlation with results of 7-day compressive test specimens, is 69 psi or more below the 'required equivalent average 90-day flexural strength', as specified below, the paving operation shall be stopped and the Contractor shall take necessary steps to improve the mixture proportioning, materials, or the batching and mixing to increase the strength. The paving operations shall not recommence until the Contracting Officer has approved the Contractor's Proposed changes in writing.

2.11.3.1 Average CQC Flexural Strength Required for Mixtures

In order to ensure meeting, the strength requirements specified in paragraph SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES, during production, the mixture proportions selected during mixture proportioning studies and used during construction shall produce a required average CQC flexural strength exceeding the specified strength, R, by the amount indicated below. This required average CQC flexural strength, Ra, will be used only for CQC operations as specified in paragraph TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL and as specified in the previous paragraph. During production, the required Ra shall be adjusted (increased or decreased), as appropriate and as approved, based on the standard deviation of equivalent 90-day strengths being attained during paving.

- a. From Previous Test Records: Where a concrete production facility has previous test records, a standard deviation shall be

established in accordance with the applicable provisions of ACI 214.3R. Test records from which a standard deviation is calculated shall represent materials, quality control procedures, and conditions similar to those expected, shall represent concrete produced to meet a specified flexural strength or strengths within 150 psi of the 90-day flexural strength specified for the proposed work, and shall consist of at least 30 consecutive tests. A strength test shall be the average of the strengths of two specimens made from the same sample of concrete and tested at 90 days. Required average CQC flexural strength, R_a , used as the basis for selection of concrete proportions shall be the value from the equation that follows, using the standard deviation as determined above:

$$R_a = R + 1.34S$$

Where: S = standard deviation
 R = specified flexural strength
 R_a = required average flexural strength

Where a concrete production facility does not have test records meeting the requirements above but does have a record based on 15 to 29 consecutive tests, a standard deviation shall be established as the product of the calculated standard deviation and a modification factor from the following table:

NUMBER OF TESTS	MODIFICATION FACTOR FOR STANDARD DEVIATION
15	1.16
20	1.08
25	1.03
30 or more	1.00

- b. Without Previous Test Records: When a concrete production facility does not have sufficient field strength test records for calculation of the standard deviation, the required average strength, R_a , shall be determined by adding 15 percent to the specified flexural strength, R .

PART 3 EXECUTION

3.1 PREPARATION FOR PAVING

Before commencing paving, the following shall be performed. Surfaces to receive concrete shall be prepared as specified below. If used, forms shall be in place, cleaned, coated, and adequately supported. Any reinforcing steel needed shall be at the paving site. All transporting and transfer equipment shall be ready for use, clean, and free of hardened concrete and foreign material. Equipment for spreading, consolidating, screeding, finishing, and texturing concrete shall be at the paving site, clean and in proper working order. All equipment and material for curing and for protecting concrete from weather or mechanical damage shall be at the paving site, in proper working condition, and in sufficient amount for the entire placement. When hot, windy conditions during paving appear probable, equipment and material shall be at the paving site to provide windbreaks, shading, fogging, or other action to prevent plastic shrinkage cracking or other damaging drying of the concrete.

3.2 CONDITIONING OF UNDERLYING MATERIAL

3.2.1 General Procedures

Underlying material, rigid base course, upon which concrete is to be placed shall be clean, damp, and free from debris, waste concrete or cement, frost, ice, and standing or running water. Prior to setting forms or placement of concrete, the underlying material shall be well drained and shall have been satisfactorily graded and uniformly compacted in accordance with the applicable Section of these specifications. The surface of the subgrade or base course shall be tested as to crown, elevation, and density in advance of setting forms or of concrete placement using slip-form techniques. High areas shall be trimmed to proper elevation. Low areas shall be filled and compacted to a condition similar to that of surrounding grade, or filled with concrete monolithically with the pavement. Where low areas are filled with concrete, the areas shall be marked, as approved, and cores for thickness determinations as required by paragraph, Flexural Strength and Thickness shall not be drilled in those areas. Any underlying material disturbed by construction operations shall be reworked and recompacted to specified density immediately in front of the paver. If a slipform paver is permitted and is used, the same underlying material under the paving lane shall be continued beyond the edge of the lane a sufficient distance and shall be thoroughly compacted and true to grade to provide a suitable trackline for the slipform paver and firm support for the edge of the paving lane. Where an open-graded granular base is required under the concrete, the Contractor shall select paving equipment and procedures which will operate properly on the base course without causing displacement or other damage.

3.2.2 Traffic on Underlying Material

After the underlying material has been prepared for concrete placement, no equipment shall be permitted thereon. Subject to specific approval, crossing of the prepared subgrade or base course at specified intervals for construction purposes may be permitted, provided rutting or indentations do not occur; however, if traffic has been allowed to use the prepared subgrade or base course, the surface shall be reworked and repaved to the satisfaction of the Contracting Officer before concrete is placed.

3.3 WEATHER LIMITATIONS

3.3.1 Placement and Protection During Inclement Weather

The Contractor shall not commence placing operations when heavy rain or other damaging weather conditions appear imminent. At all times when placing concrete, the Contractor shall maintain on-site sufficient waterproof cover and means to rapidly place it over all unhardened concrete or concrete that might be damaged by rain. Placement of concrete shall be suspended whenever rain or other damaging weather commences to damage the surface or texture of the placed unhardened concrete, washes cement out of the concrete, or changes the water content of the surface concrete. All unhardened concrete shall be immediately covered and protected from the rain or other damaging weather. Any pavement damaged by rain or other weather shall be completely removed and replaced at the Contractor's expense as specified in paragraph, Repair, Removal, Replacement of Slabs.

3.3.2 Paving in Hot Weather

When the ambient temperature during paving is expected to exceed 90 degrees F, the concrete shall be properly placed and finished in accordance with procedures previously submitted and as specified herein. The concrete temperature at time of delivery to the forms shall not exceed the temperature shown in the table below when measured in accordance with ASTM C 1064/C 1064M. Cooling of the mixing water or aggregates or placing in the cooler part of the day may be required to obtain an adequate placing temperature. An approved retarder may be used to facilitate placing and finishing. Steel forms and reinforcing shall be cooled as approved prior to concrete placement when steel temperatures are greater than 120 degrees F. Transporting and placing equipment shall be cooled or protected if necessary to maintain proper concrete-placing temperature. Concrete shall be placed continuously and rapidly at a rate of not less than 100 feet of paving lane per hour. The finished surfaces of the newly laid pavement shall be kept damp by applying a fog spray (mist) with approved spraying equipment until the pavement is covered by the curing medium. If necessary, wind screens shall be provided to protect the concrete from an evaporation rate in excess of 0.2 lb./square foot per hour, as determined by method shown in Figure 2.1.5 of ACI 305R.

Maximum Allowable Concrete Placing Temperature

Relative Humidity, Percent, During Time of Concrete Placement	Maximum Allowable Concrete Temperature in Degrees F
Greater than 60	90
40-60	85
Less than 40	80

3.3.3 Prevention of Plastic Shrinkage Cracking

During hot weather with low humidity, and particularly with appreciable wind, the Contractor shall develop and institute measures to prevent plastic shrinkage cracks from developing. Particular care shall be taken if plastic shrinkage cracking is potentially imminent and especially if it has developed during a previous placement. Periods of high potential for plastic shrinkage cracking can be anticipated by use of Fig. 2.1.5 of ACI 305R. In addition to the protective measures specified in the previous paragraph, the concrete placement shall be further protected by erecting shades and windbreaks and by applying fog sprays of water, sprinkling, ponding, or wet covering. When such water treatment is stopped, curing procedures shall be immediately commenced. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin as directed, after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry.

3.3.4 Paving in Cold Weather

Special protection measures, as submitted and approved, and as specified herein, shall be used if freezing temperatures are anticipated before the expiration of the specified curing period. The ambient temperature of the air at the placing site and the temperature of surfaces to receive concrete shall be not less 40 degrees F. However, placement may begin when both the ambient temperature and the temperature of the underlying material are at least 35 degrees F and rising. When the ambient temperature is less than 50 degrees F, the temperature of the concrete when placed shall be not less than 50 degrees F nor more than 75 degrees F. Heating of the mixing water

or aggregates will be required to regulate the concrete placing temperature. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Calcium chloride shall not be used at any time. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period. Pavement damaged by freezing shall be completely removed and replaced at the Contractor's expense as specified in paragraph REPAIR, REMOVAL, REPLACEMENT OF SLABS.

3.4 CONCRETE PRODUCTION

Batching, mixing, and transporting equipment shall have a capacity sufficient to maintain a continuous, uniform forward movement of the paver of not less than 2.5 feet per minute. Concrete shall be deposited in front of the paver within 45 minutes from the time cement has been charged into the mixing drum, except that if the ambient temperature is above 90 degrees F, the time shall be reduced to 30 minutes. No water shall be added to the concrete after it is batched except that, if truck mixers are permitted, water may be added at the paving site to adjust the slump as approved, provided the maximum allowable w/c is not exceeded. Such water shall be injected under pressure as described in subparagraph, Truck Mixers. Every load of concrete delivered to the paving site shall be accompanied by a batch ticket from the operator of the batching plant. Tickets shall be on approved forms and shall show at least the mass, or volume, of all ingredients in each batch delivered, the water meter and revolution meter reading on truck mixers and the time of day. Tickets shall be delivered to the placing foreman who shall keep them on file and deliver them to the Government weekly.

3.4.1 Batching and Mixing Concrete

The batching and mixing equipment and the operation thereof shall conform to the requirements of paragraph EQUIPMENT and as specified herein. All equipment shall be kept clean and in operable condition at all times. Scale pivots and bearings shall be kept clean and free of rust. Any equipment which fails to perform as specified shall immediately be removed from use until properly repaired and adjusted, or replaced.

3.4.2 Transporting and Transfer - Spreading Operations

The transporting and transfer equipment and the operation thereof shall conform to the requirements of paragraph EQUIPMENT and as specified herein. All equipment shall be kept clean and in operable condition at all times. Non-agitating equipment shall be used only on smooth roads and for haul time less than 15 minutes at all times during the work day. No transporting equipment shall be allowed to operate on the prepared and compacted underlying material in front of the paver-finisher. Equipment shall be allowed to operate on the underlying material only if approved in writing and only if no damage is done to the underlying material and its degree of compaction. Any disturbance to the underlying material that does occur shall be corrected, as approved, before the paver-finisher or the deposited concrete reaches the location of the disturbance and the equipment shall be replaced or procedures changed to prevent any future damage. Concrete shall be deposited as close as possible to its final position in the paving lane. All equipment shall be operated to discharge and transfer concrete without segregation. In no case shall dumping of concrete in discrete piles be permitted. No transfer or spreading

operation which requires the use of front-end loaders, dozers, or similar equipment to distribute the concrete will be permitted. All batching and mixing, transporting, transferring, paving, and finishing shall be properly coordinated and controlled such that the paver-finisher has a continuous forward movement at a reasonably uniform speed from beginning to end of each paving lane, except for inadvertent equipment breakdown. Failure to achieve this shall require the Contractor to halt operations, regroup, and modify operations to achieve this requirement.

3.5 PAVING

3.5.1 General Requirements

The paving and finishing equipment and the operation thereof shall conform to the requirements of paragraph EQUIPMENT and as specified herein. All equipment shall be kept clean and properly operable at all times. Pavement shall be constructed with paving and finishing equipment utilizing rigid fixed forms or by use of slipform paving equipment. Paving and finishing equipment and procedures shall be capable of constructing paving lanes of the required width at a rate of at least 100 feet of paving lane per hour on a routine basis. Paving equipment and its operation shall be controlled, and coordinated with all other operations, such that the paver-finisher has a continuous forward movement, at a reasonably uniform speed, from beginning to end of each paving lane, except for inadvertent equipment breakdown. Workmen with foreign material on their footwear or construction equipment that might deposit foreign material shall not be permitted to walk or operate in the plastic concrete.

3.5.2 Consolidation

Concrete shall be consolidated with the specified type of lane-spanning, gang-mounted, mechanical, immersion type vibrating equipment mounted in front of the paver, supplemented, in rare instances as specified, by hand-operated vibrators. Gang-mounted vibrator spuds shall be spaced so as to thoroughly consolidate the entire paving lane, but not more than 30 inch spacing, and with the outside vibrators not more than 12 inches from the edge of the lane. The vibrators shall be inserted into the concrete to a depth that will provide the best full-depth consolidation but not closer to the underlying material than 2 inches. The vibrators or any tamping units in front of the paver shall be automatically controlled so that they shall be stopped immediately as forward motion ceases. Excessive vibration shall not be permitted. If the vibrators cause visible tracking in the paving lane, the paving operation shall be stopped and equipment and operations modified to prevent it. Concrete in small, odd-shaped slabs or in isolated locations inaccessible to the gang-mounted vibration equipment shall be vibrated with an approved hand-operated immersion vibrator. Vibrators shall not be used to transport or spread the concrete. Hand-operated vibrators shall not be operated in the concrete at one location for more than 20 seconds. For each paving train, at least one additional vibrator spud, or sufficient parts for rapid replacement and repair of vibrators shall be maintained at the paving site at all times. Any evidence of inadequate consolidation (honeycomb along the edges, large air pockets, or any other evidence) shall require the immediate stopping of the paving operation and approved adjustment of the equipment or procedures.

3.5.3 Operation

When the paver approaches a header at the end of a paving lane, a sufficient amount of concrete shall be maintained ahead of the paver to

provide a roll of concrete which will spill over the header. The amount of extra concrete shall be sufficient to prevent any slurry that is formed and carried along ahead of the paver from being deposited adjacent to the header. The spud vibrators in front of the paver shall be brought as close to the header as possible before they are lifted. Additional consolidation shall be provided adjacent to the headers by hand-manipulated vibrators. When the paver is operated between or adjacent to previously constructed pavement (fill-in lanes), provisions shall be made to prevent damage to the previously constructed pavement. Transversely oscillating screeds and extrusion plates shall overlap the existing pavement the minimum possible, but in no case more than 8 inches. These screeds or extrusion plates shall be electronically controlled from the previously placed pavement so as to prevent them from applying pressure to the existing pavement and to prevent abrasion of the pavement surface. The overlapping area of existing pavement surface shall at all times be kept completely free of any loose or bonded foreign material as the paver-finisher operates across it. When the paver travels on existing pavement, approved provisions shall be made to prevent damage to the existing pavement. Pavers using transversely oscillating screeds shall not be used to form fill-in lanes that have widths less than a full width for which the paver was designed or adjusted.

3.5.4 Required Results

The paver-finisher, and its gang-mounted vibrators, together with its operating procedures shall be adjusted and operated and coordinated with the concrete mixture being used to produce a thoroughly consolidated slab throughout, true to line and grade within specified tolerances. The screed or the extrusion plate shall be properly adjusted to produce a pavement surface true to line and grade. Any necessary adjustment to compensate for surging behind the screed or for inadequate height of surface after paving shall be carefully made and checked frequently. The paver-finishing operation shall produce a surface finish free of irregularities, tears, voids of any kind, and any other discontinuities. It shall produce only a very minimum of paste at the surface; never more than 3/32 inch cover over the top layer of coarse aggregate. The paver-finisher shall make only one pass across the pavement; multiple passes will not be permitted. The equipment and its operation shall produce a finished surface requiring no hand finishing other than the use of cutting straightedges, except in very infrequent instances. If any equipment or operation fails to produce the above results, the paving shall be stopped, the equipment shall be replaced or properly adjusted, the operation shall be appropriately modified, or the mixture proportions modified, in order to produce the required results before recommencing paving. No water, other than true fog sprays (mist) as specified in paragraph, Prevention of Plastic Shrinkage Cracking, shall be applied to the concrete or the concrete surface during paving and finishing.

3.5.5 Fixed Form Paving

Paving equipment for fixed-form paving and the operation thereof shall conform to the requirements of paragraph EQUIPMENT, all requirements specified above under paragraph PAVING and as specified herein.

3.5.5.1 Forms for Fixed-Form Paving

- a. Forms shall be steel, except that wood forms may be used for curves having a radius of 150 feet or less, and for fillets. Forms shall be equal in depth to the edge thickness of the slab as shown on the drawings. Forms shall be in one piece for the full depth required, except as permitted below. Under no conditions

shall forms be adjusted by filling or excavating under the forms to an elevation other than the bottom of the pavement slab. Where the project requires several different slab thicknesses, forms may be built up with metal or wood to provide an increase in depth of not more than 25 percent. The required form depth may be obtained by securely bolting or welding to the bottom of the form a tubular metal section of the proper thickness or by securely bolting wood planks to the bottom of the form. The tubular metal section or wood planks shall completely cover the underside of the base of the form and shall extend beyond the edge of the base a sufficient distance to provide the necessary stability. The base width of the one-piece form, or built-up form, shall be not less than eight-tenths of the vertical height of the form, except that forms 8 inches or less in vertical height shall have a base width not less than the vertical height of the form. Forms shall not be built-up by adding to the top. The top surface of each form section shall not vary more than 1/16 inch in 12 feet from a true line. The face of the form shall not vary more than 3/16 inch in 12 feet from a true plane. Forms with battered top surfaces or distorted faces or bases shall be removed from the project. Where keyway forms are required, they shall be rigidly attached to the main form so no displacement can take place. Metal keyway forms shall be tack-welded to steel forms. Keyway forms shall be so aligned that there is no variation over 1/4 inch either vertically or horizontally, when tested with a 12 foot template after forms are set, including tests across form joints.

- b. Steel forms shall be furnished in sections not less than 10 feet in length, except that on curves having a radius of 150 feet or less, the length of the sections shall be 5 feet unless the sections are flexible or curved to the proper radius. Each 10 foot length of form shall be provided with at least three form braces and pin sockets so spaced that the form will be rigidly braced throughout its length. Lock joints between form sections shall be free from play or movement. Forms shall be free of warps, bends, or kinks.
- c. Wood forms for curves and fillets shall be made of well-seasoned, surfaced plank or plywood, straight, and free from warp or bend. Wood forms shall be adequate in strength and rigidly braced.
- d. The forms shall be set on firm material cut true to grade so that each form section when placed will be firmly in contact with the underlying layer for its entire length and base width. Underlying material shall be thoroughly compacted and trimmed to grade before forms are set in place. Setting forms on blocks or on built-up spots of underlying material will be not permitted under any condition. The form sections shall be staked into position and tightly locked together. The length of pins and quantity provided in each section shall be sufficient to hold the form at the correct line and grade. When tested with a straightedge, the top of the installed form shall conform to the requirements specified for the finished surface of the concrete, and the longitudinal axis of the upstanding leg shall not vary more than 1/4 inch from the straightedge. Conformity to the alignment and grade elevations shown on the drawings shall be checked and necessary corrections shall be made immediately prior to placing the concrete. Forms shall be set well in advance of concrete placement. The forms shall be cleaned and oiled each time before

concrete is placed. No concrete shall be placed until setting of forms has been checked and approved by the CQC team.

- e. Forms for overlay pavements and for other locations where forms must be set on existing pavements shall be held securely in place with stakes or by other approved methods. Holes in existing pavements for form stakes shall be carefully drilled by methods which will not crack or spall the existing pavement. After use, the holes shall be filled as directed. Any method which does not hold the form securely or which damages the existing pavement shall be immediately discontinued. Prior to setting forms for paving operations, the Contractor shall demonstrate his proposed form setting procedures at an approved location and shall not proceed further until the proposed method is approved.

3.5.5.2 Form Removal

Forms shall remain in place at least 12 hours after the concrete has been placed. When conditions are such that the early strength gain of the concrete is delayed, the forms shall be left in place for a longer time, as directed. Forms shall be removed by procedures that do not injure the concrete. Bars or heavy metal tools shall not be used directly against the concrete in removing the forms. Any concrete found to be defective after form removal shall be repaired promptly, using procedures specified hereinafter or as directed.

3.5.6 Slipform Paving

3.5.6.1 General

Paving equipment for slipform paving and the operation thereof shall conform to the requirement of paragraph EQUIPMENT, all requirements specified above in subparagraphs, General, Consolidation, Operation, and Required Results, and as specified herein. The slipform paver shall shape the concrete to the specified and indicated cross section, meeting all tolerances, in one pass. The slipform paver shall finish the surface and edges so that only a very minimum isolated amount of hand finishing is required. If the paving operation does not meet the above requirements and the specified tolerances, the operation shall be immediately stopped, and the Contractor shall regroup and replace or modify any equipment as necessary, modify paving procedures or modify the concrete mix, in order to resolve the problem. The slipform paver shall be automatically electronically controlled from a taut wire guideline for horizontal alignment and on both sides from a taut wire guideline for vertical alignment, except that electronic control from a ski operating on a previously constructed adjoining lane shall be used where applicable for either or both sides. Automatic, electronic controls for vertical alignment shall always be used on both sides of the lane. Control from a slope-adjustment control or control operating from the underlying material shall never be used. If approved by the Contracting Officer after a preconstruction demonstration, automatic laser controls may be used in lieu of or to supplement the taut wire guidelines. Side forms on slipform pavers shall be properly adjusted so that the finished edge of the paving lane meets all specified tolerances. Dowels in longitudinal construction joints shall be installed as specified below. The installation of these dowels by dowel inserters attached to the paver or by any other means of inserting the dowels into the plastic concrete shall not be permitted.

3.5.6.2 Guideline for Slipform Paving

Guidelines shall be accurately and securely installed well in advance of concrete placement. Supports shall be provided at necessary intervals to eliminate all sag in the guideline when properly tightened. The guideline shall be high strength wire set with sufficient tension to remove all sag between supports. Supports shall be securely staked to the underlying material or other provisions made to ensure that the supports will not be displaced when the guideline is tightened or when the guideline or supports are accidentally touched by workmen or equipment during construction. The appliances for attaching the guideline to the supports shall be capable of easy adjustment in both the horizontal and vertical directions. When it is necessary to leave gaps in the guideline to permit equipment to use or cross underlying material, provisions shall be made for quickly and accurately replacing the guideline without any delay to the forward progress of the paver. Supports on either side of the gap shall be secured in such a manner as to avoid disturbing the remainder of the guideline when the portion across the gap is positioned and tightened. The guideline across the gap and adjacent to the gap for a distance of 200 feet shall be checked for horizontal and vertical alignment after the guideline across the gap is tightened. Vertical and horizontal positioning of the guideline shall be such that the finished pavement shall conform to the alignment and grade elevations shown on the drawings within the specified tolerances for grade and smoothness. The specified tolerances are intended to cover only the normal deviations in the finished pavement that may occur under good supervision and do not apply to setting of the guideline. The guideline shall be set true to line and grade.

3.5.6.3 Laser Controls

If the Contractor proposes to use any type of automatic laser controls, a detailed description of the system shall be submitted and a trial field demonstration shall be performed in the presence of the Contracting Officer at least one week prior to start of paving. Approval of the control system will be based on the results of the demonstration and on continuing satisfactory operation during paving.

3.5.7 Placing Reinforcing Steel

The type and amount of steel reinforcement shall be as shown on the drawings. For pavement thickness of 12 inches or more, the reinforcement steel shall be installed by the strike-off method wherein a layer of concrete is deposited on the underlying material, consolidated, and struck to the indicated elevation of the steel reinforcement. The reinforcement shall be laid upon the prestruck surface, and the remaining concrete shall then be placed and finished in the required manner. When placement of the second lift causes the steel to be displaced horizontally from its original position, provisions shall be made for increasing the thickness of the first lift and depressing the reinforcement into the unhardened concrete to the required elevation. The increase in thickness shall be only as necessary to permit correct horizontal alignment to be maintained. Any portions of the bottom layer of concrete that have been placed more than 30 minutes without being covered with the top layer shall be removed and replaced with newly mixed concrete without additional cost to the Government. For pavements less than 12 inches thick, the reinforcement shall be positioned on suitable chairs securely fastened to the subgrade prior to concrete placement. Concrete shall be vibrated after the steel has been placed. Regardless of placement procedure, the reinforcing steel shall be free from coatings which could impair bond between the steel and concrete, and laps in the reinforcement shall be as indicated. In lieu of

the above, automatic reinforcement depressing attachments may be used to position the reinforcement, either bar mats or welded wire fabric, provided the entire operation is approved by the Contracting Officer. Regardless of the equipment or procedures used for installing reinforcement, the Contractor shall ensure that the entire depth of concrete is adequately consolidated. If reinforcing for Continuously Reinforced Concrete Pavement (CRCP) is required, the entire operating procedure and equipment proposed shall be submitted for approval at least 30 days prior to proposed start of paving.

3.5.8 Placing Dowels and Tie Bars

The method used in installing and holding dowels in position shall ensure that the error in alignment of any dowel from its required alignment after the pavement has been completed will not be greater than 1/8 in. per ft. Except as otherwise specified below, location of dowels shall be within a horizontal tolerance of plus or minus 5/8 inch. The Contractor shall furnish an approved template for checking the alignment and position of the dowels. The portion of each dowel intended to move within the concrete or expansion cap shall be painted with one coat of the specified paint. When dry, the painted portion shall be wiped clean and coated with a thin, even film of lubricating oil before the concrete is placed. Pipe used as dowels shall be filled with a stiff sand-asphalt mixture or portland-cement mortar. Dowels and tie bars in joints shall be omitted when the center of the dowel or tie bar is located within a horizontal distance from an intersecting joint equal to or less than one-fourth of the slab thickness. Dowels shall be installed as specified in the following subparagraphs.

3.5.8.1 Contraction Joints

Dowels and tie bars in longitudinal and transverse contraction joints within the paving lane shall be held securely in place, as indicated, by means of rigid metal frames or basket assemblies of an approved type. The assemblies shall consist of a framework of metal bars or wires arranged to provide rigid support for the dowels and the tie bars throughout the paving operation, with a minimum of four continuous bars or wires extending along the joint line. The dowels and tie bars shall be welded to the assembly or held firmly by mechanical locking arrangements that will prevent them from rising, sliding out, or becoming distorted during paving operations. The basket assemblies shall be held securely in the proper location by means of suitable pins or anchors. At the Contractor's option, in lieu of the above, dowels and tie bars in contraction joints shall be installed near the front of the paver by insertion into the plastic concrete using approved equipment and procedures. Approval will be based on the results of a preconstruction demonstration which the Contractor shall conduct, showing that the dowels and tie bars are installed within specified tolerances.

3.5.8.2 Construction Joints-Fixed Form Paving

Installation of dowels and tie bars shall be by the bonded-in-place method. Installation by removing and replacing in preformed holes will not be permitted. Dowels and tie bars shall be prepared and placed across joints where indicated, correctly aligned, and securely held in the proper horizontal and vertical position during placing and finishing operations, by means of devices fastened to the forms. The spacing of dowels and tie bars in construction joints shall be as indicated, except that, where the planned spacing cannot be maintained because of form length or interference with form braces, closer spacing with additional dowels or tie bars shall

be used.

3.5.8.3 Dowels Installed in Hardened Concrete

Dowels installed in hardened concrete, such as in longitudinal construction joints for slipform paving, in joints between new and existing pavement, and similar locations, shall be installed by bonding the dowels into holes drilled into the hardened concrete. The installation of dowels in longitudinal construction joints by dowel inserters attached to a slipform paver or by any other means of inserting the dowels into the plastic concrete shall not be permitted. However, when paving two lanes together with a longitudinal contraction joint between, any dowels required may be installed in this joint with an approved inserter. Holes approximately 1/8 inch greater in diameter than the dowels shall be drilled into the hardened concrete with rotary core drills to receive the dowels. In lieu of rotary drills, the contractor may use percussion drills, provided that spalling at the collar of the hole does not occur. Regardless of the type of drill used, the drill shall be held rigidly in exact alignment by means of a stable jig or framework, solidly supported; gang drills meeting this are acceptable. Any damage to the concrete face during drilling shall be repaired as directed; continuing damage shall require modification of the equipment and operation. Dowels shall be bonded in the drilled holes using epoxy resin. Epoxy resin shall be injected at the back of the hole before installing the dowel and extruded to the collar during insertion of the dowel so as to completely fill the void around the dowel. Application by buttering the dowel shall not be permitted. The dowels shall be held in alignment at the collar of the hole, after insertion and before the grout hardens, by means of a suitable metal or plastic collar fitted around the dowel. The vertical alignment of the dowels shall be checked by placing a straightedge on the surface of the pavement over the top of the dowel and measuring the vertical distance between the straightedge and the beginning and ending point of the exposed part of the dowel. The horizontal alignment shall be checked with a framing square. Dowels required to be installed in any joints between new and existing concrete shall be grouted in holes drilled in the existing concrete, all as specified above. Where tie bars are required in longitudinal construction joints of slipform pavement, bent tie bars shall be installed at the paver, in front of the transverse screed or extrusion plate. If tie bars are required, a standard keyway shall be constructed, and the bent tie bars shall be inserted into the plastic concrete through a 26 gauge thick metal keyway liner. Tie bars shall not be installed in preformed holes. The keyway liner shall be protected and shall remain in place and become part of the joint. When bending tie bars, the radius of bend shall not be less than the minimum recommended for the particular grade of steel in the appropriate material standard. Before placement of the adjoining paving lane, the tie bars shall be straightened, using procedures which will not spall the concrete around the bar.

3.5.8.4 Expansion Joints

Dowels in expansion joints shall be installed as shown using appropriate procedures specified above.

3.6 FINISHING

The finishing machine, or paver-finisher, shall meet all requirements specified in paragraph EQUIPMENT and herein. Finishing operations shall be a continuing part of placing operations starting immediately behind the strike-off of the paver and the machines shall be designed and operated to

strike off, screed, and consolidate the concrete. Initial finishing shall be provided by the transverse screed or extrusion plate. The sequence of operations shall be transverse finishing, longitudinal machine floating if used, straightedge finishing, texturing, and then edging of joints. Finishing shall be by the machine method. The hand method shall be used only infrequently and only on isolated areas of odd slab widths or shapes and in the event of a breakdown of the mechanical finishing equipment. Supplemental hand finishing for machine finished pavement shall be kept to an absolute minimum. Equipment to be used for supplemental hand finishing shall primarily be 10 to 12 feet cutting straightedges; only very sparing use of bull floats shall be allowed. Any machine finishing operation which requires appreciable hand finishing, other than a moderate amount of straightedge finishing, shall be immediately stopped and proper adjustments made or the equipment replaced. Every effort shall be made to prevent bringing excess paste to the surface and any operations which produce more than 3/32 inch of paste (mortar, water, laitance, etc.) over the top layer of coarse aggregate shall be halted immediately and the equipment, mixture, or procedures modified as necessary. Compensation shall be made for surging behind the screeds or extrusion plate and settlement during hardening and care shall be taken to ensure that paving and finishing machines are properly adjusted so that the finished surface of the concrete (not just the cutting edges of the screeds) will be at the required line and grade. Surface checks shall be made regularly and paving operations immediately halted and adjustments made whenever compensation is inadequate. Screed and float adjustments of the machines shall be checked at the start of each day's paving operations and more often if required. Machines that cause frequent delays due to mechanical failure shall be replaced. When machines ride the edge of a previously constructed slab, the edge shall be kept clean and provision shall be made to protect the surface of the slab. Clary screeds, "bridge deck" finishers, or other rotating pipe or tube type equipment will not be permitted. Finishing equipment and tools shall be maintained clean and in an approved condition. At no time shall water be added to the surface of the slab with the finishing equipment or tools, or in any other way, except for fog (mist) sprays specified to prevent plastic shrinkage cracking.

3.6.1 Longitudinal Floating

When the equipment contains a mechanical, longitudinal, oscillating float, the float shall be operated to smooth and finish the pavement immediately behind the transverse screed or extrusion plate. The float shall be operated maintaining contact with the surface at all times. Care shall be taken to prevent working paste to the surface in excess of the amount specified above.

3.6.2 Other Types of Finishing Equipment

Concrete finishing equipment of types other than those specified above may be used on a trial basis, when specifically approved, except that rotating pipe or tubes or bridge deck finishers will not be permitted. Approval will be given after demonstration on a test section prior to start of construction, and provided the Contracting Officer determines that the pavement produced is better than that produced by the specified equipment. The use of equipment that fails to produce finished concrete of the required quality, using concrete proportions and slump as specified, shall be discontinued, and the concrete shall be finished with specified equipment and in the manner specified above. Vibrating screeds or pans shall be used only for isolated slabs where hand finishing is permitted as specified, and only where specifically approved. Slipform paving equipment

shall not be operated on fixed forms unless approved in writing prior to use.

3.6.3 Machine Finishing With Fixed Forms

The machine shall be designed to ride the forms and shall be operated to screed and consolidate the concrete. Machines that cause displacement of the forms shall be replaced. The machine shall make only one pass over each area of pavement. If the equipment and procedures do not produce a surface of uniform texture, true to grade, in one pass, the operation shall be immediately stopped and the equipment, mixture, and procedures adjusted as necessary.

3.6.4 Machine Finishing With Slipform Pavers

The slipform paver shall be operated so that only a very minimum of additional finishing work is required to produce pavement surfaces and edges meeting the specified tolerances. Any equipment or procedure that fails to meet these specified requirements shall immediately be replaced or modified as necessary. A self-propelled nonrotating pipe float may be used if the Contractor desires while the concrete is still plastic, to remove minor irregularities and score marks. The pipe float shall be 6 to 10 inches in diameter and sufficiently long to span the full paving width when oriented at an angle of approximately 60 degrees with the center line. Only one pass of the pipe float shall be allowed. If there is sufficient concrete slurry or fluid paste on the surface that it runs over the edge of the pavement, the paving operation shall be immediately stopped and the equipment, mixture, or operation modified to prevent formation of such slurry. Any slurry which does run down the vertical edges shall be immediately removed by hand, using stiff brushes or scrapers. No slurry, concrete or concrete mortar shall be used to build up along the edges of the pavement to compensate for excessive edge slump, either while the concrete is plastic or after it hardens. Slabs having areas of edge slump in excess of the specified tolerances shall be removed and replaced in accordance with paragraph, REPAIR, REMOVAL, REPLACEMENT OF SLABS; repair operations on such areas will not be permitted.

3.6.5 Surface Correction and Testing

After all other finishing is completed but while the concrete is still plastic, minor irregularities and score marks in the pavement surface shall be eliminated by means of cutting straightedges. Such straightedges shall be 12 feet in length and shall be operated from the sides of the pavement and from bridges. A straightedge operated from the side of the pavement shall be equipped with a handle 3 feet longer than one-half the width of the pavement. The surface shall then be tested for trueness with a straightedge held in successive positions parallel and at right angles to the center line of the pavement, and the whole area covered as necessary to detect variations. The straightedge shall be advanced along the pavement in successive stages of not more than one-half the length of the straightedge. Depressions shall be immediately filled with freshly mixed concrete, struck off, consolidated, and refinished. Projections above the required elevation shall also be struck off and refinished. The straightedge testing and finishing shall continue until the entire surface of the concrete is free from observable departure from the straightedge and conforms to the surface requirements specified in paragraph ACCEPTABILITY OF WORK AND PAYMENT ADJUSTMENTS. Long-handled, flat bull floats shall be used very sparingly and only as necessary to correct minor, scattered surface defects. If frequent use of bull floats is necessary, the paving

operation shall be stopped and the equipment, mixture or procedures adjusted to eliminate the surface defects. Finishing with hand floats and trowels shall be held to the absolute minimum necessary. Extreme care shall be taken to prevent overfinishing joints and edges. The surface finish of the pavement shall be produced essentially by the finishing machine and not by subsequent hand finishing operations. All hand finishing operations shall be subject to approval and shall be modified when directed. No water shall be added to the pavement surface during these operations.

3.6.6 Hand Finishing

Hand finishing operations shall be used only as specified above.

3.6.6.1 Equipment

In addition to approved mechanical internal vibrators for consolidating the concrete, a strike-off and tamping template and a longitudinal float shall be provided for hand finishing. The template shall be at least 1 foot longer than the width of pavement being finished, of an approved design, and sufficiently rigid to retain its shape, and shall be constructed of metal or other suitable material shod with metal. The longitudinal float shall be at least 10 feet long, of approved design, and rigid and substantially braced, and shall maintain a plane surface on the bottom. Grate tampers (jitterbugs) shall not be used.

3.6.6.2 Finishing and Floating

As soon as placed and vibrated, the concrete shall be struck off and screeded to the crown and cross section and to such elevation above grade that when consolidated and finished, the surface of the pavement will be at the required elevation. In addition to previously specified complete coverage with handheld immersion vibrators, the entire surface shall be tamped with the strike-off and tamping template, and the tamping operation continued until the required compaction and reduction of internal and surface voids are accomplished (grate tampers shall not be used). Immediately following the final tamping of the surface, the pavement shall be floated longitudinally from bridges resting on the side forms and spanning but not touching the concrete. If necessary, additional concrete shall be placed and screeded, and the float operated until a satisfactory surface has been produced. The floating operation shall be advanced not more than half the length of the float and then continued over the new and previously floated surfaces. Long-handled, flat bull floats shall be used very sparingly and only as necessary to correct minor, scattered surface defects. If frequent use of bull floats is necessary, the operation shall be stopped and adjusted to eliminate the surface defects. Finishing with hand floats and trowels shall be held to the absolute minimum necessary. Extreme care shall be taken to prevent overfinishing joints and edges. No water shall be added to the pavement during finishing operations.

3.6.7 Texturing

Before the surface sheen has disappeared and before the concrete hardens, the surface of the pavement shall be given a texture as described herein. After curing is complete, all textured surfaces shall be thoroughly power broomed to remove all debris.

3.6.7.1 Fabric Drag Surface Finish

Surface texture shall be applied by dragging the surface of the pavement, in the direction of the concrete placement, with an approved fabric drag. The drag shall be operated with the fabric moist, and the fabric shall be cleaned or changed as required to keep clean. The dragging shall be done so as to produce a uniform finished surface having a fine sandy texture without disfiguring marks.

3.6.8 Edging

After texturing has been completed, the edge of the slabs along the forms, along the edges of slipformed lanes, and at the joints shall be carefully finished with an edging tool to form a smooth rounded surface of 1/8 inch radius. Tool marks shall be eliminated, and the edges shall be smooth and true to line. No water shall be added to the surface during edging. Extreme care shall be taken to prevent overworking the concrete.

3.6.9 Outlets in Pavement

Recesses for the tie-down anchors, lighting fixtures, and other outlets in the pavement shall be constructed to conform to the details and dimensions shown. The concrete in these areas shall be carefully finished to provide a surface of the same texture as the surrounding area that will be within the requirements for plan grade and surface smoothness.

3.7 CURING

3.7.1 Protection of Concrete

Concrete shall be continuously protected against loss of moisture and rapid temperature changes for at least 7 days from the completion of finishing operations. Unhardened concrete shall be protected from rain and flowing water. All equipment needed for adequate curing and protection of the concrete shall be on hand and ready for use before actual concrete placement begins. Sufficient sheet material to protect unhardened concrete from rain shall be at the paver at all times. Protection shall be provided as necessary to prevent cracking of the pavement due to temperature changes during the curing period. If any selected method of curing does not afford the proper curing and protection against concrete cracking, the damaged pavement shall be removed and replaced, and another method of curing shall be employed as directed. Curing shall be accomplished by one of the following methods .

3.7.2 Membrane Curing

A uniform coating of white-pigmented, membrane-forming, curing compound shall be applied to the entire exposed surface of the concrete as soon as the free water has disappeared from the surface after finishing . If evaporation is high and no moisture is present on the surface even though bleeding has not stopped, fog sprays shall be used to keep the surface moist until setting of the cement occurs and bleeding is complete. Curing compound shall then be immediately applied. Along the formed edge faces, it shall be applied immediately after the forms are removed. Concrete shall not be allowed to dry before the application of the membrane. If any drying has occurred, the surface of the concrete shall be moistened with a fine spray of water, and the curing compound applied as soon as the free water disappears. The curing compound shall be applied to the finished surfaces by means of an approved automatic spraying machine. The spraying machine shall be self-propelled and shall span the newly paved lane. The machine shall have one or more spraying nozzles that can be controlled and

operated to completely and uniformly cover the pavement surface with the required amount of curing compound. The curing compound in the drum used for the spraying operation shall be thoroughly and continuously agitated mechanically throughout the full depth of the drum during the application. Air agitation may be used only to supplement mechanical agitation. Spraying pressure shall be sufficient to produce a fine spray as necessary to cover the surface thoroughly and completely with a uniform film. Spray equipment shall be kept clean and properly maintained and the spray nozzle or nozzles shall have adequate wind shields. The curing compound shall be applied with an overlapping coverage that will give a two-coat application at a coverage of 400 square feet per gallon, plus or minus 5.0 percent for each coat. A one-coat application may be applied provided a uniform application and coverage of 200 square feet per gallon, plus or minus 5.0 percent is obtained. The application of curing compound by hand-operated, mechanical powered pressure sprayers will be permitted only on odd widths or shapes of slabs where indicated and on concrete surfaces exposed by the removal of forms. When the application is made by hand-operated sprayers, the second coat shall be applied in a direction approximately at right angles to the direction of the first coat. The compound shall form a uniform, continuous, cohesive film that will not check, crack, or peel and that will be free from pinholes and other discontinuities. If pinholes, abrasions, or other discontinuities exist, an additional coat shall be applied to the affected areas within 30 minutes. Concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied shall be resprayed by the method and at the coverage specified above. Areas where the curing compound is damaged by subsequent construction operations within the curing period shall be immediately resprayed. The surfaces adjacent to joint sawcuts shall be cleaned and resprayed with curing compound immediately after cutting. Approved standby facilities for curing concrete pavement shall be provided at an accessible location at the job site for use in the event of mechanical failure of the spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Concrete surfaces to which membrane-curing compounds have been applied shall be adequately protected during the entire curing period from pedestrian and vehicular traffic, except as required for joint-sawing operations and surface tests, and from any other possible damage to the continuity of the membrane.

3.7.3 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, or until curing compound is applied, commencing immediately after finishing. If forms are removed before the end of the curing period, curing shall be carried out as on unformed surfaces, using suitable materials. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Burlap and mats shall be clean and free from any contamination and shall be completely saturated before being placed on the concrete. The Contractor shall have an approved work system to ensure that moist curing is continuous 24 hours per day and that the entire surface is wet.

3.7.4 Impervious Sheet Curing

All surfaces shall be thoroughly wetted and then completely covered with the sheeting. Sheeting shall be at least 18 inches wider than the concrete surface to be covered. Covering shall be laid with light-colored side up. Covering shall be lapped not less than 12 inches and securely weighted to

prevent displacement so that it remains in contact with the concrete during the specified length of curing. Coverings shall be folded down over exposed edges of slabs and secured by approved means. Sheets shall be immediately repaired or replaced if tears or holes appear during the curing period.

3.8 JOINTS

3.8.1 General Requirements for Joints

Joints shall conform to the details indicated and shall be perpendicular to the finished grade of the pavement. All joints shall be straight and continuous from edge to edge or end to end of the pavement with no abrupt offset and no gradual deviation greater than 1/2 inch. Before commencing construction, the Contractor shall submit for approval a control plan and equipment to be used for ensuring that all joints are straight from edge to edge of the pavement within the above tolerances. Where any joint fails to meet these tolerances, the slabs adjacent to the joint shall be removed and replaced at no additional cost to the Government. No change from the jointing pattern shown on the drawings shall be made without written approval of the Contracting Officer. Sealing of joints shall be in accordance with Section 02760A FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENT.

3.8.2 Longitudinal Construction Joints

Longitudinal construction joints between paving lanes shall be located as indicated. Dowels or tie bars shall be installed in the longitudinal construction joints, or the edges shall be thickened as indicated. Dowels and tie bars shall be installed in conformance with paragraph, Placing Dowels and Tie Bars. After the end of the curing period, longitudinal construction joints shall be sawed to provide a groove at the top for sealant conforming to the details and dimensions indicated.

3.8.3 Transverse Construction Joints

Transverse construction joints shall be installed at the end of each day's placing operations and at any other points within a paving lane when concrete placement is interrupted for 30 minutes or longer. When concrete placement cannot be continued, the transverse construction joint shall be installed at a planned transverse joint, if possible. Transverse construction joints shall be constructed by utilizing headers and the very minimum amount of hand placement and finishing techniques. Pavement shall be constructed with the paver as close to the header as possible, and the paver shall be run out completely past the header. Transverse construction joints installed at a planned transverse joint shall be constructed as shown or, if not shown otherwise, shall be dowelled. Those not at a planned transverse joint shall be constructed with tie bars and shall not be sawed or sealed.

3.8.4 Expansion Joints

Expansion joints shall be formed where indicated, and about any structures and features that project through or into the pavement, using joint filler of the type, thickness, and width indicated, and shall be installed to form a complete, uniform separation between the structure and the pavement. The filler shall be attached to the original concrete placement with adhesive or other fasteners and shall extend the full slab depth. Adjacent sections of filler shall be fitted tightly together, and the filler shall extend

across the full width of the paving lane or other complete distance in order to prevent entrance of concrete into the expansion space. Edges of the concrete at the joint face shall be finished with an edger with a radius of 1/8 inch. The joint filler strips shall be installed 3/4 inch below the pavement surface with a slightly tapered, dressed-and-oiled wood strip or other approved material temporarily secured to the top of the filler to form a recess to be filled with joint sealant. The wood strip shall be removed soon after the concrete has set and the reservoir temporarily filled with an approved material to protect the reservoir until the joint sealer is installed. Expansion joints shall be constructed with thickened edges for load transfer.

3.8.5 Slip Joints

Slip joints shall be installed where indicated using the specified materials. Preformed joint filler material shall be attached to the face of the original concrete placement with adhesive or other fasteners. Bituminous material shall be applied to cover the entire surface of the face of the original concrete placement to a depth of 1/4 inch plus or minus 1/16 inch. Only a material which will remain in place on the vertical surface shall be used. In each case a 3/4 inch deep reservoir for joint sealant shall be constructed at the top of the joint. Edges of the joint face shall be finished with an edger with a radius of 1/8 inch.

3.8.6 Contraction Joints

Transverse and longitudinal contraction joints shall be of the weakened-plane or dummy type and shall be constructed as indicated. Longitudinal contraction joints shall be constructed by sawing a groove in the hardened concrete with a power-driven saw in conformance with requirements for sawed joints, unless otherwise approved in writing. Transverse contraction joints shall be constructed in conformance with requirements for sawed joints

3.8.6.1 Sawed Joints

Sawed contraction joints shall be constructed by sawing an initial groove in the concrete with a 1/8 inch blade to the indicated depth. During sawing of joints, and again 24 hours later, the CQC team shall inspect all exposed lane edges for development of cracks below the saw cut, and shall immediately report results to the Contracting Officer. If the Contracting Officer determines that there are more uncracked joints than desired, the Contractor will be directed to saw succeeding joints 25 percent deeper than originally indicated at no additional cost to the Government. After expiration of the curing period, the upper portion of the groove shall be widened by sawing to the width and depth indicated for the joint sealer. The time of initial sawing shall vary depending on existing and anticipated weather conditions and shall be such as to prevent uncontrolled cracking of the pavement. Sawing of the joints shall commence as soon as the concrete has hardened sufficiently to permit cutting the concrete without chipping, spalling, or tearing. The sawed faces of joints will be inspected for undercutting or washing of the concrete due to the early sawing, and sawing shall be delayed if undercutting is sufficiently deep to cause structural weakness or excessive roughness in the joint. The sawing operation shall be carried on as required during both day and night regardless of weather conditions. The joints shall be sawed at the required spacing consecutively in the sequence of the concrete placement. A chalk line or other suitable guide shall be used to mark the alinement of the joint. Before sawing a joint, the concrete shall be examined closely for cracks,

and the joint shall not be sawed if a crack has occurred near the planned joint location. Sawing shall be discontinued when a crack develops ahead of the saw cut. Workmen and inspectors shall wear clean, rubber-soled footwear, and the number of persons walking on the pavement shall be limited to those actually performing the sawing operation. Immediately after the joint is sawed, the saw cut and adjacent concrete surface shall be thoroughly flushed with water until all waste from sawing is removed from the joint. The surface shall be resprayed with curing compound as soon as free water disappears. Necessary precautions shall be taken to insure that the concrete is properly cured at sawed joints, but that no curing compound enters the joints. The top of the joint opening and the joint groove at exposed edges shall be tightly sealed with cord, backer rod, or other approved material before the concrete in the region of the joint is resprayed with curing compound. The method used for sealing the joint groove shall prevent loss of moisture from the joint during the entire specified curing period and shall prevent infiltration of foreign material until removed immediately before sawing joint sealant reservoir. The sawing equipment shall be adequate in the number of units and the power to complete the sawing at the required rate. An ample supply of saw blades shall be available on the job before concrete placement is started and at all times during sawing. At least one standby sawing unit in good working order shall be available at the jobsite at all times during the sawing operation.

3.8.7 Thickened Edge Joints

Thickened edge joints shall be constructed as indicated on the drawings. Underlying material in the transition area shall be graded as shown and shall meet the requirements for smoothness and compaction specified for all other areas of the underlying material.

3.8.8 Sealing Joints

Joints shall be sealed immediately following curing of the concrete or as soon thereafter as weather conditions permit. Sawing or other removal of filler type joint formers shall be accomplished immediately before sealing of the joints. Joints shall be sealed as specified in Section 02760A FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENTS .

3.9 REPAIR, REMOVAL, REPLACEMENT OF SLABS

3.9.1 General Criteria

New pavement slabs that are broken or contain cracks shall be removed and replaced or repaired, as specified hereinafter at no cost to the Government. Spalls along joints shall be repaired as specified. Where removal of partial slabs is permitted, as specified, removal and replacement shall be full depth, shall be full width of the paving lane, and the limit of removal shall be normal to the paving lane and not less than 10 feet from each original transverse joint (i.e., removal portion shall be at least 10 feet longitudinally, and portion to remain in place shall be at least longitudinally; thus, if original slab length is less than 20 feet, the entire slab shall be removed). The Contracting Officer will determine whether cracks extend full depth of the pavement and may require cores to be drilled on the crack to determine depth of cracking. Such cores shall be at least 6 inch diameter, shall be drilled by the Contractor and shall be filled by the Contractor with a well consolidated concrete mixture bonded to the walls of the hole with epoxy resin, using approved procedures. Drilling of cores and refilling holes shall be at no

expense to the Government. All epoxy resin used in this work shall conform to paragraph EPOXY RESIN, Type and Grade as specified.

3.9.2 Slabs with Cracks Thru Interior Areas

Interior area is defined as that area more than 24 inches from either adjacent original transverse joint. Slabs with any cracks that extend into the interior area, regardless of direction, shall be treated by one of the following procedures.

3.9.2.1 Cracks That Do Not Extend Full Depth of Slab

These cracks, and similar cracks within the areas 24 inches each side of transverse joints, shall be cleaned and then pressure injected with epoxy resin, Type IV, Grade 1, using procedures as approved. The procedure shall not widen the crack during epoxy resin injection. All epoxy resin injection shall take place in the presence of a representative of the Contracting Officer.

3.9.2.2 Cracks That Extend Full Depth of Slab

Where there is any full depth crack at any place within the interior area, the full slab shall be removed. However, if the cracked area all lies within 10 feet of one original transverse joint, only a partial slab need be removed provided all criteria specified above for distance from each original transverse joint is met.

3.9.3 Cracks close to and Parallel to Transverse Joints

All cracks essentially parallel to original transverse joints, extending full depth of the slab, and lying wholly within 24 inches either side of the joint shall be treated as specified hereinafter. Any crack extending more than 24 inches from the transverse joint shall be treated as specified above for Slabs With Cracks Through Interior Areas. Any cracks which do not extend full depth of the slab shall be treated as specified above in subparagraph, Cracks That Do Not Extend Full Depth Of Slab, and the original transverse joint constructed as originally designed.

3.9.3.1 Full Depth Cracks Present, Original Joint Not Opened

When the original transverse joint has not opened, the crack shall be routed and sealed, and the original transverse joint filled with epoxy resin. The crack shall be routed with an easily guided, wheel mounted, vertical shaft, powered rotary router designed so the routing spindle will caster as it moves along the crack, or with a small diameter saw designed for this use. The reservoir for joint sealant in the crack shall be formed by routing to a depth of 3/4 inch, plus or minus 1/16 inch, and to a width of 5/8 inch, plus or minus 1/8 inch. Any equipment or procedure which causes ravelling or spalling along the crack shall be modified or replaced to prevent such ravelling or spalling. The joint sealant shall be a liquid sealant as specified for rigid pavement joints. Installation of joint seal shall be as specified for sealing joints or as directed. The uncracked transverse joint shall be filled with epoxy resin. If the joint sealant reservoir has been sawed out, the reservoir and as much of the lower saw cut as possible shall be filled with epoxy resin, Type IV, Grade 2, thoroughly tooled into the void using approved procedures. If only the original narrow saw cut has been made, it shall be cleaned and pressure injected with epoxy resin, Type IV, Grade 1, using approved procedures. If filler material (joint insert) has been used to form a weakened plane in

the transverse joint, it shall be completely sawed out and the saw cut pressure injected with epoxy resin, Type IV, Grade 1, using approved procedures. Where a parallel crack goes part way across the paving lane and then intersects and follows the original transverse joint which is cracked only for the remainder of the width, it shall be treated as follows: The area with the separate crack shall be treated as specified above for a parallel crack, and the cracked original joint shall be prepared and sealed as originally designed.

3.9.3.2 Full Depth Cracks, Original Joint Also Cracked

At a transverse joint, if there is any place in the lane width where a parallel crack and a cracked portion of the original joint overlap, a section of the slab containing the crack shall be removed and replaced for the full lane width and at least 10 feet long. If this partial slab removal places the limit of removal less than 10 feet from the next transverse joint, the entire slab shall be removed. If the parallel crack crosses the transverse joint line, a similar area shall be removed and replaced in both slabs.

3.9.4 Removal and Replacement of Full Slabs

Where it is necessary to remove full slabs, unless there are keys or dowels present, all edges of the slab shall be cut full depth with a concrete saw.

All saw cuts shall be perpendicular to the slab surface. If keys, dowels, or tie bars are present along any edges, these edges shall be sawed full depth 6 inches from the edge if only keys are present, or just beyond the end of dowels or tie bars if they are present. These joints shall then be carefully sawed on the joint line to within 1 inch of the depth of the dowel or key. The main slab shall be further divided by sawing full depth, at appropriate locations, and each piece lifted out and removed. Suitable equipment shall be used to provide a truly vertical lift, and approved safe lifting devices used for attachment to the slabs. The narrow strips along keyed or doweled edges shall be carefully broken up and removed using light, hand-held jackhammers, 30 lb or less, or other approved similar equipment. Care shall be taken to prevent damage to the dowels, tie bars, or keys or to concrete to remain in place. The joint face below keys or dowels shall be suitably trimmed so that there is no abrupt offset in any direction greater than 1/2 inch and no gradual offset greater than 1 inch when tested in a horizontal direction with a straightedge. No mechanical impact breakers, other than the above hand-held equipment shall be used for any removal of slabs. If underbreak between 1-1/2 and 4 inches deep occurs at any point along any edge, the area shall be repaired as directed before replacing the removed slab. Procedures directed will be similar to those specified for surface spalls, modified as necessary. If underbreak over 4 inches deep occurs, the entire slab containing the underbreak shall be removed and replaced. Where there are no dowels, tie bars, or keys on an edge, or where they have been damaged, dowels of the size and spacing as specified for other joints in similar pavement shall be installed by epoxy grouting them into holes drilled into the existing concrete using procedures as specified in paragraph, Placing Dowels and Tie Bars. Original damaged dowels or tie bars shall be cut off flush with the joint face. Protruding portions of dowels shall be painted and lightly oiled. All four edges of the new slab shall thus contain dowels or original keys or original tie bars. Placement of concrete shall be as specified for original construction. Prior to placement of new concrete, the underlying material shall be recompact and shaped as specified in the appropriate section of these specifications, and the surfaces of all four joint faces shall be cleaned of all loose material and contaminants and coated with a

double application of membrane forming curing compound as bond breaker. Care shall be taken to prevent any curing compound from contacting dowels or tie bars. The resulting joints around the new slab shall be prepared and sealed as specified for original construction.

3.9.5 Removal and Replacement of Partial Slabs

Where the above criteria permits removal of partial slabs, removal and replacement operations shall be as specified for full slabs, except that the joint between the removed area and the partial slab to remain in place shall consist of a full depth saw cut across the full lane width and perpendicular to the centerline of the paving lane. Replacement operations shall be the same as specified above, except that, at the joint between the removed area and the partial slab to remain, deformed tie bars shall be epoxy resin grouted into holes drilled into the slab to remain in place. Size and spacing of the tie bars shall be as specified for dowels. Drilling of holes and installation of tie bars shall be as specified for dowels in paragraph, Placing Dowels and Tie Bars, except that no portion of the tie bars shall be painted or oiled. No curing compound shall be used on this joint face and, immediately before placing new concrete, the joint surface of the partial slab remaining in place shall be coated with epoxy resin, Type V, Grade 2.

3.9.6 Repairing Spalls Along Joints

Where directed, spalls along joints of new slabs, along edges of adjacent existing concrete, and along parallel cracks shall be repaired by first making a vertical saw cut at least 1 inch outside the spalled area and to a depth of at least 2 inches. Saw cuts shall be straight lines forming rectangular areas. The concrete between the saw cut and the joint, or crack, shall be chipped out to remove all unsound concrete and at least a depth of 1/2 inch of visually sound concrete. The cavity thus formed shall be thoroughly cleaned with high pressure water jets supplemented with compressed air to remove all loose material. Immediately before filling the cavity, a prime coat shall be applied to the dry cleaned surface of all sides and bottom of the cavity, except any joint face. The prime coat shall be applied in a thin coating and scrubbed into the surface with a stiff-bristle brush. Prime coat for portland cement repairs shall be a neat cement grout and for epoxy resin repairs shall be epoxy resin, Type III, Grade 1. The cavity shall be filled with low slump portland cement concrete or mortar or with epoxy resin concrete or mortar. Portland cement concrete shall be used for larger spalls, those more than 1/3 cu. ft. in size after removal operations; portland cement mortar shall be used for spalls between 0.03 cu. ft. and 1/3 cu. ft.; and epoxy resin mortar or Type III, Grade 3 epoxy resin for those spalls less than 0.03 cu. ft. in size after removal operations. Portland cement concretes and mortars shall be very low slump mixtures, 1/2 inch slump or less, proportioned, mixed, placed, consolidated by tamping, and cured, all as directed. Epoxy resin mortars shall be made with Type III, Grade 1, epoxy resin, using proportions and mixing and placing procedures as recommended by the manufacturer and approved by the Contracting Officer. The epoxy resin materials shall be placed in the cavity in layers not over 2 inches thick. The time interval between placement of additional layers shall be such that the temperature of the epoxy resin material does not exceed 140 degrees F at any time during hardening. Mechanical vibrators and hand tampers shall be used to consolidate the concrete or mortar. Any repair material on the surrounding surfaces of the existing concrete shall be removed before it hardens. Where the spalled area abuts a joint, an insert or other bond-breaking medium shall be used to prevent bond at the joint face. A

reservoir for the joint sealant shall be sawed to the dimensions required for other joints, or as required to be routed for cracks. The reservoir shall be thoroughly cleaned and then sealed with the sealer specified for the joints. If any spall penetrates half the depth of the slab or more, the entire slab, or 10 foot portion thereof, shall be removed and replaced as previously specified.

3.10 EXISTING CONCRETE PAVEMENT REMOVAL AND REPAIR

Existing concrete pavement shall be removed as indicated and as specified in Section 02220A DEMOLITION, modified, and expanded as specified herein. Repairs shall be made as indicated and as specified herein. All operations shall be carefully controlled to prevent damage to the concrete pavement and to the underlying material to remain in place. All saw cuts shall be made perpendicular to the slab surface, and forming rectangular areas.

3.10.1 Removal of Existing Pavement Slab

When existing concrete pavement is to be removed and adjacent concrete is to be left in place, the joint between the removal area and adjoining pavement to stay in place, including dowels, tie bars or keys, shall first be cut full depth with a standard diamond-type concrete saw. Next, a full depth saw cut shall be made parallel to the joint at least 24 inches from the joint and at least 6 inches from the end of any dowels. This saw cut shall be made with a wheel saw as specified in paragraph SAWING EQUIPMENT. All pavement to be removed beyond this last saw cut shall be removed using equipment and procedures specified in Section 02220a DEMOLITION and as approved. All pavement between this last saw cut and the joint line shall be removed by carefully pulling pieces and blocks away from the joint face with suitable equipment and then picking them up for removal. In lieu of this method, this strip of concrete may be carefully broken up and removed using hand-held jackhammers, 30 lb or less, or other approved light-duty equipment which will not cause stress to propagate across the joint saw cut and cause distress in the pavement which is to remain in place. In lieu of the above specified removal method, the slab may be sawcut full depth to divide it into several pieces and each piece lifted out and removed. Suitable equipment shall be used to provide a truly vertical lift, and safe lifting devices used for attachment to the slab.

3.10.2 Edge Repair

The edge of existing concrete pavement against which new pavement abuts shall be protected from damage at all times. Areas which are damaged during construction shall be repaired at no cost to the Government; repair of previously existing damage areas will be considered a subsidiary part of concrete pavement construction.

3.10.2.1 Spall Repair

Spalls along joints and along cracks shall be repaired where indicated and where directed. Repair materials and procedures shall be as previously specified in subparagraph, Repairing Spalls Along Joints.

3.10.2.2 Underbreak Repair

All underbreak shall be repaired. First, all delaminated and loose material shall be carefully removed. Next, the underlying material shall be recompact, without addition of any new material. Finally, the void shall be completely hand-filled with paving concrete mixture, thoroughly

consolidated. Care shall be taken to produce an even joint face from top to bottom. Prior to placing concrete, the underlying material shall be thoroughly moistened. After placement, the exposed surface shall be heavily coated with curing compound. All this shall be done at least 24 hours before placing the new paving concrete against the joint.

3.10.2.3 Underlying Material

The underlying material adjacent to the edge of and under the existing pavement which is to remain in place shall be protected from damage or disturbance during removal operations and until placement of new concrete, and shall be shaped as shown on the drawings or as directed. Sufficient underlying material shall be kept in place outside the joint line to completely prevent disturbance of material under the pavement which is to remain in place. Any material under the portion of the concrete pavement to remain in place which is disturbed or loses its compaction shall be carefully removed and replaced with concrete as specified above under Underbreak Repair. The underlying material outside the joint line shall be thoroughly compacted and shall be moist when new concrete is placed.

3.11 PAVEMENT PROTECTION

The Contractor shall protect the pavement against all damage prior to final acceptance of the work by the Government. Aggregates rubble, or other similar construction materials shall not be piled on airfield pavements. Traffic shall be excluded from the new pavement by erecting and maintaining barricades and signs until the concrete is at least 14 days old, or for a longer period if so directed. As a construction expedient in paving intermediate lanes between newly paved pilot lanes, operation of the hauling equipment will be permitted on the new pavement after the pavement has been cured for 7 days and the joints have been sealed or otherwise protected. Also, the subgrade planer, concrete paving and finishing machines, and similar equipment may be permitted to ride upon the edges of previously constructed slabs when the concrete has attained a minimum flexural strength of 400 psi and approved means are furnished to prevent damage to the slab edge. All new and existing pavement carrying construction traffic or equipment shall be continuously kept completely clean, and spillage of concrete or other materials shall be cleaned up immediately upon occurrence. Special care shall be used where Contractor's traffic uses or crosses active airfield pavement. In these areas, if necessary in order to accomplish this, full-time workmen with hand brooms shall be used at anytime there is traffic. Other existing pavements used by the Contractor shall be power broomed at least daily when traffic operates. For fill-in lanes, equipment shall be used that will not damage or spall the edges or joints of the previously constructed pavement.

3.12 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL

3.12.1 Testing and Inspection by Contractor

The Contractor shall perform the inspection and tests described below, and based upon the results of these inspections and tests, shall take the action required and submit reports as required. When, in the opinion of the Contracting Officer, the paving operation is out of control, concrete placement shall cease. The laboratory performing the tests shall be on-site and shall conform with ASTM C 1077. The individuals who sample and test concrete or the constituents of concrete as required in this specification shall have demonstrated a knowledge and ability to perform the necessary test procedures equivalent to the ACI minimum guidelines for

certification of Concrete Field Testing Technicians, Grade I. The individuals who perform the inspection of concrete shall have demonstrated a knowledge and ability equivalent to the ACI minimum guidelines for certification of Concrete Construction Inspector, Level II. The Government will inspect the laboratory, equipment, and test procedures prior to start of concreting operations and at least once per year thereafter for conformance with ASTM C 1077. This testing shall be performed by the Contractor regardless of any other testing performed by the Government, either for pay adjustment purposes or for any other reason.

3.12.2 Testing and Inspection Requirements

3.12.2.1 Fine Aggregate

- a. Grading. At least once during each shift when the concrete plant is operating, there shall be one sieve analysis and fineness modulus determination in accordance with ASTM C 136 and COE CRD-C 104 for the fine aggregate or for each fine aggregate if it is batched in more than one size or classification. The location at which samples are taken may be selected by the Contractor as the most advantageous for control. However, the Contractor is responsible for delivering fine aggregate to the mixer within specification limits.
- b. Corrective Action for Fine Aggregate Grading. When the amount passing on any sieve is outside the specification limits, the fine aggregate shall be immediately resampled and retested. If there is another failure on any sieve, the fact shall be immediately reported to the Contracting Officer, paving shall be stopped, and immediate steps taken to correct the grading.

3.12.2.2 Coarse Aggregate

- a. Grading. At least once during each shift in which the concrete plant is operating, there shall be a sieve analysis in accordance with ASTM C 136 for each size of coarse aggregate. The location at which samples are taken may be selected by the Contractor as the most advantageous for production control. However, the Contractor shall be responsible for delivering the aggregate to the mixer within specification limits. A test record of samples of aggregate taken at the same locations shall show the results of the current test as well as the average results of the five most recent tests including the current test. The Contractor may adopt approved limits for control coarser than the specification limits for samples taken other than as delivered to the mixer to allow for degradation during handling.
- b. Corrective Action for Grading. When the amount passing any sieve is outside the specification limits, the coarse aggregate shall be immediately resampled and retested. If the second sample fails on any sieve, that fact shall be reported to the Contracting Officer, and steps taken to correct the grading. Where two consecutive averages of 5 tests are outside specification limits, the operation shall be considered out of control and shall be reported to the Contracting Officer, paving shall be stopped, and immediate steps shall be taken to correct the grading.

3.12.2.3 Quality of Aggregates

Thirty days prior to the start of concrete placement, the Contractor shall perform all tests specified for aggregate quality, including deleterious materials. In addition, after the start of paving, the Contractor shall perform similar tests for aggregate quality at least once every month, and when the source of aggregate or aggregate quality changes. Testing interval may be increased to three months when the previous two tests indicate the aggregate meets all quality requirements. Samples tested after the start of concrete placement shall be taken immediately prior to entering the concrete mixer.

3.12.2.4 Scales, Batching and Recording

- a. Weighing Accuracy. The accuracy of the scales shall be checked by test weights prior to start of concrete operations and at least once every month for conformance with specified requirements. Such tests shall also be made as directed whenever there are variations in properties of the fresh concrete that could result from batching errors.
- b. Batching and Recording Accuracy. Once a week the accuracy of each batching and recording device shall be checked during a weighing operation by noting and recording the required mass, recorded mass, and the actual mass batched. The Contractor shall test and ensure that the devices for dispensing admixtures are operating properly and accurately.
- c. Corrective Action. When either the weighing accuracy or batching accuracy does not comply with specification requirements, the plant shall not be operated until necessary adjustments or repairs have been made. Discrepancies in recording accuracies shall be corrected immediately.

3.12.2.5 Batch-Plant Control

The measurement of all constituent materials including cementitious materials, each size of aggregate, water, and admixtures shall be continuously controlled. The aggregate masses and amount of added water shall be adjusted as necessary to compensate for free moisture in the aggregates. The amount of air-entraining agent shall be adjusted to control air content within specified limits. A report shall be prepared indicating type and source of cement used, type and source of pozzolan or slag used, amount and source of admixtures used, aggregate source, the required aggregate and water masses per cubic yard, amount of water as free moisture in each size of aggregate, and the batch aggregate and water masses per cubic yard for each class of concrete batched during each day's plant operation.

3.12.2.6 Concrete Mixture

- a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two other tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of paving. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Tests shall be made in accordance with ASTM C 231. Test results shall be plotted on control charts which are kept current and shall, at all times, be readily available to the Government and shall be submitted weekly. Copies of the current

control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single test result reaches either the upper or lower action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the air content of the batch to plot on both the air content and the control chart for range, and for determining need for any remedial action. The result of each test, or average as noted in the previous sentence, shall be plotted on a separate control chart for each mixture on which an average line is set at the midpoint of the specified air content range from paragraph SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES. An upper warning limit and a lower warning limit line shall be set 1.0 percentage point above and below the average line, respectively. An upper action limit and a lower action limit line shall be set 1.5 percentage points above and below the average line, respectively. The range between each two consecutive tests shall be plotted on a secondary control chart for range where an upper warning limit is set at 2.0 percentage points and an upper action limit is set at 3.0 percentage points. Samples for air content shall be taken at the paving site. The Contractor shall deliver the concrete to the paving site at the stipulated air content. If the Contractor's materials or transportation methods cause air content loss between the mixer and the paving site, correlation samples shall be taken at the paving site as required by the Contracting Officer, and the air content at the mixer controlled as directed.

- b. Air Content Corrective Action. Whenever points on the control chart for percent air reach either warning limit, an adjustment shall immediately be made in the amount of air-entraining admixture batched. As soon as practical after each adjustment, another test shall be made to verify the result of the adjustment. Whenever a point on the secondary control chart for range reaches the warning limit, the admixture dispenser shall be recalibrated to insure that it is operating accurately and with good reproducibility. Whenever a point on either control chart (single test or result of two tests made concurrently, as specified above) reaches an action limit line, the air content shall be considered out of control and the paving operation shall immediately be halted until the air content is under control. Additional air content tests shall be made when paving is restarted.
- c. Slump Testing. Slump tests shall be made when test specimens are fabricated. In addition, at least four other slump tests shall be made on randomly selected batches in accordance with ASTM C 143/C 143M for each separate concrete mixture produced during each 8-hour or less period of concrete production each day. Also, additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Test results shall be plotted on control charts which shall at all times be readily available to the Government and shall be submitted weekly. Copies of the current control charts shall be kept in the field by testing crews and results plotted as tests are made. When a single slump test reaches or goes beyond the upper action limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the slump of the batch to plot on both the control chart for slump and the chart for range, and for determining need for any remedial action. An upper warning limit shall be set at 1/2

inch below the maximum allowable slump on separate control charts for slump used for each type of mixture as specified in paragraph, SPECIFIED CONCRETE STRENGTH AND OTHER PROPERTIES, and an upper action limit line shall be set at the maximum allowable slump, as specified in the same paragraph for fixed form paving or as selected by the Contractor at the start of the project for slipform paving. The range between each consecutive slump test for each type of mixture shall be plotted on a single control chart for range on which an upper action limit is set at 1-1/2 inches. Samples for slump shall be taken at the paving site. The Contractor is responsible for delivering the concrete to the paving site at the stipulated slump. If the Contractor's materials or transportation methods cause slump loss between the mixer and the paving site, correlation samples shall be taken at the paving site as required by the Contracting Officer, and the slump at the mixer controlled as directed.

- d. Slump Corrective Action. Whenever points on the control charts for slump reach the upper warning limit, an approved adjustment shall immediately be made in the batch masses of water and fine aggregate. The adjustments are to be made so that the total water content does not exceed that amount allowed by the maximum w/c specified, based on aggregates which are in a saturated surface dry condition. When a slump result (average of two tests made concurrently, as specified above) exceeds the upper action limit, no further concrete shall be delivered to the paving site until proper adjustments have been made. Immediately after each adjustment, another test shall be made to verify the correctness of the adjustment. Whenever two consecutive individual slump tests, made during a period when there was no adjustment of batch masses, produce a point on the control chart for range at or above the upper action limit, the paving operation shall immediately be halted, and the Contractor shall take approved steps to bring the slump under control. Additional slump tests shall be made as directed.
- e. Temperature. The temperature of the concrete shall be measured when compressive strength specimens are fabricated. Measurement shall be in accordance with ASTM C 1064/C 1064M. The temperature shall be reported along with the compressive strength data.

3.12.2.7 Concrete Strength Testing for CQC

Contractor Quality Control operations for concrete strength shall consist of the following steps:

- a. Take samples for strength tests at the paving site. Fabricate and cure test cylinders in accordance with ASTM C 31/C 31M; test them in accordance with ASTM C 39/C 39M.
- b. Fabricate and cure 2 test cylinders per subplot from the same batch or truckload and at the same time acceptance cylinders are fabricated and test them for compressive strength at 7-day age.
- c. Average all 8 compressive tests per lot. Convert this average 7-day compressive strength per lot to equivalent 28-day flexural strength using the Correlation Ratio determined during mixture proportioning studies.

- d. Compare the equivalent 28-day flexural strength from the conversion to the Average Flexural Strength Required for Mixtures from paragraph of same title.
- e. If the equivalent average 28-day strength for the lot is below the Average Flexural Strength Required for Mixtures by 20 psi flexural strength or more, at any time, adjust the mixture to increase the strength, as approved.
- f. If the equivalent average 28-day strength is above the Average Flexural Strength Required for Mixtures by 20 psi flexural strength or more for 2 consecutive days, the Contractor will be permitted to adjust the mixture to decrease the strength, as approved.
- g. The Contractor's CQC testing agency shall maintain up-to-date control charts for strength, showing the 7-day CQC compressive strength, the 14-day compressive strength (from acceptance tests) and the 28-day equivalent flexural strength of each of these for each lot.

3.12.2.8 Inspection Before Placing

Underlying materials, construction joint faces, forms, reinforcing, dowels, and embedded items shall be inspected by the Contractor in sufficient time prior to each paving operation in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

3.12.2.9 Paving

- a. Paving Inspection. The placing foreman shall supervise all placing and paving operations, shall determine that the correct quality of concrete is placed in each location as shown and that finishing is performed as specified; shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume of concrete placed, and method of paving and any problems encountered.
- b. Placing and Paving Corrective Action. The paving foreman shall not permit batching and paving to begin until it has been verified that an adequate number of vibrators in working order and with competent operators are available. Paving shall not be continued if piles of concrete exist or if the concrete is inadequately consolidated or if surface finish is not satisfactory. If any batch of concrete fails to meet the temperature requirements, immediate steps shall be taken to improve temperature controls.

3.12.2.10 Vibrators

- a. Vibrator Testing and Use. The frequency and amplitude of each vibrator shall be determined in accordance with COE CRD-C 521 prior to initial use and at least once a month when paving is in progress. Additional tests shall be made as directed when a vibrator does not appear to be adequately consolidating the concrete. The frequency shall be determined while the vibrator is operating in concrete with the tachometer being held against the upper end of the vibrator head while almost submerged and just

before the vibrator is withdrawn from the concrete. The amplitude shall be determined with the head vibrating in air. Two measurements shall be taken, one near the tip and another near the upper end of the vibrator head, and these results averaged. The make, model, type, and size of the vibrator and frequency and amplitude results shall be reported in writing.

- b. Vibrator Corrective Action. Any vibrator not meeting the requirements of subparagraphs, Paver-Finisher and Consolidation, shall be immediately removed from service and repaired or replaced.

3.12.2.11 Curing Inspection

- a. Moist Curing Inspections. At least twice each shift, and not less than four times per day (never more than 7 hours apart) on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded.
- b. Moist Curing Corrective Action. When any inspection finds an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for the area shall be extended by 1 day.
- c. Membrane Curing Inspection. No curing compound shall be applied until the Contractor has verified that the compound is properly mixed and ready for spraying. At the end of each day's operation, the quantity of compound used shall be determined by measurement of the container and the area of concrete surface covered; the Contractor shall then compute the rate of coverage in square feet per gallon and shall also note whether or not coverage is uniform. All this shall be reported daily.
- d. Membrane Curing Corrective Action. When the coverage rate of the curing compound is less than that specified or when the coverage is not uniform, the entire surface shall be sprayed again.
- e. Sheet Curing Inspection. At least once each shift and once per day on non-work days, an inspection shall be made of all areas being cured using impervious sheets. The condition of the covering and the tightness of the laps and tapes shall be noted and recorded.
- f. Sheet Curing Corrective Action. When a daily inspection report lists any tears, holes, or laps or joints that are not completely closed, the tears and holes shall promptly be repaired or the sheets replaced, the joints closed, and the required curing period for those areas shall be extended by 1 day.

3.12.2.12 Cold-Weather Protection

At least once each shift and once per day on non-work days, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

3.12.2.13 Mixer Uniformity

- a. Stationary Mixers. Prior to the start of concrete placing and once every 4 months when concrete is being placed, or once for

every 50,000 cubic yards of concrete placed, whichever results in the longest time interval, uniformity of concrete mixing shall be determined in accordance with COE CRD-C 55. The original test shall be a Regular Test. After the mixing operation has been tested and approved, subsequent tests shall be Abbreviated Tests.

- b. Truck Mixers. Prior to the start of concrete placing and at least once every 4 months when concrete is being placed, uniformity of concrete mixing shall be determined in accordance with ASTM C 94/C 94M. The truck mixers shall be selected randomly for testing. When satisfactory performance is found in one truck mixer, the performance of mixers of substantially the same design and condition of the blades may be regarded as satisfactory.
- c. Mixer Uniformity Corrective Action. When a mixer fails to meet mixer uniformity requirements, either the mixing time shall be increased, batching sequence changed, batch size reduced, or adjustments shall be made to the mixer until compliance is achieved. After adjustments have been made, another uniformity test shall be made.

3.12.2.14 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report shall be prepared for the updating of control charts covering the entire period from the start of the construction season through the current week. During periods of cold-weather protection, reports of pertinent temperatures shall be made daily. These requirements do not relieve the Contractor of the obligation to report certain failures immediately as required in preceding paragraphs. Such reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all contractor quality control records.

-- End of Section --

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SECTION 02760A

FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENTS
02/03

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

ASTM INTERNATIONAL (ASTM)

ASTM C 509	(2000) Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM D 789	(1998) Determination of Relative Viscosity and Moisture Content of Polyamide (PA)
ASTM D 5893	(1996) Cold Applied, Single Component, Chemically Curing Silicon Joint Sealant for Portland Cement Concrete Pavements
ASTM D 6690	(2001) Joint and Crack Sealants, Hot Applied, for Concrete and Asphalt Pavements

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 525	(1989) Corps of Engineers Test Method for Evaluation of Hot-Applied Joint Sealants for Bubbling Due to Heating
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1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Manufacturer's Recommendations; G-AO.

Where installation procedures, or any part thereof, are required to be in accordance with the manufacturer's recommendations, printed copies of these recommendations, 14 days prior to use on the project. Installation of the material will not be allowed until the recommendations are received. Failure to furnish these recommendations can be cause for rejection of the material.

Equipment; G-AO.

List of proposed equipment to be used in performance of construction work including descriptive data, 14 days prior to use on the project.

SD-04 Samples

Materials;

Samples of the materials (sealant, primer if required, and backup material), in sufficient quantity for testing and approval 14 days prior to the beginning of work. No material will be allowed to be used until it has been approved.

1.3 TEST REQUIREMENTS

The joint sealant and backup or separating material shall be tested for conformance with the referenced applicable material specification. Testing of the materials shall be performed in an approved independent laboratory and certified copies of the test reports shall be submitted and approved 14 days prior to the use of the materials at the job site. Samples will be retained by the Government for possible future testing should the materials appear defective during or after application. Conformance with the requirements of the laboratory tests specified will not constitute final acceptance of the materials. Final acceptance will be based on the performance of the in-place materials.

1.4 EQUIPMENT

Machines, tools, and equipment used in the performance of the work required by this section shall be approved before the work is started and shall be maintained in satisfactory condition at all times.

1.4.1 Joint Cleaning Equipment

1.4.1.1 Concrete Saw

A self-propelled power saw with water-cooled diamond or abrasive saw blades will be provided for cutting joints to the depths and widths specified or for refacing joints or cleaning sawed joints where sandblasting does not provide a clean joint.

1.4.1.2 Sandblasting Equipment

Sandblasting equipment shall include an air compressor, hose, and long-wearing venturi-type nozzle of proper size, shape and opening. The maximum nozzle opening should not exceed 1/4 inch. The air compressor shall be portable and shall be capable of furnishing not less than 150 cfm and maintaining a line pressure of not less than 90 psi at the nozzle while in use. Compressor capability under job conditions must be demonstrated before approval. The compressor shall be equipped with traps that will maintain the compressed air free of oil and water. The nozzle shall have an adjustable guide that will hold the nozzle aligned with the joint approximately 1 inch above the pavement surface. The height, angle of inclination and the size of the nozzle shall be adjusted as necessary to secure satisfactory results.

1.4.1.3 Waterblasting Equipment

Waterblasting equipment shall include a trailer-mounted water tank, pumps, high-pressure hose, wand with safety release cutoff control, nozzle, and auxiliary water resupply equipment. The water tank and auxiliary resupply equipment shall be of sufficient capacity to permit continuous operations. The nozzle shall have an adjustable guide that will hold the nozzle aligned with the joint approximately 1 inch above the pavement surface. The height, angle of inclination and the size of the nozzle shall be adjustable as necessary to obtain satisfactory results. A pressure gauge mounted at the pump shall show at all times the pressure in pounds per square inch at which the equipment is operating.

1.4.1.4 Hand Tools

Hand tools may be used, when approved, for removing defective sealant from a crack and repairing or cleaning the crack faces.

1.4.2 Sealing Equipment

1.4.2.1 Hot-Poured Sealing Equipment

The unit applicators used for heating and installing ASTM D 6690 joint sealant materials shall be mobile and shall be equipped with a double-boiler, agitator-type kettle with an oil medium in the outer space for heat transfer; a direct-connected pressure-type extruding device with a nozzle shaped for inserting in the joint to be filled; positive temperature devices for controlling the temperature of the transfer oil and sealant; and a recording type thermometer for indicating the temperature of the sealant. The applicator unit shall be designed so that the sealant will circulate through the delivery hose and return to the inner kettle when not in use.

1.4.2.2 Cold-Applied, Single-Component Sealing Equipment

The equipment for installing ASTM D 5893 single component joint sealants shall consist of an extrusion pump, air compressor, following plate, hoses, and nozzle for transferring the sealant from the storage container into the joint opening. The dimension of the nozzle shall be such that the tip of the nozzle will extend into the joint to allow sealing from the bottom of the joint to the top. The initially approved equipment shall be maintained in good working condition, serviced in accordance with the supplier's instructions, and shall not be altered in any way without obtaining prior approval. Small hand-held air-powered equipment (i.e., caulking guns) may be used for small applications.

1.5 TRIAL JOINT SEALANT INSTALLATION

Prior to the cleaning and sealing of the joints for the entire project, a test section of at least 200 feet long shall be prepared using the specified materials and approved equipment, so as to demonstrate the proposed joint preparation and sealing of all types of joints in the project. Following the completion of the test section and before any other joint is sealed, the test section shall be inspected to determine that the materials and installation meet the requirements specified. If it is determined that the materials or installation do not meet the requirements, the materials shall be removed, and the joints shall be recleaned and resealed at no cost to the Government. When the test section meets the requirements, it may be incorporated into the permanent work and paid for at the contract unit price per linear foot for sealing items scheduled. All other joints shall be prepared and sealed in the manner approved for

sealing the test section.

1.6 DELIVERY AND STORAGE

Materials delivered to the job site shall be inspected for defects, unloaded, and stored with a minimum of handling to avoid damage. Storage facilities shall be provided by the Contractor at the job site for maintaining materials at the temperatures and conditions recommended by the manufacturer.

1.7 ENVIRONMENTAL CONDITIONS

The ambient air temperature and the pavement temperature within the joint wall shall be a minimum of 50 degrees F and rising at the time of application of the materials. Sealant shall not be applied if moisture is observed in the joint.

PART 2 PRODUCTS

2.1 SEALANTS

Materials for sealing cracks in the various paved areas indicated on the drawings shall be as follows:

	Area	Sealing Material
	<hr/>	
	Fuel Containment Area	ASTM D 5893
CRD-C 525	All other areas	ASTM D 6690, Type II and COE
CRD-C 525		ASTM D 6690, Type III and COE

2.2 PRIMERS

When primers are recommended by the manufacturer of the sealant, their use shall be in accordance with the recommendation of the manufacturer.

2.3 BACKUP MATERIALS

The backup material shall be a compressible, nonshrinking, nonstaining, nonabsorbing material and shall be nonreactive with the joint sealant. The material shall have a melting point at least 5 degrees F greater than the pouring temperature of the sealant being used when tested in accordance with ASTM D 789. The material shall have a water absorption of not more than 5 percent of the sample weight when tested in accordance with ASTM C 509. The backup material shall be 25 plus or minus 5 percent larger in diameter than the nominal width of the crack.

2.4 BOND BREAKING TAPES

The bond breaking tape or separating material shall be a flexible, nonshrinkable, nonabsorbing, nonstaining, and nonreacting adhesive-backed tape. The material shall have a melting point at least 5 degrees F greater than the pouring temperature of the sealant being used when tested in accordance with ASTM D 789. The bond breaker tape shall be approximately 1/8 inch wider than the nominal width of the joint and shall not bond to the joint sealant.

PART 3 EXECUTION

3.1 PREPARATION OF JOINTS

Immediately before the installation of the sealant, the joints shall be thoroughly cleaned to remove all laitance, curing compound, filler, protrusions of hardened concrete, and old sealant from the sides and upper edges of the joint space to be sealed.

3.1.1 Sawing

3.1.1.1 Facing of Joints

Facing of joints shall be accomplished using a concrete saw as specified in paragraph EQUIPMENT. The blade shall be stiffened with a sufficient number of suitable dummy (used) blades or washers. Immediately following the sawing operation, the joint opening shall be thoroughly cleaned using a water jet to remove all saw cuttings and debris.

3.1.1.2 Refacing of Random Cracks

Sawing of the cracks shall be accomplished using a power-driven concrete saw as specified in paragraph EQUIPMENT. The saw blade shall be 6 inches or less in diameter to enable the saw to follow the trace of the crack. The blade shall be stiffened as necessary with suitable dummy (or used) blades or washers. Immediately following the sawing operation, the crack opening shall be thoroughly cleaned using a water jet to remove all saw cuttings and debris.

3.1.2 Sandblasting

The newly exposed concrete joint faces and the pavement surfaces extending a minimum of 1/2 inch from the joint edges shall be sandblasted or waterblasted clean. A multiple-pass technique shall be used until the surfaces are free of dust, dirt, curing compound, filler, old sealant residue, or any foreign debris that might prevent the bonding of the sealant to the concrete. After final cleaning and immediately prior to sealing, the joints shall be blown out with compressed air and left completely free of debris and water.

3.1.3 Back-Up Material

When the joint opening is of a greater depth than indicated for the sealant depth, the lower portion of the joint opening shall be plugged or sealed off using a back-up material to prevent the entrance of the sealant below the specified depth. Care shall be taken to ensure that the backup material is placed at the specified depth and is not stretched or twisted during installation.

3.1.4 Bond Breaking Tape

Where inserts or filler materials contain bitumen, or the depth of the joint opening does not allow for the use of a backup material, a bond breaker separating tape will be inserted to prevent incompatibility with the filler materials and three-sided adhesion of the sealant. The tape shall be securely bonded to the bottom of the joint opening so it will not float up into the new sealant.

3.1.5 Rate of Progress of Joint Preparation

The stages of joint preparation which include sandblasting, air pressure cleaning and placing of the back-up material shall be limited to only that lineal footage that can be sealed during the same day.

3.2 PREPARATION OF SEALANT

3.2.1 Hot-Poured Sealants

Sealants conforming to ASTM D 6690 shall not be heated in excess of the safe heating temperature recommended by the manufacturer as shown on the sealant containers. Sealant that has been overheated or subjected to application temperatures for over 4 hours or that has remained in the applicator at the end of the day's operation shall be withdrawn and wasted.

3.2.2 Single-Component, Cold-Applied Sealants

The ASTM D 5893 sealant and containers shall be inspected prior to use. Any materials that contain water, hard caking of any separated constituents, nonreversible jell, or materials that are otherwise unsatisfactory shall be rejected. Settlement of constituents in a soft mass that can be readily and uniformly remixed in the field with simple tools will not be cause for rejection.

3.3 INSTALLATION OF SEALANT

3.3.1 Time of Application

Joints shall be sealed immediately following final cleaning of the joint walls and following the placement of the separating or backup material. Open joints that cannot be sealed under the conditions specified, or when rain interrupts sealing operations shall be recleaned and allowed to dry prior to installing the sealant.

3.3.2 Sealing Joints

Immediately preceding, but not more than 50 feet ahead of the joint sealing operations, a final cleaning with compressed air shall be performed. The joints shall be filled from the bottom up to 1/4 inch plus or minus 1/16 inch below the pavement surface. Excess or spilled sealant shall be removed from the pavement by approved methods and shall be discarded. The sealant shall be installed in such a manner as to prevent the formation of voids and entrapped air. In no case shall gravity methods or pouring pots be used to install the sealant material. Traffic shall not be permitted over newly sealed pavement until authorized by the Contracting Officer. When a primer is recommended by the manufacturer, it shall be applied evenly to the joint faces in accordance with the manufacturer's instructions. Joints shall be checked frequently to ensure that the newly installed sealant is cured to a tack-free condition within the time specified.

3.4 INSPECTION

3.4.1 Joint Cleaning

Joints shall be inspected during the cleaning process to correct improper equipment and cleaning techniques that damage the concrete pavement in any manner. Cleaned joints shall be approved prior to installation of the

separating or back-up material and joint sealant.

3.4.2 Joint Sealant Application Equipment

The application equipment shall be inspected to ensure conformance to temperature requirements, proper proportioning and mixing (if two-component sealant) and proper installation. Evidences of bubbling, improper installation, failure to cure or set shall be cause to suspend operations until causes of the deficiencies are determined and corrected.

3.4.3 Joint Sealant

The joint sealant shall be inspected for proper rate of cure and set, bonding to the joint walls, cohesive separation within the sealant, reversion to liquid, entrapped air and voids. Sealants exhibiting any of these deficiencies at any time prior to the final acceptance of the project shall be removed from the joint, wasted, and replaced as specified herein at no additional cost to the Government.

3.5 CLEAN-UP

Upon completion of the project, all unused materials shall be removed from the site and the pavement shall be left in a clean condition.

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SECTION 02763A

PAVEMENT MARKINGS

04/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 247 (1981; R 1996) Glass Beads Used in Traffic
Paint

U.S. GENERAL SERVICES ADMINISTRATION (GSA)

FS TT-B-1325 (Rev C; Notice 1; Canc. Notice 2) Beads
(Glass Spheres) Retro-Reflective (Metric)

FS TT-P-1952 (Rev D; Canc. Notice 1) Paint, Traffic and
Airfield Marking, Waterborne (Metric)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment; G, AO

Lists of proposed equipment, including descriptive data, and notifications of proposed Contractor actions as specified in this section. List of removal equipment shall include descriptive data indicating area of coverage per pass, pressure adjustment range, tank and flow capacities, and safety precautions required for the equipment operation.

Composition Requirements; G, AO

Manufacturer's current printed product description and Material Safety Data Sheets (MSDS) for each type paint/color proposed for use.

Qualifications; G, AO

Document certifying that personnel are qualified for equipment

operation and handling of chemicals.

SD-06 Test Reports

Sampling and Testing;

Certified copies of the test reports, prior to the use of the materials at the jobsite. Testing shall be performed in an approved independent laboratory.

SD-07 Certificates

Volatile Organic Compound (VOC);

Certificate stating that the proposed pavement marking paint meets the VOC regulations of the local Air Pollution Control District having jurisdiction over the geographical area in which the project is located.

1.3 DELIVERY AND STORAGE

All materials shall be delivered and stored in sealed containers that plainly show the designated name, formula or specification number, batch number, color, date of manufacture, manufacturer's name, and directions, all of which shall be plainly legible at time of use.

1.4 EQUIPMENT

All machines, tools and equipment used in the performance of the work shall be approved and maintained in satisfactory operating condition. Equipment operating on roads and runways shall display low speed traffic markings and traffic warning lights.

1.4.1 Paint Application Equipment

The equipment to apply paint to pavements shall be a self-propelled or mobile-drawn pneumatic spraying machine with suitable arrangements of atomizing nozzles and controls to obtain the specified results. The machine shall have a speed during application not less than 5 mph, and shall be capable of applying the stripe widths indicated, at the paint coverage rate specified in paragraph APPLICATION, and of even uniform thickness with clear-cut edges. Equipment used for marking streets and highways shall be capable of placing the prescribed number of lines at a single pass as solid lines, intermittent lines or a combination of solid and intermittent lines using a maximum of two different colors of paint as specified. . The paint applicator shall have paint reservoirs or tanks of sufficient capacity and suitable gauges to apply paint in accordance with requirements specified. Tanks shall be equipped with suitable air-driven mechanical agitators. The spray mechanism shall be equipped with quick-action valves conveniently located, and shall include necessary pressure regulators and gauges in full view and reach of the operator. Paint strainers shall be installed in paint supply lines to ensure freedom from residue and foreign matter that may cause malfunction of the spray guns. The paint applicator shall be readily adaptable for attachment of an air-actuated dispenser for the reflective media approved for use. Pneumatic spray guns shall be provided for hand application of paint in areas where the mobile paint applicator cannot be used.

1.4.2 Reflective Media Dispenser

The dispenser for applying the reflective media shall be attached to the paint dispenser and shall operate automatically and simultaneously with the applicator through the same control mechanism. The dispenser shall be capable of adjustment and designed to provide uniform flow of reflective media over the full length and width of the stripe at the rate of coverage specified in paragraph APPLICATION, at all operating speeds of the applicator to which it is attached.

1.4.3 Surface Preparation Equipment

1.4.3.1 Sandblasting Equipment

Sandblasting equipment shall include an air compressor, hoses, and nozzles of proper size and capacity as required for cleaning surfaces to be painted. The compressor shall be capable of furnishing not less than 150 cfm of air at a pressure of not less than 90 psi at each nozzle used, and shall be equipped with traps that will maintain the compressed air free of oil and water.

1.4.3.2 Waterblast Equipment

The water pressure shall be specified at 2600 psi at 140 degrees F in order to adequately clean the surfaces to be marked.

1.4.4 Marking Removal Equipment

Equipment shall be mounted on rubber tires and shall be capable of removing markings from the pavement without damaging the pavement surface or joint sealant. Waterblasting equipment shall be capable of producing an adjustable, pressurized stream of water. Sandblasting equipment shall include an air compressor, hoses, and nozzles. The compressor shall be equipped with traps to maintain the air free of oil and water.

1.4.4.1 Shotblasting Equipment

Shotblasting equipment shall be capable of producing an adjustable depth of removal of marking and pavement. Each unit shall be self-cleaning and self-contained, shall be able to confine dust and debris from the operation, and shall be capable of recycling the abrasive for reuse.

1.4.4.2 Chemical Equipment

Chemical equipment shall be capable of application and removal of chemicals from the pavement surface, and shall leave only non-toxic biodegradable residue.

1.4.5 Traffic Controls

Suitable warning signs shall be placed near the beginning of the worksite and well ahead of the worksite for alerting approaching traffic from both directions. Small markers shall be placed along newly painted lines or freshly placed raised markers to control traffic and prevent damage to newly painted surfaces or displacement of raised pavement markers. Painting equipment shall be marked with large warning signs indicating slow-moving painting equipment in operation.

1.5 HAND-OPERATED, PUSH-TYPE MACHINES

All machines, tools, and equipment used in performance of the work shall be approved and maintained in satisfactory operating condition. Hand-operated push-type machines of a type commonly used for application of paint to pavement surfaces will be acceptable for marking small streets and parking areas. Applicator machine shall be equipped with the necessary paint tanks and spraying nozzles, and shall be capable of applying paint uniformly at coverage specified. Sandblasting equipment shall be provided as required for cleaning surfaces to be painted. Hand-operated spray guns shall be provided for use in areas where push-type machines cannot be used.

1.6 MAINTENANCE OF TRAFFIC

1.6.1 Airfield

The performance of work in the controlled zones of airfields shall be coordinated with the Contracting Officer and with the Flight Operations Officer. Verbal communications shall be maintained with the control tower before and during work in the controlled zones of the airfield. The control tower shall be advised when the work is completed. A radio for this purpose shall be provided by the Contractor and approved by the Contracting Officer.

1.6.2 Roads, Streets, and Parking Areas

When traffic must be rerouted or controlled to accomplish the work, the necessary warning signs, flagpersons, and related equipment for the safe passage of vehicles shall be provided.

1.7 WEATHER LIMITATIONS FOR REMOVAL

Pavement surface shall be free of snow, ice, or slush. Surface temperature shall be at least 40 degrees F and rising at the beginning of operations, except those involving shot or sand blasting. Operation shall cease during thunderstorms. Operation shall cease during rainfall, except for waterblasting and removal of previously applied chemicals. Waterblasting shall cease where surface water accumulation alters the effectiveness of material removal.

PART 2 PRODUCTS

2.1 PAINT

The paint shall be homogeneous, easily stirred to smooth consistency, and shall show no hard settlement or other objectionable characteristics during a storage period of 6 months. Paints for airfields, roads, and streets shall conform to FS TT-P-1952, color as indicated. Pavement marking paints shall comply with applicable state and local laws enacted to ensure compliance with Federal Clean Air Standards. Paint materials shall conform to the restrictions of the local Air Pollution Control District.

2.2 REFLECTIVE MEDIA

Reflective media for airfields shall conform to FS TT-B-1325, Type I, Gradation A. Reflective media for roads and streets shall conform to FS TT-B-1325, Type I, Gradation A or AASHTO M 247, Type I.

2.3 SAMPLING AND TESTING

Materials proposed for use shall be stored on the project site in sealed

and labeled containers, or segregated at source of supply, sufficiently in advance of needs to allow 60 days for testing. Upon notification by the Contractor that the material is at the site or source of supply, a sample shall be taken by random selection from sealed containers by the Contractor in the presence of a representative of the Contracting Officer. Samples shall be clearly identified by designated name, specification number, batch number, manufacturer's formulation number, project contract number, intended use, and quantity involved. Testing shall be performed in an approved independent laboratory. If materials are approved based on reports furnished by the Contractor, samples will be retained by the Government for possible future testing should the material appear defective during or after application.

PART 3 EXECUTION

3.1 SURFACE PREPARATION

Surfaces to be marked shall be thoroughly cleaned before application of the pavement marking material. Dust, dirt, and other granular surface deposits shall be removed by sweeping, blowing with compressed air, rinsing with water or a combination of these methods as required. Rubber deposits, surface laitance, existing paint markings, and other coatings adhering to the pavement shall be completely removed with scrapers, wire brushes, sandblasting, approved chemicals, or mechanical abrasion as directed. Areas of old pavement affected with oil or grease shall be scrubbed with several applications of trisodium phosphate solution or other approved detergent or degreaser, and rinsed thoroughly after each application. After cleaning, oil-soaked areas shall be sealed with cut shellac to prevent bleeding through the new paint. Pavement surfaces shall be allowed to dry, when water is used for cleaning, prior to striping or marking. Surfaces shall be recleaned, when work has been stopped due to rain.

3.1.1 Pretreatment for Early Painting

Where early painting is required on rigid pavements, a pretreatment with an aqueous solution containing 3 percent phosphoric acid and 2 percent zinc chloride shall be applied to prepared pavement areas prior to painting.

3.1.2 Cleaning Existing Pavement Markings

In general, markings shall not be placed over existing pavement marking patterns. Existing pavement markings, which are in good condition but interfere or conflict with the newly applied marking patterns, shall be removed. Deteriorated or obscured markings that are not misleading or confusing or interfere with the adhesion of the new marking material do not require removal. New preformed and thermoplastic pavement markings shall not be applied over existing preformed or thermoplastic markings. Whenever grinding, scraping, sandblasting or other operations are performed the work must be conducted in such a manner that the finished pavement surface is not damaged or left in a pattern that is misleading or confusing. When these operations are completed the pavement surface shall be blown off with compressed air to remove residue and debris resulting from the cleaning work.

3.1.3 Cleaning Concrete Curing Compounds

On new Portland cement concrete pavements, cleaning operations shall not begin until a minimum of 30 days after the placement of concrete. All new concrete pavements shall be cleaned by either sandblasting or water

blasting. When water blasting is performed, thermoplastic and preformed markings shall be applied no sooner than 24 hours after the blasting has been completed. The extent of the blasting work shall be to clean and prepare the concrete surface as follows:

- a. There is no visible evidence of curing compound on the peaks of the textured concrete surface.
- b. There are no heavy puddled deposits of curing compound in the valleys of the textured concrete surface.
- c. All remaining curing compound is intact; all loose and flaking material is removed.
- d. The peaks of the textured pavement surface are rounded in profile and free of sharp edges and irregularities.
- e. The surface to be marked is dry.

3.2 APPLICATION

All pavement markings and patterns shall be placed as shown on the plans.

3.2.1 Paint

Paint shall be applied to clean, dry surfaces, and only when air and pavement temperatures are above 40 degrees F and less than 95 degrees F. Paint temperature shall be maintained within these same limits. New asphalt pavement surfaces and new Portland concrete cement shall be allowed to cure for a period of not less than 30 days before applications of paint.

Paint shall be applied pneumatically with approved equipment at rate of coverage specified. The Contractor shall provide guide lines and templates as necessary to control paint application. Special precautions shall be taken in marking numbers, letters, and symbols. Edges of markings shall be sharply outlined.

3.2.1.1 Rate of Application

a. Reflective Markings: Pigmented binder shall be applied evenly to the pavement area to be coated at a rate of 105 plus or minus 5 square feet per gallon. Glass spheres shall be applied uniformly to the wet paint on road and street pavement at a rate of 6 plus or minus 0.5 pounds of glass spheres per gallon of paint.

b. Nonreflective Markings: Paint shall be applied evenly to the pavement surface to be coated at a rate of 105 plus or minus 5 square feet per gallon.

3.2.1.2 Drying

The maximum drying time requirements of the paint specifications will be strictly enforced to prevent undue softening of bitumen, and pickup, displacement, or discoloration by tires of traffic. If there is a delay in drying of the markings, painting operations shall be discontinued until cause of the slow drying is determined and corrected.

3.2.2 Raised Reflective Markers

Prefabricated markers shall be aligned carefully at the required spacing

and permanently fixed in place by means of epoxy resin adhesives. To insure good bond, pavement in areas where markers will be set shall be thoroughly cleaned by sandblasting and use of compressed air prior to applying adhesive.

3.2.3 Reflective Media

Application of reflective media shall immediately follow application of pigmented binder. Drop-on application of glass spheres shall be accomplished to insure that reflective media is evenly distributed at the specified rate of coverage. Should there be malfunction of either paint applicator or reflective media dispenser, operations shall be discontinued immediately until deficiency is corrected.

3.3 MARKING REMOVAL

Pavement marking, shall be removed in the areas shown on the drawings. Removal of marking shall be as complete as possible without damage to the surface. Aggregate shall not be exposed by the removal process. After the markings are removed, the cleaned pavement surfaces shall exhibit adequate texture for remarking as specified in paragraph SURFACE PREPARATION. Contractor shall demonstrate removal of pavement marking in an area designated by the Contracting Officer. The demonstration area will become the standard for the remainder of the work.

3.3.1 Equipment Operation

Equipment shall be controlled and operated to remove markings from the pavement surface, prevent dilution or removal of binder from underlying pavement, and prevent emission of blue smoke from asphalt or tar surfaces.

3.3.2 Cleanup and Waste Disposal

The worksite shall be kept clean of debris and waste from the removal operations. Cleanup shall immediately follow removal operations in areas subject to air traffic. Debris shall be disposed of at approved sites.

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SECTION 02770A

CONCRETE SIDEWALKS

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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 182 (1991) Burlap Cloth Made from Jute or Kenaf

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 185 (1997) Steel Welded Wire Fabric, Plain,
for Concrete Reinforcement

ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel
Bars for Concrete Reinforcement

ASTM A 616/A 616M (1996a) Rail-Steel Deformed and Plain Bars
for Concrete Reinforcement

ASTM A 617/A 617M (1996a) Axle-Steel Deformed and Plain Bars
for Concrete Reinforcement

ASTM C 31/C 31M (1996) Making and Curing Concrete Test
Specimens in the Field

ASTM C 143 (1990a) Slump of Hydraulic Cement Concrete

ASTM C 171 (1997) Sheet Materials for Curing Concrete

ASTM C 172 (1997) Sampling Freshly Mixed Concrete

ASTM C 173 (1996) Air Content of Freshly Mixed
Concrete by the Volumetric Method

ASTM C 231 (1997) Air Content of Freshly Mixed
Concrete by the Pressure Method

ASTM C 309 (1997) Liquid Membrane-Forming Compounds
for Curing Concrete

ASTM C 920 (1995) Elastomeric Joint Sealants

ASTM D 1751 (1983; R 1991) Preformed Expansion Joint
Filler for Concrete Paving and Structural
Construction (Nonextruding and Resilient

Bituminous Types)

ASTM D 1752

(1984; R 1996) Preformed Sponge Rubber and
Cork Expansion Joint Fillers for Concrete
Paving and Structural Construction

ASTM D 3405

(1996) Joint Sealants, Hot-Applied, for
Concrete and Asphalt Pavements

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Concrete; G-AO

Copies of certified delivery tickets for all concrete used in the construction.

SD-06 Test Reports

Field Quality Control; G-AO

Copies of all test reports within 24 hours of completion of the test.

1.3 WEATHER LIMITATIONS

1.3.1 Placing During Cold Weather

Concrete placement shall not take place when the air temperature reaches 40 degrees F and is falling, or is already below that point. Placement may begin when the air temperature reaches 35 degrees F and is rising, or is already above 40 degrees F. Provisions shall be made to protect the concrete from freezing during the specified curing period. If necessary to place concrete when the temperature of the air, aggregates, or water is below 35 degrees F, placement and protection shall be approved in writing.

Approval will be contingent upon full conformance with the following provisions. The underlying material shall be prepared and protected so that it is entirely free of frost when the concrete is deposited. Mixing water and aggregates shall be heated as necessary to result in the temperature of the in-place concrete being between 50 and 85 degrees F. Methods and equipment for heating shall be approved. The aggregates shall be free of ice, snow, and frozen lumps before entering the mixer. Covering and other means shall be provided for maintaining the concrete at a temperature of at least 50 degrees F for not less than 72 hours after placing, and at a temperature above freezing for the remainder of the curing period.

1.3.2 Placing During Warm Weather

The temperature of the concrete as placed shall not exceed 85 degrees F except where an approved retarder is used. The mixing water and/or aggregates shall be cooled, if necessary, to maintain a satisfactory placing temperature. The placing temperature shall not exceed 95 degrees F

at any time.

1.4 PLANT, EQUIPMENT, MACHINES, AND TOOLS

1.4.1 General Requirements

Plant, equipment, machines, and tools used in the work shall be subject to approval and shall be maintained in a satisfactory working condition at all times. The equipment shall have the capability of producing the required product, meeting grade controls, thickness control and smoothness requirements as specified. Use of the equipment shall be discontinued if it produces unsatisfactory results. The Contracting Officer shall have access at all times to the plant and equipment to ensure proper operation and compliance with specifications.

PART 2 PRODUCTS

2.1 CONCRETE

Concrete shall conform to the applicable requirements of Section 02753 CONCRETE PAVEMENT FOR AIRFIELDS AND OTHER HEAVY-DUTY PAVEMENTS except as otherwise specified. Concrete shall have a minimum compressive strength of 3500 psi at 28 days. Maximum size of aggregate shall be 1-1/2 inches.

2.1.1 Air Content

Mixtures shall have air content by volume of concrete of 5 to 7 percent, based on measurements made immediately after discharge from the mixer.

2.1.2 Slump

The concrete slump shall be 2 inches plus or minus 1 inch where determined in accordance with ASTM C 143.

2.1.3 Reinforcement Steel

Reinforcement bars shall conform to ASTM A 615/A 615M, ASTM A 616/A 616M, or ASTM A 617/A 617M. Wire mesh reinforcement shall conform to ASTM A 185.

2.2 CONCRETE CURING MATERIALS

2.2.1 Impervious Sheet Materials

Impervious sheet materials shall conform to ASTM C 171, type optional, except that polyethylene film, if used, shall be white opaque.

2.2.2 Burlap

Burlap shall conform to AASHTO M 182.

2.2.3 White Pigmented Membrane-Forming Curing Compound

White pigmented membrane-forming curing compound shall conform to ASTM C 309, Type 2.

2.3 CONCRETE PROTECTION MATERIALS

Concrete protection materials shall be a linseed oil mixture of equal parts, by volume, of linseed oil and either mineral spirits, naphtha, or

turpentine. At the option of the contractor, commercially prepared linseed oil mixtures, formulated specifically for application to concrete to provide protection against the action of deicing chemicals may be used, except that emulsified mixtures are not acceptable.

2.4 JOINT FILLER STRIPS

2.4.1 Expansion Joint Filler, Premolded

Expansion joint filler, premolded, shall conform to ASTM D 1751 or ASTM D 1752, 3/8 inch thick, unless otherwise indicated.

2.5 JOINT SEALANTS

2.5.1 Joint Sealant, Cold-Applied

Joint sealant, cold-applied shall conform to ASTM C 920.

2.5.2 Joint Sealant, Hot-Poured

Joint sealant, hot-poured shall conform to ASTM D 3405.

2.6 FORM WORK

Form work shall be designed and constructed to ensure that the finished concrete will conform accurately to the indicated dimensions, lines, and elevations, and within the tolerances specified. Forms shall be of wood or steel, straight, of sufficient strength to resist springing during depositing and consolidating concrete. Wood forms shall be surfaced plank, 2 inches nominal thickness, straight and free from warp, twist, loose knots, splits or other defects. Wood forms shall have a nominal length of 10 feet. Radius bends may be formed with 3/4 inch boards, laminated to the required thickness. Steel forms shall be channel-formed sections with a flat top surface and with welded braces at each end and at not less than two intermediate points. Ends of steel forms shall be interlocking and self-aligning. Steel forms shall include flexible forms for radius forming, corner forms, form spreaders, and fillers. Steel forms shall have a nominal length of 10 feet with a minimum of 3 welded stake pockets per form. Stake pins shall be solid steel rods with chamfered heads and pointed tips designed for use with steel forms.

2.6.1 Sidewalk Forms

Sidewalk forms shall be of a height equal to the full depth of the finished sidewalk.

PART 3 EXECUTION

3.1 SUBGRADE PREPARATION

The subgrade shall be constructed to the specified grade and cross section prior to concrete placement. Subgrade shall be placed and compacted as directed.

3.1.1 Sidewalk Subgrade

The subgrade shall be tested for grade and cross section with a template extending the full width of the sidewalk and supported between side forms.

3.1.2 Maintenance of Subgrade

The subgrade shall be maintained in a smooth, compacted condition in conformity with the required section and established grade until the concrete is placed. The subgrade shall be in a moist condition when concrete is placed. The subgrade shall be prepared and protected to produce a subgrade free from frost when the concrete is deposited.

3.2 FORM SETTING

Forms shall be set to the indicated alignment, grade and dimensions. Forms shall be held rigidly in place by a minimum of 3 stakes per form placed at intervals not to exceed 4 feet. Corners, deep sections, and radius bends shall have additional stakes and braces, as required. Clamps, spreaders, and braces shall be used where required to ensure rigidity in the forms. Forms shall be removed without injuring the concrete. Bars or heavy tools shall not be used against the concrete in removing the forms. Any concrete found defective after form removal shall be promptly and satisfactorily repaired. Forms shall be cleaned and coated with form oil each time before concrete is placed. Wood forms may, instead, be thoroughly wetted with water before concrete is placed, except that with probable freezing temperatures, oiling is mandatory.

3.2.1 Sidewalks

Forms for sidewalks shall be set with the upper edge true to line and grade with an allowable tolerance of 1/8 inch in any 10 foot long section. After forms are set, grade and alignment shall be checked with a 10 foot straightedge. Forms shall have a transverse slope of 1/4 inch per foot with the low side adjacent to the roadway. Side forms shall not be removed for 12 hours after finishing has been completed.

3.3 SIDEWALK CONCRETE PLACEMENT AND FINISHING

3.3.1 Formed Sidewalks

Concrete shall be placed in the forms in one layer. When consolidated and finished, the sidewalks shall be of the thickness indicated. After concrete has been placed in the forms, a strike-off guided by side forms shall be used to bring the surface to proper section to be compacted. The concrete shall be consolidated with an approved vibrator, and the surface shall be finished to grade with a strike off.

3.3.2 Concrete Finishing

After straightedging, when most of the water sheen has disappeared, and just before the concrete hardens, the surface shall be finished with a wood float or darby to a smooth and uniformly fine granular or sandy texture free of waves, irregularities, or tool marks. A scored surface shall be produced by brooming with a fiber-bristle brush in a direction transverse to that of the traffic, followed by edging.

3.3.3 Edge and Joint Finishing

All slab edges, including those at formed joints, shall be finished with an edger having a radius of 1/8 inch. Transverse joint shall be edged before brooming, and the brooming shall eliminate the flat surface left by the surface face of the edger. Corners and edges which have crumbled and areas which lack sufficient mortar for proper finishing shall be cleaned and

filled solidly with a properly proportioned mortar mixture and then finished.

3.3.4 Surface and Thickness Tolerances

Finished surfaces shall not vary more than 5/16 inch from the testing edge of a 10-foot straightedge. Permissible deficiency in section thickness will be up to 1/4 inch.

3.4 SIDEWALK JOINTS

Sidewalk joints shall be constructed to divide the surface into rectangular areas. Transverse contraction joints shall be spaced at a distance equal to the sidewalk width or 5 feet on centers, whichever is less, and shall be continuous across the slab. Longitudinal contraction joints shall be constructed along the centerline of all sidewalks 10 feet or more in width. Transverse expansion joints shall be installed at sidewalk returns and opposite expansion joints in adjoining curbs. Where the sidewalk is not in contact with the curb, transverse expansion joints shall be installed as indicated. Expansion joints shall be formed about structures and features which project through or into the sidewalk pavement, using joint filler of the type, thickness, and width indicated.

3.4.1 Sidewalk Contraction Joints

The contraction joints shall be formed in the fresh concrete by cutting a groove in the top portion of the slab to a depth of at least one-fourth of the sidewalk slab thickness, using a jointer to cut the groove, or by sawing a groove in the hardened concrete with a power-driven saw, unless otherwise approved. Sawed joints shall be constructed by sawing a groove in the concrete with a 1/8 inch blade to the depth indicated. An ample supply of saw blades shall be available on the job before concrete placement is started, and at least one standby sawing unit in good working order shall be available at the jobsite at all times during the sawing operations.

3.4.2 Sidewalk Expansion Joints

Expansion joints shall be formed with 3/8 inch joint filler strips. Joint filler shall be placed with top edge 1/4 inch below the surface and shall be held in place with steel pins or other devices to prevent warping of the filler during floating and finishing. Immediately after finishing operations are completed, joint edges shall be rounded with an edging tool having a radius of 1/8 inch, and concrete over the joint filler shall be removed. At the end of the curing period, expansion joints shall be cleaned and filled with joint sealant. Joints shall be sealed as specified in Section 02760 FIELD MOLDED SEALANTS FOR SEALING JOINTS IN RIGID PAVEMENTS.

3.4.3 Reinforcement Steel Placement

Reinforcement steel shall be accurately and securely fastened in place with suitable supports and ties before the concrete is placed.

3.5 CURING AND PROTECTION

3.5.1 General Requirements

Concrete shall be protected against loss of moisture and rapid temperature

changes for at least 7 days from the beginning of the curing operation. Unhardened concrete shall be protected from rain and flowing water. All equipment needed for adequate curing and protection of the concrete shall be on hand and ready for use before actual concrete placement begins. Protection shall be provided as necessary to prevent cracking of the pavement due to temperature changes during the curing period.

3.5.1.1 Mat Method

The entire exposed surface shall be covered with 2 or more layers of burlap. Mats shall overlap each other at least 6 inches. The mat shall be thoroughly wetted with water prior to placing on concrete surface and shall be kept continuously in a saturated condition and in intimate contact with concrete for not less than 7 days.

3.5.1.2 Impervious Sheeting Method

The entire exposed surface shall be wetted with a fine spray of water and then covered with impervious sheeting material. Sheets shall be laid directly on the concrete surface with the light-colored side up and overlapped 12 inches when a continuous sheet is not used. The curing medium shall not be less than 18-inches wider than the concrete surface to be cured, and shall be securely weighted down by heavy wood planks, or a bank of moist earth placed along edges and laps in the sheets. Sheets shall be satisfactorily repaired or replaced if torn or otherwise damaged during curing. The curing medium shall remain on the concrete surface to be cured for not less than 7 days.

3.5.1.3 Membrane Curing Method

A uniform coating of white-pigmented membrane-curing compound shall be applied to the entire exposed surface of the concrete as soon after finishing as the free water has disappeared from the finished surface. Formed surfaces shall be coated immediately after the forms are removed and in no case longer than 1 hour after the removal of forms. Concrete shall not be allowed to dry before the application of the membrane. If any drying has occurred, the surface of the concrete shall be moistened with a fine spray of water and the curing compound applied as soon as the free water disappears. Curing compound shall be applied in two coats by hand-operated pressure sprayers at a coverage of approximately 200 square feet per gallon for the total of both coats. The second coat shall be applied in a direction approximately at right angles to the direction of application of the first coat. The compound shall form a uniform, continuous, coherent film that will not check, crack, or peel and shall be free from pinholes or other imperfections. If pinholes, abrasion, or other discontinuities exist, an additional coat shall be applied to the affected areas within 30 minutes. Concrete surfaces that are subjected to heavy rainfall within 3 hours after the curing compound has been applied shall be resprayed by the method and at the coverage specified above. Areas where the curing compound is damaged by subsequent construction operations within the curing period shall be resprayed. Necessary precautions shall be taken to insure that the concrete is properly cured at sawed joints, and that no curing compound enters the joints. The top of the joint opening and the joint groove at exposed edges shall be tightly sealed before the concrete in the region of the joint is resprayed with curing compound. The method used for sealing the joint groove shall prevent loss of moisture from the joint during the entire specified curing period. Approved standby facilities for curing concrete pavement shall be provided at a location accessible to the jobsite for use in the event of mechanical failure of the

spraying equipment or other conditions that might prevent correct application of the membrane-curing compound at the proper time. Concrete surfaces to which membrane-curing compounds have been applied shall be adequately protected during the entire curing period from pedestrian and vehicular traffic, except as required for joint-sawing operations and surface tests, and from any other possible damage to the continuity of the membrane.

3.5.2 Backfilling

After curing, debris shall be removed and the area adjoining the concrete shall be backfilled, graded, and compacted to conform to the surrounding area in accordance with lines and grades indicated.

3.5.3 Protection

Completed concrete shall be protected from damage until accepted. The Contractor shall repair damaged concrete and clean concrete discolored during construction. Concrete that is damaged shall be removed and reconstructed for the entire length between regularly scheduled joints. Refinishing the damaged portion will not be acceptable. Removed damaged portions shall be disposed of as directed.

3.5.4 Protective Coating

Protective coating of linseed oil mixture shall be applied to the exposed-to-view concrete surface.

3.5.4.1 Application

Curing and backfilling operation shall be completed prior to applying two coats of protective coating. Concrete shall be surface dry and clean before each application. Coverage shall be by spray application at not more than 50 square yards per gallon for first application and not more than 70 square yards per gallon for second application, except that the number of applications and coverage for each application for commercially prepared mixture shall be in accordance with the manufacturer's instructions. Coated surfaces shall be protected from vehicular and pedestrian traffic until dry.

3.5.4.2 Precautions

Protective coating shall not be heated by direct application of flame or electrical heaters and shall be protected from exposure to open flame, sparks, and fire adjacent to open containers or applicators. Material shall not be applied at ambient or material temperatures lower than 50 degrees F.

3.6 FIELD QUALITY CONTROL

3.6.1 General Requirements

The Contractor shall perform the inspection and tests described and meet the specified requirements for inspection details and frequency of testing.

Based upon the results of these inspections and tests, the Contractor shall take the action and submit reports as required below, and any additional tests to insure that the requirements of these specifications are met.

3.6.2 Concrete Testing

3.6.2.1 Strength Testing

The Contractor shall provide molded concrete specimens for strength tests. Samples of concrete placed each day shall be taken not less than once a day nor less than once for every 250 cubic yards of concrete. The samples for strength tests shall be taken in accordance with ASTM C 172. Cylinders for acceptance shall be molded in conformance with ASTM C 31/C 31M by an approved testing laboratory. Each strength test result shall be the average of 2 test cylinders from the same concrete sample tested at 28 days, unless otherwise specified or approved. Concrete specified on the basis of compressive strength will be considered satisfactory if the averages of all sets of three consecutive strength test results equal or exceed the specified strength, and no individual strength test result falls below the specified strength by more than 500 psi.

3.6.2.2 Air Content

Air content shall be determined in accordance with ASTM C 173 or ASTM C 231.

ASTM C 231 shall be used with concretes and mortars made with relatively dense natural aggregates. Two tests for air content shall be made on randomly selected batches of each class of concrete placed during each shift. Additional tests shall be made when excessive variation in concrete workability is reported by the placing foreman or the Government inspector.

If results are out of tolerance, the placing foreman shall be notified and he shall take appropriate action to have the air content corrected at the plant. Additional tests for air content will be performed on each truckload of material until such time as the air content is within the tolerance specified.

3.6.2.3 Slump Test

Two slump tests shall be made on randomly selected batches of each class of concrete for every 250 cubic yards, or fraction thereof, of concrete placed during each shift. Additional tests shall be performed when excessive variation in the workability of the concrete is noted or when excessive crumbling or slumping is noted along the edges of slip-formed concrete.

3.6.3 Thickness Evaluation

The anticipated thickness of the concrete shall be determined prior to placement by passing a template through the formed section or by measuring the depth of opening of the extrusion template of the curb forming machine.

If a slip form paver is used for sidewalk placement, the subgrade shall be true to grade prior to concrete placement and the thickness will be determined by measuring each edge of the completed slab.

3.6.4 Surface Evaluation

The finished surface of each category of the completed work shall be uniform in color and free of blemishes and form or tool marks.

3.7 SURFACE DEFICIENCIES AND CORRECTIONS

3.7.1 Thickness Deficiency

When measurements indicate that the completed concrete section is deficient

in thickness by more than 1/4 inch the deficient section will be removed, between regularly scheduled joints, and replaced.

3.7.2 High Areas

In areas not meeting surface smoothness and plan grade requirements, high areas shall be reduced either by rubbing the freshly finished concrete with carborundum brick and water when the concrete is less than 36 hours old or by grinding the hardened concrete with an approved surface grinding machine after the concrete is 36 hours old or more. The area corrected by grinding the surface of the hardened concrete shall not exceed 5 percent of the area of any integral slab, and the depth of grinding shall not exceed 1/4 inch.

Pavement areas requiring grade or surface smoothness corrections in excess of the limits specified above shall be removed and replaced.

3.7.3 Appearance

Exposed surfaces of the finished work will be inspected by the Government and any deficiencies in appearance will be identified. Areas which exhibit excessive cracking, discoloration, form marks, or tool marks or which are otherwise inconsistent with the overall appearances of the work shall be removed and replaced.

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SECTION 02821A

FENCING

02/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 121	(1999) Zinc-Coated (Galvanized) Steel Barbed Wire
ASTM A 153/A 153M	(2001) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A 392	(1996) Zinc-Coated Steel Chain-Link Fence Fabric
ASTM A 585	(1997) Aluminum-Coated Steel Barbed Wire
ASTM A 780	(2000) Repair of Damaged and Uncoated Areas of Hot-Dipped Galvanized Coatings
ASTM A 824	(1995) Metallic-Coated Steel Marcellled Tension Wire for Use With Chain Link Fence
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete
ASTM F 1043	(2000) Strength and Protective Coatings on Metal Industrial Chain-Link Fence Framework
ASTM F 1083	(1997) Specification for Pipe, Steel, Hot-Dipped Zinc-Coated (Galvanized) Welded, for Fence Structures
ASTM F 1184	(1994) Industrial and Commercial Horizontal Slide Gates
ASTM F 626	(1996a) Fence Fittings
ASTM F 900	(1994) Industrial and Commercial Swing Gates

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be

submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Chain Link Fence; G-AO,

Statement, signed by an official authorized to certify on behalf of the manufacturer, attesting that the chain link fence and component materials meet the specified requirements.

PART 2 PRODUCTS

2.1 FENCE FABRIC

Fence fabric shall conform to the following:

2.1.1 Chain Link Fence Fabric

ASTM A 392, Class 1, zinc-coated steel wire with minimum coating weight of 1.2 ounces of zinc per square foot of coated surface. Fabric shall be fabricated of 9 gauge wire woven in 2 inch mesh. Fabric height shall be 7 feet. Fabric shall be twisted and barbed on the top selvage and knuckled on the bottom selvage.

2.2 GATES

ASTM F 900 and/or ASTM F 1184. Gate shall be the type and swing shown. Gate frames shall conform to strength and coating requirements of ASTM F 1083 for Group IA, steel pipe, with external coating Type A, nominal pipe size (NPS) 1-1/2. Gate frames shall conform to strength and coating requirements of ASTM F 1043, for Group IC, steel pipe with external coating Type A or Type B, nominal pipe size (NPS) 1-1/2. Gate fabric shall be as specified for chain link fabric. Gate leaves more than 8 feet wide shall have either intermediate members and diagonal truss rods or shall have tubular members as necessary to provide rigid construction, free from sag or twist. Gate leaves less than 8 feet wide shall have truss rods or intermediate braces. Gate fabric shall be attached to the gate frame by method standard with the manufacturer except that welding will not be permitted. Latches, hinges, stops, keepers, rollers, and other hardware items shall be furnished as required for the operation of the gate. Latches shall be arranged for padlocking so that the padlock will be accessible from both sides of the gate. Stops shall be provided for holding the gates in the open position. For high security applications, each end member of gate frames shall be extended sufficiently above the top member to carry three strands of barbed wire in horizontal alignment with barbed wire strands on the fence.

2.3 POSTS

2.3.1 Metal Posts for Chain Link Fence

ASTM F 1083, zinc-coated. Group IA, with external coating Type A steel pipe. Group IC steel pipe, zinc-coated with external coating Type A or Type B and Group II, roll-formed steel sections, shall meet the strength and coating requirements of ASTM F 1043. Sizes shall be as shown on the drawings. Line posts and terminal (corner, gate, and pull) posts selected shall be of the same designation throughout the fence.

2.4 BRACES AND RAILS

ASTM F 1083, zinc-coated, Group IA, steel pipe, size NPS 1-1/4. Group IC steel pipe, zinc-coated, shall meet the strength and coating requirements of ASTM F 1043. Group II, formed steel sections, size 1-21/32 inch, conforming to ASTM F 1043, may be used as braces if Group II line posts are furnished.

2.5 WIRE

2.5.1 Tension Wire

Tension wire shall be Type I or Type II, Class 2 coating, in accordance with ASTM A 824.

2.6 ACCESSORIES

ASTM F 626. Ferrous accessories shall be zinc or aluminum coated. Truss rods shall be furnished for each terminal post. Truss rods shall be provided with turnbuckles or other equivalent provisions for adjustment. Barbed wire shall be 2 strand, 12-1/2 gauge wire, zinc-coated, Class 3 in accordance with ASTM A 121 or aluminum coated Type I in accordance with ASTM A 585. Barbed wire shall be four-point barbed type steel wire. Barbed wire support arms shall be the single arm type and of the design required for the post furnished. Tie wire for attaching fabric to rails, braces, and posts shall be 9 gauge steel wire and match the coating of the fence fabric. Miscellaneous hardware coatings shall conform to ASTM A 153/A 153M unless modified.

2.7 CONCRETE

ASTM C 94/C 94M, using 3/4 inch maximum size aggregate, and having minimum compressive strength of 3000 psi at 28 days. Grout shall consist of one part portland cement to three parts clean, well-graded sand and the minimum amount of water to produce a workable mix.

PART 3 EXECUTION

3.1 INSTALLATION

Fence shall be installed to the lines and grades indicated. The area on either side of the fence line shall be cleared to the extent indicated. Line posts shall be spaced equidistant at intervals not exceeding 10 feet. Terminal (corner, gate, and pull) posts shall be set at abrupt changes in vertical and horizontal alignment. Fabric shall be continuous between terminal posts; however, runs between terminal posts shall not exceed 500 feet. Any damage to galvanized surfaces, including welding, shall be repaired with paint containing zinc dust in accordance with ASTM A 780.

3.2 EXCAVATION

Post holes shall be cleared of loose material. Waste material shall be spread where directed. The ground surface irregularities along the fence line shall be eliminated to the extent necessary to maintain a 2 inch clearance between the bottom of the fabric and finish grade.

3.3 POST INSTALLATION

3.3.1 Posts for Chain Link Fence

Posts shall be set plumb and in alignment. Except where solid rock is encountered, posts shall be set in concrete to the depth indicated on the drawings. Where solid rock is encountered with no overburden, posts shall be set to a minimum depth of 18 inches in rock. Where solid rock is covered with an overburden of soil or loose rock, posts shall be set to the minimum depth indicated on the drawing unless a penetration of 18 inches in solid rock is achieved before reaching the indicated depth, in which case depth of penetration shall terminate. All portions of posts set in rock shall be grouted. Portions of posts not set in rock shall be set in concrete from the rock to ground level. Posts set in concrete shall be set in holes not less than the diameter shown on the drawings. Diameters of holes in solid rock shall be at least 1 inch greater than the largest cross section of the post. Concrete and grout shall be thoroughly consolidated around each post, shall be free of voids and finished to form a dome. Concrete and grout shall be allowed to cure for 72 hours prior to attachment of any item to the posts. Group II line posts may be mechanically driven, for temporary fence construction only, if rock is not encountered. Driven posts shall be set to a minimum depth of 3 feet and shall be protected with drive caps when being set.

3.4 RAILS

3.5 BRACES AND TRUSS RODS

Braces and truss rods shall be installed as indicated and in conformance with the standard practice for the fence furnished. Horizontal (compression) braces and diagonal truss (tension) rods shall be installed on fences over 6 feet in height. A center brace or 2 diagonal truss rods shall be installed on 12 foot fences. Braces and truss rods shall extend from terminal posts to line posts. Diagonal braces shall form an angle of approximately 40 to 50 degrees with the horizontal. No bracing is required on fences 6 feet high or less if a top rail is installed.

3.6 TENSION WIRES

Tension wires shall be installed along the top and bottom of the fence line, where indicated, and attached to the terminal posts of each stretch of the fence. Top tension wires shall be installed within the top 4 inches of the installed fabric. Bottom tension wire shall be installed within the bottom 6 inches of the installed fabric. Tension wire shall be pulled taut and shall be free of sag.

3.7 CHAIN LINK FABRIC

Chain link fabric shall be installed on the side of the post indicated. Fabric shall be attached to terminal posts with stretcher bars and tension bands. Bands shall be spaced at approximately 15 inch intervals. The fabric shall be installed and pulled taut to provide a smooth and uniform appearance free from sag, without permanently distorting the fabric diamond or reducing the fabric height. Fabric shall be fastened to line posts at approximately 15 inch intervals and fastened to all rails and tension wires at approximately 24 inch intervals. Fabric shall be cut by untwisting and removing pickets. Splicing shall be accomplished by weaving a single picket into the ends of the rolls to be joined. The bottom of the installed fabric shall be 2 plus or minus 1/2 inch above the ground.

3.8 BARBED WIRE SUPPORTING ARMS AND BARBED WIRE

3.8.1 General Requirements

Barbed wire supporting arms and barbed wire shall be installed as indicated and as recommended by the manufacturer. Supporting arms shall be anchored to the posts in a manner to prevent easy removal with hand tools. Barbed wire shall be pulled taut and attached to the arms with clips or other means that will prevent easy removal.

3.9 GATE INSTALLATION

Gates shall be installed at the locations shown. Hinged gates shall be mounted to swing as indicated. Latches, stops, and keepers shall be installed as required. Slide gates shall be installed as recommended by the manufacturer. Hinge pins, and hardware shall be welded or otherwise secured to prevent removal.

3.10 GROUNDING

Fences crossed by overhead powerlines in excess of 600 volts shall be grounded as specified in Section 13100A LIGHTNING PROTECTION SYSTEM. Electrical equipment attached to the fence shall be grounded as specified in Section 16375A ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND. Fences shall be grounded on each side of all gates, at each corner, at the closest approach to each building located within 50 feet of the fence, and where the fence alignment changes more than 15 degrees. Grounding locations shall not exceed 650 feet. Each gate panel shall be bonded with a flexible bond strap to its gate post. Fences crossed by powerlines of 600 volts or more shall be grounded at or near the point of crossing and at distances not exceeding 150 feet on each side of crossing. Ground conductor shall consist of No. 8 AWG solid copper wire. Grounding electrodes shall be 3/4 inch by 10 foot long copper-clad steel rod. Electrodes shall be driven into the earth so that the top of the electrode is at least 6 inches below the grade. Where driving is impracticable, electrodes shall be buried a minimum of 12 inches deep and radially from the fence. The top of the electrode shall be not less than 2 feet or more than 8 feet from the fence.

Ground conductor shall be clamped to the fence and electrodes with bronze grounding clamps to create electrical continuity between fence posts, fence fabric, and ground rods. After installation the total resistance of fence to ground shall not be greater than 25 ohms.

-- End of Section --

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SECTION 02921A

SEEDING
01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 5268 (1992; R 1996) Topsoil Used for
Landscaping Purposes

U.S. DEPARTMENT OF AGRICULTURE (USDA)

AMS Seed Act (1995) Federal Seed Act Regulations Part
201

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Equipment;
Surface Erosion Control Material;
Chemical Treatment Material; G-AO

Manufacturer's literature including physical characteristics, application and installation instructions for equipment, surface erosion control material and chemical treatment material.

A listing of equipment to be used for the seeding operation.

Delivery;

Delivery schedule.

Finished Grade and Topsoil;

Finished grade status.

Topsoil;

Availability of topsoil from the stripping and stock piling operation.

Quantity Check;

Bag count or bulk weight measurements of material used compared with area covered to determine the application rate and quantity installed.

Seed Establishment Period;

Calendar time period for the seed establishment period. When there is more than one seed establishment period, the boundaries of the seeded area covered for each period shall be described.

Maintenance Record;

Maintenance work performed, area repaired or reinstalled, diagnosis for unsatisfactory stand of grass plants.

Application of Pesticide; G-AO

Pesticide treatment plan with sequence of treatment work with dates and times. The pesticide trade name, EPA registration number, chemical composition, formulation, concentration of original and diluted material, application rate of active ingredients, method of application, area treated, amount applied; and the name and state license number of the state certified applicator shall be included.

SD-04 Samples

Delivered Topsoil;

Samples taken from several locations at the source.

Soil Amendments;

A 10 pound sample.

Mulch;

A 10 pound sample.

SD-06 Test Reports

Equipment Calibration;

Certification of calibration tests conducted on the equipment used in the seeding operation.

SD-07 Certificates

Seed; G-AO
Topsoil;
Fertilizer;
Organic Material;
Mulch;
Pesticide; G-AO

Prior to the delivery of materials, certificates of compliance

attesting that materials meet the specified requirements. Certified copies of the material certificates shall include the following:

- a. Seed. Classification, botanical name, common name, percent pure live seed, minimum percent germination and hard seed, maximum percent weed seed content, and date tested.
- b. Topsoil. Particle size, pH, organic matter content, textural class, soluble salts, chemical and mechanical analyses.
- c. Fertilizer. Chemical analysis and composition percent.
- d. Organic Material: Composition and source.
- e. Mulch: Composition and source.
- f. Pesticide. EPA registration number and registered uses.

1.3 SOURCE INSPECTION

The source of delivered topsoil shall be subject to inspection.

1.4 DELIVERY, INSPECTION, STORAGE, AND HANDLING

1.4.1 Delivery

A delivery schedule shall be provided at least 10 calendar days prior to the first day of delivery.

1.4.1.1 Delivered Topsoil

Prior to the delivery of any topsoil, its availability shall be verified in paragraph TOPSOIL.

1.4.1.2 Soil Amendments

Soil amendments shall be delivered to the site in the original, unopened containers bearing the manufacturer's chemical analysis. In lieu of containers, soil amendments may be furnished in bulk. A chemical analysis shall be provided for bulk deliveries.

1.4.1.3 Pesticides

Pesticide material shall be delivered to the site in the original, unopened containers bearing legible labels indicating the EPA registration number and the manufacturer's registered uses.

1.4.2 Inspection

Seed shall be inspected upon arrival at the job site for conformity to species and quality. Seed that is wet, moldy, or bears a test date five months or older, shall be rejected. Other materials shall be inspected for compliance with specified requirements. The following shall be rejected: open soil amendment containers or wet soil amendments; topsoil that contains slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter; and topsoil that contains viable plants and plant parts. Unacceptable materials shall be removed from the job site.

1.4.3 Storage

Materials shall be stored in designated areas. Seed and fertilizer shall be stored in cool, dry locations away from contaminants. Chemical treatment material shall be stored according to manufacturer's instructions and not with seeding operation materials.

1.4.4 Handling

Except for bulk deliveries, materials shall not be dropped or dumped from vehicles.

1.4.5 Time Limitation

Hydroseeding time limitation for holding seed in the slurry shall be a maximum 24 hours.

PART 2 PRODUCTS

2.1 SEED

2.1.1 Seed Classification

State-certified seed of the latest season's crop shall be provided in original sealed packages bearing the producer's guaranteed analysis for percentages of mixture, purity, germination, hard seed, weed seed content, and inert material. Labels shall be in conformance with AMS Seed Act and applicable state seed laws.

2.1.2 Permanent Seed Species and Mixtures

Permanent seed species and mixtures shall be proportioned by weight as follows:

Common Name	Mixture Percent by Weight
Fairway or Ephraim Crested Wheatgrass	50
Rebel II Tall Fescue	40
Palmer Perennial Ryegrass	10

Seed at a rate of 8 pounds per 1,000 square feet
3.6 kg/100 square meters.

2.1.3 Temporary Seed Species

Temporary seed species for surface erosion control or overseeding shall be as follows:

Common Name	Mixture Percent by Weight
Annual Ryegrass	100

Common Name	Mixture Percent by Weight
Seed at a rate of 1 pounds per 1,000 square feet 0.49 kg/100 square meters.	

2.1.4 Quality

Weed seed shall be a maximum 1 percent by weight of the total mixture.

2.1.5 Seed Mixing

The mixing of seed may be done by the seed supplier prior to delivery, or on site as directed.

2.1.6 Substitutions

Substitutions will not be allowed without written request and approval from the Contracting Officer.

2.2 TOPSOIL

Topsoil shall be as defined in ASTM D 5268. When available, the topsoil shall be the existing surface soil stripped and stockpiled onsite. When additional topsoil is required beyond the available topsoil from the stripping operation, topsoil shall be delivered and amended as needed. Topsoil shall be free from slag, cinders, stones, lumps of soil, sticks, roots, trash or other material over a minimum 1-1/2 inch diameter. Topsoil shall be free from viable plants and plant parts.

2.3 SOIL AMENDMENTS

Soil amendments shall consist of fertilizer and organic material meeting the following requirements. Vermiculite shall not be used.

2.3.1 Fertilizer

The nutrients ratio shall be 20 percent nitrogen, 10 percent phosphorus, and 10 percent potassium. Fertilizer shall be controlled release commercial grade, free flowing, uniform in composition, and consist of a nitrogen-phosphorus-potassium ratio. The fertilizer shall be derived from sulphur coated urea, urea formaldehyde, plastic or polymer coated pills, or isobutylenediurea (IBDU). Fertilizer shall be balanced with the inclusion of trace minerals and micro-nutrients.

2.3.2 Organic Material

Organic material shall consist of either rotted manure, decomposed wood derivatives.

2.3.2.1 Rotted Manure

Rotted manure shall be unleached horse, chicken or cattle manure containing a maximum 25 percent by volume of straw, sawdust, or other bedding materials. It shall contain no chemicals or ingredients harmful to plants. The manure shall be heat treated to kill weed seeds and be free of stones,

sticks, and soil.

2.3.2.2 Decomposed Wood Derivatives

Decomposed wood derivatives shall be ground bark, sawdust, yard trimmings, or other wood waste material that is free of stones, sticks, soil, and toxic substances harmful to plants, and is fully composted or stabilized with nitrogen.

2.4 MULCH

Mulch shall be free from weeds, mold, and other deleterious materials. Mulch materials shall be native to the region.

2.4.1 Wood Cellulose Fiber

Wood cellulose fiber shall not contain any growth or germination-inhibiting factors and shall be dyed an appropriate color to facilitate placement during application. Composition on air-dry weight basis: 9 to 15 percent moisture, pH range from 4.5 to 6.0.

2.5 WATER

Water shall be the responsibility of the Contractor, unless otherwise noted. Water shall not contain elements toxic to plant life.

2.6 PESTICIDE

Pesticide shall be insecticide, herbicide, fungicide, nematocide, rodenticide or miticide. For the purpose of this specification, a soil fumigant shall have the same requirements as a pesticide. The pesticide material shall be EPA registered and approved.

2.7 SURFACE EROSION CONTROL MATERIAL

Surface erosion control material shall conform to the following:

2.7.1 Surface Erosion Control Blanket

Blanket shall be machine produced mat of straw from a web of interlocking straw fibers; covered on both sides with a degradable plastic mesh or interwoven thread.

2.7.2 Surface Erosion Control Fabric

Shall be the same as or equal to North American Green S150.

2.7.3 Erosion Control Material Anchors

Erosion control anchors shall be as recommended by the manufacturer.

PART 3 EXECUTION

3.1 INSTALLING SEED TIME AND CONDITIONS

3.1.1 Seeding Time

Seed shall be installed from April 1 to June 1 for spring establishment; and from August 1 to Sept 15 for fall establishment.

3.1.2 Seeding Conditions

Seeding operations shall be performed only during periods when beneficial results can be obtained. When drought, excessive moisture, or other unsatisfactory conditions prevail, the work shall be stopped when directed.

When special conditions warrant a variance to the seeding operations, proposed alternate times shall be submitted for approval.

3.1.3 Equipment Calibration

Immediately prior to the commencement of seeding operations, calibration tests shall be conducted on the equipment to be used. These tests shall confirm that the equipment is operating within the manufacturer's specifications and will meet the specified criteria. The equipment shall be calibrated a minimum of once every day during the operation. The calibration test results shall be provided within 1 week of testing.

3.2 SITE PREPARATION

3.2.1 Finished Grade and Topsoil

The Contractor shall verify that finished grades are as indicated on drawings, and the placing of topsoil, smooth grading, and compaction requirements have been completed prior to the commencement of the seeding operation.

3.2.2 Application of Soil Amendments

3.2.2.1 Applying Fertilizer

The application rate shall be 0.5 1 pound per 1000 square feet of actual nitrogen. Fertilizer shall be incorporated into the soil to a maximum 4 inch depth or may be incorporated as part of the tillage or hydroseeding operation.

3.2.3 Tillage

Soil on slopes up to a maximum 3-horizontal-to-1-vertical shall be tilled to a minimum 4 inch depth. On slopes between 3-horizontal-to-1-vertical and 1-horizontal-to-1 vertical, the soil shall be tilled to a minimum 2 inch depth by scarifying with heavy rakes, or other method. Rototillers shall be used where soil conditions and length of slope permit. On slopes 1-horizontal-to-1 vertical and steeper, no tillage is required. Drainage patterns shall be maintained as indicated on drawings. Areas compacted by construction operations shall be completely pulverized by tillage to a depth of 12inch. Soil used for repair of surface erosion or grade deficiencies shall conform to topsoil requirements.

3.2.4 Prepared Surface

3.2.4.1 Preparation

The prepared surface shall be a maximum 1 inch below the adjoining grade of any surfaced area. New surfaces shall be blended to existing areas. The prepared surface shall be completed with a light raking to remove debris.

3.2.4.2 Lawn Area Debris

Debris and stones over a minimum 5/8 inch in any dimension shall be removed from the surface.

3.2.4.3 Protection

Areas with the prepared surface shall be protected from compaction or damage by vehicular or pedestrian traffic and surface erosion.

3.3 INSTALLATION

Prior to installing seed, any previously prepared surface compacted or damaged shall be reworked to meet the requirements of paragraph SITE PREPARATION. Seeding operations shall not take place when the wind velocity will prevent uniform seed distribution.

3.3.1 Installing Seed

Seeding method shall be Broadcast Seeding, Drill Seeding, Hydroseeding. Drill seeding shall be the first priority. Broadcast seeding shall occur only in those areas where drill seeding is not possible. Seeding procedure shall ensure even coverage. Gravity feed applicators, which drop seed directly from a hopper onto the prepared soil, shall not be used because of the difficulty in achieving even coverage, unless otherwise approved.

3.3.1.1 Broadcast Seeding

Seed shall be uniformly broadcast at twice the rate specified using broadcast seeders. Half the total amount of seed application shall be broadcast in 1 direction, with the remainder of the seed broadcast at 90 degrees from the first direction. Seed shall be covered a maximum 1/4 inch depth by disk harrow, steel mat drag, cultipacker, or other approved device.

3.3.1.2 Drill Seeding

Seed shall be uniformly drilled to a maximum 1/2 inch depth and at the rate specified using a Brillon type seeder. Row markers shall be used with the drill seeder. Half the total amount of seed application shall be drilled in 1 direction, with the remainder of the seed amount drilled at 90 degrees from the first direction. The drilling equipment shall be maintained with minimum half full seed boxes during the seeding operations.

3.3.1.3 Rolling

The entire area shall be firmed with a roller not exceeding 90 pounds per foot roller width. Slopes over a maximum 3-horizontal-to-1 vertical shall not be rolled. Areas seeded with seed drills equipped with rollers shall not be rolled.

3.3.2 Hydroseeding

Seed shall be mixed to ensure broadcast at twice the rate specified. Seed and fertilizer shall be added to water and thoroughly mixed to meet the rates specified. The time period for the seed to be held in the slurry shall be a maximum 24 hours. Wood cellulose fiber mulch and tackifier shall be added at the rates recommended by the manufacturer after the seed, fertilizer, and water have been thoroughly mixed to produce a homogeneous slurry. Slurry shall be uniformly applied under pressure over the entire area. The hydroseeded area shall not be rolled.

3.3.3 Mulching

3.3.3.1 Wood Cellulose Fiber and Tackifier

Wood cellulose fiber and tackifier shall be applied as part of the hydroseeding operation and as a mulch when the seed is drill or broadcast seeded. The mulch shall be mixed with the tackifier and applied in accordance with the manufacturer's recommendations.

3.3.4 Watering Seed

Watering shall be started immediately after completing the seeding of an area. Water shall be applied to supplement rainfall at a rate sufficient to ensure moist soil conditions to a minimum 1 inch depth. Run-off and puddling shall be prevented. Watering trucks shall not be driven over turf areas, unless otherwise directed. Watering of other adjacent areas or plant material shall be prevented.

3.4 SURFACE EROSION CONTROL

3.4.1 Surface Erosion Control Material

Where indicated or as directed, surface erosion control material shall be installed in accordance with manufacturer's instructions. Placement of the material shall be accomplished without damage to installed material or without deviation to finished grade.

3.4.2 Temporary Seeding

When directed during contract delays affecting the seeding operation or when a quick cover is required to prevent surface erosion, the areas designated shall be seeded at the recommended rate in accordance with temporary seed species listed under Paragraph SEED.

3.5 QUANTITY CHECK

For materials provided in bags, the empty bags shall be retained for recording the amount used. For materials provided in bulk, the weight certificates shall be retained as a record of the amount used. The amount of material used shall be compared with the total area covered to determine the rate of application used. Differences between the quantity applied and the quantity specified shall be adjusted as directed.

3.6 APPLICATION OF PESTICIDE

When application of a pesticide becomes necessary to remove a pest or disease, a pesticide treatment plan shall be submitted and coordinated with the installation pest management program.

3.6.1 Technical Representative

The certified installation pest management coordinator shall be the technical representative, and shall be present at all meetings concerning treatment measures for pest or disease control. They may be present during treatment application.

3.6.2 Application

A state certified applicator shall apply required pesticides in accordance with EPA label restrictions and recommendations. Clothing and personal protective equipment shall be used as specified on the pesticide label. A closed system is recommended as it prevents the pesticide from coming into contact with the applicator or other persons. Water for formulating shall only come from designated locations. Filling hoses shall be fitted with a backflow preventer meeting local plumbing codes or standards. Overflow shall be prevented during the filling operation. Prior to each day of use, the equipment used for applying pesticide shall be inspected for leaks, clogging, wear, or damage. Any repairs are to be performed immediately. A pesticide plan shall be submitted.

3.7 RESTORATION AND CLEAN UP

3.7.1 Restoration

Existing turf areas, pavements, and facilities that have been damaged from the seeding operation shall be restored to original condition at Contractor's expense.

3.7.2 Clean Up

Excess and waste material shall be removed from the seeded areas and shall be disposed offsite. Adjacent paved areas shall be cleaned.

3.8 PROTECTION OF INSTALLED AREAS

Immediately upon completion of the seeding operation in an area, the area shall be protected against traffic or other use by erecting barricades and providing signage as required, or as directed.

3.9 SEED ESTABLISHMENT PERIOD

3.9.1 Commencement

The seed establishment period to obtain a healthy stand of grass plants shall begin on the first day of seeding work under this contract and shall continue through the remaining life of the contract and end 3 months after the last day of the seeding operation required by this contract. Written calendar time period shall be furnished for the seed establishment period. When there is more than 1 seed establishment period, the boundaries of the seeded area covered for each period shall be described. The seed establishment period shall be modified for inclement weather, shut down periods, or for separate completion dates of areas.

3.9.2 Satisfactory Stand of Grass Plants

Grass plants shall be evaluated for species and health when the grass plants are a minimum 1 inch high.

3.9.2.1 Lawn Area

A satisfactory stand of grass plants from the seeding operation for a lawn area shall be a minimum 200 grass plants per square foot. Bare spots shall be a maximum 6 inches square. The total bare spots shall be a maximum 2 percent of the total seeded area.

3.9.3 Maintenance During Establishment Period

Maintenance of the seeded areas shall include eradicating weeds, insects and diseases; protecting embankments and ditches from surface erosion; maintaining erosion control materials and mulch; protecting installed areas from traffic; mowing; watering; and post-fertilization.

3.9.3.1 Mowing

- a. Lawn Areas: Lawn areas shall be mowed to a minimum 2-1/2 inch height when the turf is a maximum 4 inches high. Clippings shall be removed when the amount cut prevents sunlight from reaching the ground surface.

3.9.3.2 Post-Fertilization

The application rate shall be 1/2 pound per 1000 square feet of actual available nitrogen. The application shall be timed prior to the advent of winter dormancy and shall be made without burning the installed grass plants.

3.9.3.3 Pesticide Treatment

Treatment for disease or pest shall be in accordance with paragraph APPLICATION OF PESTICIDE.

3.9.3.4 Repair or Reinstall

Unsatisfactory stand of grass plants and mulch shall be repaired or reinstalled, and eroded areas shall be repaired in accordance with paragraph SITE PREPARATION.

3.9.3.5 Maintenance Record

A record of each site visit shall be furnished, describing the maintenance work performed; areas repaired or reinstalled; and diagnosis for unsatisfactory stand of grass plants.

-- End of Section --

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SECTION 03100A

STRUCTURAL CONCRETE FORMWORK

05/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 347R (1994) Guide to Formwork for Concrete

U.S. DEPARTMENT OF COMMERCE (DOC)

PS-1 (1996) Voluntary Product Standard -
Construction and Industrial Plywood

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Formwork

Drawings showing details of formwork, including dimensions of fiber voids, joints, supports, studding and shoring, and sequence of form and shoring removal.

SD-03 Product Data

Design

Design analysis and calculations for form design and methodology used in the design. Calculations shall be well organized with all references, loading conditions, assumptions of material strengths, and equations used clearly shown, and shall be signed by a registered Professional Engineer.

Form Materials

Manufacturer's data including literature describing form materials, accessories, and form releasing agents.

1.3 DESIGN

Formwork shall be designed in accordance with methodology of ACI 347R for anticipated loads, lateral pressures, and stresses. Forms shall be capable of producing a surface which meets the requirements of the class of finish specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Forms shall be capable of withstanding the pressures resulting from placement and vibration of concrete.

PART 2 PRODUCTS

2.1 FORM MATERIALS

2.1.1 Forms For Class B Finish

Forms for Class B finished surfaces shall be plywood panels conforming to PS-1, Grade B-B concrete form panels, Class I or II. Other form materials or liners may be used provided the smoothness and appearance of concrete produced will be equivalent to that produced by the plywood concrete form panels.

2.1.2 Forms For Class D Finish

Forms for Class D finished surfaces, except where concrete is placed against earth, shall be wood or steel or other approved concrete form material.

2.1.3 Form Ties

Form ties shall be factory-fabricated metal ties, shall be of the removable or internal disconnecting or snap-off type, and shall be of a design that will not permit form deflection and will not spall concrete upon removal. Solid backing shall be provided for each tie. Except where removable tie rods are used, ties shall not leave holes in the concrete surface less than 1/4 inch nor more than 1 inch deep and not more than 1 inch in diameter. Removable tie rods shall be not more than 1-1/2 inches in diameter.

2.1.4 Form Releasing Agents

Form releasing agents shall be commercial formulations that will not bond with, stain or adversely affect concrete surfaces. Agents shall not impair subsequent treatment of concrete surfaces depending upon bond or adhesion nor impede the wetting of surfaces to be cured with water or curing compounds.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Formwork

Forms shall be mortar tight, properly aligned and adequately supported to

produce concrete surfaces meeting the surface requirements specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE and conforming to construction tolerance given in TABLE 1. Where concrete surfaces are to have a Class B finish, joints in form panels shall be arranged as approved.

Where forms for continuous surfaces are placed in successive units, the forms shall fit over the completed surface to obtain accurate alignment of the surface and to prevent leakage of mortar. Forms shall not be reused if there is any evidence of surface wear and tear or defects which would impair the quality of the surface. Surfaces of forms to be reused shall be cleaned of mortar from previous concreting and of all other foreign material before reuse. Form ties that are to be completely withdrawn shall be coated with a nonstaining bond breaker.

3.2 CHAMFERING

Except as otherwise shown, external corners that will be exposed shall be chamfered, beveled, or rounded by moldings placed in the forms.

3.3 COATING

Forms for Class B finished surfaces shall be coated with a form releasing agent before the form or reinforcement is placed in final position. The coating shall be used as recommended in the manufacturer's printed or written instructions. Forms for Class D finished surfaces may be wet with water in lieu of coating immediately before placing concrete, except that in cold weather with probable freezing temperatures, coating shall be mandatory. Surplus coating on form surfaces and coating on reinforcing steel and construction joints shall be removed before placing concrete.

3.4 REMOVAL OF FORMS

Forms shall be removed preventing injury to the concrete and ensuring the complete safety of the structure. Formwork for columns, walls, side of beams and other parts not supporting the weight of concrete may be removed when the concrete has attained sufficient strength to resist damage from the removal operation but not before at least 24 hours has elapsed since concrete placement. Supporting forms and shores shall not be removed from beams, floors and walls until the structural units are strong enough to carry their own weight and any other construction or natural loads. Supporting forms or shores shall not be removed before the concrete strength has reached 70 percent of design strength, as determined by field cured cylinders or other approved methods. This strength shall be demonstrated by job-cured test specimens, and by a structural analysis considering the proposed loads in relation to these test strengths and the strength of forming and shoring system. The job-cured test specimens for form removal purposes shall be provided in numbers as directed and shall be in addition to those required for concrete quality control. The specimens shall be removed from molds at the age of 24 hours and shall receive, insofar as possible, the same curing and protection as the structures they represent.

TABLE 1

TOLERANCES FOR FORMED SURFACES

1. Variations from the plumb:	In any 10 feet of length ----- 1/4 inch
-------------------------------	---

TABLE 1

TOLERANCES FOR FORMED SURFACES

a.	In the lines and surfaces of columns, piers, walls and in arises	Maximum for entire length ----- 1 inch
b.	For exposed corner columns, control-joint grooves, and other conspicuous lines	In any 20 feet of length ----- 1/4 inch Maximum for entire length----- 1/2 inch
2.	Variation from the level or from the grades indicated on the drawings:	In any 10 feet of length -----1/4 inch In any bay or in any 20 feet of length----- 3/8 inch
a.	In slab soffits, ceilings, beam soffits, and in arises, measured before removal of supporting shores	Maximum for entire length ----- 3/4 inch
b.	In exposed lintels, sills, parapets, horizontal grooves, and other conspicuous lines	In any bay or in any 20 feet of length ----- 1/4 inch Maximum for entire length----- 1/2 inch
3.	Variation of the linear building lines from established position in plan	In any 20 feet ----- 1/2 inch Maximum -----1 inch
4.	Variation of distance between walls, columns, partitions	1/4 inch per 10 feet of distance, but not more than 1/2 inch in any one bay, and not more than 1 inch total variation
5.	Variation in the sizes and locations of sleeves, floor openings, and wall opening	Minus ----- 1/4 inch Plus ----- 1/2 inch
6.	Variation in cross-sectional dimensions of columns and beams and in the thickness of slabs and walls	Minus ----- 1/4 inch Plus ----- 1/2 inch
7.	Footings:	
a.	Variation of dimensions in plan	Minus ----- 1/2 inch Plus ----- 2 inches when formed or plus 3 inches when placed against unformed

TABLE 1

TOLERANCES FOR FORMED SURFACES

	excavation	
b. Misplacement of eccentricity	2 percent of the footing width in the direction of misplacement but not more than 2 inches	
c. Reduction in thickness of specified thickness	Minus -----	5 percent
8. Variation in steps:	Riser -----	1/8 inch
a. In a flight of stairs	Tread -----	1/4 inch
b. In consecutive steps	Riser -----	1/16 inch
	Tread -----	1/8 inch
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SECTION 03150A

EXPANSION JOINTS AND WATERSTOPS

05/98

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SECTION 03150A

EXPANSION JOINTS AND WATERSTOPS
05/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 919	(1984; R 1998) Use of Sealants in Acoustical Applications
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM D 1751	(1999) Preformed Expansion Joint Filler for Concrete Paving and Structural Construction (Nonextruding and Resilient Bituminous Types)
ASTM D 1752	(1984; R 1996el) Preformed Sponge Rubber and Cork Expansion Joint Fillers for Concrete Paving and Structural Construction
ASTM D 5249	(1995) Backer Material for Use With Cold and Hot-Applied Joint Sealants in Portland-Cement Concrete and Asphalt Joints

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 513	(1974) Corps of Engineers Specifications for Rubber Waterstops
COE CRD-C 572	(1974) Corps of Engineers Specifications for Polyvinylchloride Waterstop

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-07 Certificates

Sealant;

Certificates of compliance stating that the joint filler and sealant materials and waterstops conform to the requirements specified.

1.3 DELIVERY AND STORAGE

Material delivered and placed in storage shall be stored off the ground and protected from moisture, dirt, and other contaminants. Sealants shall be delivered in the manufacturer's original unopened containers. Sealants whose shelf life has expired shall be removed from the site.

PART 2 PRODUCTS

2.1 PREFORMED EXPANSION JOINT FILLER

Expansion joint filler shall be preformed material conforming to ASTM D 1751 or ASTM D 1752. Unless otherwise indicated, filler material shall be 3/8 inch thick and of a width applicable for the joint formed. Backer material, when required, shall conform to ASTM D 5249.

2.2 SEALANT

Joint sealant shall conform to the following:

2.2.1 Field-Molded Type

ASTM C 920, Type M for horizontal joints or Type NS for vertical joints, Class 25, and Use NT. Bond breaker material shall be polyethylene tape, coated paper, metal foil or similar type materials. The back-up material shall be compressible, non-shrink, nonreactive with sealant, and non-absorptive material type such as extruded butyl or polychloroprene rubber.

2.3 WATERSTOPS

Intersection and change of direction waterstops shall be shop fabricated.

2.3.1 Non-Metallic Materials

Non-metallic waterstops shall be manufactured from a prime virgin resin; reclaimed material is not acceptable. The compound shall contain plasticizers, stabilizers, and other additives to meet specified requirements. Rubber waterstops shall conform to COE CRD-C 513. Polyvinylchloride waterstops shall conform to COE CRD-C 572.

PART 3 EXECUTION

3.1 JOINTS

Joints shall be installed at locations indicated and as authorized.

3.1.1 Expansion Joints

Preformed expansion joint filler shall be used where indicated. The filler shall extend the full slab depth, or wall thickness. The edges of the joint shall be neatly finished with an edging tool of 1/8 inch radius, except where a resilient floor surface will be applied. Where the joint is to receive a sealant, the filler strips shall be installed at the proper

level below the finished floor with a slightly tapered, dressed and oiled wood strip temporarily secured to the top to form a recess to the size shown on the drawings. The wood strip shall be removed after the concrete has set. Contractor may opt to use a removable expansion filler cap designed and fabricated for this purpose in lieu of the wood strip. The groove shall be thoroughly cleaned of laitance, curing compound, foreign materials, protrusions of hardened concrete, and any dust which shall be blown out of the groove with oil-free compressed air.

3.1.2 Joint Sealant

Expansion joints shall be filled with expansion joint material and joint sealant as shown on the drawings. Joint surfaces shall be clean, dry, and free of oil or other foreign material which would adversely affect the bond between sealant and concrete. Joint sealant shall be applied as recommended by the manufacturer of the sealant.

3.1.2.1 Joints With Field-Molded Sealant

Joints shall not be sealed when the sealant material, ambient air, or concrete temperature is less than 40 degrees F. When the sealants are meant to reduce the sound transmission characteristics of interior walls, ceilings, and floors the guidance provided in ASTM C 919 shall be followed.

Joints requiring a bond breaker shall be coated with curing compound or with bituminous paint. Bond breaker and back-up material shall be installed where required. Joints shall be primed and filled flush with joint sealant in accordance with the manufacturer's recommendations.

3.2 WATERSTOPS, INSTALLATION AND SPLICES

Waterstops shall be installed at the locations shown to form a continuous water-tight diaphragm. Adequate provision shall be made to support and completely protect the waterstops during the progress of the work. Any waterstop punctured or damaged shall be repaired or replaced. Exposed waterstops shall be protected during application of form release agents to avoid being coated. Suitable guards shall be provided to protect exposed projecting edges and ends of partially embedded waterstops from damage when concrete placement has been discontinued. Splices shall be made by certified trained personnel using approved equipment and procedures.

3.2.1 Non-Metallic

Fittings shall be shop made using a machine specifically designed to mechanically weld the waterstop. A miter guide, proper fixturing (profile dependant), and portable power saw shall be used to miter cut the ends to be joined to ensure good alignment and contact between joined surfaces. The splicing of straight lengths shall be done by squaring the ends to be joined. Continuity of the characteristic features of the cross section of the waterstop (ribs, tabular center axis, protrusions, etc.) shall be maintained across the splice.

3.2.1.1 Rubber Waterstop

Splices shall be vulcanized or shall be made using cold bond adhesive as recommended by the manufacturer. Splices for TPE-R shall be as specified for PVC.

3.2.1.2 Polyvinyl Chloride Waterstop

Splices shall be made by heat sealing the adjacent waterstop edges together using a thermoplastic splicing iron utilizing a non-stick surface specifically designed for waterstop welding. The correct temperature shall be used to sufficiently melt without charring the plastic. The spliced area, when cooled, shall show no signs of separation, holes, or other imperfections when bent by hand in as sharp an angle as possible.

3.2.1.3 Quality Assurance

Edge welding will not be permitted. Centerbulbs shall be compressed or closed when welding to non-centerbulb type. Waterstop splicing defects which are unacceptable include, but are not limited to the following: 1) Tensile strength less than 80 percent of parent section. 2) Free lap joints. 3) Misalignment of centerbulb, ribs, and end bulbs greater than 1/16 inch. 4) Misalignment which reduces waterstop cross section more than 15 percent. 5) Bond failure at joint deeper than 1/16 inch or 15 percent of material thickness. 6) Misalignment of waterstop splice resulting in misalignment of waterstop in excess of 1/2 inch in 10 feet. 7) Visible porosity in the weld area, including pin holes. 8) Charred or burnt material. 9) Bubbles or inadequate bonding. 10) Visible signs of splice separation when cooled splice is bent by hand at a sharp angle.

3.3 CONSTRUCTION JOINTS

Construction joints are specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE except that construction joints coinciding with expansion joints shall be treated as expansion joints.

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09/97

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-- End of Section Table of Contents --

SECTION 03200A

CONCRETE REINFORCEMENT
09/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 318/318R (1999) Building Code Requirements for
Structural Concrete and Commentary

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 53 (1999) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless

ASTM A 615/A 615M (1996a) Deformed and Plain Billet-Steel
Bars for Concrete Reinforcement

ASTM A 675/A 675M (1990a; R 1995e1) Steel Bars, Carbon,
Hot-Wrought, Special Quality, Mechanical
Properties

ASTM A 706/A 706M (1998) Low-Alloy Steel Deformed and Plain
Bars for Concrete Reinforcement

CONCRETE REINFORCING STEEL INSTITUTE (CRSI)

CRSI MSP-1 (1996) Manual of Standard Practice

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Reinforcement; G-DO

Detail drawings showing reinforcing steel placement, schedules, sizes, grades, and splicing and bending details. Drawings shall show support details including types, sizes and spacing.

SD-07 Certificates

Reinforcing Steel;

Certified copies of mill reports attesting that the reinforcing steel furnished contains no less than 25 percent recycled scrap steel and meets the requirements specified herein, prior to the installation of reinforcing steel.

1.3 DELIVERY AND STORAGE

Reinforcement and accessories shall be stored off the ground on platforms, skids, or other supports.

PART 2 PRODUCTS

2.1 DOWELS

Dowels shall conform to ASTM A 675/A 675M, Grade 80. Steel pipe conforming to ASTM A 53, Schedule 80, may be used as dowels provided the ends are closed with metal or plastic inserts or with mortar.

2.2 REINFORCING STEEL

Reinforcing steel shall be deformed bars conforming to ASTM A 615/A 615M or ASTM A 706/A 706M, grade 60, and sizes as indicated.

2.3 WIRE TIES

Wire ties shall be 16 gauge or heavier black annealed steel wire.

2.4 SUPPORTS

Bar supports for formed surfaces shall be designed and fabricated in accordance with CRSI MSP-1 and shall be steel or precast concrete blocks. Precast concrete blocks shall have wire ties and shall be not less than 4 inches square when supporting reinforcement on ground. Precast concrete block shall have compressive strength equal to that of the surrounding concrete. Where concrete formed surfaces will be exposed to weather or where surfaces are to be painted, steel supports within 1/2 inch of concrete surface shall be galvanized, plastic protected or of stainless steel. Concrete supports used in concrete exposed to view shall have the same color and texture as the finish surface. For slabs on grade, supports shall be precast concrete blocks, plastic coated steel fabricated with bearing plates, or specifically designed wire-fabric supports fabricated of plastic.

PART 3 EXECUTION

3.1 REINFORCEMENT

Reinforcement shall be fabricated to shapes and dimensions shown and shall conform to the requirements of ACI 318/318R. Reinforcement shall be cold bent unless otherwise authorized. Bending may be accomplished in the field or at the mill. Bars shall not be bent after embedment in concrete. Safety caps shall be placed on all exposed ends of vertical concrete reinforcement bars that pose a danger to life safety. Wire tie ends shall face away from the forms.

3.1.1 Placement

Reinforcement shall be free from loose rust and scale, dirt, oil, or other deleterious coating that could reduce bond with the concrete. Reinforcement shall be placed in accordance with ACI 318/318R at locations shown plus or minus one bar diameter. Reinforcement shall not be continuous through expansion joints and shall be as indicated through construction or contraction joints. Concrete coverage shall be as indicated or as required by ACI 318/318R. If bars are moved more than one bar diameter to avoid interference with other reinforcement, conduits or embedded items, the resulting arrangement of bars, including additional bars required to meet structural requirements, shall be approved before concrete is placed.

3.1.2 Splicing

Splices of reinforcement shall conform to ACI 318/318R and shall be made only as required or indicated. Splicing shall be by lapping or by mechanical connection; except that lap splices shall not be used for bars larger than No. 11 unless otherwise indicated. Lapped bars shall not be spaced farther apart than one-fifth the required length of lap or 6 inches.

Mechanical butt splices shall be in accordance with the recommendation of the manufacturer of the mechanical splicing device. Butt splices shall develop 125 percent of the specified minimum yield tensile strength of the spliced bars or of the smaller bar in transition splices.

3.2 DOWEL INSTALLATION

Dowels shall be installed in slabs on grade at locations indicated and at right angles to joint being doweled. Dowels shall be accurately positioned and aligned parallel to the finished concrete surface before concrete placement. Dowels shall be rigidly supported during concrete placement. One end of dowels shall be coated with a bond breaker.

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11/01

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SECTION 03300

CAST-IN-PLACE STRUCTURAL CONCRETE
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

ACI INTERNATIONAL (ACI)

ACI 117/117R	(1990; Errata) Standard Tolerances for Concrete Construction and Materials
ACI 211.1	(1991) Standard Practice for Selecting Proportions for Normal, Heavyweight, and Mass Concrete
ACI 214.3R	(1988; R 1997) Simplified Version of the Recommended Practice for Evaluation of Strength Test Results of Concrete
ACI 301	(1999) Standard Specifications for Structural Concrete
ACI 305R	(1999) Hot Weather Concreting
ACI 318/318R	(1999) Building Code Requirements for Structural Concrete and Commentary

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO M 182	(1991; R 1996) Burlap Cloth Made from Jute or Kenaf
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AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 31/C 31M	(2000e1) Making and Curing Concrete Test Specimens in the Field
ASTM C 33	(1999ae1) Concrete Aggregates
ASTM C 39/C 39M	(2001) Compressive Strength of Cylindrical Concrete Specimens
ASTM C 42/C 42M	(1999) Obtaining and Testing Drilled Cores and Sawed Beams of Concrete
ASTM C 94/C 94M	(2000e2) Ready-Mixed Concrete

ASTM C 143/C 143M	(2000) Slump of Hydraulic Cement Concrete
ASTM C 150	(1999a) Portland Cement
ASTM C 171	(1997a) Sheet Materials for Curing Concrete
ASTM C 172	(1999) Sampling Freshly Mixed Concrete
ASTM C 192/C 192M	(2000) Making and Curing Concrete Test Specimens in the Laboratory
ASTM C 231	(1997e1) Air Content of Freshly Mixed Concrete by the Pressure Method
ASTM C 260	(2000) Air-Entraining Admixtures for Concrete
ASTM C 309	(1998a) Liquid Membrane-Forming Compounds for Curing Concrete
ASTM C 494/C 494M	(1999ae1) Chemical Admixtures for Concrete
ASTM C 618	(2000) Coal Fly Ash and Raw or Calcined Natural Pozzolan for Use as a Mineral Admixture in Concrete
ASTM C 685	(2000) Concrete Made by Volumetric Batching and Continuous Mixing
ASTM C 881	(1999) Epoxy-Resin-Base Bonding Systems for Concrete
ASTM C 940	(1998a) Expansion and Bleeding of Freshly Mixed Grouts for Preplaced-Aggregate Concrete in the Laboratory
ASTM C 1017/C 1017M	(1998) Chemical Admixtures for Use in Producing Flowing Concrete
ASTM C 1059	(1999) Latex Agents for Bonding Fresh to Hardened Concrete
ASTM C 1064/C 1064M	(1999) Temperature of Freshly Mixed Portland Cement Concrete
ASTM C 1077	(1998) Laboratories Testing Concrete and Concrete Aggregates for Use in Construction and Criteria for Laboratory Evaluation
ASTM D 75	(1987; R 1997) Sampling Aggregates
NATIONAL READY-MIXED CONCRETE ASSOCIATION (NRMCA)	
NRMCA CPMB 100	(1996) Concrete Plant Standards \n/c\$\X
NRMCA QC 3	(1984) Quality Control Manual: Section 3, Plant Certifications Checklist:

Certification of Ready Mixed Concrete
Production Facilities

NRMCA TMMB 100

(1994) Truck Mixer Agitator and Front
Discharge Concrete Carrier Standards

U.S. ARMY CORPS OF ENGINEERS (USACE)

COE CRD-C 400

(1963) Requirements for Water for Use in
Mixing or Curing Concrete

COE CRD-C 521

(1981) Standard Test Method for Frequency
and Amplitude of Vibrators for Concrete

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Mixture Proportions; G-DO

The results of trial mixture design studies along with a statement giving the maximum nominal coarse aggregate size and the proportions of ingredients that will be used in the manufacture of each strength or class of concrete, at least 14 days prior to commencing concrete placing operations. Aggregate weights shall be based on the saturated surface dry condition. The statement shall be accompanied by test results from an approved independent commercial testing laboratory, showing that mixture design studies have been made with materials proposed for the project and that the proportions selected will produce concrete of the qualities indicated. No substitutions shall be made in the materials used in the mixture design studies without additional tests to show that the quality of the concrete is satisfactory.

SD-06 Test Reports

Testing and Inspection for Contractor Quality Control; G-AO

Certified copies of laboratory test reports, including mill tests and all other test data, for portland cement, blended cement, pozzolan, aggregate, admixtures, and curing compound proposed for use on this project.

SD-07 Certificates

Qualifications; G-AO

Written documentation for Contractor Quality Control personnel.

1.3 QUALIFICATIONS

Contractor Quality Control personnel assigned to concrete construction

shall be American Concrete Institute (ACI) Certified Workmen in one of the following grades or shall have written evidence of having completed similar qualification programs:

Concrete Field Testing Technician, Grade I
Concrete Laboratory Testing Technician, Grade I or II
Concrete Construction Inspector, Level II

Concrete Transportation Construction Inspector or
Reinforced Concrete Special Inspector, Jointly certified by American Concrete Institute (ACI), Building Official and Code Administrators International (BOCA), International Conference of Building Officials (ICBO), and Southern Building Code Congress International (SBCCI).

The foreman or lead journeyman of the flatwork finishing crew shall have similar qualification for ACI Concrete Flatwork Technician/Finisher or equal, with written documentation.

1.4 GENERAL REQUIREMENTS

1.4.1 Tolerances

Except as otherwise specified herein, tolerances for concrete batching, mixture properties, and construction as well as definition of terms and application practices shall be in accordance with ACI 117/117R. Level and grade tolerance measurements of slabs shall be made as soon as possible after finishing; when forms or shoring are used, the measurements shall be made prior to removal.

1.4.1.1 Floors

For the purpose of this Section the following terminology correlation between ACI 117/117R and this Section shall apply:

Floor Profile Quality Classification From ACI 117/117R	This Section
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Conventional Bullfloated	Same
Conventional Straightedged	Same
Flat	Float Finish or Trowel Finish

Levelness tolerance shall not apply where design requires floors to be sloped to drains or sloped for other reasons.

1.4.1.2 Floors by the Straightedge System

The flatness of the floors shall be carefully controlled and the tolerances shall be measured by the straightedge system as specified in paragraph 4.5.7 of ACI 117/117R, using a 10 foot straightedge, within 72 hours after floor slab installation and before shores and/or forms are removed. The listed tolerances shall be met at any and every location at which the straightedge can be placed.

Bullfloated 1/2-inch
Straightedged 5/16-inch
Float Finish 3/16-inch
Trowel Finish 3/16-inch

1.4.2 Strength Requirements and w/c Ratio

1.4.2.1 Strength Requirements

Specified minimum 28-day compressive strength of concrete shall 4,000 pounds per square inch (psi). Concrete made with high-early strength cement shall not be permitted. Compressive strength shall be determined in accordance with ASTM C 39/C 39M.

- a. Evaluation of Concrete Compressive Strength. Compressive strength specimens (6 by 12 inch cylinders) shall be fabricated by the Contractor and laboratory cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M. The strength of the concrete will be considered satisfactory so long as the average of all sets of three consecutive test results equals or exceeds the specified compressive strength f'_c and no individual test result falls below the specified strength f'_c by more than 500 psi. A "test" is defined as the average of two companion cylinders, or if only one cylinder is tested, the results of the single cylinder test. Additional analysis or testing, including taking cores and/or load tests may be required at the Contractor's expense when the strength of the concrete in the structure is considered potentially deficient.
- b. Investigation of Low-Strength Compressive Test Results. When any strength test of standard-cured test cylinders falls below the specified strength requirement by more than 500 psi or if tests of field-cured cylinders indicate deficiencies in protection and curing, steps shall be taken to assure that the load-carrying capacity of the structure is not jeopardized. When the strength of concrete in place is considered potentially deficient, cores shall be obtained and tested in accordance with ASTM C 42/C 42M. At least three representative cores shall be taken from each member or area of concrete in place that is considered potentially deficient. The location of cores will be determined by the Contracting Officer to least impair the strength of the structure. Concrete in the area represented by the core testing will be considered adequate if the average strength of the cores is equal to at least 85 percent of the specified strength requirement and if no single core is less than 75 percent of the specified strength requirement. Non-destructive tests (tests other than test cylinders or cores) shall not be used as a basis for acceptance or rejection. The Contractor shall perform the coring and repair the holes. Cores will be tested by the Government.
- c. Load Tests. If the core tests are inconclusive or impractical to obtain or if structural analysis does not confirm the safety of the structure, load tests may be directed by the Contracting Officer in accordance with the requirements of ACI 318/318R. Concrete work evaluated by structural analysis or by results of a load test as being understrength shall be corrected in a manner satisfactory to the Contracting Officer. All investigations, testing, load tests, and correction of deficiencies shall be performed by and at the expense of the Contractor and must be approved by the Contracting Officer, except that if all concrete is found to be in compliance with the drawings and specifications, the cost of investigations, testing, and load tests will be at the expense of the Government.

1.4.2.2 Water-Cement Ratio

Maximum water-cement ratio (w/c) for normal weight concrete shall be 0.45 by weight. This w/c ratio may cause higher strengths than that required above for compressive strength. The maximum w/c required will be the equivalent w/c as determined by conversion from the weight ratio of water to cement plus pozzolan.

1.4.3 Air Entrainment

Concrete shall be air entrained to contain between 4 and 7 percent total air, except that when the nominal maximum size coarse aggregate is 3/4 inch or smaller it shall be between 4.5 and 7.5 percent. Concrete with specified strength over 5000 psi may have 1.0 percent less air than specified above. Specified air content shall be attained at point of placement into the forms. Air content for normal weight concrete shall be determined in accordance with ASTM C 231.

1.4.4 Slump

Slump of the concrete, as delivered to the point of placement into the forms, shall be within the following limits. Slump shall be determined in accordance with ASTM C 143/C 143M.

Structural Element	Slump	
	Minimum	Maximum
Walls, columns and beams	2 in.	4 in.
Foundation walls, substructure walls, footings, slabs	1 in.	3 in.
Any structural concrete approved for placement by pumping:		
At pump	2 in.	6 in.
At discharge of line	1 in.	4 in.

When use of a plasticizing admixture conforming to ASTM C 1017/C 1017M or when a Type F or G high range water reducing admixture conforming to ASTM C 494/C 494M is permitted to increase the slump of concrete, concrete shall have a slump of 2 to 4 inches before the admixture is added and a maximum slump of 8 inches at the point of delivery after the admixture is added.

1.4.5 Concrete Temperature

The temperature of the concrete as delivered shall not exceed 90 degrees F. When the ambient temperature during placing is 40 degrees F or less, or is expected to be at any time within 6 hours after placing, the temperature of the concrete as delivered shall be between 55 and 75 degrees F.

1.4.6 Size of Coarse Aggregate

The largest feasible nominal maximum size aggregate (NMSA) specified in paragraph AGGREGATES shall be used in each placement. However, nominal maximum size of aggregate shall not exceed any of the following:

three-fourths of the minimum cover for reinforcing bars, three-fourths of the minimum clear spacing between reinforcing bars, one-fifth of the narrowest dimension between sides of forms, or one-third of the thickness of slabs or toppings.

1.4.7 Special Properties and Products

Concrete may contain admixtures other than air entraining agents, such as water reducers, superplasticizers, or set retarding agents to provide special properties to the concrete, if specified or approved. Any of these materials to be used on the project shall be used in the mix design studies.

1.5 MIXTURE PROPORTIONS

Concrete shall be composed of portland cement, other cementitious and pozzolanic materials as specified, aggregates, water and admixtures as specified.

1.5.1 Proportioning Studies for Normal Weight Concrete

Trial design batches, mixture proportioning studies, and testing requirements for various classes and types of concrete specified shall be the responsibility of the Contractor. Mixture proportions shall be based on compressive strength as determined by test specimens fabricated in accordance with ASTM C 192/C 192M and tested in accordance with ASTM C 39/C 39M. Samples of all materials used in mixture proportioning studies shall be representative of those proposed for use in the project and shall be accompanied by the manufacturer's or producer's test reports indicating compliance with these specifications. Trial mixtures having proportions, consistencies, and air content suitable for the work shall be made based on methodology described in ACI 211.1, using at least three different water-cement ratios for each type of mixture, which will produce a range of strength encompassing those required for each class and type of concrete required on the project. If pozzolan is used in the concrete mixture, the minimum pozzolan content shall be 15 percent by weight of the total cementitious material, and the maximum shall be 35 percent. Laboratory trial mixtures shall be designed for maximum permitted slump and air content. Separate sets of trial mixture studies shall be made for each combination of cementitious materials and each combination of admixtures proposed for use. No combination of either shall be used until proven by such studies, except that, if approved in writing and otherwise permitted by these specifications, an accelerator or a retarder may be used without separate trial mixture study. Separate trial mixture studies shall also be made for concrete for any conveying or placing method proposed which requires special properties and for concrete to be placed in unusually difficult placing locations. The temperature of concrete in each trial batch shall be reported. For each water-cement ratio, at least three test cylinders for each test age shall be made and cured in accordance with ASTM C 192/C 192M. They shall be tested at 7 and 28 days in accordance with ASTM C 39/C 39M. From these test results, a curve shall be plotted showing the relationship between water-cement ratio and strength for each set of trial mix studies. In addition, a curve shall be plotted showing the relationship between 7 day and 28 day strengths. Each mixture shall be designed to promote easy and suitable concrete placement, consolidation and finishing, and to prevent segregation and excessive bleeding.

1.5.2 Average Compressive Strength Required for Mixtures

The mixture proportions selected during mixture design studies shall

produce a required average compressive strength (f'_{cr}) exceeding the specified compressive strength (f'_c) by the amount indicated below. This required average compressive strength, f'_{cr} , will not be a required acceptance criteria during concrete production. However, whenever the daily average compressive strength at 28 days drops below f'_{cr} during concrete production, or daily average 7-day strength drops below a strength correlated with the 28-day f'_{cr} , the mixture shall be adjusted, as approved, to bring the daily average back up to f'_{cr} . During production, the required f'_{cr} shall be adjusted, as appropriate, based on the standard deviation being attained on the job.

1.5.2.1 Computations from Test Records

Where a concrete production facility has test records, a standard deviation shall be established in accordance with the applicable provisions of ACI 214.3R. Test records from which a standard deviation is calculated shall represent materials, quality control procedures, and conditions similar to those expected; shall represent concrete produced to meet a specified strength or strengths (f'_c) within 1,000 psi of that specified for proposed work; and shall consist of at least 30 consecutive tests. A strength test shall be the average of the strengths of two cylinders made from the same sample of concrete and tested at 28 days. Required average compressive strength f'_{cr} used as the basis for selection of concrete proportions shall be the larger of the equations that follow using the standard deviation as determined above:

$$f'_{cr} = f'_c + 1.34S \text{ where units are in psi}$$

$$f'_{cr} = f'_c + 2.33S - 500 \text{ where units are in psi}$$

Where S = standard deviation

Where a concrete production facility does not have test records meeting the requirements above but does have a record based on 15 to 29 consecutive tests, a standard deviation shall be established as the product of the calculated standard deviation and a modification factor from the following table:

NUMBER OF TESTS	MODIFICATION FACTOR FOR STANDARD DEVIATION
15	1.16
20	1.08
25	1.03
30 or more	1.00

1.5.2.2 Computations without Previous Test Records

When a concrete production facility does not have sufficient field strength test records for calculation of the standard deviation, the required average strength f'_{cr} shall be determined as follows:

- a. If the specified compressive strength f'_c is less than 3,000 psi,

$$f'_{cr} = f'_c + 1000 \text{ psi}$$

- b. If the specified compressive strength f'_c is 3,000 to 5,000 psi,

$$f'_{cr} = f'_c + 1,200 \text{ psi}$$

c. If the specified compressive strength f'_c is over 5,000 psi,

$$f'_{cr} = f'_c + 1,400 \text{ psi}$$

1.6 STORAGE OF MATERIALS

Cement and other cementitious materials shall be stored in weathertight buildings, bins, or silos which will exclude moisture and contaminants and keep each material completely separated. Aggregate stockpiles shall be arranged and used in a manner to avoid excessive segregation and to prevent contamination with other materials or with other sizes of aggregates. Aggregate shall not be stored directly on ground unless a sacrificial layer is left undisturbed. Reinforcing bars and accessories shall be stored above the ground on platforms, skids or other supports. Other materials shall be stored in such a manner as to avoid contamination and deterioration. Admixtures which have been in storage at the project site for longer than 6 months or which have been subjected to freezing shall not be used unless retested and proven to meet the specified requirements. Materials shall be capable of being accurately identified after bundles or containers are opened.

1.7 GOVERNMENT ASSURANCE INSPECTION AND TESTING

Day-to day inspection and testing shall be the responsibility of the Contractor Quality Control (CQC) staff. However, representatives of the Contracting Officer can and will inspect construction as considered appropriate and will monitor operations of the Contractor's CQC staff. Government inspection or testing will not relieve the Contractor of any of his CQC responsibilities.

1.7.1 Materials

The Government will sample and test aggregates, cementitious materials, other materials, and concrete to determine compliance with the specifications as considered appropriate. The Contractor shall provide facilities and labor as may be necessary for procurement of representative test samples. Samples of aggregates will be obtained at the point of batching in accordance with ASTM D 75. Other materials will be sampled from storage at the jobsite or from other locations as considered appropriate. Samples may be placed in storage for later testing when appropriate.

1.7.2 Fresh Concrete

Fresh concrete will be sampled as delivered in accordance with ASTM C 172 and tested in accordance with these specifications, as considered necessary.

1.7.3 Hardened Concrete

Tests on hardened concrete will be performed by the Government when such tests are considered necessary.

1.7.4 Inspection

Concrete operations may be tested and inspected by the Government as the project progresses. Failure to detect defective work or material will not prevent rejection later when a defect is discovered nor will it obligate the Government for final acceptance.

PART 2 PRODUCTS

2.1 CEMENTITIOUS MATERIALS

Cementitious materials shall be portland cement, or portland cement in combination with pozzolan and shall conform to appropriate specifications listed below. Use of cementitious materials in concrete which will have surfaces exposed in the completed structure shall be restricted so there is no change in color, source, or type of cementitious material.

2.1.1 Portland Cement

Portland cement shall conform to ASTM C 150 of one of the types listed below. All cement shall meet the optional chemical requirements for low alkali cement in Table 2 of ASTM C 150. All concrete shall be made with sulfate-resistant cement of one of the types listed below:

Type II blended with pozzolan meeting the requirements of ASTM C 618, Type F, or

Type II having tricalcium aluminate content of less than 5 percent, or meeting the optional physical requirement for sulfate expansion for Type V cement in Table 4 of ASTM C 150, or

Type V.

2.1.2 Pozzolan (Fly Ash)

ASTM C 618, Class C or F with the optional requirements for multiple factor, drying shrinkage, and uniformity from Table 2A of ASTM C 618. . If pozzolan is used, it shall never be less than 15 percent nor more than 35 percent by weight of the total cementitious material. The Contractor shall comply with EPA requirements for recycled materials.

2.2 AGGREGATES

Aggregates shall conform to the following.

2.2.1 Fine Aggregate

Fine aggregate shall conform to the quality and gradation requirements of ASTM C 33.

2.2.2 Coarse Aggregate

Coarse aggregate shall conform to ASTM C 33, Class 5S.

2.3 CHEMICAL ADMIXTURES

Chemical admixtures, when required or permitted, shall conform to the appropriate specification listed. Admixtures shall be furnished in liquid form and of suitable concentration for easy, accurate control of dispensing.

2.3.1 Air-Entraining Admixture

ASTM C 260 and shall consistently entrain the air content in the specified

ranges under field conditions.

2.3.2 Accelerating Admixture

ASTM C 494/C 494M, Type C or E, except that calcium chloride or admixtures containing calcium chloride shall not be used.

2.3.3 Water-Reducing or Retarding Admixture

ASTM C 494/C 494M, Type A, B, or D, except that the 6-month and 1-year compressive strength tests are waived.

2.3.4 High-Range Water Reducer

ASTM C 494/C 494M, Type F or G, except that the 6-month and 1-year strength requirements are waived. The admixture shall be used only when approved in writing, such approval being contingent upon particular mixture control as described in the Contractor's Quality Control Plan and upon performance of separate mixture design studies.

2.4 CURING MATERIALS

2.4.1 Impervious-Sheet

Impervious-sheet materials shall conform to ASTM C 171, type optional, except, that polyethylene sheet shall not be used.

2.4.2 Membrane-Forming Compound

Membrane-Forming curing compound shall conform to ASTM C 309, Type 1-D or 2, except that only a styrene acrylate or chlorinated rubber compound meeting Class B requirements shall be used for surfaces that are to be painted or are to receive bituminous roofing, or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing, or flooring specified. Nonpigmented compound shall contain a fugitive dye, and shall have the reflective requirements in ASTM C 309 waived.

2.4.3 Burlap and Cotton Mat

Burlap and cotton mat used for curing shall conform to AASHTO M 182.

2.5 WATER

Water for mixing and curing shall be fresh, clean, potable, and free of injurious amounts of oil, acid, salt, or alkali, except that non-potable water may be used if it meets the requirements of COE CRD-C 400.

2.6 LATEX BONDING AGENT

Latex agents for bonding fresh to hardened concrete shall conform to ASTM C 1059.

2.7 EPOXY RESIN

Epoxy resins for use in repairs shall conform to ASTM C 881, Type V, Grade 2. Class as appropriate to the existing ambient and surface temperatures.

2.8 EMBEDDED ITEMS

Embedded items shall be of the size and type indicated or as needed for the application. Dovetail slots shall be galvanized steel. Hangers for suspended ceilings shall be as specified in Section 09510 ACOUSTICAL CEILINGS. Inserts for shelf angles and bolt hangers shall be of malleable iron or cast or wrought steel.

2.9 JOINT MATERIALS

Joint materials are specified in Section 03150a EXPANSION JOINTS.

PART 3 EXECUTION

3.1 PREPARATION FOR PLACING

Before commencing concrete placement, the following shall be performed. Surfaces to receive concrete shall be clean and free from frost, ice, mud, and water. Forms shall be in place, cleaned, coated, and adequately supported, in accordance with Section 03100a STRUCTURAL CONCRETE FORMWORK. Reinforcing steel shall be in place, cleaned, tied, and adequately supported, in accordance with Section 03200a CONCRETE REINFORCEMENT. Transporting and conveying equipment shall be in-place, ready for use, clean, and free of hardened concrete and foreign material. Equipment for consolidating concrete shall be at the placing site and in proper working order. Equipment and material for curing and for protecting concrete from weather or mechanical damage shall be at the placing site, in proper working condition and in sufficient amount for the entire placement. When hot, windy conditions during concreting appear probable, equipment and material shall be at the placing site to provide windbreaks, shading, fogging, or other action to prevent plastic shrinkage cracking or other damaging drying of the concrete.

3.1.1 Foundations

3.1.1.1 Concrete on Earth Foundations

Earth (subgrade, base, or subbase courses) surfaces upon which concrete is to be placed shall be clean, damp, and free from debris, frost, ice, and standing or running water. Prior to placement of concrete, the foundation shall be well drained and shall be satisfactorily graded and uniformly compacted.

3.1.1.2 Excavated Surfaces in Lieu of Forms

Concrete for footings may be placed directly against the soil provided the earth or rock has been carefully trimmed, is uniform and stable, and meets the compaction requirements of Section 02315a EXCAVATION, FILLING, AND BACKFILLING FOR BUILDINGS AND IGLOOS. The concrete shall be placed without becoming contaminated by loose material, and the outline of the concrete shall be within the specified tolerances.

3.1.2 Previously Placed Concrete

3.1.2.1 Preparation of Previously Placed Concrete

Concrete surfaces to which other concrete is to be bonded shall be abraded in an approved manner that will expose sound aggregate uniformly without damaging the concrete. Laitance and loose particles shall be removed.

Surfaces shall be thoroughly washed and shall be moist but without free water when concrete is placed.

3.1.3 Embedded Items

Before placement of concrete, care shall be taken to determine that all embedded items are firmly and securely fastened in place as indicated on the drawings, or required. Conduit and other embedded items shall be clean and free of oil and other foreign matter such as loose coatings or rust, paint, and scale. The embedding of wood in concrete will be permitted only when specifically authorized or directed. Voids in sleeves, inserts, and anchor slots shall be filled temporarily with readily removable materials to prevent the entry of concrete into voids. Welding shall not be performed on embedded metals within 1 foot of the surface of the concrete. Tack welding shall not be performed on or to embedded items.

3.2 CONCRETE PRODUCTION

Batch-type equipment shall be used for producing concrete. Ready-mixed concrete shall be batched, mixed, and transported in accordance with ASTM C 94/C 94M, except as otherwise specified. Truck mixers, agitators, and nonagitator transporting units shall comply with NRMCA TMMB 100. Ready-mix plant equipment and facilities shall be certified in accordance with NRMCA QC 3. Approved batch tickets shall be furnished for each load of ready-mixed concrete. Site-mixed concrete shall be produced in accordance with ACI 301, and plant shall conform to NRMCA CPMB 100. In lieu of batch-type equipment, concrete may be produced by volumetric batching and continuous mixing, which shall conform to ASTM C 685.

3.3 TRANSPORTING CONCRETE TO PROJECT SITE

Concrete shall be transported to the placing site in truck mixers, agitators, or nonagitator transporting equipment conforming to NRMCA TMMB 100.

3.4 CONVEYING CONCRETE ON SITE

Concrete shall be conveyed from mixer or transporting unit to forms as rapidly as possible and within the time interval specified by methods which will prevent segregation or loss of ingredients using following equipment. Conveying equipment shall be cleaned before each placement.

3.4.1 Buckets

The interior hopper slope shall be not less than 58 degrees from the horizontal, the minimum dimension of the clear gate opening shall be at least 5 times the nominal maximum-size aggregate, and the area of the gate opening shall not be less than 2 square feet. The maximum dimension of the gate opening shall not be greater than twice the minimum dimension. The bucket gates shall be essentially grout tight when closed and may be manually, pneumatically, or hydraulically operated except that buckets larger than 2 cubic yards shall not be manually operated. The design of the bucket shall provide means for positive regulation of the amount and rate of deposit of concrete in each dumping position.

3.4.2 Trucks

Truck mixers operating at agitating speed or truck agitators used for transporting plant-mixed concrete shall conform to the requirements of ASTM

C 94/C 94M. Nonagitating equipment shall be used only for transporting plant-mixed concrete over a smooth road and when the hauling time is less than 15 minutes. Bodies of nonagitating equipment shall be smooth, watertight, metal containers specifically designed to transport concrete, shaped with rounded corners to minimize segregation, and equipped with gates that will permit positive control of the discharge of the concrete.

3.4.3 Chutes

When concrete can be placed directly from a truck mixer, agitator, or nonagitating equipment, the chutes normally attached to this equipment by the manufacturer may be used. A discharge deflector shall be used when required by the Contracting Officer. Separate chutes and other similar equipment will not be permitted for conveying concrete.

3.4.4 Concrete Pumps

Concrete may be conveyed by positive displacement pump when approved. The pumping equipment shall be piston or squeeze pressure type; pneumatic placing equipment shall not be used. The pipeline shall be rigid steel pipe or heavy-duty flexible hose. The inside diameter of the pipe shall be at least 3 times the nominal maximum-size coarse aggregate in the concrete mixture to be pumped but not less than 4 inches. Aluminum pipe shall not be used.

3.5 PLACING CONCRETE

Mixed concrete shall be discharged within 1-1/2 hours or before the mixer drum has revolved 300 revolutions, whichever comes first after the introduction of the mixing water to the cement and aggregates. When the concrete temperature exceeds 85 degrees F, the time shall be reduced to 45 minutes. Concrete shall be placed within 15 minutes after it has been discharged from the transporting unit. Concrete shall be handled from mixer or transporting unit to forms in a continuous manner until the approved unit of operation is completed. Adequate scaffolding, ramps and walkways shall be provided so that personnel and equipment are not supported by in-place reinforcement. Placing will not be permitted when the sun, heat, wind, or limitations of facilities furnished by the Contractor prevent proper consolidation, finishing and curing. Sufficient placing capacity shall be provided so that concrete can be kept free of cold joints.

3.5.1 Depositing Concrete

Concrete shall be deposited as close as possible to its final position in the forms, and there shall be no vertical drop greater than 5 feet except where suitable equipment is provided to prevent segregation and where specifically authorized. Depositing of the concrete shall be so regulated that it will be effectively consolidated in horizontal layers not more than 12 inches thick, except that all slabs shall be placed in a single layer. Concrete to receive other construction shall be screeded to the proper level. Concrete shall be deposited continuously in one layer or in layers so that fresh concrete is deposited on in-place concrete that is still plastic. Fresh concrete shall not be deposited on concrete that has hardened sufficiently to cause formation of seams or planes of weakness within the section. Concrete that has surface dried, partially hardened, or contains foreign material shall not be used. When temporary spreaders are used in the forms, the spreaders shall be removed as their service becomes unnecessary. Concrete shall not be placed in slabs over columns

and walls until concrete in columns and walls has been in-place at least two hours or until the concrete begins to lose its plasticity. Concrete for beams, girders, brackets, column capitals, haunches, and drop panels shall be placed at the same time as concrete for adjoining slabs.

3.5.2 Consolidation

Immediately after placing, each layer of concrete shall be consolidated by internal vibrators, except for slabs 4 inches thick or less. The vibrators shall at all times be adequate in effectiveness and number to properly consolidate the concrete; a spare vibrator shall be kept at the jobsite during all concrete placing operations. The vibrators shall have a frequency of not less than 10,000 vibrations per minute, an amplitude of at least 0.025 inch, and the head diameter shall be appropriate for the structural member and the concrete mixture being placed. Vibrators shall be inserted vertically at uniform spacing over the area of placement. The distance between insertions shall be approximately 1-1/2 times the radius of action of the vibrator so that the area being vibrated will overlap the adjacent just-vibrated area by a reasonable amount. The vibrator shall penetrate rapidly to the bottom of the layer and at least 6 inches into the preceding layer if there is such. Vibrator shall be held stationary until the concrete is consolidated and then vertically withdrawn slowly while operating. Form vibrators shall not be used unless specifically approved and unless forms are constructed to withstand their use. Vibrators shall not be used to move concrete within the forms. Slabs 4 inches and less in thickness shall be consolidated by properly designed vibrating screeds or other approved technique. Excessive vibration of lightweight concrete resulting in segregation or flotation of coarse aggregate shall be prevented.

Frequency and amplitude of vibrators shall be determined in accordance with COE CRD-C 521. Grate tampers ("jitterbugs") shall not be used.

3.5.3 Cold Weather Requirements

Special protection measures, approved by the Contracting Officer, shall be used if freezing temperatures are anticipated before the expiration of the specified curing period. The ambient temperature of the air where concrete is to be placed and the temperature of surfaces to receive concrete shall be not less than 40 degrees F. The temperature of the concrete when placed shall be not less than 50 degrees F nor more than 75 degrees F. Heating of the mixing water or aggregates will be required to regulate the concrete placing temperature. Materials entering the mixer shall be free from ice, snow, or frozen lumps. Salt, chemicals or other materials shall not be incorporated in the concrete to prevent freezing. Upon written approval, an accelerating admixture conforming to ASTM C 494/C 494M, Type C or E may be used, provided it contains no calcium chloride. Calcium chloride shall not be used.

3.5.4 Hot Weather Requirements

When the ambient temperature during concrete placing is expected to exceed 85 degrees F, the concrete shall be placed and finished with procedures previously submitted and as specified herein. The concrete temperature at time of delivery to the forms shall not exceed the temperature shown in the table below when measured in accordance with ASTM C 1064/C 1064M. Cooling of the mixing water or aggregates or placing concrete in the cooler part of the day may be required to obtain an adequate placing temperature. A retarder may be used, as approved, to facilitate placing and finishing. Steel forms and reinforcements shall be cooled as approved prior to concrete placement when steel temperatures are greater than 120 degrees F.

Conveying and placing equipment shall be cooled if necessary to maintain proper concrete-placing temperature.

Maximum Allowable Concrete Placing Temperature

Relative Humidity, Percent, During Time of Concrete Placement	Maximum Allowable Concrete Temperature Degrees
Greater than 60	90 F
40-60	85 F
Less than 40	80 F

3.5.5 Prevention of Plastic Shrinkage Cracking

During hot weather with low humidity, and particularly with appreciable wind, as well as interior placements when space heaters produce low humidity, the Contractor shall be alert to the tendency for plastic shrinkage cracks to develop and shall institute measures to prevent this. Particular care shall be taken if plastic shrinkage cracking is potentially imminent and especially if it has developed during a previous placement. Periods of high potential for plastic shrinkage cracking can be anticipated by use of Fig. 2.1.5 of ACI 305R. In addition the concrete placement shall be further protected by erecting shades and windbreaks and by applying fog sprays of water, sprinkling, ponding or wet covering. Plastic shrinkage cracks that occur shall be filled by injection of epoxy resin as directed, after the concrete hardens. Plastic shrinkage cracks shall never be troweled over or filled with slurry.

3.5.6 Placing Concrete in Congested Areas

Special care shall be used to ensure complete filling of the forms, elimination of all voids, and complete consolidation of the concrete when placing concrete in areas congested with reinforcing bars, embedded items, waterstops and other tight spacing. An appropriate concrete mixture shall be used, and the nominal maximum size of aggregate (NMSA) shall meet the specified criteria when evaluated for the congested area. Vibrators with heads of a size appropriate for the clearances available shall be used, and the consolidation operation shall be closely supervised to ensure complete and thorough consolidation at all points. Where necessary, splices of reinforcing bars shall be alternated to reduce congestion. Where two mats of closely spaced reinforcing are required, the bars in each mat shall be placed in matching alignment to reduce congestion. Reinforcing bars may be temporarily crowded to one side during concrete placement provided they are returned to exact required location before concrete placement and consolidation are completed.

3.6 JOINTS

Joints shall be located and constructed as indicated or approved. All reinforcement shall be continued across joints; except that reinforcement or other fixed metal items shall not be continuous through expansion joints. Reinforcement shall be 2 inches clear from each expansion joint. Joints to be sealed shall be cleaned and sealed as indicated and in accordance with Section 03150a EXPANSION JOINTS.

3.6.1 Construction Joints

For concrete other than slabs on grade, construction joints shall be located as shown on the drawings. Concrete shall be placed continuously so that each unit is monolithic in construction. Fresh concrete shall not be placed against adjacent hardened concrete until it is at least 24 hours old. Construction joints shall be located as indicated or approved. Where concrete work is interrupted by weather, end of work shift or other similar type of delay, location and type of construction joint shall be subject to approval of the Contracting Officer. Unless otherwise indicated, reinforcing steel shall extend through construction joints. Construction joints in slabs on grade shall be keyed or doweled as shown. Concrete columns, walls, or piers shall be in place at least 2 hours, or until the concrete begins to lose its plasticity, before placing concrete for beams, girders, or slabs thereon. In walls having door or window openings, lifts shall terminate at the top and bottom of the opening. Other lifts shall terminate at such levels as to conform to structural requirements or architectural details. Where horizontal construction joints in walls or columns are required, a strip of 1 inch square-edge lumber, bevelled and oiled to facilitate removal, shall be tacked to the inside of the forms at the construction joint. Concrete shall be placed to a point 1 inch above the underside of the strip. The strip shall be removed 1 hour after the concrete has been placed, and any irregularities in the joint line shall be leveled off with a wood float, and all laitance shall be removed. Prior to placing additional concrete, horizontal construction joints shall be prepared as specified in paragraph Previously Placed Concrete.

3.6.2 Expansion Joints

Installation of expansion joints and sealing of these joints shall conform to the requirements of Section 03150 a EXPANSION JOINTS.

3.6.3 Dowels

Dowels shall be installed at the locations shown on the drawings and to the details shown, using materials and procedures specified in Section 03200a CONCRETE REINFORCEMENT and herein. Conventional smooth "paving" dowels shall be installed in slabs using approved methods to hold the dowel in place during concreting within a maximum alignment tolerance of 1/8 inch in 12 inches. Care shall be taken during placing adjacent to and around dowels and tie bars to ensure there is no displacement of the dowel and that the concrete completely embeds the dowel or tie bar and is thoroughly consolidated.

3.7 FINISHING FORMED SURFACES

Forms, form materials, and form construction are specified in Section 03100a STRUCTURAL CONCRETE FORMWORK. Finishing of formed surfaces shall be as specified herein. Unless another type of architectural or special finish is specified, surfaces shall be left with the texture imparted by the forms except that defective surfaces shall be repaired. Unless painting of surfaces is required, uniform color of the concrete shall be maintained by use of only one mixture without changes in materials or proportions for any structure or portion of structure that requires a Class A or B finish. Except for major defects, as defined hereinafter, surface defects shall be repaired as specified herein within 24 hours after forms are removed. Repairs of the so-called "plaster-type" will not be permitted in any location. Tolerances of formed surfaces shall conform to the requirements of ACI 117/117R. These tolerances apply to the finished concrete surface, not to the forms themselves; forms shall be set true to line and grade.

Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter shall be repaired as specified in paragraph Damp-Pack Mortar Repair. Defects whose surface diameter is greater than their depth shall be repaired as specified in paragraph Repair of Major Defects. Repairs shall be finished flush with adjacent surfaces and with the same surface texture. The cement used for all repairs shall be a blend of job cement with white cement proportioned so that the final color after curing and aging will be the same as the adjacent concrete. Concrete with excessive honeycomb, or other defects which affect the strength of the member, will be rejected. Repairs shall be demonstrated to be acceptable and free from cracks or loose or drummy areas at the completion of the contract and, for Class A and B Finishes, shall be inconspicuous. Repairs not meeting these requirements will be rejected and shall be replaced.

3.7.1 Class B Finish

Class B finish is required on all surfaces exposed to view. Fins, ravelings, and loose material shall be removed, all surface defects over 1/2 inch in diameter or more than 1/2 inch deep, shall be repaired and, except as otherwise indicated or as specified in Section 03100a STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Defects more than 1/2 inch in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep. The Contractor shall prepare a sample panel for approval (as specified in PART 1) before commencing repair, showing that the surface texture and color match will be attained. Metal tools shall not be used to finish repairs in Class A surfaces.

3.7.2 Class D Finish

Class D finish is required on all surfaces which will not be exposed to view in the finished structure. Fins, ravelings, and loose material shall be removed, and, except as otherwise indicated or as specified in Section 03100a STRUCTURAL CONCRETE FORMWORK, holes left by removal of form ties shall be reamed and filled. Honeycomb and other defects more than 1/2 inch deep or more than 2 inches in diameter shall be repaired. Defects more than 2 inches in diameter shall be cut back to sound concrete, but in all cases at least 1 inch deep.

3.8 REPAIRS

3.8.1 Damp-Pack Mortar Repair

Form tie holes requiring repair and other defects whose depth is at least as great as their surface diameter but not over 4 inches shall be repaired by the damp-pack mortar method. Form tie holes shall be reamed and other similar defects shall be cut out to sound concrete. The void shall then be thoroughly cleaned, thoroughly wetted, brush-coated with a thin coat of neat cement grout and filled with mortar. Mortar shall be a stiff mix of 1 part portland cement to 2 parts fine aggregate passing the No. 16 mesh sieve, and minimum amount of water. Only sufficient water shall be used to produce a mortar which, when used, will stick together on being molded into a ball by a slight pressure of the hands and will not exude water but will leave the hands damp. Mortar shall be mixed and allowed to stand for 30 to 45 minutes before use with remixing performed immediately prior to use. Mortar shall be thoroughly tamped in place in thin layers using a hammer and hardwood block. Holes passing entirely through walls shall be

completely filled from the inside face by forcing mortar through to the outside face. All holes shall be packed full. Damp-pack repairs shall be moist cured for at least 48 hours.

3.8.2 Repair of Major Defects

Major defects will be considered to be those more than 1/2 inch deep or, for Class A and B finishes, more than 1/2 inch in diameter and, for Class C and D finishes, more than 2 inches in diameter. Also included are any defects of any kind whose depth is over 4 inches or whose surface diameter is greater than their depth. Major defects shall be repaired as specified below.

3.8.2.1 Surface Application of Mortar Repair

Defective concrete shall be removed, and removal shall extend into completely sound concrete. Approved equipment and procedures which will not cause cracking or microcracking of the sound concrete shall be used. If reinforcement is encountered, concrete shall be removed so as to expose the reinforcement for at least 2 inches on all sides. All such defective areas greater than 12 square inches shall be outlined by saw cuts at least 1 inch deep. Defective areas less than 12 square inches shall be outlined by a 1 inch deep cut with a core drill in lieu of sawing. All saw cuts shall be straight lines in a rectangular pattern in line with the formwork panels. After concrete removal, the surface shall be thoroughly cleaned by high pressure washing to remove all loose material. Surfaces shall be kept continually saturated for the first 12 of the 24 hours immediately before placing mortar and shall be damp but not wet at the time of commencing mortar placement. The Contractor, at his option, may use either hand-placed mortar or mortar placed with a mortar gun. If hand-placed mortar is used, the edges of the cut shall be perpendicular to the surface of the concrete. The prepared area shall be brush-coated with a thin coat of neat cement grout. The repair shall then be made using a stiff mortar, preshrunk by allowing the mixed mortar to stand for 30 to 45 minutes and then remixed, thoroughly tamped into place in thin layers. If hand-placed mortar is used, the Contractor shall test each repair area for drumminess by firm tapping with a hammer and shall inspect for cracks, both in the presence of the Contracting Officer's representative, immediately before completion of the contract, and shall replace any showing drumminess or cracking. If mortar placed with a mortar gun is used, the gun shall be a small compressed air-operated gun to which the mortar is slowly hand fed and which applies the mortar to the surface as a high-pressure stream, as approved. Repairs made using shotcrete equipment will not be accepted. The mortar used shall be the same mortar as specified for damp-pack mortar repair. If gun-placed mortar is used, the edges of the cut shall be beveled toward the center at a slope of 1:1. All surface applied mortar repairs shall be continuously moist cured for at least 7 days. Moist curing shall consist of several layers of saturated burlap applied to the surface immediately after placement is complete and covered with polyethylene sheeting, all held closely in place by a sheet of plywood or similar material rigidly braced against it. Burlap shall be kept continually wet.

3.8.2.2 Repair of Deep and Large Defects

Deep and large defects will be those that are more than 6 inches deep and also have an average diameter at the surface more than 18 inches or that are otherwise so identified by the Project Office. Such defects shall be repaired as specified herein or directed, except that defects which affect

the strength of the structure shall not be repaired and that portion of the structure shall be completely removed and replaced. Deep and large defects shall be repaired by procedures approved in advance including forming and placing special concrete using applied pressure during hardening. Preparation of the repair area shall be as specified for surface application of mortar. In addition, the top edge (surface) of the repair area shall be sloped at approximately 20 degrees from the horizontal, upward toward the side from which concrete will be placed. The special concrete shall be a concrete mixture with low water content and low slump, and shall be allowed to age 30 to 60 minutes before use. Concrete containing a specified expanding admixture may be used in lieu of the above mixture; the paste portion of such concrete mixture shall be designed to have an expansion between 2.0 and 4.0 percent when tested in accordance with ASTM C 940. A full width "chimney" shall be provided at the top of the form on the placing side to ensure filling to the top of the opening. A pressure cap shall be used on the concrete in the chimney with simultaneous tightening and revibrating the form during hardening to ensure a tight fit for the repair. The form shall be removed after 24 hours and immediately the chimney shall be carefully chipped away to avoid breaking concrete out of the repair; the surface of the repair concrete shall be dressed as required.

3.9 FINISHING UNFORMED SURFACES

The finish of all unformed surfaces shall meet the requirements of paragraph Tolerances in PART 1, when tested as specified herein.

3.9.1 General

The ambient temperature of spaces adjacent to unformed surfaces being finished and of the base on which concrete will be placed shall be not less than 50 degrees F. In hot weather all requirements of paragraphs Hot Weather Requirements and Prevention of Plastic Shrinkage Cracking shall be met. Unformed surfaces that are not to be covered by additional concrete or backfill shall have a float finish, with additional finishing as specified below, and shall be true to the elevation shown on the drawings. Surfaces to receive additional concrete or backfill shall be brought to the elevation shown on the drawings, properly consolidated, and left true and regular. Unless otherwise shown on the drawings, exterior surfaces shall be sloped for drainage, as directed. Where drains are provided, interior floors shall be evenly sloped to the drains. Joints shall be carefully made with a jointing or edging tool. The finished surfaces shall be protected from stains or abrasions. Grate tampers or "jitterbugs" shall not be used for any surfaces. The dusting of surfaces with dry cement or other materials or the addition of any water during finishing shall not be permitted. If bleedwater is present prior to finishing, the excess water shall be carefully dragged off or removed by absorption with porous materials such as burlap. During finishing operations, extreme care shall be taken to prevent over finishing or working water into the surface; this can cause "crazing" (surface shrinkage cracks which appear after hardening) of the surface. Any slabs with surfaces which exhibit significant crazing shall be removed and replaced. During finishing operations, surfaces shall be checked with a 10 foot straightedge, applied in both directions at regular intervals while the concrete is still plastic, to detect high or low areas.

3.9.2 Rough Slab Finish

As a first finishing operation for unformed surfaces, the surface shall

receive a rough slab finish prepared as follows. The concrete shall be uniformly placed across the slab area, consolidated as previously specified, and then screeded with straightedge strikeoffs immediately after consolidation to bring the surface to the required finish level with no coarse aggregate visible. Side forms and screed rails shall be provided, rigidly supported, and set to exact line and grade. Allowable tolerances for finished surfaces apply only to the hardened concrete, not to forms or screed rails. Forms and screed rails shall be set true to line and grade. "Wet screeds" shall not be used.

3.9.3 Floated Finish

All slabs shall next be given a wood float finish. The screeding shall be followed immediately by darbying or bull floating before bleeding water is present, to bring the surface to a true, even plane. Then, after the concrete has stiffened so that it will withstand a man's weight without imprint of more than 1/4 inch and the water sheen has disappeared, it shall be floated to a true and even plane free of ridges. Floating shall be performed by use of suitable hand floats or power driven equipment. Sufficient pressure shall be used on the floats to bring a film of moisture to the surface. Hand floats shall be made of wood, magnesium, or aluminum.

Lightweight concrete or concrete that exhibits stickiness shall be floated with a magnesium float. Care shall be taken to prevent over-finishing or incorporating water into the surface.

3.9.4 Troweled Finish

All slabs shall be given a trowel finish. After floating is complete and after the surface moisture has disappeared, unformed surfaces shall be steel-troweled to a smooth, even, dense finish, free from blemishes including trowel marks. In lieu of hand finishing, an approved power finishing machine may be used in accordance with the directions of the machine manufacturer. Additional trowelings shall be performed, either by hand or machine until the surface has been troweled 2 times, with waiting period between each. Care shall be taken to prevent blistering and if such occurs, troweling shall immediately be stopped and operations and surfaces corrected. A final hard steel troweling shall be done by hand, with the trowel tipped, and using hard pressure, when the surface is at a point that the trowel will produce a ringing sound. The finished surface shall be thoroughly consolidated and shall be essentially free of trowel marks and be uniform in texture and appearance. The concrete mixture used for troweled finished areas shall be adjusted, if necessary, in order to provide sufficient fines (cementitious material and fine sand) to finish properly.

3.9.5 Broomed Finish

A broomed finish shall be given to the exterior portions of concrete slabs as indicated on the drawings. After floating, the surface shall be lightly steel troweled, and then carefully scored by pulling a soft, coarse fiber push-type broom across the surface. Brooming shall be transverse to traffic or at right angles to the slope of the slab. After the end of the curing period, the surface shall be vigorously broomed with a coarse fiber broom to remove all loose or semi-detached particles.

3.10 CURING AND PROTECTION

3.10.1 General

Concrete shall be cured by an approved method for
12 days

Immediately after placement, concrete shall be protected from premature drying, extremes in temperatures, rapid temperature change, mechanical injury and damage from rain and flowing water for the duration of the curing period. Air and forms in contact with concrete shall be maintained at a temperature above 50 degrees F for the first 3 days and at a temperature above 32 degrees F for the remainder of the specified curing period. Exhaust fumes from combustion heating units shall be vented to the outside of the enclosure, and heaters and ducts shall be placed and directed so as not to cause areas of overheating and drying of concrete surfaces or to create fire hazards. Materials and equipment needed for adequate curing and protection shall be available and at the site prior to placing concrete. No fire or excessive heat, including welding, shall be permitted near or in direct contact with the concrete at any time. Except as otherwise permitted by paragraph Membrane Forming Curing Compounds, moist curing shall be provided for any areas to receive floor hardener, any paint or other applied coating, or to which other concrete is to be bonded.

Concrete containing silica fume shall be initially cured by fog misting during finishing, followed immediately by continuous moist curing. Except for plastic coated burlap, impervious sheeting alone shall not be used for curing.

3.10.2 Moist Curing

Concrete to be moist-cured shall be maintained continuously wet for the entire curing period, commencing immediately after finishing. If water or curing materials used stain or discolor concrete surfaces which are to be permanently exposed, the concrete surfaces shall be cleaned as approved. When wooden forms are left in place during curing, they shall be kept wet at all times. If steel forms are used in hot weather, nonsupporting vertical forms shall be broken loose from the concrete soon after the concrete hardens and curing water continually applied in this void. If the forms are removed before the end of the curing period, curing shall be carried out as on unformed surfaces, using suitable materials. Surfaces shall be cured by ponding, by continuous sprinkling, by continuously saturated burlap or cotton mats, or by continuously saturated plastic coated burlap. Burlap and mats shall be clean and free from any contamination and shall be completely saturated before being placed on the concrete. The Contractor shall have an approved work system to ensure that moist curing is continuous 24 hours per day.

3.10.3 Membrane Forming Curing Compounds

Membrane curing shall not be used on surfaces that are to receive any subsequent treatment depending on adhesion or bonding to the concrete, including surfaces to which a smooth finish is to be applied or other concrete is to be bonded. However, a styrene acrylate or chlorinated rubber compound meeting ASTM C 309, Class B requirements, may be used for surfaces which are to be painted or are to receive bituminous roofing or waterproofing, or floors that are to receive adhesive applications of resilient flooring. The curing compound selected shall be compatible with any subsequent paint, roofing, waterproofing or flooring specified. Membrane curing compound shall not be used on surfaces that are maintained at curing temperatures with free steam. Curing compound shall be applied to formed surfaces immediately after the forms are removed and prior to any patching or other surface treatment except the cleaning of loose sand,

mortar, and debris from the surface. All surfaces shall be thoroughly moistened with water. Curing compound shall be applied to slab surfaces as soon as the bleeding water has disappeared, with the tops of joints being temporarily sealed to prevent entry of the compound and to prevent moisture loss during the curing period. The curing compound shall be applied in a two-coat continuous operation by approved motorized power-spraying equipment operating at a minimum pressure of 75 psi, at a uniform coverage of not more than 400 square feet per gallon for each coat, and the second coat shall be applied perpendicular to the first coat. Concrete surfaces which have been subjected to rainfall within 3 hours after curing compound has been applied shall be resprayed by the method and at the coverage specified. Surfaces on which clear compound is used shall be shaded from direct rays of the sun for the first 3 days. Surfaces coated with curing compound shall be kept free of foot and vehicular traffic, and from other sources of abrasion and contamination during the curing period.

3.10.4 Impervious Sheeting

Impervious-sheet curing shall only be used on horizontal or nearly horizontal surfaces. Surfaces shall be thoroughly wetted and be completely covered with the sheeting. Sheeting shall be at least 18 inches wider than the concrete surface to be covered. Covering shall be laid with light-colored side up. Covering shall be lapped not less than 12 inches and securely weighted down or shall be lapped not less than 4 inches and taped to form a continuous cover with completely closed joints. The sheet shall be weighted to prevent displacement so that it remains in contact with the concrete during the specified length of curing. Coverings shall be folded down over exposed edges of slabs and secured by approved means. Sheets shall be immediately repaired or replaced if tears or holes appear during the curing period.

3.10.5 Ponding or Immersion

Concrete shall be continually immersed throughout the curing period. Water shall not be more than 20 degrees F less than the temperature of the concrete.

3.10.6 Cold Weather Curing and Protection

When the daily ambient low temperature is less than 32 degrees F the temperature of the concrete shall be maintained above 40 degrees F for the first seven days after placing. During the period of protection removal, the air temperature adjacent to the concrete surfaces shall be controlled so that concrete near the surface will not be subjected to a temperature differential of more than 25 degrees F as determined by suitable temperature measuring devices furnished by the Contractor, as required, and installed adjacent to the concrete surface and 2 inches inside the surface of the concrete. The installation of the thermometers shall be made by the Contractor as directed.

3.11 TESTING AND INSPECTION FOR CONTRACTOR QUALITY CONTROL

The Contractor shall perform the inspection and tests described below and, based upon the results of these inspections and tests, shall take the action required and shall submit specified reports. When, in the opinion of the Contracting Officer, the concreting operation is out of control, concrete placement shall cease and the operation shall be corrected. The laboratory performing the tests shall be onsite and shall conform with ASTM C 1077. Materials may be subjected to check testing by the Government from

samples obtained at the manufacturer, at transfer points, or at the project site.

3.11.1 Concrete Mixture

- a. Air Content Testing. Air content tests shall be made when test specimens are fabricated. In addition, at least two tests for air content shall be made on randomly selected batches of each separate concrete mixture produced during each 8-hour period of concrete production. Additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. Tests shall be made in accordance with ASTM C 231. Samples for air content may be taken at the mixer, however, the Contractor is responsible for delivering the concrete to the placement site at the stipulated air content. If the Contractor's materials or transportation methods cause air content loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the air content at the mixer controlled as directed.
- b. Air Content Corrective Action. Whenever air content approaches either the upper or lower limit, an adjustment shall immediately be made in the amount of air-entraining admixture batched. As soon as practical after each adjustment, another test shall be made to verify the result of the adjustment, and the admixture dispenser shall be recalibrated to ensure that it is operating accurately and with good reproducibility. Whenever air content approaches either the upper or lower, the air content shall be considered out of control and the concreting operation shall immediately be halted until the air content is under control. Additional air content tests shall be made when concreting is restarted.
- c. Slump Testing. In addition to slump tests which shall be made when test specimens are fabricated, at least four slump tests shall be made on randomly selected batches in accordance with ASTM C 143/C 143M for each separate concrete mixture produced during each 8-hour or less period of concrete production each day. Also, additional tests shall be made when excessive variation in workability is reported by the placing foreman or Government inspector. When a single slump test reaches or goes beyond either the upper or lower limit, a second test shall immediately be made. The results of the two tests shall be averaged and this average used as the slump of the batch for determining need for any remedial action. Samples for slump shall be taken at the mixer. However, the Contractor is responsible for delivering the concrete to the placement site at the stipulated slump. If the Contractor's materials or transportation methods cause slump loss between the mixer and the placement, correlation samples shall be taken at the placement site as required by the Contracting Officer, and the slump at the mixer controlled as directed.
- d. Slump Corrective Action. Whenever slump reaches the upper limit, an adjustment shall immediately be made in the batch weights of water and fine aggregate. The adjustments are to be made so that the total water content does not exceed that amount allowed by the maximum w/c ratio specified, based on aggregates which are in a saturated surface dry condition. When a single slump reaches the

upper or lower limit, no further concrete shall be delivered to the placing site until proper adjustments have been made. Immediately after each adjustment, another test shall be made to verify the correctness of the adjustment. Whenever two consecutive individual slump tests, made during a period when there was no adjustment of batch weights, reach the upper limit, the concreting operation shall immediately be halted, and the Contractor shall take appropriate steps to bring the slump under control. Additional slump tests shall be made as directed.

- e. Temperature. The temperature of the concrete shall be measured when compressive strength specimens are fabricated. Measurement shall be in accordance with ASTM C 1064/C 1064M. The temperature shall be reported along with the compressive strength data.
- f. Strength Specimens. At least one set of test specimens shall be made, for compressive strength as appropriate, on each different concrete mixture placed during the day for each 500 cubic yards or portion thereof of that concrete mixture placed each day. Additional sets of test specimens shall be made, as directed by the Contracting Officer, when the mixture proportions are changed or when low strengths have been detected. A truly random (not haphazard) sampling plan shall be developed by the Contractor and approved by the Contracting Officer prior to the start of construction. The plan shall assure that sampling is done in a completely random and unbiased manner. A set of test specimens for concrete with a 28-day specified strength per paragraph Strength Requirements in PART 1 shall consist of four specimens, two to be tested at 7 days and two at 28 days. Test specimens shall be molded and cured in accordance with ASTM C 31/C 31M and tested in accordance with ASTM C 39/C 39M for test cylinders. Results of all strength tests shall be reported immediately to the Contracting Officer. Quality control charts shall be kept for individual strength "tests", ("test" as defined in paragraph Strength Requirements in PART 1) moving average of last 3 "tests" for strength, and moving average for range for the last 3 "tests" for each mixture. The charts shall be similar to those found in ACI 214.3R.

3.11.2 Inspection Before Placing

Foundations, construction joints, forms, and embedded items shall be inspected by the Contractor in sufficient time prior to each concrete placement in order to certify to the Contracting Officer that they are ready to receive concrete. The results of each inspection shall be reported in writing.

3.11.3 Placing

The placing foreman shall supervise placing operations, shall determine that the correct quality of concrete or grout is placed in each location as specified and as directed by the Contracting Officer, and shall be responsible for measuring and recording concrete temperatures and ambient temperature hourly during placing operations, weather conditions, time of placement, volume placed, and method of placement. The placing foreman shall not permit batching and placing to begin until it has been verified that an adequate number of vibrators in working order and with competent operators are available. Placing shall not be continued if any pile of concrete is inadequately consolidated. If any batch of concrete fails to

meet the temperature requirements, immediate steps shall be taken to improve temperature controls.

3.11.4 Curing Inspection

- a. Moist Curing Inspections. At least once each shift, and not less than twice per day on both work and non-work days, an inspection shall be made of all areas subject to moist curing. The surface moisture condition shall be noted and recorded.
- b. Moist Curing Corrective Action. When a daily inspection report lists an area of inadequate curing, immediate corrective action shall be taken, and the required curing period for those areas shall be extended by 1 day.
- c. Membrane Curing Inspection. No curing compound shall be applied until the Contractor has verified that the compound is properly mixed and ready for spraying. At the end of each operation, the Contractor shall estimate the quantity of compound used by measurement of the container and the area of concrete surface covered, shall compute the rate of coverage in square feet per gallon, and shall note whether or not coverage is uniform.
- d. Membrane Curing Corrective Action. When the coverage rate of the curing compound is less than that specified or when the coverage is not uniform, the entire surface shall be sprayed again.
- e. Sheet Curing Inspection. At least once each shift and once per day on non-work days, an inspection shall be made of all areas being cured using impervious sheets. The condition of the covering and the tightness of the laps and tapes shall be noted and recorded.
- f. Sheet Curing Corrective Action. When a daily inspection report lists any tears, holes, or laps or joints that are not completely closed, the tears and holes shall promptly be repaired or the sheets replaced, the joints closed, and the required curing period for those areas shall be extended by 1 day.

3.11.5 Cold-Weather Protection

At least once each shift and once per day on non-work days, an inspection shall be made of all areas subject to cold-weather protection. Any deficiencies shall be noted, corrected, and reported.

3.11.6 Reports

All results of tests or inspections conducted shall be reported informally as they are completed and in writing daily. A weekly report shall be prepared for the updating of control charts covering the entire period from the start of the construction season through the current week. During periods of cold-weather protection, reports of pertinent temperatures shall be made daily. These requirements do not relieve the Contractor of the obligation to report certain failures immediately as required in preceding paragraphs. Such reports of failures and the action taken shall be confirmed in writing in the routine reports. The Contracting Officer has the right to examine all contractor quality control records.

-- End of Section --

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SECTION 05120A

STRUCTURAL STEEL

01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC ASD Manual (1989) Manual of Steel Construction
Allowable Stress Design

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 307 (2000) Carbon Steel Bolts and Studs, 60
000 PSI Tensile Strength

ASTM A 325 (2000) Structural Bolts, Steel, Heat
Treated, 120/105 ksi Minimum Tensile
Strength

ASTM A 36/A 36M (2000a) Carbon Structural Steel

ASTM A 53/A 53M (2001) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless

ASTM A 563 (2000) Carbon and Alloy Steel Nuts

ASTM A 992/A 992M (2000) Steel for Structural Shapes For Use
in Building Framing

ASTM F 436 (2000) Hardened Steel Washers

ASTM F 844 (2000) Washers, Steel, Plain (Flat),
Unhardened for General Use

ASTM F 959 (1999a) Compressible-Washer-Type Direct
Tension Indicators for Use with Structural
Fasteners

AMERICAN WELDING SOCIETY (AWS)

AWS A2.4 (1998) Standard Symbols for Welding,
Brazing and Nondestructive Examination

AWS D1.1 (2000) Structural Welding Code - Steel

THE SOCIETY FOR PROTECTIVE COATINGS (SSPC)

SSPC Paint 25 (1991) Red Iron Oxide, Zinc Oxide, Raw

Linseed Oil and Alkyd Primer (Without Lead
and Chromate Pigments)

1.2 GENERAL REQUIREMENTS

Structural steel fabrication and erection shall be performed by an organization experienced in structural steel work of equivalent magnitude. The Contractor shall be responsible for correctness of detailing, fabrication, and for the correct fitting of structural members. Connections, for any part of the structure not shown on the contract drawings, shall be considered simple shear connections and shall be designed and detailed in accordance with pertinent provisions of AISC ASD Manual. Substitution of sections or modification of connection details will not be accepted unless approved by the Contracting Officer. AISC ASD Manual shall govern the work. Welding shall be in accordance with AWS D1.1. High-strength bolting shall be in accordance with AISC ASD Manual.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Structural Steel System; G-DO
Structural Connections; G-DO

Shop and erection details for igloo canopy (hood) framing.
Welds shall be indicated by standard welding symbols in accordance with AWS A2.4.

SD-03 Product Data

Welding; G-AO

WPS not prequalified.

WPS prequalified.

SD-06 Test Reports

Quality Control; G-AO

A quality assurance plan and records of tests and inspections.

SD-07 Certificates

Mill Test Reports

Certified copies of mill test reports for structural steel, structural bolts, nuts, washers and other related structural steel items, including attesting that the structural steel furnished contains no less than 25 percent recycled scrap steel and meets the requirements specified, prior to the installation.

Welder Qualifications; G-AO

Certified copies of welder qualifications test records showing qualification in accordance with AWS D1.1.

Welding Inspector; G-A0

Welding Inspector qualifications.

1.4 STORAGE

Material shall be stored out of contact with the ground in such manner and location as will minimize deterioration.

1.5 WELDING INSPECTOR

Welding Inspector qualifications shall be in accordance with AWS D1.1

PART 2 PRODUCTS

2.1 STRUCTURAL STEEL

2.1.1 Carbon Grade Steel

Carbon grade steel for wide flange shapes for buildings shall conform to ASTM A 992/A 992M (50 ksi minimum tensile yield stress). Carbon grade steel for other plates and shapes shall conform to ASTM A 36/A 36M.

2.2 STEEL PIPE

Steel pipe shall conform to ASTM A 53/A 53M, Type E, Grade B.

2.3 HIGH STRENGTH BOLTS AND NUTS

High strength bolts shall conform to ASTM A 325, Type 1 with carbon steel nuts conforming to ASTM A 563, Grade C.

2.4 CARBON STEEL BOLTS AND NUTS

Carbon steel bolts shall conform to ASTM A 307, Grade A with carbon steel nuts conforming to ASTM A 563, Grade A.

2.5 NUTS DIMENSIONAL STYLE

Carbon steel nuts shall be Heavy Hex style when used with ASTM A 307 bolts or Heavy Hex style when used with ASTM A 325 bolts.

2.6 WASHERS

Plain washers shall conform to ASTM F 844. Other types, when required, shall conform to ASTM F 436 or ASTM F 959.

2.7 PAINT

Paint (for priming of steel) shall conform to SSPC Paint 25.

2.8 IGLOO SLIDING BLAST DOORS AND HARDWARE

See specification section 08316.

PART 3 EXECUTION

3.1 FABRICATION

Fabrication shall be in accordance with the applicable provisions of AISC ASD Manual. Fabrication and assembly shall be done in the shop to the greatest extent possible. Structural steelwork, except surfaces of steel to be encased in concrete, surfaces to be field welded, and contact surfaces of friction-type high-strength bolted connections shall be prepared for painting in accordance with AISC ASD Manual and primed with the specified paint.

3.2 ERECTION

The Contractor shall be responsible for the safe erection of the steel framing. The Contractor shall determine where any temporary supports may be required to secure any elements of the steel framing, and shall provide such shoring or bracing until the elements are made stable by interaction with other elements of the framing.

3.2.1 Structural Connections

Anchor bolts and other connections between the structural steel and foundations shall be provided and shall be properly located and built into connecting work. Field welded structural connections shall be completed before load is applied.

3.2.2 Base Plates and Bearing Plates

Column base plates for columns and bearing plates for beams, girders, and similar members shall be provided. Base plates and bearing plates shall be provided with full bearing after the supported members have been plumbed and properly positioned, but prior to placing superimposed loads. Separate setting plates under column base plates will not be permitted. The area under the plate shall be damp-packed solidly with bedding mortar. Bedding mortar shall be as specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

3.2.3 Field Priming

After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat shall be cleaned and primed with paint of the same quality as that used for the shop coat.

3.3 WELDING

The contractor shall develop and submit the Welding Procedure Specifications (WPS) for all welding, including welding done using prequalified procedures. Prequalified procedures may be submitted for information only; however, procedures that are not prequalified shall be submitted for approval.

-- End of Section --

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SECTION 05500A

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- 2.4 NOT USED
- 2.5 NOT USED
- 2.6 NOT USED
- 2.7 PIPE GUARDS
- 2.8 NOT USED
- 2.9 NOT USED
- 2.10 NOT USED
- 2.11 NOT USED
- 2.12 NOT USED
- 2.13 NOT USED
- 2.14 NOT USED
- 2.15 NOT USED
- 2.16 NOT USED
- 2.17 NOT USED
- 2.18 NOT USED
- 2.19 MISCELLANEOUS
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- 2.21 NOT USED
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- 2.24 NOT USED
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- 3.8 NOT USED
- 3.9 NOT USED
- 3.10 NOT USED
- 3.11 NOT USED
- 3.12 NOT USED
- 3.13 NOT USED
- 3.14 DOOR FRAMES

-- End of Section Table of Contents --

SECTION 05500A

MISCELLANEOUS METAL

01/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(2001) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 53/A 53M	(2001) Pipe, Steel, Black and Hot-Dipped, Zinc-Coated, Welded and Seamless
ASTM A 653/A 653M	(2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process
ASTM A 924/A 924M	(1999) General Requirements for Steel Sheet, Metallic-Coated by the Hot-Dip Process

AMERICAN WELDING SOCIETY (AWS)

AWS D1.1/D1.1M	(2000) Structural Welding Code - Steel
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1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Miscellaneous Metal Items; G-DO.

Detail drawings indicating material thickness, type, grade, and class; dimensions; and construction details. Drawings shall include catalog cuts, erection details, manufacturer's descriptive data and installation instructions, and templates. Detail drawings for the following items:

1.3 GENERAL REQUIREMENTS

The Contractor shall verify all measurements and shall take all field measurements necessary before fabrication. Welding to or on structural

steel shall be in accordance with AWS D1.1/D1.1M. Items specified to be galvanized, when practicable and not indicated otherwise, shall be hot-dip galvanized after fabrication. Galvanizing shall be in accordance with ASTM A 123/A 123M, ASTM A 653/A 653M, or ASTM A 924/A 924M, as applicable. Exposed fastenings shall be compatible materials, shall generally match in color and finish, and shall harmonize with the material to which fastenings are applied. Materials and parts necessary to complete each item, even though such work is not definitely shown or specified, shall be included. Poor matching of holes for fasteners shall be cause for rejection. Fastenings shall be concealed where practicable. Thickness of metal and details of assembly and supports shall provide strength and stiffness. Joints exposed to the weather shall be formed to exclude water.

1.4 DISSIMILAR MATERIALS

Where dissimilar metals are in contact, or where aluminum is in contact with concrete, mortar, masonry, wet or pressure-treated wood, or absorptive materials subject to wetting, the surfaces shall be protected with a coat of bituminous paint or asphalt varnish.

1.5 WORKMANSHIP

Miscellaneous metalwork shall be well formed to shape and size, with sharp lines and angles and true curves. Drilling and punching shall produce clean true lines and surfaces. Welding shall be continuous along the entire area of contact except where tack welding is permitted. Exposed connections of work in place shall not be tack welded. Exposed welds shall be ground smooth. Exposed surfaces of work in place shall have a smooth finish, and unless otherwise approved, exposed riveting shall be flush. Where tight fits are required, joints shall be milled. Corner joints shall be coped or mitered, well formed, and in true alignment. Work shall be accurately set to established lines and elevations and securely fastened in place. Installation shall be in accordance with manufacturer's installation instructions and approved drawings, cuts, and details.

1.6 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage not otherwise specified or indicated shall include slotted inserts made to engage with the anchors, expansion shields, and power-driven fasteners when approved for concrete; toggle bolts and through bolts for masonry; machine and carriage bolts for steel; and lag bolts and screws for wood.

1.7 NOT USED

1.8 SHOP PAINTING

Surfaces of ferrous metal except galvanized surfaces, shall be cleaned and shop coated with the manufacturer's standard protective coating unless otherwise specified. Surfaces of items to be embedded in concrete shall not be painted. Items to be finish painted shall be prepared according to manufacturer's recommendations or as specified.

PART 2 PRODUCTS

2.1 BIRD PROTECTION SPIKE STRIPS

A commercially manufactured (for the purpose) Bird Protection (as in protection against birds alighting on) type Spike Strip System shall be provided.

2.2 NOT USED

2.3 NOT USED

2.4 NOT USED

2.5 NOT USED

2.6 NOT USED

2.7 PIPE GUARDS

Pipe guards shall be heavy duty steel pipe conforming to ASTM A 53/A 53M, Type E or S, weight STD, black finish.

2.8 NOT USED

2.9 NOT USED

2.10 NOT USED

2.11 NOT USED

2.12 NOT USED

2.13 NOT USED

2.14 NOT USED

2.15 NOT USED

2.16 NOT USED

2.17 NOT USED

2.18 NOT USED

2.19 MISCELLANEOUS

Miscellaneous plates and shapes for items that do not form a part of the structural steel framework, such as lintels, sill angles, miscellaneous mountings, and frames, shall be provided to complete the work.

2.20 NOT USED

2.21 NOT USED

2.22 NOT USED

2.23 NOT USED

2.24 NOT USED

2.25 NOT USED

2.26 NOT USED

2.27 STEEL DOOR FRAMES

Steel door frames built from structural shapes shall be neatly mitered and securely welded at the corners with all welds ground smooth. Jambs shall be provided with 2 by 1/4 by 12 inch bent, adjustable metal anchors spaced not over 2 feet 6 inches on centers. Provision shall be made to stiffen the top member for all spans over 3 feet. Continuous door stops shall be made of 1-1/2 by 5/8 inch bars.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

All items shall be installed at the locations shown and according to the manufacturer's recommendations. Items listed below require additional procedures as specified.

3.2 BIRD PROTECTION SPIKE STRIP

Bird protection spike strips shall be installed as shown on the drawings.

Fasteners used shall be coordinated with the fabricator of the large igloo doors and spacing, etc. shall be as necessary for secure attachment and as recommended by the manufacturer of the spike strips.

3.3 NOT USED

3.4 NOT USED

3.5 INSTALLATION OF PIPE GUARDS

Pipe guards shall be set vertically in concrete piers. Piers shall be

constructed of, and the hollow cores of the pipe filled with, concrete specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.]

3.6 NOT USED

3.7 NOT USED

3.8 NOT USED

3.9 NOT USED

3.10 NOT USED

3.11 NOT USED

3.12 NOT USED

3.13 NOT USED

3.14 DOOR FRAMES

Door frames shall be secured to the floor slab by means of angle clips and expansion bolts. Continuous door stops shall be welded to the frame or tap screwed with countersunk screws at no more than 18 inchcenters, assuring in either case full contact with the frame. Any necessary reinforcements shall be made and the frames shall be drilled and tapped as required for hardware.

-- End of Section --

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SECTION 07141N

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02/03

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SECTION 07141N

FLUID-APPLIED WATERPROOFING
02/03

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 33	(1997) Concrete Aggregates
ASTM C 836	(1995) High Solids Content, Cold Liquid-Applied Elastomeric Waterproofing Membrane for Use With Separate Wearing Course
ASTM D 1056	(1998) Flexible Cellular Materials - Sponge or Expanded Rubber

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Fluid-applied membrane

Membrane primer

Elastomeric sheet

Flexible foam-backed elastomeric sheet

Solvent

Moisture meter

Protection board

Bond breaker

Submit material description and physical properties, application details, and recommendations regarding shelf life, application procedures, and precautions on flammability and toxicity.

1.3 PREWATERPROOFING CONFERENCE

Prior to starting application of waterproofing system, arrange and attend a prewaterproofing conference to ensure a clear understanding of drawings and specifications. Give the Contracting Officer 7 days advance written notice of the time and place of meeting. Ensure that the mechanical and electrical subcontractor, flashing and sheetmetal subcontractor, and other trades that may perform other types of work on or over the membrane after installation, attend this conference.

1.4 DELIVERY, STORAGE, AND HANDLING

Deliver waterproofing materials in manufacturer's original, unopened containers, with labels intact and legible. Containers of materials covered by a referenced specification number shall bear the specification number, type, and class of the contents. Deliver materials in sufficient quantity to continue work without interruption. Store and protect materials in accordance with manufacturer's instructions, and use within their indicated shelf life. When hazardous materials are involved, adhere to special precautions of the manufacturer, unless precautions conflict with local, state, and federal regulations. Promptly remove from the site materials or incomplete work adversely affected by exposure to moisture or freezing. Store materials on pallets and cover from top to bottom with canvas tarpaulins.

1.5 ENVIRONMENTAL CONDITIONS

Apply materials when ambient temperature is 40 degrees F or above for a period of 24 hours prior to the application and when there is no ice, frost, surface moisture, or visible dampness on the substrate surface. Apply materials when air temperature is expected to remain above 40 degrees F during the cure period recommended by the manufacturer. Moisture test for substrate is specified under paragraph entitled "Moisture Test." Work may be performed within heated enclosures, provided the surface temperature of the substrate is maintained at a minimum of 40 degrees F for 24 hours prior to the application of the waterproofing, and remains above that temperature during the cure period recommended by the manufacturer.

PART 2 PRODUCTS

2.1 FLUID-APPLIED MEMBRANE

ASTM C 836.

2.2 MEMBRANE PRIMER

As recommended by the fluid-applied membrane manufacturer unless specifically prohibited by the manufacturer of the fluid-applied membrane.

2.3 SEALANT

As specified in Section 07920N, "Joint Sealants."

2.4 SEALANT PRIMER

As specified in Section 07920N, "Joint Sealants."

2.5 BACKING MATERIAL

Premolded, closed-cell, polyethylene, or polyurethane foam rod having a

diameter 25 percent larger than joint width before being compressed into joint. Provide bond breaker of polyethylene film or other suitable material between backing material and sealant.

2.6 JOINT FILLER

As specified in [Section 03300N, "Cast-In-Place Concrete,"].

2.7 BOND BREAKER

As recommended by the fluid-applied membrane manufacturer. Bond breaker shall not interfere with the curing process or other performance properties of the fluid-applied membrane.

2.8 ELASTOMERIC SHEET

Preformed; as recommended by the fluid-applied membrane manufacturer. Bond strength between the fluid-applied membrane and the preformed elastomeric sheet shall be a minimum of one psi when tested in accordance with ASTM C 836.

2.9 ELASTOMERIC SHEET ADHESIVE

As recommended by the elastomeric sheet manufacturer.

2.10 FLEXIBLE FOAM-BACKED ELASTOMERIC SHEET

Flexible foam-backed elastomeric sheet for protection over preformed elastomeric sheet at expansion joints shall be 1/2 inch thick, minimum, closed cell foam conforming to ASTM D 1056, Type 2, Class B, Grades 2 or 3, factory-bonded to 1/16 inch thick, minimum, preformed elastomeric sheet.

2.11 PROTECTION BOARD

Premolded bitumen composition board, 1/8 inch minimum thickness or other composition board compatible with the fluid-applied membrane.

2.12 DRAINAGE COURSE AGGREGATE

ASTM C 33, size No. 8.

PART 3 EXECUTION

3.1 PREPARATION

Coordinate work with that of other trades to ensure that components to be incorporated into the waterproofing system are available when needed. Inspect and approve surfaces immediately before application of waterproofing materials. Remove laitance, loose aggregate, sharp projections, grease, oil, dirt, curing compounds, and other contaminants which could adversely affect the complete bonding of the fluid-applied membrane to the concrete surface.

3.1.1 Flashings

Make penetrations through sleeves in concrete slab watertight before application of waterproofing. After flashing is completed, cover elastomeric sheet with fluid-applied waterproofing during waterproofing application.

3.1.1.1 Drains

Make drain flanges flush with surface of structural slab. Apply a full elastomeric sheet around the drain, with edges fully adhered to drain flange and to structural slab. Do not adhere elastomeric sheet over joint between drain and concrete slab. Do not plug drainage or weep holes. Cover elastomeric sheet with fluid-applied waterproofing during waterproofing application. Lap elastomeric sheet a minimum of 4 inches onto concrete slab.

3.1.1.2 Penetrations and Projections

Flash penetrations and projections through structural slab with an elastomeric sheet adhered to the concrete slab and the penetration. Leave elastomeric sheet unadhered for one inch over joint between penetration and concrete slab. Adhere elastomeric sheet a minimum of 4 inches onto horizontal deck.

3.1.1.3 Walls and Vertical Surfaces

Flash wall intersections which are not of monolithic pour or constructed with reinforced concrete joints with an elastomeric sheet adhered to both vertical wall surfaces and concrete slab. Flash intersections which are monolithically poured or constructed with reinforced concrete joints with either an elastomeric sheet or a vertical grade of fluid-applied waterproofing adhered to vertical wall surfaces and concrete slab. Leave sheet unadhered for a distance of one inch from the corner on both vertical and horizontal surfaces.

3.1.2 Cracks and Joints

Prepare visible cracks and joints in substrate to receive fluid-applied waterproofing membrane by placing a bond breaker and an elastomeric slip sheet between membrane and substrate. Cracks that show movement shall receive a 2 inch bond breaker followed by an elastomeric sheet adhered to the deck. Nonmoving cracks shall be double coated with fluid-applied waterproofing.

3.1.3 Priming

Prime surfaces to receive fluid-applied waterproofing membrane. Apply primer as required by membrane manufacturer's printed instructions.

3.2 SPECIAL PRECAUTIONS

Protect waterproofing materials during transport and application. Do not dilute primers and other materials, unless specifically recommended by materials manufacturer. Keep containers closed except when removing contents. Do not mix remains of unlike materials. Thoroughly remove residual materials before using application equipment for mixing and transporting materials. Do not permit equipment on the project site that has residue of materials used on previous projects. Use cleaners only for cleaning, not for thinning primers or membrane materials. Ensure that workers and others who walk on cured membrane wear clean, soft-soled shoes to avoid damaging the waterproofing materials.

3.3 APPLICATION

Over primed surfaces, provide a uniform, wet, monolithic coating of fluid-applied membrane, 60 mils thick, plus or minus 5 mils by following manufacturer's printed instructions. Apply material by trowel, squeegee, roller, brush, spray apparatus, or other method recommended by membrane manufacturer. Check wet film thickness as specified in paragraph entitled "Film Thickness" and adjust application rate as necessary to provide a uniform coating of the thickness specified. Where possible, mark off surface to be coated in equal units to facilitate proper coverage. At expansion joints, control joints, prepared cracks, flashing, and terminations, carry membrane over preformed elastomeric sheet in a uniform 60 mil thick, plus or minus 5 mils, wet thickness to provide a monolithic coating. If membrane cures before next application, wipe previously applied membrane with a solvent to remove dirt and dust that could inhibit adhesion of overlapping membrane coat. Use solvent recommended by the membrane manufacturer, as approved.

3.3.1 Work Sequence

Perform work so that protection board is installed prior to using the waterproofed surface. Do not permanently install protection board until the membrane has passed the flood test specified under paragraph entitled "Flood Test." Move material storage areas as work progresses to prevent abuse of membrane and overloading of structural deck.

3.3.2 Protection Board

Protect fluid-applied membrane by placing protection board over membrane at a time recommended by the membrane manufacturer. Protect membrane application when protection board is not placed immediately. Butt protection boards together and do not overlap.

3.3.3 Drainage Course

Place drainage course where shown after flood tests are completed and concrete protection slab or wearing course is ready to be installed.

3.4 FIELD QUALITY CONTROL

3.4.1 Moisture Test

Prior to application of fluid-applied waterproofing, measure moisture content of substrate with a moisture meter in the presence of the Contracting Officer. An acceptable device is the Delmhorst Moisture Meter, Model BD7/2E/CS, Type 21 E. Similar meters by other manufacturers, which are suitable for the purpose, may be used as approved by the Contracting Officer. Do not begin application until meter reading indicates "dry" range.

3.4.2 Film Thickness

Measure wet film thickness every 100 square feet during application by placing flat metal plates on the substrate or using a mil-thickness gage especially manufactured for the purpose.

3.4.3 Flood Test

After application and curing is complete, plug drains and fill waterproofed area with water to a depth of 2 inches. A minimum 48 hour cure time, or longer cure time if recommended by the membrane manufacturer, shall be

required prior to flood testing. Allow water to stand 24 hours. Test watertightness by measuring water level at beginning and end of the 24 hour period. If water level falls, drain water, allow installation to dry, and inspect. Make repairs or replace as required and repeat the test. Work shall not proceed before approval of repairs or replacement.

3.5 INFORMATION CARD

Furnish a typewritten card containing information listed in the attached Form 1, framed in a watertight frame under clear glass or plastic for each waterproofing installation. Furnish framed card and duplicate card.

FORM 1

FLUID-APPLIED WATERPROOFING SYSTEM COMPONENTS

1. Contract Number
2. Date Work Completed
3. Project Specification Designation
4. Substrate Material
5. Slope of Substrate
6. Drains Type/Manufacturer
7. Waterproofing
 - a. Membrane
 - b. Sealant
 - c. Elastomeric Sheet
 - d. Materials Manufacturer(s)
8. Protection Board
 - a. Type
 - b. Thickness
 - c. Manufacturer's Name
9. Drainage Course Material Graduation
10. Not Used
11. Not Used
12. Not Used
13. Wearing Surface Type
Manufacturer's Name
14. Statement of Compliance or Exception

Contractor's Signature Date Signed

Inspector's Signature Date Signed

-- End of Section --

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DIVISION 07 - THERMAL & MOISTURE PROTECTION

SECTION 07416A

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11/01

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SECTION 07416A

STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM

11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC 335 (1989) Specification for Structural Steel Buildings - Allowable Stress Design, Plastic Design

AMERICAN IRON AND STEEL INSTITUTE (AISI)

AISI SG-973 (1996) Cold-Formed Steel Design Manual

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 463/A 463M (2000) Steel Sheet, Aluminum-Coated, by the Hot-Dip Process

ASTM A 653/A 653M (2000) Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process

ASTM A 792/A 792M (1999) Steel Sheet, 55% Aluminum-Zinc Alloy-Coated by the Hot-Dip Process

ASTM D 1308 (1987; R 1998) Effect of Household Chemicals on Clear and Pigmented Organic Finishes

ASTM D 1654 (1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments

ASTM D 2244 (1995) Calculation of Color Differences from Instrumentally Measured Color Coordinates

ASTM D 2247 (1999) Testing Water Resistance of Coatings in 100% Relative Humidity

ASTM D 2794 (1993; R 1999e1) Resistance of Organic Coatings to the Effects of Rapid Deformation (Impact)

ASTM D 3359 (1997) Measuring Adhesion by Tape Test

ASTM D 4214	(1998) Evaluating Degree of Chalking of Exterior Paint Films
ASTM D 522	(1993a) Mandrel Bend Test of Attached Organic Coatings
ASTM D 523	(1989; R 1999) Specular Gloss
ASTM D 5894	(1996) Standard Practice for Cyclic Salt Fog/UV Exposure of Painted Metal, (Alternating Exposures in a Fog/Dry Cabinet and a UV/Condensation Cabinet)
ASTM D 610	(1995) Evaluating Degree of Rusting on Painted Steel Surfaces
ASTM D 714	(1987; R 1994el) Evaluating Degree of Blistering of Paints
ASTM D 968	(1993) Abrasion Resistance of Organic Coatings by Falling Abrasive
ASTM E 1592	(1998) Structural Performance of Sheet Metal Roof and Siding Systems by Uniform Static Air Pressure Difference
ASTM G 154	(2000ael) Standard Practice for Operating Fluorescent Light Apparatus for UV Exposure of Nonmetallic Materials

AMERICAN SOCIETY OF CIVIL ENGINEERS (ASCE)

ASCE 7	(1998) Minimum Design Loads for Buildings and Other Structures
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1.2 GENERAL REQUIREMENTS

The Contractor shall furnish a commercially available roofing system which satisfies all requirements contained herein and has been verified by load testing and independent design analyses to meet the specified design requirements.

1.2.1 Structural Standing Seam Metal Roof (SSSMR) System

The SSSMR system covered under this specification shall be used for the canopy over the large igloo doors and shall include the entire system; the standing seam metal panels, fasteners, connectors, securement components, and assemblies tested and approved in accordance with ASTM E 1592. In addition, the system shall consist of panel finishes, all accessories, components, and trim and all connections with panels. This includes eaves, ridge, rake, gable, wall, or other roof system flashings installed and any other components specified within this contract to provide a weathertight system.

1.2.2 Manufacturer

The SSSMR system shall be the product of a manufacturer who has been in the practice of manufacturing and designing SSSMR systems for a period of not

less than 3 years and has been involved in at least five projects similar in size and complexity to this project.

1.2.3 Installer

The installer shall be certified by the SSSMR system manufacturer to have experience in installing at least three projects that are of comparable size, scope and complexity as this project for the particular roof system furnished. The installer may be either employed by the manufacturer or be an independent installer.

1.3 DESIGN REQUIREMENTS

The design of the SSSMR system shall be provided by the Contractor as a complete system. Members and connections not indicated on the drawings shall be designed by the Contractor. Roof panels, components, transitions, accessories, and assemblies shall be supplied by the same roofing system manufacturer.

1.3.1 Design Criteria

Design criteria shall be in accordance with ASCE 7, unless otherwise specified herein.

1.3.2 Dead Loads

The dead load shall be the weight of the SSSMR system. Collateral loads such as sprinklers, mechanical and electrical systems, and ceilings shall not be attached to the panels.

1.3.3 Live Loads

1.3.3.1 Concentrated Loads

The panels and anchor clips shall be capable of supporting a 300 pound concentrated load. The concentrated load shall be applied at the panel midspan and will be resisted by a single standing seam metal roof panel assumed to be acting as a beam. The undeformed shape of the panel shall be used to determine the section properties.

1.3.3.2 Minimum Uniform Live Load

The panels and concealed anchor clips shall be capable of supporting a minimum uniform live load of 20 psf.

1.3.4 Roof Snow Loads

The design roof snow loads shall be as shown on the contract drawings.

1.3.5 Wind Loads

The design wind uplift pressure for the roof system shall be as shown on the contract drawings. The design uplift force for each connection assembly shall be that pressure given for the area under consideration, multiplied by the tributary load area of the connection assembly. The safety factor listed below shall be applied to the design force and compared against the ultimate capacity. Prying shall be considered when figuring fastener design loads.

- a. Single fastener in each connection.....3.0
- b. Two or more fasteners in each connection...2.25

1.3.6 Thermal Loads

Canopypanels shall be free to move in response to the expansion and contraction forces resulting from a total temperature range of 220 degrees F during the life of the structure.

1.3.7 Framing Members Supporting the SSSMR System

Any additions/revisions to framing members supporting the SSSMR system to accommodate the manufacturer/fabricator's design shall be the Contractor's responsibility and shall be submitted for review and approval. New or revised framing members and their connections shall be designed in accordance with AISC 335 or AISI SG-973, as applicable. Maximum deflection under applied live load, snow, or wind load shall not exceed 1/180 of the span length.

1.3.8 Roof Panels Design

Steel panels shall be designed in accordance with AISI SG-973. The structural section properties used in the design of the panels shall be determined using the unloaded shape of the roof panels. The calculated panel deflection from concentrated loads shall not exceed 1/180 of the span length. The calculated panel deflection under applied live load, snow, or wind load shall not exceed 1/180 times the span length. Deflections shall be based on panels being continuous across three or more supports. Deflection shall be calculated and measured along the major ribs of the panels.

1.3.9 Accessories and Their Fasteners

Accessories and their fasteners shall be capable of resisting the specified design wind uplift forces and shall allow for thermal movement of the roof panel system. Exposed fasteners shall not restrict free movement of the roof panel system resulting from thermal forces. There shall be a minimum of two fasteners per clip. Single fasteners with a minimum diameter of 3/8 inch will be allowed when the supporting structural members are prepunched or predrilled.

1.4 PERFORMANCE REQUIREMENTS

The SSSMR shall be tested for wind uplift resistance in accordance with ASTM E 1592; SSSMR systems previously tested and approved by the Corps of Engineers' STANDARD TEST METHOD FOR STRUCTURAL PERFORMANCE OF SSMRS BY UNIFORM STATIC AIR PRESSURE DIFFERENCE may be acceptable. Two tests shall be performed. Test 1 shall simulate the edge condition with one end having crosswise restraint and other end free of crosswise restraint. The maximum span length for the edge condition shall be 30 inches. Test 2 shall simulate the interior condition with both ends free of crosswise restraint. The maximum span length for the interior condition shall be 5.0 feet. External reinforcement, such as clamps on the ribs, shall not be installed to improve uplift resistance. Bolts through seams shall not be installed.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation;

submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Structural Standing Seam Metal Roof System; G-DO.

Metal canopy drawings and specifications and erection drawings; shop coating and finishing specifications; and other data as necessary to clearly describe design, materials, sizes, layouts, standing seam configuration, construction details, provisions for thermal movement, line of panel fixity, fastener sizes and spacings, sealants and erection procedures. Drawings shall reflect the intent of the architectural detailing using the manufacturer's proprietary products and fabricated items as required. The SSSMR system shop drawings shall be provided by the metal roofing manufacturer.

SD-03 Product Data

Design Analysis; G-DO.

Design analysis signed by a Registered Professional Engineer employed by the SSSMR manufacturer. The design analysis shall include a list of the design loads, and complete calculations for the support system (when provided by the Contractor), roofing system and its components; screw pullout test results, and shall indicate how expected thermal movements are accommodated.

Qualifications; G-DO

Qualifications of the manufacturer and installer.

SD-04 Samples

Accessories;

One sample of each type of flashing, trim, closure, , cap and similar items. Size shall be sufficient to show construction and configuration.

Roof Panels;

One piece of each type to be used, 9 inches long, full width.

Factory Color Finish; G-DO

Three 3 by 5 inches samples of each type and color.

Fasteners;

Two samples of each type to be used, with statement regarding intended use. If so requested, random samples of bolts, nuts, and washers as delivered to the job site shall be taken in the presence of the Contracting Officer and provided to the Contracting Officer for testing to establish compliance with specified requirements.

Gaskets and Insulating Compounds;

Two samples of each type to be used and descriptive data.

Sealant;

One sample, approximately 1 pound, and descriptive data.

Concealed Anchor Clips;

Two samples of each type used.

SD-06 Test Reports

Test Report for Uplift Resistance of the SSSMR; G-DO

The report shall include the following information:

- a. Details of the SSSMR system showing the roof panel cross-section with dimensions and thickness.
- b. Details of the anchor clip, dimensions, and thickness.
- c. Type of fasteners, size, and the number required for each connection.
- d. Purlins/subpurlins size and spacing used in the test.
- e. Description of the seaming operation including equipment used.
- f. Maximum allowable uplift pressures. These pressures are determined from the ultimate load divided by a factor of safety equal to 1.65.
- g. Any additional information required to identify the SSSMR system tested.
- h. Signature and seal of an independent registered engineer who witnessed the test.

SD-07 Certificates

Structural Standing Seam Metal Roof System

- a. Certification that the actual thickness of uncoated sheets used in SSSMRS components including roofing panels, subpurlins, and concealed anchor clips complies with specified requirements.
- b. Certification that materials used in the installation are mill certified.
- c. Previous certification of SSSMR system tested under the Corps of Engineers' Standard Test Method in lieu of ASTM E 1592 testing.
- d. Certification that the sheets to be furnished are

produced under a continuing quality control program and that a representative sample consisting of not less than three pieces has been tested and has met the quality standards specified for factory color finish.

e. Certification of installer. Installer certification shall be furnished.

f. Warranty certificate. At the completion of the project the Contractor shall furnish signed copies of the 5-year Warranty for Structural Standing Seam Metal Roof (SSSMR) System, a sample copy of which is attached to this section, and the 20-year Manufacturer's Material Warranties, .

1.6 DELIVERY AND STORAGE

Materials shall be delivered to the site in a dry and undamaged condition and stored out of contact with the ground. Materials shall be covered with weathertight coverings and kept dry. Storage conditions shall provide good air circulation and protection from surface staining.

1.7 WARRANTIES

The SSSMR system shall be warranted as outlined below. Any emergency temporary repairs conducted by the owner shall not negate the warranties.

1.7.1 Not Used

1.7.2 Manufacturer's Material Warranties.

The Contractor shall furnish, in writing, the following manufacturer's material warranties which cover all SSSMR system components such as roof panels, anchor clips and fasteners, flashing, accessories, and trim, fabricated from coil material:

a. A manufacturer's 20 year material warranty warranting that the zinc-coated steel, aluminum-zinc alloy coated steel or aluminum-coated steel as specified herein will not rupture, structurally fail, fracture, deteriorate, or become perforated under normal design atmospheric conditions and service design loads. Liability under this warranty shall be limited exclusively to the cost of either repairing or replacing nonconforming, ruptured, perforated, or structurally failed coil material.

b. A manufacturer's 20 year exterior material finish warranty on the factory colored finish warranting that the finish, under normal atmospheric conditions at the site, will not crack, peel, or delaminate; chalk in excess of a numerical rating of eight, as determined by ASTM D 4214 test procedures; or change color in excess of five CIE or Hunter Lab color difference (delta E) units in accordance with ASTM D 2244. Liability under this warranty is exclusively limited to refinishing with an air-drying version of the specified finish or replacing the defective coated material.

c. A roofing system manufacturer's 20 year, non-prorated, system weathertightness warranty.

1.8 COORDINATION MEETING

A coordination meeting shall be held 30 days prior to the first submittal, for mutual understanding of the Structural Standing Seam Metal Roof (SSSMR)

System contract requirements. This meeting shall take place at the building site and shall include representatives from the Contractor, the roof system manufacturer, the roofing supplier, the erector, the SSSMR design engineer of record, and the Contracting Officer. All items required by paragraph SUBMITTALS shall be discussed, including applicable standard manufacturer shop drawings, and the approval process. The Contractor shall coordinate time and arrangements for the meeting.

PART 2 PRODUCTS

2.1 ROOF PANELS

Panels shall be steel and shall have a factory color finish. Length of sheets shall be sufficient to cover the entire length of any unbroken roof slope. Panel laps shall not be permitted. Width of sheets shall provide not more than 24 inches of coverage in place. SSSMR system with roofing panels greater than 12 inches in width shall have standing seams rolled during installation by an electrically driven seaming machine. Height of standing seams shall be not less than 1 - 1/2 inches for rolled seam and 2 inches for seams that are not rolled.

2.1.1 Steel Panels

Steel panels shall be zinc-coated steel conforming to ASTM A 653/A 653M; aluminum-zinc alloy coated steel conforming to ASTM A 792/A 792M, AZ 50 coating; or aluminum-coated steel conforming to ASTM A 463/A 463M, Type 2, coating designation T2 65. Zinc, zinc-aluminum alloy or aluminum coated panels shall be 0.023 inch thick minimum. Panels shall be within 95 percent of reported tested thickness as noted in wind uplift resistance testing required in paragraph PERFORMANCE REQUIREMENTS. Prior to shipment, mill finish panels shall be treated with a passivating chemical to inhibit the formation of oxide corrosion products. Panels that have become wet during shipment and have started to oxidize shall be rejected.

2.2 CONCEALED ANCHOR CLIPS

Concealed anchor clips shall be the same as the tested roofing system. Clip bases shall have factory punched or drilled holes for attachment. Clips shall be made from multiple pieces with the allowance for the total thermal movement required to take place within the clip. Single piece clips may be acceptable when the manufacturer can substantiate that the system can accommodate the thermal cyclic movement under sustained live or snow loads.

2.3 ACCESSORIES

Flashing, trim, metal closure strips, caps and similar metal accessories shall be the manufacturer's standard products. Exposed metal accessories shall be finished to match the panels furnished. Molded closure strips shall be bituminous-saturated fiber, closed-cell or solid-cell synthetic rubber or neoprene, or polyvinyl chloride premolded to match configuration of the panels and shall not absorb or retain water. or Die cast metal closures shall be installed with double bead tape sealant and fasteners that stitch the panel to a 16 gage preformed backer plate to ensure a positive compression of the tape sealant. The use of a continuous angle butted to the panel ends to form a closure will not be allowed.

2.4 FASTENERS

Fasteners for steel roof panels shall be zinc-coated steel, aluminum, corrosion resisting steel, or nylon capped steel, type and size specified below or as otherwise approved for the applicable requirements. Fasteners for aluminum roof panels shall be aluminum or corrosion resisting steel. Fasteners for structural connections shall provide both tensile and shear ultimate strengths of not less than 750 pounds per fastener. Fasteners for accessories shall be the manufacturer's standard. Exposed roof fasteners shall be sealed or have sealed washers on the exterior side of the roof to waterproof the fastener penetration. Washer material shall be compatible with the roofing; have a minimum diameter of 3/8 inch for structural connections; and gasketed portion of fasteners or washers shall be neoprene or other equally durable elastomeric material approximately 1/8 inch thick.

Exposed fasteners for factory color finished panels shall be factory finished to match the color of the panels.

2.4.1 Screws

Screws for attaching anchor devices shall be not less than No. 14. Actual screw pull out test results shall be performed for the actual material gage and yield strength of the structural purlins or subpurlins to which the clip is to be anchored/attached. Other screws shall be as recommended by the manufacturer to meet the strength design requirements of the panels.

2.4.2 Bolts

Bolts shall be not less than 1/4 inch diameter, shouldered or plain shank as required, with locking washers and nuts.

2.4.3 Structural Blind Fasteners

Blind screw-type expandable fasteners shall be not less than 1/4 inch diameter. Blind (pop) rivets shall be not less than 9/32 inch minimum diameter.

2.5 SUBPURLINS

Cold formed supporting structural members/subpurlins shall have a minimum thickness of 0.059 inches and a minimum tensile yield strength of 50000 psi.

Hot rolled structural members shall have a minimum thickness of 0.25 inches and a minimum tensile yield strength of 36000 psi. Subpurlins shall be shop painted.

2.6 FACTORY COLOR FINISH

Panels shall have a factory applied polyvinylidene fluoride finish on the exposed side. The exterior finish shall consist of a baked-on topcoat with an appropriate prime coat. Color shall match the color indicated in Section 09915 COLOR SCHEDULE. The exterior coating shall be a nominal 1 mil thickness consisting of a topcoat of not less than 0.7 mil dry film thickness and the paint manufacturer's recommended primer of not less than 0.2 mil thickness. The interior color finish shall consist of the same coating and dry film thickness as the exterior. The exterior color finish shall meet the test requirements specified below.

2.6.1 Salt Spray Test

A sample of the sheets shall withstand a cyclic corrosion test for a minimum of 2016 hours in accordance with ASTM D 5894, including the scribe requirement in the test. Immediately upon removal of the panel from the

test, the coating shall receive a rating of not less than 10, no blistering, as determined by ASTM D 714; 10, no rusting, as determined by ASTM D 610; and a rating of 6, over 21/16 to 1/8 inch failure at scribe, as determined by ASTM D 1654.

2.6.2 Formability Test

When subjected to testing in accordance with ASTM D 522 Method B, 1/8 inch diameter mandrel, the coating film shall show no evidence of cracking to the naked eye.

2.6.3 Accelerated Weathering, Chalking Resistance and Color Change

A sample of the sheets shall be tested in accordance with ASTM G 154, test condition UVA-340 lamp, 4h UV at 60 degrees C followed by 4h CON at 50 degrees C for 8 total hours. The coating shall withstand the weathering test without cracking, peeling, blistering, loss of adhesion of the protective coating, or corrosion of the base metal. Protective coating with an adhesion rating less than 4B when tested in accordance with ASTM D 3359, Test Method B, shall be considered as an area indicating loss of adhesion. Following the accelerated weathering test, the coating shall have a chalk rating not less than No. 8 in accordance with ASTM D 4214 test procedures, and the color change shall not exceed 5 CIE or Hunter Lab color difference (delta E) units in accordance with ASTM D 2244. For sheets required to have a low gloss finish, the chalk rating shall be not less than No. 6 and the color difference shall be not greater than 7 units.

2.6.4 Humidity Test

When subjected to a humidity cabinet test in accordance with ASTM D 2247 for 1000 hours, a scored panel shall show no signs of blistering, cracking, creepage or corrosion.

2.6.5 Impact Resistance

Factory-painted sheet shall withstand direct and reverse impact in accordance with ASTM D 2794 0.500 inch diameter hemispherical head indenter, equal to 1.5 times the metal thickness in mils, expressed in inch-pounds, with no cracking.

2.6.6 Abrasion Resistance Test

When subjected to the falling sand test in accordance with ASTM D 968, Method A, the coating system shall withstand a minimum of 50 liters of sand before the appearance of the base metal. The term "appearance of base metal" refers to the metallic coating on steel or the aluminum base metal.

2.6.7 Specular Gloss

Finished roof surfaces shall have a specular gloss value of 10 or less at an angle of 85 degrees when measured in accordance with ASTM D 523.

2.6.8 Pollution Resistance

Coating shall show no visual effects when covered spot tested in a 10 percent hydrochloric acid solution for 24 hours in accordance with ASTM D 1308.

2.7 NOT USED

2.8 NOT USED

2.9 SEALANT

Sealants shall be elastomeric type containing no oil or asphalt. Exposed sealant shall be colored to match the applicable building color and shall cure to a rubberlike consistency. Sealant placed in the roof panel standing seam ribs shall be provided in accordance with the manufacturer's recommendations.

2.10 GASKETS AND INSULATING COMPOUNDS

Gaskets and insulating compounds shall be nonabsorptive and suitable for insulating contact points of incompatible materials. Insulating compounds shall be nonrunning after drying.

2.11 NOT USED

PART 3 EXECUTION

3.1 INSTALLATION

Installation shall be in accordance with the manufacturer's erection instructions and drawings. Dissimilar materials which are not compatible when contacting each other shall be insulated by means of gaskets or insulating compounds. Molded closure strips shall be installed wherever roofing sheets terminate in open-end configurations, exclusive of flashings. The closure strip installation shall be weather-tight and sealed. Screws shall be installed with a clutching screw gun, to assure screws are not stripped. Field test shall be conducted on each gun prior to starting installation and periodically thereafter to assure it is adjusted properly to install particular type and size of screw as recommended by manufacturer's literature. Improper or mislocated drill holes shall be plugged with an oversize screw fastener and gasketed washer; however, sheets with an excess of such holes or with such holes in critical locations shall not be used. Exposed surfaces and edges shall be kept clean and free from sealant, metal cuttings, hazardous burrs, and other foreign material. Stained, discolored, or damaged sheets shall be removed from the site.

3.1.1 Field Forming of Panels for Unique Area

When panels are formed from factory-color-finished steel coils at the project site, the same care and quality control measures that are taken in shop forming of roofing panels shall be observed. Rollformer shall be operated by the metal roofing manufacturer's representative. In cold weather conditions, preheating of the steel coils to be field formed shall be performed as necessary just prior to the rolling operations.

3.1.2 Not Used

3.1.3 Panel Installation

Canopy panels shall be installed with the standing seams in the direction of the roof slope. The side seam connections for installed panels shall be completed at the end of each day's work. Method of applying joint sealant shall conform to the manufacturer's recommendation to achieve a complete

weather-tight installation. End laps of panels shall be provided in accordance with the manufacturer's instructions. Closures, flashings, and related accessories shall be installed according to the manufacturer's drawings. Fasteners shall not puncture canopy sheets except as provided for in the manufacturer's instructions for erection and installation. Expansion joints for the standing seam roof system shall be installed at locations indicated on the contract drawings and other locations indicated on the manufacturer's drawings.

3.1.4 Concealed Anchor Clips

Concealed anchor clips shall be fastened directly to the structural framing members. The maximum distance, parallel to the seams, between clips shall be 30 inches on center at the corner, edge, and ridge zones, and 5 feet maximum on centers for the remainder of the roof.

3.2 NOT USED

3.3 NOT USED

3.4 NOT USED

3.5 NOT USED

3.6 CLEANING AND TOUCH-UP

Exposed SSSMR systems shall be cleaned at completion of installation. Debris that could cause discoloration and harm to the panels, flashings, closures and other accessories shall be removed. Grease and oil films, excess sealants, and handling marks shall be removed and the work shall be scrubbed clean. Exposed metal surfaces shall be free of dents, creases, waves, scratch marks, and solder or weld marks. Immediately upon detection, abraded or corroded spots on shop-painted surfaces shall be wire brushed and touched up with the same material used for the shop coat. Factory color finished surfaces shall be touched up with the manufacturer's recommended touch up paint.

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM

FACILITY DESCRIPTION_____

BUILDING NUMBER:_____

CORPS OF ENGINEERS CONTRACT NUMBER:_____

CONTRACTOR

CONTRACTOR:_____

ADDRESS:_____

POINT OF CONTACT:_____

TELEPHONE NUMBER:_____

OWNER

OWNER:_____

ADDRESS:_____

POINT OF CONTACT:_____

TELEPHONE NUMBER:_____

CONSTRUCTION AGENT

CONSTRUCTION AGENT:_____

ADDRESS:_____

POINT OF CONTACT:_____

TELEPHONE NUMBER:_____

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM
(continued)

THE SSSMR SYSTEM INSTALLED ON THE ABOVE NAMED BUILDING IS WARRANTED BY _____ FOR A PERIOD OF FIVE (5) YEARS AGAINST WORKMANSHIP AND MATERIAL DEFICIENCIES, WIND DAMAGE, STRUCTURAL FAILURE, AND LEAKAGE. THE SSSMR SYSTEM COVERED UNDER THIS WARRANTY SHALL INCLUDE, BUT SHALL NOT BE LIMITED TO, THE FOLLOWING: THE ENTIRE ROOFING SYSTEM, MANUFACTURER SUPPLIED FRAMING AND STRUCTURAL MEMBERS, METAL ROOF PANELS, FASTENERS, CONNECTORS, ROOF SECUREMENT COMPONENTS, AND ASSEMBLIES TESTED AND APPROVED IN ACCORDANCE WITH ASTM E 1592. IN ADDITION, THE SYSTEM PANEL FINISHES, SLIP SHEET, INSULATION, VAPOR RETARDER, ALL ACCESSORIES, COMPONENTS, AND TRIM AND ALL CONNECTIONS ARE INCLUDED. THIS INCLUDES ROOF PENETRATION ITEMS SUCH AS VENTS, CURBS, SKYLIGHTS; INTERIOR OR EXTERIOR GUTTERS AND DOWNSPOUTS; EAVES, RIDGE, HIP, VALLEY, RAKE, GABLE, WALL, OR OTHER ROOF SYSTEM FLASHINGS INSTALLED AND ANY OTHER COMPONENTS SPECIFIED WITHIN THIS CONTRACT TO PROVIDE A WEATHERTIGHT ROOF SYSTEM; AND ITEMS SPECIFIED IN OTHER SECTIONS OF THE SPECIFICATIONS THAT ARE PART OF THE SSSMR SYSTEM.

ALL MATERIAL DEFICIENCIES, WIND DAMAGE, STRUCTURAL FAILURE, AND LEAKAGE ASSOCIATED WITH THE SSSMR SYSTEM COVERED UNDER THIS WARRANTY SHALL BE REPAIRED AS APPROVED BY THE CONTRACTING OFFICER. THIS WARRANTY SHALL COVER THE ENTIRE COST OF REPAIR OR REPLACEMENT, INCLUDING ALL MATERIAL, LABOR, AND RELATED MARKUPS. THE ABOVE REFERENCED WARRANTY COMMENCED ON THE DATE OF FINAL ACCEPTANCE ON _____ AND WILL REMAIN IN EFFECT FOR STATED DURATION FROM THIS DATE.

SIGNED, DATED, AND NOTARIZED (BY COMPANY PRESIDENT)

(Company President)

(Date)

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM
(continued)

THE CONTRACTOR SHALL SUPPLEMENT THIS WARRANTY WITH WRITTEN WARRANTIES FROM THE MANUFACTURER AND/OR INSTALLER OF THE SSSMR SYSTEM, WHICH SHALL BE SUBMITTED ALONG WITH THE CONTRACTOR'S WARRANTY. HOWEVER, THE CONTRACTOR WILL BE ULTIMATELY RESPONSIBLE FOR THIS WARRANTY AS OUTLINED IN THE SPECIFICATIONS AND AS INDICATED IN THIS WARRANTY EXAMPLE.

EXCLUSIONS FROM COVERAGE

1. NATURAL DISASTERS, ACTS OF GOD (LIGHTNING, FIRE, EXPLOSIONS, SUSTAINED WIND FORCES IN EXCESS OF THE DESIGN CRITERIA, EARTHQUAKES, AND HAIL).
2. ACTS OF NEGLIGENCE OR ABUSE OR MISUSE BY GOVERNMENT OR OTHER PERSONNEL, INCLUDING ACCIDENTS, VANDALISM, CIVIL DISOBEDIENCE, WAR, OR DAMAGE CAUSED BY FALLING OBJECTS.
3. DAMAGE BY STRUCTURAL FAILURE, SETTLEMENT, MOVEMENT, DISTORTION, WARPAGE, OR DISPLACEMENT OF THE BUILDING STRUCTURE OR ALTERATIONS MADE TO THE BUILDING.
4. CORROSION CAUSED BY EXPOSURE TO CORROSIVE CHEMICALS, ASH OR FUMES GENERATED OR RELEASED INSIDE OR OUTSIDE THE BUILDING FROM CHEMICAL PLANTS, FOUNDRIES, PLATING WORKS, KILNS, FERTILIZER FACTORIES, PAPER PLANTS, AND THE LIKE.
5. FAILURE OF ANY PART OF THE SSSMR SYSTEM DUE TO ACTIONS BY THE OWNER TO INHIBIT FREE DRAINAGE OF WATER FROM THE ROOF AND GUTTERS AND DOWNSPOUTS OR ALLOW PONDING WATER TO COLLECT ON THE ROOF SURFACE. CONTRACTOR'S DESIGN SHALL INSURE FREE DRAINAGE FROM THE ROOF AND NOT ALLOW PONDING WATER.
6. THIS WARRANTY APPLIES TO THE SSSMR SYSTEM. IT DOES NOT INCLUDE ANY CONSEQUENTIAL DAMAGE TO THE BUILDING INTERIOR OR CONTENTS WHICH IS COVERED BY THE WARRANTY OF CONSTRUCTION CLAUSE INCLUDED IN THIS CONTRACT.
7. THIS WARRANTY CANNOT BE TRANSFERRED TO ANOTHER OWNER WITHOUT WRITTEN CONSENT OF THE CONTRACTOR; AND THIS WARRANTY AND THE CONTRACT PROVISIONS WILL TAKE PRECEDENCE OVER ANY CONFLICTS WITH STATE STATUTES.

★ ★

CONTRACTOR'S FIVE (5) YEAR NO PENAL SUM WARRANTY
FOR
STRUCTURAL STANDING SEAM METAL ROOF (SSSMR) SYSTEM
(continued)

**REPORTS OF LEAKS AND SSSMR SYSTEM DEFICIENCIES SHALL BE RESPONDED TO WITHIN 48 HOURS OF RECEIPT OF NOTICE, BY TELEPHONE OR IN WRITING, FROM EITHER THE OWNER OR CONTRACTING OFFICER. EMERGENCY REPAIRS TO PREVENT FURTHER ROOF LEAKS SHALL BE INITIATED IMMEDIATELY; A WRITTEN PLAN SHALL BE SUBMITTED FOR APPROVAL TO REPAIR OR REPLACE THIS SSSMR SYSTEM WITHIN SEVEN (7) CALENDAR DAYS. ACTUAL WORK FOR PERMANENT REPAIRS OR REPLACEMENT SHALL BE STARTED WITHIN 30 DAYS AFTER RECEIPT OF NOTICE, AND COMPLETED WITHIN A REASONABLE TIME FRAME. IF THE CONTRACTOR FAILS TO ADEQUATELY RESPOND TO THE WARRANTY PROVISIONS, AS STATED IN THE CONTRACT AND AS CONTAINED HEREIN, THE CONTRACTING OFFICER MAY HAVE THE SSSMR SYSTEM REPAIRED OR REPLACED BY OTHERS AND CHARGE THE COST TO THE CONTRACTOR.

IN THE EVENT THE CONTRACTOR DISPUTES THE EXISTENCE OF A WARRANTABLE DEFECT, THE CONTRACTOR MAY CHALLENGE THE OWNER'S DEMAND FOR REPAIRS AND/OR REPLACEMENT DIRECTED BY THE OWNER OR CONTRACTING OFFICER EITHER BY REQUESTING A CONTRACTING OFFICER'S DECISION UNDER THE CONTRACT DISPUTES ACT, OR BY REQUESTING THAT AN ARBITRATOR RESOLVE THE ISSUE. THE REQUEST FOR AN ARBITRATOR MUST BE MADE WITHIN 48 HOURS OF BEING NOTIFIED OF THE DISPUTED DEFECTS. UPON BEING INVOKED, THE PARTIES SHALL, WITHIN TEN (10) DAYS, JOINTLY REQUEST A LIST OF FIVE (5) ARBITRATORS FROM THE FEDERAL MEDIATION AND CONCILIATION SERVICE. THE PARTIES SHALL CONFER WITHIN TEN (10) DAYS AFTER RECEIPT OF THE LIST TO SEEK AGREEMENT ON AN ARBITRATOR. IF THE PARTIES CANNOT AGREE ON AN ARBITRATOR, THE CONTRACTING OFFICER AND THE PRESIDENT OF THE CONTRACTOR'S COMPANY WILL STRIKE ONE (1) NAME FROM THE LIST ALTERNATIVELY UNTIL ONE (1) NAME REMAINS. THE REMAINING PERSON SHALL BE THE DULY SELECTED ARBITRATOR. THE COSTS OF THE ARBITRATION, INCLUDING THE ARBITRATOR'S FEE AND EXPENSES, COURT REPORTER, COURTROOM OR SITE SELECTED, ETC., SHALL BE BORNE EQUALLY BETWEEN THE PARTIES. EITHER PARTY DESIRING A COPY OF THE TRANSCRIPT SHALL PAY FOR THE TRANSCRIPT. A HEARING WILL BE HELD AS SOON AS THE PARTIES CAN MUTUALLY AGREE. A WRITTEN ARBITRATOR'S DECISION WILL BE REQUESTED NOT LATER THAN 30 DAYS FOLLOWING THE HEARING. THE DECISION OF THE ARBITRATOR WILL NOT BE BINDING; HOWEVER, IT WILL BE ADMISSIBLE IN ANY SUBSEQUENT APPEAL UNDER THE CONTRACT DISPUTES ACT.

A FRAMED COPY OF THIS WARRANTY SHALL BE POSTED IN THE MECHANICAL ROOM OR OTHER APPROVED LOCATION DURING THE ENTIRE WARRANTY PERIOD.

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SECTION 07900A

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06/97

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SECTION 07900A

JOINT SEALING

06/97

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM C 509	(1994) Elastomeric Cellular Preformed Gasket and Sealing Material
ASTM C 920	(1998) Elastomeric Joint Sealants
ASTM D 1056	(1998) Flexible Cellular Materials - Sponge or Expanded Rubber
ASTM D 1565	(1999) Flexible Cellular Materials - Vinyl Chloride Polymers and Copolymers (Open-Cell Foam)

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-03 Product Data

Backing;

Bond-Breaker;

Sealant; G-AO

Manufacturer's descriptive data including storage requirements, shelf life, curing time, instructions for mixing and application, and primer data (if required). A copy of the Material Safety Data Sheet shall be provided for each solvent, primer or sealant material.

SD-07 Certificates

Sealant; G-AO

Certificates of compliance stating that the materials conform to

the specified requirements.

1.3 ENVIRONMENTAL REQUIREMENTS

The ambient temperature shall be within the limits of 40 to 90 degrees F when the sealants are applied.

1.4 DELIVERY AND STORAGE

Materials shall be delivered to the job in the manufacturer's original unopened containers. The container label or accompanying data sheet shall include the following information as applicable: manufacturer, name of material, formula or specification number, lot number, color, date of manufacture, mixing instructions, shelf life, and curing time at the standard conditions for laboratory tests. Materials shall be handled and stored to prevent inclusion of foreign materials. Materials shall be stored at temperatures between 40 and 90 degrees F unless otherwise specified by the manufacturer.

PART 2 PRODUCTS

2.1 BACKING

Backing shall be 25 to 33 percent oversize for closed cell and 40 to 50 percent oversize for open cell material, unless otherwise indicated.

2.1.1 Rubber

1 Cellular rubber sponge backing shall be ASTM D 1056, Type 2, closed cell, Class A, Grade as seems appropriate for the specific joint, round cross section.

2.1.2 PVC

2 Polyvinyl chloride (PVC) backing shall be ASTM D 1565, Grade VO 12 , open-cell foam, round cross section.

2.1.3 Synthetic Rubber

3 Synthetic rubber backing shall be ASTM C 509, Option I , Type I preformed rods or tubes.

2.1.4 Neoprene

4 Neoprene backing shall be ASTM D 1056, closed cell expanded neoprene cord Type 2, Class C, Grade 2C2.

2.2 BOND-BREAKER

Bond-breaker shall be as recommended by the sealant manufacturer to prevent adhesion of the sealant to backing or to bottom of the joint.

2.3 PRIMER

Primer shall be non-staining type as recommended by sealant manufacturer for the application.

2.4 SEALANT

2.4.1 NOT USED

2.4.2 ELASTOMERIC

Elastomeric sealants shall conform to ASTM C 920 and the following:

- a. [__1__] Polysulfide Sealant: Type S or M, Grade NS, Class 25, Use NT, M.
- b. [__2__] Polyurethane sealant: Grade NS, Class 25, Use T or NT as appropriate, M.
- c. [__3__] Silicone sealant: Type S or M, Grade NS, Class 25, Use NT, M.
- d. [__4__] For use with Fluid-Applied Waterproofing: FS TT-S-227 or FS TT-S-230.

2.4.3 NOT USED

2.5 SOLVENTS AND CLEANING AGENTS

Solvents, cleaning agents, and accessory materials shall be provided as recommended by the manufacturer.

PART 3 EXECUTION

3.1 GENERAL

3.1.1 Surface Preparation

The surfaces of joints to receive sealant or caulk shall be free of all frost, condensation and moisture. Oil, grease, dirt, chalk, particles of mortar, dust, loose rust, loose mill scale, and other foreign substances shall be removed from surfaces of joints to be in contact with the sealant. Oil and grease shall be removed with solvent and surfaces shall be wiped dry with clean cloths. For surface types not listed below, the sealant manufacturer shall be contacted for specific recommendations.

3.1.2 Concrete and Masonry Surfaces

Where surfaces have been treated with curing compounds, oil, or other such materials, the materials shall be removed by sandblasting or wire brushing. Laitance, efflorescence and loose mortar shall be removed from the joint cavity.

3.1.3 Steel Surfaces

Steel surfaces to be in contact with sealant shall be sandblasted or, if sandblasting would not be practical or would damage adjacent finish work, the metal shall be scraped and wire brushed to remove loose mill scale. Protective coatings on steel surfaces shall be removed by sandblasting or by a solvent that leaves no residue.

3.2 APPLICATION

3.2.1 Masking Tape

Masking tape may be placed on the finish surface on one or both sides of a joint cavity to protect adjacent finish surfaces from primer or sealant smears. Masking tape shall be removed within 10 minutes after joint has been filled and tooled.

3.2.2 Backing

Backing shall be installed to provide the indicated sealant depth. The installation tool shall be shaped to avoid puncturing the backing.

3.2.3 Bond-Breaker

Bond-breaker shall be applied to fully cover the bottom of the joint without contaminating the sides where sealant adhesion is required.

3.2.4 Primer

Primer shall be used on concrete masonry units, wood, or other porous surfaces in accordance with instructions furnished with the sealant. Primer shall be applied to the joint surfaces to be sealed. Surfaces adjacent to joints shall not be primed.

3.2.5 Sealant

Sealant shall be used before expiration of shelf life. Multi-component sealants shall be mixed according to manufacturer's printed instructions. Sealant in guns shall be applied with a nozzle of proper size to fit the width of joint. Joints shall be sealed as detailed in the drawings. Sealant shall be forced into joints with sufficient pressure to expel air and fill the groove solidly. Sealant shall be installed to the indicated depth without displacing the backing. Unless otherwise indicated, specified, or recommended by the manufacturer, the installed sealant shall be dry tooled to produce a uniformly smooth surface free of wrinkles and to ensure full adhesion to the sides of the joint; the use of solvents, soapy water, etc., will not be allowed. Sealants shall be installed free of air pockets, foreign embedded matter, ridges and sags. Sealer shall be applied over the sealant when and as specified by the sealant manufacturer.

3.3 CLEANING

The surfaces adjoining the sealed joints shall be cleaned of smears and other soiling resulting from the sealant application as work progresses.

-- End of Section --

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SECTION 08110

STEEL DOORS AND FRAMES

05/01

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SECTION 08110

STEEL DOORS AND FRAMES

05/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

- | | |
|-------------|--|
| ANSI A250.6 | (1997) Hardware on Standard Steel Doors
(Reinforcement - Application) |
| ANSI A250.8 | (1998) SDI-100 Recommended Specifications
for Standard Steel Doors and Frames |

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- | | |
|-------------------|--|
| ASTM A 591 | (1998) Steel Sheet, Electrolytic
Zinc-Coated, for Light Coating Mass
Applications |
| ASTM A 653/A 653M | (2000) Steel Sheet, Zinc-Coated
(Galvanized) or Zinc-Iron Alloy-Coated
(Galvannealed) by the Hot-Dip Process |
| ASTM A 924/A 924M | (1999) General Requirements for Steel
Sheet, Metallic-Coated by the Hot-Dip
Process |

DOOR AND HARDWARE INSTITUTE (DHI)

- | | |
|----------|---|
| DHI A115 | (1991) Steel Door Preparation Standards
(Consisting of A115.1 through A115.6 and
A115.12 through A115.18) |
|----------|---|

HOLLOW METAL MANUFACTURERS ASSOCIATION (HMMA)

- | | |
|----------------|----------------------------|
| NAAMM HMMA HMM | (1992) Hollow Metal Manual |
|----------------|----------------------------|

STEEL DOOR INSTITUTE (SDOI)

- | | |
|-----------|--|
| SDI 105 | (1998) Recommended Erection Instructions
for Steel Frames |
| SDI 111-F | Recommended Existing Wall Anchors for
Standard Steel Doors and Frames |

1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Doors; G-AO

Frames; G-AO

Accessories

Weatherstripping

Show elevations, construction details, metal gages, hardware provisions, method of glazing, and installation details.

Schedule of doors; G-AO

Schedule of frames; G-AO

Submit door and frame locations.

SD-03 Product Data

Doors; G-AO

Frames; G-AO

Accessories

Weatherstripping

Submit manufacturer's descriptive literature for doors, frames, and accessories. Include data and details on door construction, panel (internal) reinforcement, insulation, and door edge construction. When "custom hollow metal doors" are provided in lieu of "standard steel doors," provide additional details and data sufficient for comparison to ANSI A250.8 requirements.

SD-04 Samples

Factory-applied enamel finish; G-DO

Where colors are not indicated, submit manufacturer's standard colors and patterns for selection.

1.3 DELIVERY, STORAGE, AND HANDLING

Deliver doors, frames, and accessories undamaged and with protective wrappings or packaging. Strap knock-down frames in bundles. Provide temporary steel spreaders securely fastened to the bottom of each welded frame. Store doors and frames on platforms under cover in clean, dry, ventilated, and accessible locations, with 1/4 inch airspace between doors. Remove damp or wet packaging immediately and wipe affected surfaces dry. Replace damaged materials with new.

PART 2 PRODUCTS

2.1 STANDARD STEEL DOORS

ANSI A250.8, except as specified otherwise. Prepare doors to receive hardware specified in Section 08710, "Door Hardware." Undercut where indicated. Exterior doors shall have top edge closed flush and sealed to prevent water intrusion. Doors shall be 1 3/4 inches thick, unless otherwise indicated.

2.1.1 Classification - Level, Performance, Model

2.1.1.1 Not Used

2.1.1.2 Not Used

2.1.1.3 Not Used

2.1.1.4 Maximum Duty Doors

ANSI A250.8, Level 4, physical performance Level A, Model 1 2 . Where vertical stiffener cores are required, the space between the stiffeners shall be filled with mineral board insulation. Provide Level 4 where indicated.

2.2 CUSTOM HOLLOW METAL DOORS

Provide custom hollow metal doors where nonstandard steel doors are indicated. At the Contractor's option, custom hollow metal doors may be provided in lieu of standard steel doors. Door size(s), design, materials, construction, gages, and finish shall be as specified for standard steel doors and shall comply with the requirement of NAAMM HMMA HMM. Fill all spaces in doors with insulation. Close top and bottom edges with steel channels not lighter than 16 gage. Close tops of exterior doors flush with an additional channel and seal to prevent water intrusion. Prepare doors to receive hardware specified in Section 08710, "Door Hardware." Doors shall be 1 3/4 inches thick, unless otherwise indicated.

2.3 NOT USED

2.4 NOT USED

2.5 ACCESSORIES

2.5.1 Not Used

2.5.2 Louvers

2.5.2.1 Not Used

2.5.2.2 Exterior Louvers

Louvers shall be inverted ["Y"] ["V"] ["Z"] type with minimum of 30 percent net-free opening. Weld or tenon louver blades to continuous channel frame and weld assembly to door to form watertight assembly. Form louvers of hot-dip galvanized steel of same gage as door facings. Louvers shall have steel-framed insect screens secured to room side and readily removable. Provide aluminum wire cloth, 18 by 18 or 18 by 16 inch mesh, for insect screens. Net-free louver area to be before screening.

2.5.3 Not Used

2.5.4 Moldings

Provide moldings around louvers of doors. Provide nonremovable moldings on outside of exterior doors. Other moldings may be stationary or removable. Secure inside moldings to stationary moldings, or provide snap-on moldings.

2.6 NOT USED

2.7 STANDARD STEEL FRAMES

ANSI A250.8, except as otherwise specified. Form frames to sizes and shapes indicated, with welded corners or knock-down field-assembled corners. Provide steel frames for doors, unless otherwise indicated.

2.7.1 Welded Frames

Continuously weld frame faces at corner joints. Mechanically interlock or continuously weld stops and rabbets. Grind welds smooth.

2.7.2 Knock-Down Frames

Design corners for simple field assembly by concealed tenons, splice plates, or interlocking joints that produce square, rigid corners and a tight fit and maintain the alignment of adjoining members. Provide locknuts for bolted connections.

2.7.3 Not Used

2.7.4 Stops and Beads

Form stops and beads from 20 gage steel. openings in standard steel frames. Secure beads to frames with oval-head, countersunk Phillips self-tapping sheet metal screws or concealed clips and fasteners. Space fasteners approximately 12 to 16 inches on centers. Miter molded shapes at corners. Butt or miter square or rectangular beads at corners.

2.7.5 Not Used

2.7.6 Not Used

02.7.7 Anchors

Provide anchors to secure the frame to adjoining construction. Provide steel anchors, zinc-coated or painted with rust-inhibitive paint, not lighter than 18 gage.

2.7.7.1 Wall Anchors

Provide at least four anchors for each jamb. .

- c. Completed openings: Secure frames to previously placed concrete or masonry with expansion bolts in accordance with SDI 111-F; and

2.8 NOT USED

2.9 WEATHERSTRIPPING

As specified in Section 08710, "Door Hardware."

2.10 HARDWARE PREPARATION

Provide minimum hardware reinforcing gages as specified in ANSI A250.6. Drill and tap doors and frames to receive finish hardware. Prepare doors and frames for hardware in accordance with the applicable requirements of ANSI A250.8 and ANSI A250.6. For additional requirements refer to DHI A115. Drill and tap for surface-applied hardware at the project site. Build additional reinforcing for surface-applied hardware into the door at the factory. Locate hardware in accordance with the requirements of ANSI A250.8, as applicable. Set lock strikes out to provide clearance for silencers.

2.11 FINISHES

[2.11.1 Factory-Primed Finish

All surfaces of doors and frames shall be thoroughly cleaned, chemically treated and factory primed with a rust inhibiting coating as specified in ANSI A250.8. [, or paintable A25 galvanized steel without primer. Where coating is removed by welding, apply touchup of factory primer.]

]2.11.2 Hot-Dip Zinc-Coated and Factory-Primed Finish

Fabricate exterior doors and frames from hot dipped zinc coated steel, alloyed type, that complies with ASTM A 924/A 924M and ASTM A 653/A 653M. The Coating weight shall meet or exceed the minimum requirements for coatings having 0.4 ounces per square foot, total both sides, i.e., A40. Repair damaged zinc-coated surfaces by the application of zinc dust paint. Thoroughly clean and chemically treat to insure maximum paint adhesion. Factory prime as specified in ANSI A250.8.

]2.11.3 Electrolytic Zinc-Coated Anchors and Accessories

Provide electrolytically deposited zinc-coated steel in accordance with ASTM A 591, Commercial Quality, Coating Class A. Phosphate treat and

factory prime zinc-coated surfaces as specified in ANSI A250.8.

2.12 FABRICATION AND WORKMANSHIP

Finished doors and frames shall be strong and rigid, neat in appearance, and free from defects, waves, scratches, cuts, dents, ridges, holes, warp, and buckle. Molded members shall be clean cut, straight, and true, with joints coped or mitered, well formed, and in true alignment. Dress exposed welded and soldered joints smooth. Design door frame sections for use with the wall construction indicated. Corner joints shall be well formed and in true alignment. Design frames in exposed concrete walls or partitions to allow sufficient space between the inside back of trim and masonry to receive calking compound.]

2.12.1 Grouted Frames

For frames to be installed in exterior walls and to be filled with mortar or grout, fill the stops with strips of rigid insulation to keep the grout out of the stops and to facilitate installation of stop-applied head and jamb seals.

PART 3 EXECUTION

3.1 INSTALLATION

3.1.1 Frames

Set frames in accordance with SDI 105. Plumb, align, and brace securely until permanent anchors are set. Anchor bottoms of frames with expansion bolts or powder-actuated fasteners. Build in or secure wall anchors to adjoining construction. Backfill frames with mortar. When an additive is provided in the mortar, coat inside of frames with corrosion-inhibiting bituminous material. For frames in exterior walls, ensure that stops are filled with rigid insulation before grout is placed.

3.1.2 Doors

Hang doors in accordance with clearances specified in ANSI A250.8. After erection and glazing, clean and adjust hardware.

3.1.3 Not Used

3.2 PROTECTION

Protect doors and frames from damage. Repair damaged doors and frames prior to completion and acceptance of the project or replace with new, as directed. Wire brush rusted frames until rust is removed. Clean thoroughly. Apply an all-over coat of rust-inhibitive paint of the same type used for shop coat.

3.3 CLEANING

Upon completion, clean exposed surfaces of doors and frames thoroughly. Remove mastic smears and other unsightly marks.

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SECTION 08316

IGLOO SLIDING BLAST DOORS AND DOOR HARDWARE

08/95

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SECTION 08316

IGLOO SLIDING BLAST DOORS AND DOOR HARDWARE
08/95

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONCRETE INSTITUTE (ACI)

ACI 318/318R (1989; R 1992) Building Code Requirements
for Reinforced Concrete

AMERICAN INSTITUTE OF STEEL CONSTRUCTION (AISC)

AISC-01 (1993) Load & Resistance Factor Design
Manual Vol 1 - Structural Members,
Specifications and Codes

AISC-02 (1993) Load & Resistance Facotr Design
Manual Vol II - Connections

AISC-03 (19943) Manual of Steel Construction
Allowable Stress Design)

AISC-04 (1993) Specification for Structural Steel
Buildings - Allowable Stress Design and
Plastic Design

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI B18.22.1 1981 Washer, Plain

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 36 (1994a) Carbon Structural Steel

ASTM A 53 (1993a) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless

ASTM A 123 (1989a) Zinc (Hot-Dipped Galvanized)
Coatings on Iron and Steel Products

ASTM A 307 (1994) Carbon Steel Bolts and Studs,
60,000 PSI Tensile Strength

ASTM A 325 (1994) Structural Bolts, Steel, Heat
Treated, 120/105 ksi Minimum Tensile
Strength

ASTM A 490 (1993) Heat-Treated Steel Structural
Bolts, 150 ksi Minimum Tensile Strength

ASTM A 525	(1993) Steel Sheet, Zinc-Coated (Galvanized) by the Hot-Dip Process AMERICAN WELDING SOCIETY (AWS)
AWS D1.1	(1994) Structural Welding Code - Steel FEDERAL SPECIFICATIONS (FS)
FS FF-S-85	(1979) Screw, Cap, Slotted and Hexagon Head
FS FF-S-92	(Rev. B; Am 1) Screw, Machine; Slotted, Cross-Recessed or Hexagon Head
FS FF-S-325	(Basic; Int Am3; Notice 1) Shield, Expansion; Nail, Expansion; and Nail, Drive Screw (Devices, Anchoring, Masonry)
FS FF-W-84	(Rev. A; Am4) Washer, Lock (Spring)
U.S. DEPARTMENT OF DEFENSE (DOD)	
MIL-DTL-29181	(Rev C) Hasp, High Security, Shrouded, for High and Medium Security Padlock
MIL-DTL-43607	(Rev H) Padlock, Key Operated, High Security, Shrouded Shackle
STEEL STRUCTURES PAINTING COUNCIL (SSPC)	
SSPC Paint 25	(1991) Red Iron Oxide, Zinc Oxide, Raw Linseed Oil and Alkyd Primer (Without Lead and Chromate Pigments)

1.2 DESCRIPTION

The fabrication and erection of the sliding blast doors, door frames, door related hardware, and accessories; and the design, fabrication, and testing of the door operators shall be in accordance with this specification and as indicated. The term "Operator" as used hereinafter shall include all parts used to move the sliding blast door. If the trolley beam is used as part of the operator, the Contract shall provide additional bracing and supports as necessary to transfer induced loads from the beam into the concrete walls. It shall include but not be limited to support brackets, drive chain, hand chain, emergency chain release, gear reduction mechanism, idler sprocket, and trolleys. Each blast door shall be a horizontal sliding type suspended from a track by two bottom running trolleys, and manually operated by a geared hand chain operator. Overall dimensions of the trolleys and operator shall preclude interference with other items indicated. The doors shall operate between the closed position and the open position indicated.

1.3 DESIGN STANDARDS

Steel support structures for the operators shall be designed in accordance with the applicable portions of the AISC-01, AISC-02, AISC-03, and AISC-04 . Other materials such as castings, stampings, and forgings shall be designed in accordance with the paragraph titled DOOR OPERATION of this

specification section. Embedment items designed by the Contractor shall be designed in accordance with ACI 318/318R.

1.4 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02, Shop Drawings

Fabrication details G-DO

Fabrication details of each structural steel item, including, but not limited to, doors, trolley track, and support brackets. Shop drawings shall be prepared under the direct supervision of a licensed professional engineer. The shop drawings shall contain his seal and a statement certifying that they are in compliance with the specifications and contract plans.

Erection diagrams G-DO

Erection diagrams of steel framing, steel members, high security shrouded hasps, and miscellaneous structural items, including welded and bolted connections and operators. Welds shall be indicated using standard welding symbols of the American Welding Society and shall indicate the testing procedures and certified test results.

SD-03, Product Data

Descriptive data for door operators G-AO

Details of and descriptive data for door operators, including, but not limited to, gear reduction mechanism, hand chain, drive chain, emergency chain release, idler sprocket, operator support brackets, and trolleys.

Descriptive data for louvers G-AO

Descriptive data and catalog-cuts for louvers and weatherstripping.

Erection G-AO

Detailed erection procedure.

SD-05, Design Data

Design calculations G-DO

Calculations for the design of the door operators and support items designed by the Contractor. Calculations shall demonstrate that the operator is capable of resisting all design and test loads and that the doors will operate with the specified hand chain pull. Calculations shall be prepared under the direct supervision of a licensed professional engineer. The calculations shall contain his seal and a statement certifying that they are in

compliance with the specifications and contract plans.

SD-06, Test Reports

Plain trolley test G-AO

Static overload test G-AO

Efficiency test G-AO

Field tests G-AO

SD-07, Certificates

Operator parts materials G-AO

Certificates of compliance for chains, trolleys, gear reduction mechanism, and other operator parts stating that the materials used are in accordance with this specification and industry standards.

Other materials and welders G-AO

Certified copies of mill test reports for structural steel, structural bolts, nuts, washers and other related structural steel items, and certified copies of welders qualification test records showing qualifications in accordance with AWS D1.1.

Fabrication G-AO

A copy of the fabrication certificate indicating that the fabrication plant meets the specified structural steelwork category.

1.5 QUALIFICATION OF WELDERS

Welding shall be performed by certified welders qualified in accordance with procedures covered in AWS D1.1 using procedures and materials and equipment of the type required for the work.

1.6 WORKMANSHIP

All items shall be accurately set to established lines and elevations and securely fastened in place. Holes for bolts, pins, and screws shall be drilled or neatly punched. Poor matching of holes shall be cause for rejection of the work. All bolts and nuts shall be provided with washers.

Fastening shall be concealed where practicable. Assembly and exposed to the weather shall be formed to exclude water.

1.7 ANCHORAGE

Anchorage shall be provided where necessary for fastening miscellaneous metal items securely in place. Anchorage not otherwise specified shall include slotted inserts, expansion shields, and powder-driven fasteners when approved for concrete by the Contracting Officer. Slotted inserts shall be of types required to engage with the anchors and shall be approved by the Contracting Officer.

1.8 GALVANIZING

Items specified to be galvanized shall be hot-dip processed after fabrication. Galvanizing shall be in accordance with ASTM A 123 or ASTM A 525, as applicable.

1.9 FASTENERS

All exposed-to-view fasteners shall generally match in color and finish, and shall harmonize with the material to which fasteners are applied.

1.10 COMPLETENESS

Materials, parts, bolts, anchors, supports, braces, and connections necessary for completion of the work shall be provided even though not precisely shown or specified. The necessary rebates, lugs, and brackets shall be provided so that the work can be assembled in a neat and rigid manner.

PART 2 PRODUCTS

2.1 MATERIALS

Materials shall conform to the requirements specified for the particular item; and where these requirements are not specified in detail, the materials shall be suitable for the intended usage of the item.

2.1.1 Structural Steel

ASTM A 36.

2.1.2 Steel Pipe

ASTM A 53.

2.1.3 Ferrous Metal Primers

Primer shall conform to SSPC Paint 25.

2.1.4 Anchor Bolts

ASTM A 307, grade A.

2.1.5 High Strength Bolts

High strength bolts, including nuts and washers, shall conform to ASTM A 325.

2.1.6 Anchors

2.1.6.1 Expansion Shields

FS FF-S-325.

2.1.7 Fasteners

2.1.7.1 Screws

FS FF-S-85 and FS FF-S-92, as best suited for use intended.

2.1.7.2 Washers

FS FF-W-84 for lock washers. Plain washers, other than those in contact with high-strength bolt heads and nuts, shall conform to ANSI B18.22.1, Type B.

2.1.8 Blast Door Rollers

Blast door rollers shall be hard rubber (Shore Durometer 60-70) with oilless sleeve type bearing.

2.1.9 High Security Padlock

High security padlocks with shrouded shackles shall conform to MIL-DTL-43607.

2.1.10 High Security Shrouded Hasp

High security shrouded hasps shall conform to MIL-DTL-29181, Style 1 or 2 as applicable.

2.1.11 Exterior Louvers

Exterior louvers shall be adjustable as shown on the drawings, with minimum of 30 percent net-free opening. Form louvers of hot-dip 12 gage (min) galvanized steel. Louvers shall have steel-framed insect screens secured to door. Provide aluminum wire cloth, 18 by 18 or 18 by 16 inch mesh, for insect screens.

2.1.12 Materials Not Otherwise Specified

Materials not otherwise specified shall conform to industry standards.

2.2 BLAST DOORS

Except as specified below, blast doors shall be fabricated and equipped complete with all required hardware and accessories. The door surfaces shall be parallel and flat to within 1/4-inch when measured over the entire surface of the doors. Local variations shall not exceed 1/8-inch when measured with a 5-foot-long straightedge. Special care shall be exercised during the welding of structural shapes to the outer and inner plates to prevent permanent warping from the plane of the plates.

2.2.1 Field Splice

One horizontal field splice may be made to facilitate shipping to the site or as required by the size of plates available and shall conform to the details shown.

2.2.2 Hardware

All hardware except high security shrouded hasps and plates with holes to receive pins shall be installed before field tests of the door. High

security shrouded hasps and plates with holes to receive pins shall be installed as indicated on the drawings after the field tests have been made and accepted by the Contracting Officer.

2.2.3 Size and Gage

Size and gage shall be no smaller or lighter than those specified hereinafter or indicated. Slightly larger or heavier sizes and gages will generally be acceptable. Gages of material shall be manufacturer's standard gage.

2.3 BLAST DOOR ASSEMBLY

2.3.1 Door Type

Horizontal rolling structural steel construction.

2.4 BLAST DOOR FRAMES

Frames embedded in concrete shall be plumb to within 1/4-inch over the full height of the frame. Frame and formwork shall be adequately braced during concrete placement so that door clearances bearing surfaces are uniform.

2.5 DOOR OPERATION

The door operation system shall include two trolleys, and an operator consisting of a horizontal running endless drive chain which is driven through a stationary gearbox by an endless hand chain, and other equipment necessary to provide a complete system which meets the operation and test requirements of this specification. The door operator system shall not include a clutch or similar mechanism. The material selection, component design, and assembly tolerances shall ensure trouble-free operator service life. Rotating shafts shall be supported in anti-friction, lubricated, or self-lubricating bearings. Shaft bearings shall be enclosed against entry of dirt, dust, or foreign material. Rotating or sliding surfaces shall be lubricated. Operators shall be capable of operating at temperatures of -30 degrees F. through 130 degrees F. Positive means of securing loose parts such as nuts, belts, collars, and check rings shall be provided to prevent any component from working loose. Maximum combined stress in components shall not exceed 35 percent of the tensile yield strength of the material at the rated capacity or design load. All parts of the machinery shall be designed with an adequate reserve of strength and rigidity. All wear parts shall be readily accessible for replacement.

2.5.1 Standard Products

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of this type of equipment or product and shall essentially duplicate equipment that has been in satisfactory use for a period of at least 3 years prior to bid opening. Equipment standard with the manufacturer and generally meeting the requirements of this specification as to performance and quality of materials and workmanship, but differing from the requirements of this specification in minor details only, will be acceptable subject to approval of all government approved submittals.

2.5.2 Gear Reduction Mechanism

The operator shall have a gear reduction mechanism. The gear reduction

ratio shall be determined by the Contractor. The gear reduction ratio selected shall meet all specified design requirements. Gears shall be surface hardened by case hardening or carburizing. Gears shall be enclosed against dirt, dust, and water spray in a casing that will permit ready access for inspection and cleaning. Operator design and installation shall readily permit drive and hand chain replacement by the use of simple hand tools.

2.5.3 Drive and Hand Chains

The drive and hand chains shall be carbon or alloy steel and shall be of sufficient strength and hardness to withstand the tests and design requirements specified.

2.5.3.1 Drive Chain

The drive chain shall have a minimum rated capacity necessary to meet design requirements. The drive chain shall be an endless, free rolling, roller chain which is easily replaceable. Material for pins, bushings, rollers, and sidebars of the roller chain shall be carbon or alloy steel and shall have a blue oxide finish. The drive chain shall be coated with a combination lubricant and rust preventative.

2.5.3.2 Hand Chain

The hand chain shall be endless link chain. The chain links shall be electric or forge welded. Each link shall be of uniform size and shape, free from scale and laminations at the welds. One connecting link is permitted for each hand chain. The hand chain shall have an electrodeposited zinc coating.

2.5.3.3 Drive Chain Wheel and Shaft

The drive chain wheel shall be of the sprocket type properly sized and designed to accommodate the roller chain. The drive shaft shall be integral with or rigidly connected to the drive chain wheel. Welding of the wheel to the shaft will not be permitted.

2.5.3.4 Hand Chain Wheel

The hand chain wheel shall be provided with deep accurately-shaped pockets for the reception of the hand chain. The wheel shall be equipped with a chain guide that will permit operation of the hand chain at an angle 10 degrees out from either side of the chain wheel without stripping or jumping the wheel rim.

2.5.3.5 Emergency Chain Release

The operator shall be equipped with a manual emergency chain release which will release the door from the drive chain. The drive chain shall remain intact with the emergency chain release engaged or disengaged. The emergency chain release shall be located as indicated and shall be designed to disengage and reengage the door by operating the release. The release shall be provided with locking mechanisms to secure the release both in the engaged and in the disengaged positions. A bolt and nut type locking mechanism will not be acceptable.

2.5.4 Trolleys

Trolleys shall have a minimum rated capacity as indicated.

2.5.4.1 Trolley Wheels

Each trolley shall be provided with at least 4 wheels. Wheels shall be forged or cast with treads hardened to a minimum depth of 1/16-inch. Trolley wheels shall have treads hardened to a minimum of 285 Brinell hardness number. Wheels shall be concentric to within .030 of an inch. Cast wheels shall have machined treads. Where the wheel mounting arrangement is such that the wheels are not canted to the flange of the beam trolley track, trolley wheels shall have tapered treads and treads shall be a convex shape to minimize wear. Trolley wheel spacing shall be suitable for use on the beam flange indicated.

2.5.4.2 Trolley Wheel Bearings

Trolley wheels shall be equipped with anti-friction bearings. The wheel bearings shall be protected to exclude foreign matter.

2.5.4.3 Trolley Equalizers

Means shall be provided for distributing the load equally into trolley side frames.

2.5.4.4 Trolley Equalizers

Means shall be provided for distributing the load equally into trolley side frames.

2.6 OPERATOR DESIGN

The operator shall be designed for the following requirements.

2.6.1 Hand Chain Pull

A hand chain pull of 25 pounds or less shall operate the doors.

2.6.2 Design Load Resistance

The operators and all load carrying parts of the operator system shall resist, without damage or permanent deformation, a design load of 400 pounds applied vertically to the hand chain at the same time as the door is restrained from moving.

2.6.3 Design Calculations

Design calculations for the operator system shall verify that the operator is capable of resisting all design and test loads. The calculations shall also verify that the doors will operate with the specified hand chain pull. Resistance to door travel used for design shall include fully loaded trolley rolling resistance, friction resistance from weatherstripping and mechanical efficiency of the operator.

PART 3 EXECUTION

3.1 FABRICATION

AISC-01, AISC-02, AISC-03, and AISC-04 shall govern the work. Fabrication and assembly shall be done in the shop to the greatest extent possible.

The fabricating plant shall be certified by the fabricator as being equivalent to the AISC quality certification program for Category I structural steelwork. Portions of the work not covered by AISC-01, AISC-02, AISC-03, and AISC-04, or by other portions of this specification shall be in accordance with industry standards. Welding shall be in accordance with AWS D1.1. High strength joints using ASTM A 325 or ASTM A 490 bolts. The Contractor shall verify all dimensions and shall take necessary field measurements before fabrication. Design and fabrication details of all items shall provide adequate strength and stiffness. The Contractor shall be responsible for all errors of detailing, fabrication, and for the correct fitting of the structural members.

3.2 ERECTION OF DOORS, HARDWARE, EMBEDDED ITEMS, AND STRUCTURAL STEEL

Erection of doors, hardware, embedded items and structural steel shall be in accordance with the applicable provisions of AISC-01, AISC-02, AISC-03, and AISC-04. Placement of embedded items and hardware shall be as indicated. Door clearances from the frames shall be checked for conformance with the drawings before the field tests specified hereinafter are performed.

3.3 SHOP PAINTING

Surfaces of ferrous metals, except surfaces to be field welded, surfaces to be encased in concrete, and surfaces of rails to be in contact with wheels shall be prepared for painting in accordance with AISC-01, AISC-02, AISC-03, and AISC-04, and primed with paint materials hereinafter specified.

3.4 FIELD PRIMING

After erection, the field bolt heads and nuts, field welds, and any abrasions in the shop coat shall be cleaned and primed with paint of the same quality as that used for the shop coat.

3.5 FINISH PAINTING

Shop primed items shall receive surface preparation and finish painting as specified in Section 09900, "Painting."

3.6 TESTING AND INSPECTION

The Contracting Officer shall be notified at least 7 days prior to beginning shop and field tests and shall be present during all tests unless the requirement is waived in writing. The contractor shall furnish all test loads and equipment. Three copies of all test reports shall be furnished to the Contracting Officer. Failure to pass any one of the shop or field tests shall be cause for rejection of the operator or associated hardware.

3.7 FACTORY TESTS

3.7.1 Plain Trolley Test

The pull required to move the capacity-loaded trolley along a straight portion of track shall be determined by attaching a cable, chain, or chord to the trolley, passing same over a sheave hanging from the track at a reasonable distance from the trolley, and measuring the required pull by means of weights or spring balance attached to the cable, chain, or cord. The maximum pull required to traverse capacity-loaded trolleys shall not

exceed 70 pounds for 4-ton trolleys.

3.7.2 Static Overload Test

Sample trolley shall be tested by loading with a static load of twice the rated capacity for a period of 10 minutes. Evidence of failure or permanent deformation of trolley parts shall be cause for rejection of the trolleys. The trolley used for this test shall not be installed as part of any blast door operator.

3.7.3 Efficiency Test

The drive chain shall be draped vertically and loaded so that the hand chain pull required to lift the load is between 15 and 20 pounds. The load shall be lifted through a measured distance. The number of feet of hand chain passed by reference mark in raising the load to the selected height and the tension in the hand chain, measured with an accurate spring balance or attached weights shall be noted. The mechanical efficiency shall be calculated as follows:

$$E = (CL) / (PT) \times 100$$

Where:

E = Mechanical efficiency in percent of 100

C = Load on drive chain, pounds

L = Distance lifted, feet

P = Mean hand chain operating force, pounds

T = Number of feet of hand chain to raise load

This efficiency shall be used in the submitted design calculations.

3.7.4 Static Overload Test

A sample operator system shall support a static load of 400 pounds on one side, then the other side of the hand chain for a period of 10 minutes. Evidence of failure or permanent deformation of any operator parts shall be cause for rejection of the operator parts.

3.7.5 Field Tests

Each installed door and door operator shall be tested throughout its range of operations by use of weights or spring balance attached to the hand chain. The doors and tracks shall be adjusted so that the measured force necessary for operation does not exceed 25 pounds. With the door rigidly held in place, a load of 400 pounds shall be applied first to one side of the hand chain, then removed and applied to the other side of the hand chain. Evidence of failure or permanent deformation of any part of the operator system shall be cause for rejection of that part of the operator system.

-- End of Section --

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DIVISION 08 - DOORS & WINDOWS

SECTION 08710

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SECTION 08710

DOOR HARDWARE

02/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM E 283	(1991) Rate of Air Leakage Through Exterior Windows, Curtain Walls, and Doors Under Specified Pressure Differences Across the Specimen
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BUILDERS HARDWARE MANUFACTURERS ASSOCIATION (BHMA)

BHMA A156.1	(1997) Butts and Hinges (BHMA 101)
BHMA A156.2	(1996) Bored and Preassembled Locks and Latches (BHMA 601)
BHMA A156.3	(1994) Exit Devices (BHMA 701)
BHMA A156.7	(1988) Template Hinge Dimensions
BHMA A156.13	(1994) Mortise Locks & Latches (BHMA 621)
BHMA A156.16	(1997) Auxiliary Hardware
BHMA A156.18	(1993) Materials and Finishes (BHMA 1301)
BHMA A156.21	(1996) Thresholds
BHMA A156.22	(1996) Door Gasketing Systems

1.2 SUBMITTALS

Submit the following in accordance with Section 01330, "Submittal Procedures."

SD-02 Shop Drawings

Hardware schedule; G

Keying system

SD-03 Product Data

Hardware items; G-AO

SD-08 Manufacturer's Instructions

Installation

SD-10 Operation and Maintenance Data

Hardware Schedule items, Data Package 1; G-AO

Submit data package in accordance with Section 01781, "Operation and Maintenance Data."

SD-11 Closeout Submittals

Key bitting

1.3 HARDWARE SCHEDULE

Prepare and submit hardware schedule in the following form:

Hard- ware Item	Quan- tity	Size	Reference Publi- cation Type No.	Finish	Mfr. Name and Catalog No.	Key Con- trol Symbols	UL Mark (If fire rated and listed)	BHMA Finish Designa- tion
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1.4 KEY BITTING CHART REQUIREMENTS

Submit key bitting charts to the Contracting Officer prior to completion of the work. Include:

- Complete listing of all keys (AA1, AA2, etc.).
- Complete listing of all key cuts (AA1-123456, AA2-123458).
- Tabulation showing which key fits which door.
- Copy of floor plan showing doors and door numbers.
- Listing of 20 percent more key cuts than are presently required in each master system.

1.5 QUALITY ASSURANCE

1.5.1 Hardware Manufacturers and Modifications

Provide, as far as feasible, locks and hinges of one lock and hinge, manufacturer's make. Modify hardware as necessary to provide features indicated or specified.

1.6 DELIVERY, STORAGE, AND HANDLING

Deliver hardware in original individual containers, complete with necessary appurtenances including fasteners and instructions. Mark each individual container with item number as shown in hardware schedule. Deliver permanent keys [and removable cores] to the Contracting Officer, either directly or by certified mail. Deliver construction master keys with the locks.

PART 2 PRODUCTS

2.1 TEMPLATE HARDWARE

Hardware to be applied to metal shall be made to template. Promptly furnish template information or templates to door and frame manufacturers. Template hinges shall conform to BHMA A156.7. Coordinate hardware items to prevent interference with other hardware.

2.2 NOT USED

2.3 HARDWARE ITEMS

Hinges, locks, latches, exit devices, bolts, and closers shall be clearly and permanently marked with the manufacturer's name or trademark where it will be visible after the item is installed. For closers with covers, the name or trademark may be beneath the cover.

2.3.1 Hinges

BHMA A156.1, 4 1/2 by 4 1/2 inches unless otherwise specified. Construct loose pin hinges for exterior doors and reverse-bevel interior doors so that pins will be nonremovable when door is closed. Other antifriction bearing hinges may be provided in lieu of ball-bearing hinges.

2.3.2 Not Used

2.3.3 Not Used

.

2.3.4 Locks and Latches

2.3.4.1 Mortise Locks and Latches

BHMA A156.13, Series 1000, Operational Grade 1, Security Grade 2. Knobs and roses of mortise locks shall have screwless shanks and no exposed screws.

2.3.5 Not Used

2.3.6 Not Used

2.3.7 Cylinders and Cores

Provide cylinders for new locks, including locks provided under other sections of this specification. Cylinders shall be fully compatible with products of the Best Lock Corporation and shall have interchangeable cores which are removable by a special control key. The cores shall have seven pin tumblers and shall be factory set using the A4 system and F keyway. Submit a core code sheet with the cores. The cores shall be master keyed in one system for this project. Provide construction interchangeable cores.

2.3.8 Not Used

2.3.9 Lock Trim

Cast, forged, or heavy wrought construction and commercial plain design.

2.3.9.1 Knobs and Roses

In addition to meeting test requirements of BHMA A156.2 and BHMA A156.13, knobs, roses, and escutcheons shall be 0.050 inch thick if unreinforced. If reinforced, outer shell shall be 0.035 inch thick and combined thickness shall be 0.070 inch, except knob shanks shall be 0.060 inch thick.

2.3.10 Keys

Furnish one file key, one duplicate key, and one working key for each key change. Furnish one additional working key for each lock of each keyed-alike group. Stamp each key with appropriate key control symbol and "U.S. property - Do not duplicate." Do not place room number on keys.

[Furnish seven change keys for each interchangeable core, furnish two control keys, six masters keys, and six construction master keys. [Furnish a quantity of key blanks equal to 20 percent of the total number of change keys.] Stamp each key with appropriate key control symbol and "U.S. property - Do not duplicate." Do not place room numbers on keys.]

2.3.11 Door Bolts

BHMA A156.16. Provide dustproof strikes for bottom bolts, except for doors having metal thresholds. Automatic latching flush bolts: BHMA A156.3, Type 25.

2.3.12 Not Used

2.3.13 Not Used

2.3.14 Not Used

2.3.15 Not Used

2.3.16 Not Used

2.3.17 Door Stops and Silencers
BHMA A156.16.

2.3.18 Not Used

2.3.19 Thresholds

BHMA A156.21. Use J35100, with vinyl or silicone rubber insert in face of stop, for exterior doors opening out, unless specified otherwise.

2.3.20 Weather Stripping Gasketing

BHMA A156.22. Provide the type and function designation where specified in

paragraph entitled "Hardware Schedule". A set shall include head and jamb seals and, for pairs of doors, astragals. Air leakage of weather stripped doors shall not exceed 1.25 cubic feet per minute of air per square foot of door area when tested in accordance with ASTM E 283. Weather stripping shall be one of the following:

2.3.20.1 Extruded Aluminum Retainers

Extruded aluminum retainers not less than 0.050 inch wall thickness with vinyl, neoprene, silicone rubber, or polyurethane inserts. Aluminum shall be clear (natural) anodized.

2.3.21 Special Tools

Provide special tools, such as spanner and socket wrenches and dogging keys, required to service and adjust hardware items.

2.4 FASTENERS

Provide fasteners of proper type, quality, size, quantity, and finish with hardware. Fasteners exposed to weather shall be of nonferrous metal or stainless steel. Provide fasteners of type necessary to accomplish a permanent installation.

2.5 FINISHES

[BHMA A156.18. Hardware shall have BHMA 630 finish (satin stainless steel), unless specified otherwise.

PART 3 EXECUTION

3.1 INSTALLATION

Install hardware in accordance with manufacturers' printed instructions. Fasten hardware to wood surfaces with full-threaded wood screws or sheet metal screws. Provide machine screws set in expansion shields for fastening hardware to solid concrete and masonry surfaces. Provide toggle bolts where required for fastening to hollow core construction. Provide through bolts where necessary for satisfactory installation.

3.1.1 Weather Stripping Installation

Handle and install weather stripping so as to prevent damage. Provide full contact, weather-tight seals. Doors shall operate without binding.

3.1.1.1 Stop-Applied Weather Stripping

Fasten in place with color-matched sheet metal screws not more than 9 inches o.c. after doors and frames have been finish painted.

3.1.2 Vaporproof Gasket Installation

Install as specified for stop-applied weather stripping.

3.1.3 Threshold Installation

Extend thresholds the full width of the opening and notch end for jamb

stops. Set thresholds in a full bed of sealant and anchor to floor with cadmium-plated, countersunk, steel screws in expansion sleeves.

3.2 NOT USED

3.3 NOT USED

3.4 NOT USED

3.5 FIELD QUALITY CONTROL

After installation, protect hardware from paint, stains, blemishes, and other damage until acceptance of work. Submit notice of testing 15 days before scheduled, so that testing can be witnessed by the Contracting Officer. Adjust hinges, locks, latches, bolts, holders, closers, and other items to operate properly. Demonstrate that permanent keys operate respective locks, and give keys to the Contracting Officer. Correct, repair, and finish, as directed, errors in cutting and fitting and damage to adjoining work.

3.6 HARDWARE SETS

HW-1 (All Door Pairs)

4 Pair Hinges	A2111 x 623 x NRP modified to allow 180 degrees of opening
1 Mortise Lockset	F14 x 630 (Active Leaf is Right Hand Reverse
1 Pair Flush Bolts	L04081 (Passive Leaf)
2 Wall Stops with Keepers	L01351
1 Threshold	
1 Overlapping Astragal	
1 Set Weatherstripping	R0D165

-- End of Section --

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SECTION 09900

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SECTION 09900

PAINTS AND COATINGS

02/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AMERICAN CONFERENCE OF GOVERNMENTAL INDUSTRIAL HYGIENISTS (ACGIH)

ACGIH Limit Values	(1991-1992) Threshold Limit Values (TLVs) for Chemical Substances and Physical Agents and Biological Exposure Indices (BEIs)
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ACGIH TLV-DOC	Documentation of Threshold Limit Values and Biological Exposure Indices
---------------	---

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 523	(1999) Standard Test Method for Specular Gloss
------------	--

ASTM D 2092	(1995) Preparation of Zinc-Coated (Galvanized) Steel Surfaces for Painting
-------------	--

ASTM D 4263	(1983; R 1999) Indicating Moisture in Concrete by the Plastic Sheet Method
-------------	--

ASTM F 1869	(1998) Measuring Moisture Vapor Emission Rate of Concrete Subfloor Using Anhydrous Calcium Chloride
-------------	---

CODE OF FEDERAL REGULATIONS (CFR)

29 CFR 1910.1000	Air Contaminants
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FEDERAL STANDARDS (FED-STD)

FED-STD-313	(Rev. C) Material Safety Data, Transportation Data and Disposal Data for Hazardous Materials Furnished to Government Activities
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MASTER PAINTERS INSTITUTE (MPI)

MPI 11	(2001) Exterior Latex, Semi-Gloss
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MPI 23	(2001) Surface Tolerant Metal Primer
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MPI 26	(2001) Cementitious Galvanized Metal Primer
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MPI 42	(2001) Latex Stucco and Masonry Textured Coating
MPI 48	(2001) Interior Alkyd, Gloss
MPI 50	(2001) Interior Latex Primer Sealer
MPI 52	(2001) Interior Latex, Gloss Level 3
MPI 79	(2001) Marine Alkyd Metal Primer
MPI 94	(2001) Exterior Alkyd, Semi-Gloss
MPI 95	(2001) Fast Drying Metal Primer
MPI 107	(2001) Rust Inhibitive Primer (Water-Based)
MPI 141	(2001) High Performance Semigloss Latex, White and Tints - Gloss Level 5

SCIENTIFIC CERTIFICATION SYSTEMS (SCS)

SCS SP01-01	(2001) Environmentally Preferable Product Specification for Architectural and Anti-Corrosive Paints
-------------	---

STEEL STRUCTURES PAINTING COUNCIL (SSPC)

SSPC PA 1	(2000) Shop, Field, and Maintenance Painting
SSPC Guide 3	(1995) Safety in Paint Application
SSPC VIS 1	(1989) Visual Standard for Abrasive Blast Cleaned Steel (Standard Reference Photographs)
SSPC VIS 3	(1993) Visual Standard for Power- and Hand-Tool Cleaned Steel (Standard Reference Photographs)
SSPC VIS 4	(2001) Guide and Reference Photographs for Steel Surfaces Prepared by Waterjetting
SSPC SP 1	(1982) Solvent Cleaning
SSPC SP 2	(1995) Hand Tool Cleaning
SSPC SP 3	(1995) Power Tool Cleaning
SSPC SP 6	(1994) Commercial Blast Cleaning
SSPC SP 7	(1994) Brush-Off Blast Cleaning
SSPC SP 10	(1994) Near-White Blast Cleaning
SSPC SP 12	(1995) Surface Preparation and Cleaning of Steel and Other Hard Materials by High-and Ultra high-Pressure Water Jetting Prior to

Recoating

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

The current MPI, "Approved Product List" which lists paint by brand, label, product name and product code as of the date of contract award, will be used to determine compliance with the submittal requirements of this specification. The Contractor may choose to use a subsequent MPI "Approved Product List", however, only one list may be used for the entire contract and each coating system is to be from a single manufacturer. All coats on a particular substrate must be from a single manufacturer. No variation from the MPI Approved Products List is acceptable.

Samples of specified materials may be taken and tested for compliance with specification requirements.

In keeping with the intent of Executive Order 13101, "Greening the Government through Waste Prevention, Recycling, and Federal Acquisition", products certified by SCS as meeting SCS SP01-01 shall be given preferential consideration over registered products. Products that are registered shall be given preferential consideration over products not carrying any EPP designation.

SD-02 Shop Drawings

Piping identification

Submit color stencil codes

SD-03 Product Data

Coating; G-AO,

Manufacturer's Technical Data Sheets

[Sealant]

SD-04 Samples

Color; G-AO,

Submit manufacturer's samples of paint colors. Cross reference color samples to color scheme as indicated.

SD-07 Certificates

Applicator's qualifications

Qualification Testing laboratory for coatings G,

SD-08 Manufacturer's Instructions

Application instructions

Mixing

Detailed mixing instructions, minimum and maximum application temperature and humidity, potlife, and curing and drying times between coats.

Manufacturer's Material Safety Data Sheets

Submit manufacturer's Material Safety Data Sheets for coatings, solvents, and other potentially hazardous materials, as defined in FED-STD-313.

SD-10 Operation and Maintenance Data

Coatings: G-AO,

Preprinted cleaning and maintenance instructions for all coating systems shall be provided.

1.3 APPLICATOR'S QUALIFICATIONS

[1.3.1 Contractor Qualification

Submit the name, address, telephone number, FAX number, and e-mail address of the contractor that will be performing all surface preparation and coating application. Submit evidence that key personnel have successfully performed surface preparation and application of coatings on a minimum of three similar projects within the past three years. List information by individual and include the following:

a. Name of individual and proposed position for this work.

b. Information about each previous assignment including:

Position or responsibility

Employer (if other than the Contractor)

Name of facility owner

Mailing address, telephone number, and telex number (if non-US) of facility owner

Name of individual in facility owner's organization who can be contacted as a reference

Location, size and description of structure

Dates work was carried out

Description of work carried out on structure

]1.4 QUALITY ASSURANCE

1.4.1 Field Samples and Tests

The Contracting Officer may choose up to two coatings that have been delivered to the site to be tested at no cost to the Government. Take

samples of each chosen product as specified in the paragraph "Sampling Procedures." Test each chosen product as specified in the paragraph "Testing Procedure." Products which do not conform, shall be removed from the job site and replaced with new products that conform to the referenced specification. Testing of replacement products that failed initial testing shall be at no cost to the Government.

1.5 REGULATORY REQUIREMENTS

1.5.1 Environmental Protection

In addition to requirements specified elsewhere for environmental protection, provide coating materials that conform to the restrictions of the local Air Pollution Control District and regional jurisdiction. Notify Contracting Officer of any paint specified herein which fails to conform.

1.5.2 Lead Content

Do not use coatings having a lead content over 0.06 percent by weight of nonvolatile content.

1.5.3 Chromate Content

Do not use coatings containing zinc-chromate or strontium-chromate.

1.5.4 Asbestos Content

Materials shall not contain asbestos.

1.5.5 Mercury Content

Materials shall not contain mercury or mercury compounds.

1.5.6 Silica

Abrasive blast media shall not contain free crystalline silica.

1.5.7 Human Carcinogens

Materials shall not contain ACGIH Limit Values and ACGIH TLV-DOC confirmed human carcinogens (A1) or suspected human carcinogens (A2).

1.6 PACKAGING, LABELING, AND STORAGE

Paints shall be in sealed containers that legibly show the contract specification number, designation name, formula or specification number, batch number, color, quantity, date of manufacture, manufacturer's formulation number, manufacturer's directions including any warnings and special precautions, and name and address of manufacturer. Pigmented paints shall be furnished in containers not larger than 5 gallons. Paints and thinners shall be stored in accordance with the manufacturer's written directions, and as a minimum, stored off the ground, under cover, with sufficient ventilation to prevent the buildup of flammable vapors, and at temperatures between 40 to 95 degrees F.

1.7 SAFETY AND HEALTH

Apply coating materials using safety methods and equipment in accordance

with the following:

Work shall comply with applicable Federal, State, and local laws and regulations, and with the ACCIDENT PREVENTION PLAN, including the Activity Hazard Analysis as specified in Section 01525, "Safety Requirements" and in Appendix A of EM 385-1-1. The Activity Hazard Analysis shall include analyses of the potential impact of painting operations on painting personnel and on others involved in and adjacent to the work zone.

1.7.1 Safety Methods Used During Coating Application

Comply with the requirements of SSPC Guide 3.

1.7.2 Toxic Materials

To protect personnel from overexposure to toxic materials, conform to the most stringent guidance of:

- a. The applicable manufacturer's Material Safety Data Sheets (MSDS) or local regulation.
- b. 29 CFR 1910.1000.
- c. ACGIH Limit Values, threshold limit values.

]1.8 ENVIRONMENTAL CONDITIONS

1.8.1 Coatings

Do not apply coating when air or substrate conditions are:

- a. Less than 5 degrees F above dew point;
- b. Below 50 degrees F or over 95 degrees F, unless specifically pre-approved by the Contracting Officer and the product manufacturer. Under no circumstances shall application conditions exceed manufacturer recommendations.

1.9 COLOR SELECTION

Colors of finish coats shall be as indicated or specified. Where not indicated or specified, colors shall be selected by the Contracting Officer. Manufacturers' names and color identification are used for the purpose of color identification only. Named products are acceptable for use only if they conform to specified requirements. Products of other manufacturers are acceptable if the colors approximate colors indicated and the product conforms to specified requirements.

Tint each coat progressively darker to enable confirmation of the number of coats.

Color, texture, and pattern of wall coating systems shall be in accordance with Section 09915 COLOR SCHEDULE.

1.10 LOCATION AND SURFACE TYPE TO BE PAINTED

1.10.1 Painting Included

Where a space or surface is indicated to be painted, include the following unless indicated otherwise.

- a. Surfaces behind portable objects and surface mounted articles readily detachable by removal of fasteners, such as screws and bolts.
- b. New factory finished surfaces that require identification or color coding and factory finished surfaces that are damaged during performance of the work.

1.10.1.1 Exterior Painting

Includes new surfaces of the buildings and appurtenances as indicated.

1.10.1.2 Interior Painting

Includes new surfaces of the buildings and appurtenances as indicated. Where a space or surface is indicated to be painted, include the following items, unless indicated otherwise.

- b. Other contiguous surfaces.

1.10.2 Painting Excluded

Do not paint the following unless indicated otherwise.

- a. Surfaces concealed and made inaccessible by panelboards, fixed ductwork, machinery, and equipment fixed in place.
- c. Steel to be embedded in concrete.
- e. Factory finished items.

1.10.3 Mechanical and Electrical Painting

Includes field coating of interior and exterior new surfaces.

- a. Where a space or surface is indicated to be painted, include the following items unless indicated otherwise.
 - (1) Exposed piping, conduit, and ductwork;
 - (2) Supports, hangers, air grilles, and registers;
 - (3) Miscellaneous metalwork and insulation coverings.

[b. Do not paint the following, unless indicated otherwise:

[(1) New zinc-coated, aluminum, and copper surfaces under insulation]

[(2) New aluminum jacket on piping]

[(3) New interior ferrous piping under insulation].]

[1.10.4 Not Used

]1.10.5 NOT USED

1.10.6 Definitions and Abbreviations

1.10.6.1 Qualification Testing

Qualification testing is the performance of all test requirements listed in the product specification. This testing is accomplished by MPI to qualify each product for the MPI Approved Product List, and may also be accomplished by Contractor's third party testing lab if an alternative to Batch Quality Conformance Testing by MPI is desired.

1.10.6.2 Batch Quality Conformance Testing

Batch quality conformance testing determines that the product provided is the same as the product qualified to the appropriate product specification. This testing shall only be accomplished by MPI testing lab.

1.10.6.3 Coating

A film or thin layer applied to a base material called a substrate. A coating may be a metal, alloy, paint, or solid/liquid suspensions on various substrates (metals, plastics, wood, paper, leather, cloth, etc.). They may be applied by electrolysis, vapor deposition, vacuum, or mechanical means such as brushing, spraying, calendering, and roller coating. A coating may be applied for aesthetic or protective purposes or both. The term "coating" as used herein includes emulsions, enamels, stains, varnishes, sealers, epoxies, and other coatings, whether used as primer, intermediate, or finish coat. The terms paint and coating are used interchangeably.

1.10.6.4 DFT or dft

Dry film thickness, the film thickness of the fully cured, dry paint or coating.

1.10.6.5 DSD

Degree of Surface Degradation, the MPI system of defining degree of surface degradation. Five (5) levels are generically defined under the Assessment sections in the MPI Maintenance Repainting Manual.

1.10.6.6 EPP

Environmentally Preferred Products, a standard for determining environmental preferability in support of Executive Order 13101.

1.10.6.7 EXT

MPI short term designation for an exterior coating system.

1.10.6.8 INT

MPI short term designation for an interior coating system.

1.10.6.9 micron / microns

The metric measurement for 0.001 mm or one/one-thousandth of a millimeter.

1.10.6.10 mil / mils

The English measurement for 0.001 in or one/one-thousandth of an inch, equal to 25.4 microns or 0.0254 mm.

1.10.6.11 mm

The metric measurement for millimeter, 0.001 meter or one/one-thousandth of a meter.

1.10.6.12 MPI Gloss Levels

MPI system of defining gloss. Seven (7) gloss levels (G1 to G7) are generically defined under the Evaluation sections of the MPI Manuals. Traditionally, Flat refers to G1/G2, Eggshell refers to G3, Semigloss refers to G5, and Gloss refers to G6.

Gloss levels are defined by MPI as follows:

Gloss Level	Description	Units @ 60 degrees	Units @ 85 degrees
G1	Matte or Flat	0 to 5	10 max
G2	Velvet	0 to 10	10 to 35
G3	Eggshell	10 to 25	10 to 35
G4	Satin	20 to 35	35 min
G5	Semi-Gloss	35 to 70	
G6	Gloss	70 to 85	
G7	High Gloss		

Gloss is tested in accordance with ASTM D 523. Historically, the Government has used Flat (G1 / G2), Eggshell (G3), Semi-Gloss (G5), and Gloss (G6).

1.10.6.13 MPI System Number

The MPI coating system number in each Division found in either the MPI Architectural Painting Specification Manual or the Maintenance Repainting Manual and defined as an exterior (EXT/REX) or interior system (INT/RIN). The Division number follows the CSI Master Format.

1.10.6.14 Paint

See Coating definition.

1.10.6.15 REX

MPI short term designation for an exterior coating system used in repainting projects or over existing coating systems.

1.10.6.16 RIN

MPI short term designation for an interior coating system used in repainting projects or over existing coating systems.

PART 2 PRODUCTS

2.1 MATERIALS

Conform to the coating specifications and standards referenced in PART 3. Submit manufacturer's technical data sheets for specified coatings and solvents.

PART 3 EXECUTION

3.1 PROTECTION OF AREAS AND SPACES NOT TO BE PAINTED

Prior to surface preparation and coating applications, remove, mask, or otherwise protect, hardware, hardware accessories, machined surfaces, radiator covers, plates, lighting fixtures, public and private property, and other such items not to be coated that are in contact with surfaces to be coated. Following completion of painting, workmen skilled in the trades involved shall reinstall removed items. Restore surfaces contaminated by coating materials, to original condition and repair damaged items.

[3.2 REPUTTYING AND REGLAZING

3.3 NOT USED

3.4 SURFACE PREPARATION

Remove dirt, splinters, loose particles, grease, oil, and other foreign matter and substances deleterious to coating performance as specified for each substrate before application of paint or surface treatments. Oil and grease shall be removed prior to mechanical cleaning. Cleaning shall be programmed so that dust and other contaminants will not fall on wet, newly painted surfaces. Exposed ferrous metals such as nail heads on or in contact with surfaces to be painted with water-thinned paints, shall be spot-primed with a suitable corrosion-inhibitive primer capable of preventing flash rusting and compatible with the coating specified for the adjacent areas.

3.5 PREPARATION OF METAL SURFACES

3.5.1 New Ferrous Surfaces

- a. Ferrous Surfaces including Shop-coated Surfaces and Small Areas That Contain Rust, Mill Scale and Other Foreign Substances:
Solvent clean or detergent wash in accordance with SSPC SP 1 to

remove oil and grease. Where shop coat is missing or damaged, clean according to SSPC SP 2, or SSPC SP 3. Brush-off blast remaining surface in accordance with SSPC SP 7; Water jetting to SSPC SP 12 WJ-4 may be used to remove loose coating and other loose materials. Use inhibitor as recommended by coating manufacturer to prevent premature rusting. Shop-coated ferrous surfaces shall be protected from corrosion by treating and touching up corroded areas immediately upon detection.

- b. Surfaces With More Than 20 Percent Rust, Mill Scale, and Other Foreign Substances: Clean entire surface in accordance with SSPC SP 6/SSPC SP 12 WJ-3.

3.5.2 Final Ferrous Surface Condition:

For tool cleaned surfaces, the requirements are stated in SSPC SP 2 and SSPC SP 3. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 3.

For abrasive blast cleaned surfaces, the requirements are stated in SSPC SP 7, SSPC SP 6, and SSPC SP 10. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 1.

For waterjet cleaned surfaces, the requirements are stated in SSPC SP 12. As a visual reference, cleaned surfaces shall be similar to photographs in SSPC VIS 4.

3.5.3 Galvanized Surfaces

- a. New or Existing Galvanized Surfaces With Only Dirt and Zinc Oxidation Products: Clean with solvent, steam, or non-alkaline detergent solution in accordance with SSPC SP 1. If the galvanized metal has been passivated or stabilized, the coating shall be completely removed by brush-off abrasive blast. New galvanized steel to be coated shall not be "passivated" or "stabilized" If the absence of hexavalent stain inhibitors is not documented, test as described in ASTM D 2092, Appendix X2, and remove by one of the methods described therein.
- b. Galvanized with Slight Coating Deterioration or with Little or No Rusting: Water jetting to SSPC SP 12 WJ3 to remove loose coating from surfaces with less than 20 percent coating deterioration and no blistering, peeling, or cracking. Use inhibitor as recommended by the coating manufacturer to prevent rusting.

3.5.4 Non-Ferrous Metallic Surfaces

Aluminum and aluminum-alloy, lead, copper, and other nonferrous metal surfaces.

- a. Surface Cleaning: Solvent clean in accordance with SSPC SP 1 and wash with mild non-alkaline detergent to remove dirt and water soluble contaminants.

3.6 PREPARATION OF CONCRETE AND CEMENTITIOUS SURFACE

3.6.1 Concrete

- a. Curing: Concrete surfaces shall be allowed to cure at least 30 days before painting.
- b. Surface Cleaning: Remove the following deleterious substances.
 - (1) Dirt, Grease, and Oil: Wash new surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, and 4 quarts of warm water. Then rinse thoroughly with fresh water. For large areas, water blasting may be used.
 - (2) Fungus and Mold: Wash new surfaces with a solution composed of 1/2 cup trisodium phosphate, 1/4 cup household detergent, 1 quart 5 percent sodium hypochlorite solution and 3 quarts of warm water. Rinse thoroughly with fresh water.
 - (3) Paint and Loose Particles: Remove by wire brushing.
 - (4) Efflorescence: Remove by scraping or wire brushing followed by washing with a 5 to 10 percent by weight aqueous solution of hydrochloric (muriatic) acid. Do not allow acid to remain on the surface for more than five minutes before rinsing with fresh water. Do not acid clean more than 4 square feet of surface, per workman, at one time.
- c. Cosmetic Repair of Minor Defects: Repair or fill minor defects, including but not limited to spalls, in accordance with manufacturer's recommendations and prior to coating application.
- d. Allowable Moisture Content: Latex coatings may be applied to damp surfaces, but not to surfaces with droplets of water. Do not apply epoxies to damp vertical surfaces as determined by ASTM D 4263 or horizontal surfaces that exceed 3 lbs of moisture per 1000 square feet in 24 hours as determined by ASTM F 1869. In all cases follow manufacturers recommendations. Allow surfaces to cure a minimum of 30 days before painting.

3.7 NOT USED

3.7.1 :

3.7.2 Not Used

3.7.3 Not Used

3.8 APPLICATION

3.8.1 Coating Application

Painting practices shall comply with applicable federal, state and local laws enacted to insure compliance with Federal Clean Air Standards. Apply

coating materials in accordance with SSPC PA 1. SSPC PA 1 methods are applicable to all substrates, except as modified herein.

At the time of application, paint shall show no signs of deterioration. Uniform suspension of pigments shall be maintained during application.

Unless otherwise specified or recommended by the paint manufacturer, paint may be applied by brush, roller, or spray. Rollers for applying paints and enamels shall be of a type designed for the coating to be applied and the surface to be coated.

Paints, except water-thinned types, shall be applied only to surfaces that are completely free of moisture as determined by sight or touch.

Thoroughly work coating materials into joints, crevices, and open spaces. Special attention shall be given to insure that all edges, corners, crevices, welds, and rivets receive a film thickness equal to that of adjacent painted surfaces.

Each coat of paint shall be applied so dry film shall be of uniform thickness and free from runs, drops, ridges, waves, pinholes or other voids, laps, brush marks, and variations in color, texture, and finish. Hiding shall be complete.

Touch up damaged coatings before applying subsequent coats. Interior areas shall be broom clean and dust free before and during the application of coating material.

Apply paint to new fire extinguishing sprinkler systems including valves, piping, conduit, hangers, supports, miscellaneous metal work, and accessories. Shield sprinkler heads with protective coverings while painting is in progress. Remove sprinkler heads which have been painted and replace with new sprinkler heads. For piping in unfinished spaces, provide primed surfaces with one coat of red alkyd gloss enamel to a minimum dry film thickness of 1.0 mil. Unfinished spaces include attic spaces, spaces above suspended ceilings, crawl spaces, pipe chases, mechanical equipment room, and space where walls or ceiling are not painted or not constructed of a prefinished material. For piping in finished areas, provide prime surfaces with two coats of paint to match adjacent surfaces, except provide valves and operating accessories with one coat of red alkyd gloss enamel. Upon completion of painting, remove protective covering from sprinkler heads.

- a. Drying Time: Allow time between coats, as recommended by the coating manufacturer, to permit thorough drying, but not to present topcoat adhesion problems. Provide each coat in specified condition to receive next coat.
- b. Primers, and Intermediate Coats: Do not allow primers or intermediate coats to dry more than 30 days, or longer than recommended by manufacturer, before applying subsequent coats. Follow manufacturer's recommendations for surface preparation if primers or intermediate coats are allowed to dry longer than recommended by manufacturers of subsequent coatings. Each coat shall cover surface of preceding coat or surface completely, and there shall be a visually perceptible difference in shades of successive coats.
- c. Finished Surfaces: Provide finished surfaces free from runs,

drops, ridges, waves, laps, brush marks, and variations in colors.

3.8.2 Mixing and Thinning of Paints

Reduce paints to proper consistency by adding fresh paint, except when thinning is mandatory to suit surface, temperature, weather conditions, application methods, or for the type of paint being used. Obtain written permission from the Contracting Officer to use thinners. The written permission shall include quantities and types of thinners to use.

When thinning is allowed, paints shall be thinned immediately prior to application with not more than 1 pint of suitable thinner per gallon. The use of thinner shall not relieve the Contractor from obtaining complete hiding, full film thickness, or required gloss. Thinning shall not cause the paint to exceed limits on volatile organic compounds. Paints of different manufacturers shall not be mixed.

3.8.3 Not Used

3.8.4 Coating Systems

- a. Systems by Substrates: Apply coatings that conform to the respective specifications listed in the following Tables:

Table

Division 3. Exterior Concrete Paint Table

Division 4. Exterior Concrete Masonry Units Paint Table

Division 5. Exterior Metal, Ferrous and Non-Ferrous Paint Table

Division 3. Interior Concrete Paint Table

Division 5. Interior Metal, Ferrous and Non-Ferrous Paint Table

- b. Minimum Dry Film Thickness (DFT): Apply paints, primers, varnishes, enamels, undercoats, and other coatings to a minimum dry film thickness of 1.5 mil each coat unless specified otherwise in the Tables. Coating thickness where specified, refers to the minimum dry film thickness.
- c. Coatings for Surfaces Not Specified Otherwise: Coat surfaces which have not been specified, the same as surfaces having similar conditions of exposure.
- d. Existing Surfaces Damaged During Performance of the Work, Including New Patches In Existing Surfaces: Coat surfaces with the following:
- (1) One coat of primer.
 - (2) One coat of undercoat or intermediate coat.

- (3) One topcoat to match adjacent surfaces.

3.9 COATING SYSTEMS FOR METAL

Apply coatings of Tables in Division 5 for Exterior and Interior.

- a. Apply specified ferrous metal primer on the same day that surface is cleaned, to surfaces that meet all specified surface preparation requirements at time of application.
- b. Inaccessible Surfaces: Prior to erection, use one coat of specified primer on metal surfaces that will be inaccessible after erection.
- c. Shop-primed Surfaces: Touch up exposed substrates and damaged coatings to protect from rusting prior to applying field primer.
- e. Pipes and Tubing: The semitransparent film applied to some pipes and tubing at the mill is not to be considered a shop coat, but shall be overcoated with the specified ferrous-metal primer prior to application of finish coats.
- f. Exposed Nails, Screws, Fasteners, and Miscellaneous Ferrous Surfaces. On surfaces to be coated with water thinned coatings, spot prime exposed nails and other ferrous metal with latex primer MPI 107.

3.10 COATING SYSTEMS FOR CONCRETE AND CEMENTITIOUS SUBSTRATES

Apply coatings of Tables in Division 3, 4 and 9 for Exterior and Interior.

3.11 NOT USED

3.12 NOT USED

3.13 INSPECTION AND ACCEPTANCE

In addition to meeting previously specified requirements, demonstrate mobility of moving components, including swinging and sliding doors, cabinets, and windows with operable sash, for inspection by the Contracting Officer. Perform this demonstration after appropriate curing and drying times of coatings have elapsed and prior to invoicing for final payment.

3.14 PAINT TABLES

All DFT's are minimum values.

3.14.1 EXTERIOR PAINT TABLES

DIVISION 3: EXTERIOR CONCRETE PAINT TABLE

- A. New and uncoated existing concrete;
vertical surfaces, including undersides of balconies and soffits but
excluding tops of slabs:

1.

New; MPI EXT 3.1A-G5 (Semigloss) / Existing; MPI EXT 3.1A-G5 (Semigloss)
Primer: Intermediate: Topcoat:
MPI 11 MPI 11 MPI 11
System DFT: 3.5 mils

Primer as recommended by manufacturer. Topcoat: Coating to match adjacent
surfaces.

- B. Not Used
C. Not Used
D. Not Used
E. Not Used

DIVISION 4: NOT USED

DIVISION 5: EXTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

STEEL / FERROUS SURFACES

- A. New Steel that has been hand or power tool cleaned to SSPC SP 2 or
SSPC SP 3

1. Alkyd

New; MPI EXT 5.1Q-G5 (Semigloss) Existing; MPI REX 5.1D-G5
Primer: Intermediate: Topcoat:
MPI 23 MPI 94 MPI 94
System DFT: 5.25 mils

- B. New Steel that has been blast-cleaned to SSPC SP 6:

2. Alkyd

New; MPI EXT 5.1D-G5 (Semigloss) / Existing; MPI REX 5.1D-G5
Primer: Intermediate: Topcoat:
MPI 79 MPI 94 MPI 94
System DFT: 5.25 mils

- C. Not Used
D. Not Used
E. Not Used

STEEL / FERROUS SURFACES
EXTERIOR GALVANIZED SURFACES

F. New Galvanized surfaces:

1.

MPI EXT 5.3A-G5 (Semigloss)		
Primer:	Intermediate:	Topcoat:
MPI 26	MPI 11	MPI 11
System DFT: 4.5 mils		

2. Not Used

3. Not Used

4. Not Used

G. Not Used

H. Not Used

NOT USED

DIVISION 6: NOT USED

DIVISION 9: NOT USED

DIVISION 10: NOT USED

3.14.2 INTERIOR PAINT TABLES

DIVISION 3: INTERIOR CONCRETE PAINT TABLE

A. New and uncoated existing Concrete, vertical surfaces, not specified otherwise:

1.

New; MPI INT 3.1A-G3 (Eggshell) / Existing; MPI RIN 3.1A-G3 (Eggshell)		
Primer:	Intermediate:	Topcoat:
MPI 50	MPI 52	MPI 52
System DFT: 4 mils		

2. Not Used

3. Not Used

DIVISION 3: INTERIOR CONCRETE PAINT TABLE

B. Concrete ceilings, uncoated:

1. Latex Aggregate

MPI INT 3.1N

Primer:

Intermediate:

Topcoat:

N/A

N/A

MPI 42

System DFT: Per Manufacturer

Texture - Medium. Surface preparation, number of coats, and primer in accordance with manufacturer's instructions.

Topcoat: Coating to match adjacent surfaces.

C. Not Used

D. Not Used

E. Not Used

DIVISION 4: NOT USED

DIVISION 5: INTERIOR METAL, FERROUS AND NON-FERROUS PAINT TABLE

INTERIOR STEEL / FERROUS SURFACES

A. Metal, Mechanical, Electrical, Fire extinguishing sprinkler systems including valves, conduit, hangers, supports, Surfaces adjacent to painted surfaces (Match surrounding finish), and miscellaneous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment:

1. High Performance Architectural Latex MPI INT 5.1R-G5 (Semigloss)

Primer:

Intermediate:

Topcoat:

MPI 79

MPI 141

MPI 141

System DFT: 5 mils

2. Not Used

B. Not Used

C. Metal in toilets, , food-serving, restrooms], laundry areas, shower areas requiring a high degree of sanitation not otherwise specified except floors, hot metal surfaces, and new prefinished equipment:

1. Alkyd

MPI INT 5.1E-G6 (Gloss)

Primer:

Intermediate:

Topcoat:

MPI 79

MPI 48

MPI 48

System DFT: 5.25 mils

2. Not Used

D. Not Used

INTERIOR STEEL / FERROUS SURFACES

E. Miscellaneous non-ferrous metal items not otherwise specified except floors, hot metal surfaces, and new prefinished equipment. Match surrounding finish:

1. High Performance Architectural Latex

MPI INT 5.4F-G5 (Semigloss)

Primer: Intermediate: Topcoat:

MPI 95 MPI 141 MPI 141

System DFT: 5 mils

2. Not Used

F. Not Used

G. Not Used

H. Not Used

DIVISION 6: NOT USED

DIVISION 9: NOT USED

-- End of Section --

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DIVISION 09 - FINISHES

SECTION 09915

COLOR SCHEDULE

08/02

PART 1 GENERAL

1.1 GENERAL

PART 2 PRODUCTS

2.1 REFERENCE TO MANUFACTURER'S COLOR

2.2 COLOR SCHEDULE

2.2.1 IGLOO/CONVERTER BUILDING:

2.2.1.1 Metal Canopy, Flashing, Hardware, and Associated Trim:

2.2.1.2 [Enter Appropriate Subpart Title Here]

2.2.1.3 Painted Walls:

PART 3 EXECUTION (Not Applicable)

-- End of Section Table of Contents --

SECTION 09915

COLOR SCHEDULE

08/02

PART 1 GENERAL

1.1 GENERAL

This section covers only the color of the exterior and interior materials and products that are exposed to view in the finished construction. The word "color" as used herein includes surface color and pattern. Requirements for quality and method of installation are covered in other appropriate sections of the specifications. Specific locations where the various materials are required are shown on the drawings. Items not designated for color in this section may be specified in other sections. When color is not designated for items, the Contractor shall propose a color for approval.

PART 2 PRODUCTS

2.1 REFERENCE TO MANUFACTURER'S COLOR

Where color is shown as being specific to one manufacturer, an equivalent color by another manufacturer may be submitted for approval. Manufacturers and materials specified are not intended to limit the selection of equal colors from other manufacturers.

2.2 COLOR SCHEDULE

The color schedule lists the colors, patterns and textures required for exterior and interior finishes, including both factory applied and field applied colors.

2.2.1 IGLOO/CONVERTER BUILDING:

2.2.1.1 Metal Canopy, Flashing, Hardware, and Associated Trim:
Fed. Std. 595 X3578 (Beige)2.2.1.2 [Enter Appropriate Subpart Title Here]Metal/Steel Doors and Door
Frames (including blast doors):
Painted to Match Fed. Std. 595 X35782.2.1.3 Painted Walls:
Manufacturers Standard White

PART 3 EXECUTION (Not Applicable)

-- End of Section --

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DIVISION 13 - SPECIAL CONSTRUCTION

SECTION 13100A

LIGHTNING PROTECTION SYSTEM

07/01

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 - 2.1.2 Main and Secondary Conductors
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 - 2.1.3 Air Terminals
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 - 2.1.6 Lightning Protection Components

PART 3 EXECUTION

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 - 3.1.1 General Requirements
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 - 3.1.2 Igloo-Type Magazines
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- 3.3 FENCES
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-- End of Section Table of Contents --

SECTION 13100A

LIGHTNING PROTECTION SYSTEM
07/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C135.30 (1988) Zinc-Coated Ferrous Ground Rods for Overhead or Underground Line Construction

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

NFPA 780 (2000) Installation of Lightning Protection Systems

UNDERWRITERS LABORATORIES (UL)

UL 96 (1994; Rev thru Jan 2000) Lightning Protection Components

UL 96A (2001) Installation Requirements for Lightning Protection Systems

UL 467 (1993; Rev thru Apr 1999) Grounding and Bonding Equipment

UL Elec Const Dir (1999) Electrical Construction Equipment Directory

1.2 GENERAL REQUIREMENTS

1.2.1 Verification of Dimensions

The Contractor shall become familiar with all details of the work, verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work. No departures shall be made without the prior approval of the Contracting Officer.

1.2.2 System Requirements

The system furnished under this specification shall consist of the standard products of a manufacturer regularly engaged in the production of lightning protection systems and shall be the manufacturer's latest UL approved design. The lightning protection system shall conform to NFPA 70 and NFPA 780, UL 96 and UL 96A, except where requirements in excess thereof are specified herein.

Conventional lightning protection systems shall be provided for:

CALCM Igloos

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-DO

Detail drawings consisting of a complete list of material, including manufacturer's descriptive and technical literature, catalog cuts, drawings, and installation instructions. Detail drawings shall demonstrate that the system has been coordinated and will function as a unit. Drawings shall show proposed layout and mounting and relationship to other parts of the work.

SD-07 Certificates

Materials; G-DO

Where material or equipment is specified to comply with requirements of UL, proof of such compliance. The label of or listing in UL Elec Const Dir will be acceptable evidence. In lieu of the label or listing, a written certificate from an approved nationally recognized testing organization equipped to perform such services, stating that the items have been tested and conform to the requirements and testing methods of Underwriters Laboratories may be submitted. A letter of findings shall be submitted certifying UL inspection of lightning protection systems provided on the following facilities: Munition storage igloos.

PART 2 PRODUCTS

2.1 MATERIALS

2.1.1 General Requirements

No combination of materials shall be used that form an electrolytic couple of such nature that corrosion is accelerated in the presence of moisture unless moisture is permanently excluded from the junction of such metals. Where unusual conditions exist which would cause corrosion of conductors, conductors with protective coatings or oversize conductors shall be used. Where a mechanical hazard is involved, the conductor size shall be increased to compensate for the hazard or the conductors shall be protected by covering them with molding or tubing made of wood or nonmagnetic material. When metallic conduit or tubing is used, the conductor shall be electrically connected at the upper and lower ends.

2.1.2 Main and Secondary Conductors

Conductors shall be in accordance with NFPA 780 and UL 96 for Class I,

Class II, or Class II modified materials as applicable.

2.1.2.1 Copper

Counterpoise shall be copper conductors not smaller than No. 1/0 AWG.

2.1.2.2 Aluminum

Aluminum shall not be used.

2.1.3 Air Terminals

Terminals shall be in accordance with UL 96 and NFPA 780. Air terminals more than 24 inches in length shall be supported by a suitable brace, with guides not less than one-half the height of the terminal.

2.1.4 Ground Rods

Rods made of copper-clad steel shall conform to UL 467 and galvanized ferrous rods shall conform to ANSI C135.30. Ground rods shall be not less than 3/4 inch in diameter and 10 feet in length. Ground rods of copper-clad steel, stainless steel, galvanized ferrous, and solid copper shall not be mixed on the job.

2.1.5 Connectors

Clamp-type connectors for splicing conductors shall conform to UL 96, class as applicable, and, Class 2, style and size as required for the installation. Clamp-type connectors shall only be used for the connection of the roof conductor to the air terminal and to the guttering. All other connections, bonds, and splices shall be done by exothermic welds or by high compression fittings. The exothermic welds and high compression fittings shall be listed for the purpose. The high compression fittings shall be the type which require a hydraulically operated mechanism to apply a minimum of 10,000 psi.

2.1.6 Lightning Protection Components

Lightning protection components, such as bonding plates, air terminal supports, chimney bands, clips, and fasteners shall conform to UL 96, classes as applicable.

PART 3 EXECUTION

3.1 INTEGRAL SYSTEM

3.1.1 General Requirements

The lightning protection system shall consist of air terminals, roof conductors, down conductors, ground connections, and grounds, electrically interconnected to form the shortest distance to ground. All conductors on the structures shall be exposed except where conductors are in protective sleeves exposed on the outside walls. Secondary conductors shall interconnect with grounded metallic parts within the building. Interconnections made within side-flash distances shall be at or above the level of the grounded metallic parts.

The floor slab rebar and the igloo arch rebar shall be bonded together.

3.1.1.1 Air Terminals

Air terminal design and support shall be in accordance with NFPA 780. Terminals shall be rigidly connected to, and made electrically continuous with, roof conductors by means of pressure connectors or crimped joints of T-shaped malleable metal and connected to the air terminal by a dowel or threaded fitting. Air terminals at the ends of the structure shall be set not more than 2 feet from the ends of the ridge or edges and corners of roofs. Spacing of air terminals 2 feet in height on ridges, parapets, and around the perimeter of buildings with flat roofs shall not exceed 25 feet.

In specific instances where it is necessary to exceed this spacing, the specified height of air terminals shall be increased not less than 2 inches for each foot of increase over 25 feet. Air terminals shall be secured against overturning either by attachment to the object to be protected or by means of a substantial tripod or other braces permanently and rigidly attached to the building or structure. Where metal ventilators are installed, air terminals shall be mounted thereon, where practicable. Any air terminal erected by necessity adjacent to a metal ventilator shall be bonded to the ventilator near the top and bottom.

3.1.1.2 Roof Conductors

Roof conductors shall be connected directly to the roof or ridge roll. Sharp bends or turns in conductors shall be avoided. Necessary turns shall have a radius of not less than 8 inches. Conductors shall preserve a downward or horizontal course and shall be rigidly fastened every 3 feet along the roof and down the building to ground. Metal ventilators shall be rigidly connected to the roof conductor at three places. All connections shall be electrically continuous. Roof conductors shall be coursed along the contours of flat roofs, ridges, parapets, and edges; and where necessary, over flat surfaces, in such a way as to join each air terminal to all the rest. Roof conductors surrounding tank tops, decks, flat surfaces, and flat roofs shall be connected to form a closed loop.

3.1.1.3 Down Conductors

Down conductors shall be electrically continuous from air terminals and roof conductors to grounding electrodes. Down conductors shall be coursed over extreme outer portions of the building, such as corners, with consideration given to the location of ground connections and air terminals. Each building or structure shall have not less than two down conductors located as widely separated as practicable, at diagonally opposite corners. Additional down conductors shall be installed when necessary to avoid "dead ends" or branch conductors ending at air terminals, except where the air terminal is on a roof below the main protected level and the "dead end" or branch conductor is less than 16 feet in length and maintains a horizontal or downward coursing. Down conductors shall be equally and symmetrically spaced about the perimeter of the structure. Down conductors shall be protected by placing in pvc or rigid steel conduit for a minimum distance of above finished grade level. If the conduit is metal, the down conductor shall be bonded at the top and bottom of the conduit. The Igloos can utilize the rebar as the down conductor.

3.1.1.4 Interconnection of Metallic Parts

Metal doors, windows, door hoods, mechanical ventilators, and gutters shall be connected directly to the grounds or down conductors using not smaller than No. 6 copper conductor, or equivalent. Conductors placed where there is probability of unusual wear, mechanical injury, or corrosion shall be of

greater electrical capacity than would normally be used, or shall be protected. The ground connection to metal doors and windows shall be by means of mechanical ties under pressure, or equivalent.

3.1.1.5 Ground Connections

Ground connections comprising continuations of down conductors from the structure to the grounding electrode shall securely connect the down conductor and ground in a manner to ensure electrical continuity between the two. All connections shall be of the clamp type. There shall be a ground connection for each down conductor. Metal water pipes and other large underground metallic objects shall be bonded together with all grounding mediums. Ground connections shall be protected from mechanical injury. In making ground connections, advantage shall be taken of all permanently moist places where practicable, although such places shall be avoided if the area is wet with waste water that contains chemical substances, especially those corrosive to metal.

3.1.1.6 Grounding Electrodes

A grounding electrode shall be provided for each down conductor located as shown. A driven ground shall extend into the earth for a distance of not less than 10 feet. Ground rods shall be set not less than 3 feet, nor more than 8 feet, from the structures foundation. The complete installation shall have a total resistance to ground of not more than 10 ohms. Ground rods shall be tested individually prior to connection to the system and the system as a whole shall be tested not less than 24 hours after rainfall. When the resistance of the complete installation exceeds the specified value, the Contracting Officer shall be notified immediately. A counterpoise, where required, shall be of No. 1/0 copper cable or equivalent material having suitable resistance to corrosion and shall be laid around the perimeter of the structure in a trench not less than 2 feet deep at a distance not less than 3 feet nor more than 8 feet from the nearest point of the structure. All connections between ground connectors and grounds or counterpoise, and between counterpoise and grounds shall be electrically continuous.

3.1.2 Igloo-Type Magazines

In earth-covered reinforced-concrete, igloo-type magazines, the reinforcing steel shall be made electrically continuous. Electrical continuity may be provided by clipping or brazing, unless a specific method is noted on the drawings. The air terminals and roof conductors shall be securely connected to, and made electrically continuous with, the reinforcing steel.

One air terminal shall be located on the top of the front wall and one on or adjacent to the ventilator in the rear. The air terminals shall extend vertically at least 2 feet above the top of the front wall and the highest point on the ventilator. Down conductors and grounding electrodes shall be provided at diagonally opposite corners of the magazine and shall be connected together. Grounding electrodes shall be connected to the horizontal reinforcing rods below the floor line of the wall system. The steel door frame shall be made electrically continuous with the reinforcing steel. The steel door shall be connected to the steel frame by means of a flexible copper strap or cable unless the steel hinges make the door and frame electrically continuous.

3.2 INTERCONNECTION OF METAL BODIES

Metal bodies of conductance shall be protected if not within the zone of

protection of an air terminal. Metal bodies of conductance having an area of 400 square inches or greater or a volume of 1000 cubic inches or greater shall be bonded to the lightning protection system using main size conductors and a bonding plate having a surface contact area of not less than 3 square inches. Provisions shall be made to guard against the corrosive effect of bonding dissimilar metals. Metal bodies of inductance shall be bonded at their closest point to the lightning protection system using secondary bonding conductors and fittings. A metal body that exceeds 5 feet in any dimension, that is situated wholly within a building, and that does not at any point come within 6 feet of a lightning conductor or metal connected thereto shall be independently grounded.

3.3 FENCES

Except as indicated below, metal fences that are electrically continuous with metal posts extending at least 2 feet into the ground require no additional grounding. Other fences shall be grounded on each side of every gate. Fences shall be grounded by means of ground rods every 1000 to 1500 feet of length when fences are located in isolated places, and every 500 to 750 feet when in proximity (100 feet or less) to public roads, highways, and buildings. The connection to ground shall be made from the post where it is of metal and is electrically continuous with the fencing. All metal fences shall be grounded at or near points crossed by overhead lines in excess of 600 volts and at distances not exceeding 150 feet on each side of line crossings.

3.4 INSPECTION

The lightning protection system will be inspected by the Contracting Officer to determine conformance with the requirements of this specification. No part of the system shall be concealed until so authorized by the Contracting Officer.

-- End of Section --

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SECTION 13110A

CATHODIC PROTECTION SYSTEM (SACRIFICIAL ANODE)

11/98

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SECTION 13110A

CATHODIC PROTECTION SYSTEM (SACRIFICIAL ANODE)
11/98

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

- | | |
|-------------|---|
| ASTM B 843 | (1993; R 1998) Magnesium Alloy Anodes for Cathodic Protection |
| ASTM D 1248 | (1998) Polyethylene Plastics Molding and Extrusion Materials |

NACE INTERNATIONAL (NACE)

- | | |
|-------------|--|
| NACE RP0169 | (1996) Control of External Corrosion on Underground or Submerged Metallic Piping Systems |
| NACE RP0188 | (1999) Discontinuity (Holiday) Testing of Protective Coatings |
| NACE RP0190 | (1995) External Protective Coatings for Joints, Fittings, and Valves on Metallic Underground or Submerged Pipelines and Piping Systems |

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

- | | |
|-----------|---|
| NEMA TC 2 | (1998) Electrical Polyvinyl Chloride (PVC) Tubing (EPT) and Conduit (EPC-40 and EPC-80) |
|-----------|---|

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

- | | |
|---------|---------------------------------|
| NFPA 70 | (2002) National Electrical Code |
|---------|---------------------------------|

UNDERWRITERS LABORATORIES (UL)

- | | |
|--------|--|
| UL 6 | (1997) Rigid Metal Conduit |
| UL 510 | (1994; Rev thru Apr 1998) Polyvinyl Chloride, Polyethylene, and Rubber |

Insulating Tape

UL 514A

(1996; Rev Dec 1999) Metallic Outlet Boxes

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-DO

Six copies of detail drawings consisting of a complete list of equipment and material including manufacturer's descriptive and technical literature, catalog cuts, results of system design calculations including soil-resistivity, installation instructions and certified test data stating the maximum recommended anode current output density and the rate of gaseous production if any at that current density. Detail drawings shall contain complete wiring and schematic diagrams and any other details required to demonstrate that the system has been coordinated and will function properly as a unit. Drawings shall show complete layout of the piping the system is protecting along with the location of all test stations and anodes. Test stations shall be provided with a unique identification number that is used during testing.

Contractor's Modifications; G-DO

Six copies of detail drawings showing proposed changes in location, scope of performance indicating any variations from, additions to, or clarifications of contract drawings. The drawings shall show proposed changes in anode arrangement, anode size and number, anode materials and layout details, conduit size, wire size, mounting details, wiring diagram, method for electrically-isolating each pipe, and any other pertinent information to proper installation and performance of the system.

SD-03 Product Data

Equipment; G-AO

Within 30 days after receipt of notice to proceed, an itemized list of equipment and materials including item number, quantity, and manufacturer of each item. The list shall be accompanied by a description of procedures for each type of testing and adjustments, including testing of coating for thickness and holidays. Installation of materials and equipment shall not commence until this submittal is approved.

Spare Parts;

Spare parts data for each different item of material and equipment specified, after approval of detail drawings and not later than six (6) months prior to the date of beneficial occupancy. The data shall include a complete list of parts,

special tools, and supplies, with current unit prices and source of supply. One (1) spare anode of each type shall be furnished.

SD-06 Test Reports

Tests and Measurements; G-D0

Test reports in booklet form tabulating all field tests and measurements performed, upon completion and testing of the installed system and including close interval potential survey, casing and interference tests, final system test verifying protection, insulated joint and bond tests, and holiday coating test. A certified test report showing that the connecting method has passed a 120-day laboratory test without failure at the place of connection, wherein the anode is subjected to maximum recommended current output while immersed in a three percent sodium chloride solution.

Contractor's Modifications; G-D0

Final report regarding Contractor's modifications. The report shall include pipe-to-soil measurements throughout the affected area, indicating that the modifications improved the overall conditions, and current measurements for anodes. The following special materials and information are required: taping materials and conductors; zinc grounding cell, installation and testing procedures, and equipment; coating material; system design calculations for anode number, life, and parameters to achieve protective potential; backfill shield material and installation details showing waterproofing; bonding and waterproofing details; insulated resistance wire; exothermic weld equipment and material.

SD-07 Certificates

Cathodic Protection System; G-D0

Proof that the materials and equipment furnished under this section conform to the specified requirements contained in the referenced standards or publications. The label or listing by the specified agency will be acceptable evidence of such compliance.

Services of "Corrosion Expert"; G-D0

Evidence of qualifications of the "corrosion expert."

a. The "corrosion expert's" name and qualifications shall be certified in writing to the Contracting Officer prior to the start of construction.

b. Certification shall be submitted giving the name of the firm, the number of years of experience, and a list of not less than five (5) of the firm's installations three (3) or more years old that have been tested and found satisfactory.

SD-10 Operation and Maintenance Data

Cathodic Protection System; G-A0

Before final acceptance of the cathodic protection system, six

copies of operating manuals outlining the step-by-step procedures required for system startup, operation, adjustment of current flow, and shutdown. The manuals shall include the manufacturer's name, model number, service manual, parts list, and brief description of all equipment and their basic operating features. Six copies of maintenance manual, listing routine maintenance procedures, recommendation for maintenance testing, possible breakdowns and repairs, and troubleshooting guides. The manuals shall include single-line diagrams for the system as installed; instructions in making pipe-to-reference cell and tank-to-reference cell potential measurements and frequency of monitoring; instructions for dielectric connections, interference and sacrificial anode bonds; instructions shall include precautions to ensure safe conditions during repair of pipe or other metallic systems. The instructions shall be neatly bound between permanent covers and titled "Operating and Maintenance Instructions." These instructions shall be submitted for the Contracting Officer's approval. The instructions shall include the following:

a. As-built drawings, to scale of the entire system, showing the locations of the piping, location of all anodes and test stations, locations of all insulating joints, and structure-to-reference cell potentials as measured during the tests required by Paragraph: TESTS AND MEASUREMENTS, of this section.

b. Recommendations for maintenance testing, including instructions in making pipe-to-reference cell potential measurements and frequency of testing.

c. All maintenance and operating instructions and nameplate data shall be in English.

d. Instructions shall include precautions to insure safe conditions during repair of pipe system.

Training Course: G-AO

The proposed Training Course Curriculum (including topics and dates of discussion) indicating that all of the items contained in the operating and maintenance instructions, as well as demonstrations of routine maintenance operations, including testing procedures included in the maintenance instructions, are to be covered.

1.3 GENERAL REQUIREMENTS

The Contractor shall furnish and install a complete, operating, sacrificial anode cathodic protection system in complete compliance with NFPA 70, with all applicable Federal, State, and local regulations and with minimum requirements of this contract. The services required include planning, installation, adjusting and testing of a cathodic protection system, using sacrificial anodes for cathodic protection of the water, fire protection lines and their connectors. The cathodic protection system shall include anodes, cables, connectors, corrosion protection test stations, and any other equipment required for a complete operating system providing the NACE criteria of protection as specified. Insulators are required whenever needed to insulate the pipes from any other structure. Any pipe crossing a

pipe shall have a test station. The cathodic protection shall be provided on water, fire protection pipes.

1.3.1 Services of "Corrosion Expert"

The Contractor shall obtain the services of a "corrosion expert" to supervise, inspect, and test the installation and performance of the cathodic protection system. "Corrosion expert" refers to a person, who by thorough knowledge of the physical sciences and the principles of engineering and mathematics, acquired by professional education and related practical experience, is qualified to engage in the practice of corrosion control of buried or submerged metallic surfaces. Such a person must be accredited or certified by the National Association of Corrosion Engineers (NACE) as a NACE Accredited Corrosion Specialist or a NACE certified Cathodic Protection (CP) Specialist or be a registered professional engineer who has certification or licensing that includes education and experience in corrosion control of buried or submerged metallic piping and tank systems, if such certification or licensing includes 5 years experience in corrosion control on underground metallic surfaces of the type under this contract. The "corrosion expert" shall make at least 3 visits to the project site. The first of these visits shall include obtaining soil resistivity data, acknowledging the type of pipeline coatings to be used and reporting to the Contractor the type of cathodic protection required. Once the submittals are approved and the materials delivered, the "corrosion expert" shall revisit the site to ensure the Contractor understands installation practices and laying out the components. The third visit shall involve testing the installed cathodic protection systems and training applicable personnel on proper maintenance techniques. The "corrosion expert" shall supervise installation and testing of all cathodic protection.

1.3.2 Contractor's Modifications

The specified system is based on a complete system with magnesium sacrificial anodes. The Contractor may modify the cathodic protection system after review of the project, site verification, and analysis, if the proposed modifications include the anodes specified and will provide better overall system performance. The modifications shall be fully described, shall be approved by the Contracting Officer's representative, and shall meet the following criteria. The proposed system shall achieve a minimum pipe-to-soil potential of minus 1000 millivolts with reference to a saturated copper-copper sulfate reference cell on the underground components of the piping or other metallic surface. The Contractor shall take resistivity measurements of the soil in the vicinity of the pipes and ground bed sites. Based upon the measurements taken, the current and voltage shall be required to produce a minimum of minus 1000 millivolts " potential between the structure being tested and the reference cell. This potential shall be obtained over 95 percent of the metallic area. The anode system shall be designed for a life of twenty-five (25) years of continuous operation.

1.3.3 Isolators

Isolators are required to insulate the indicated pipes from any other structure.

1.3.4 Anode and Bond Wires

For each cathodic system, the metallic components and structures to be

protected shall be made electrically continuous. This shall be accomplished by installing bond wires between the various structures. Bonding of existing buried structures may also be required to preclude detrimental stray current effects and safety hazards. Provisions shall be included to return stray current to its source without damaging structures intercepting the stray current. The electrical isolation of underground facilities in accordance with acceptable industry practice shall be included under this section. All tests shall be witnessed by the Contracting Officer.

1.3.5 Summary of Services Required

The scope of services shall include, but shall not be limited to, the following:

- b. Cathodic Protection Systems.
- c. System testing.
- d. Casing corrosion control.
- f. Training.
- g. Operating and maintenance manual.
- h. Insulator testing and bonding testing.

i. Coating and holiday testing shall be submitted within 45 days of notice to proceed.

1.3.6 Nonmetallic Pipe System

Where pipe other than metallic pipe is approved and used, all metallic components of the pipe system shall be protected with cathodic protection. Detailed drawings of cathodic protection for each component shall be submitted to the Contracting Officer for approval within 45 days after date of receipt of notice to proceed, and before commencement of any work.

1.3.6.1 Coatings

Coatings for metallic components shall be as required for metallic fittings. Protective covering (coating and taping) shall be completed and tested on each metallic component (such as valves, hydrants and fillings). This covering shall be as required for underground metallic pipe. Each test shall be witnessed by the Contracting Officer. Coatings shall be selected, applied, and inspected in accordance with NACE RP0190 and as specified in these specifications. The use of nonmetallic pipe does not change other requirements of the specifications. Any deviations due to the use of nonmetallic pipe shall be submitted for approval.

1.3.6.2 Tracer Wire

When a nonmetallic pipe line is used to extend or add to an existing metallic line, an insulated No. 8 AWG copper wire shall be thermit-welded to the existing metallic line and run the length of the new nonmetallic line. This wire shall be used as a locator tracer wire and to maintain continuity to any future extensions of the pipe line.

1.3.7 Tests of Components

Two (2) tests shall be over the outer edge of the component, but at the farthest point from the anodes. Structure and pipes shall be shown with the cathodic protection equipment. All components of the cathodic protection system shall be shown on drawings, showing their relationship to the protected structure or component. A narrative shall describe how the cathodic protection system will work and provide testing at each component.

Components requiring cathodic protection shall include but not be limited to the following:

- a. Shutoff valves.
- b. Metallic pipe extended from aboveground locations.
- c. Each connector or change-of-direction device.
- d. Any metallic pipe component or section.
- e. Backflow preventor.

1.3.8 Drawings

Detailed drawings shall be provided showing location of anodes, insulated fittings, test stations, permanent reference cells, and bonding. Locations shall be referenced to two (2) permanent facilities or mark points.

1.3.9 Electrical Potential Measurements

All potential tests shall be made at a minimum of 10 foot intervals witnessed by the Contracting Officer. Submittals shall identify test locations on separate drawing, showing all metal to be protected and all cathodic protection equipment. Test points equipment and protected metal shall be easily distinguished and identified.

1.3.10 Achievement of Criteria for Protection

All conductors, unless otherwise shown, shall be routed to or through the test stations. Each system provided shall achieve a minimum pipe-to-soil potential of minus 1000 millivolt potentials with reference to a saturated copper-copper-sulfate reference cell on all underground components of the piping. Based upon the measurements taken, the current and voltage of the anodes should be adjusted as required to produce a minimum of minus 1000 millivolts potential between the structure being tested and the reference cell. This must be achieved without the potential exceeding minus 1300 millivolts. Testing will be witnessed by the Contracting Officer. Additional anodes shall be provided by the Contractor if required to achieve the minus 1000 millivolts. Although acceptance criteria of the cathodic protection systems are defined in NACE RP0169, for this project the potential of minus 1000 millivolts is the only acceptable criteria.

1.3.11 Metallic Components and Typical on a Non-metallic Pipe System

- a. Metallic components: As a minimum, each metallic component shall be protected with two (2) magnesium anodes. This number of anodes is required to achieve minus 850 millivolts "instant off" potential on the

metallic area and at the same time not provide overvoltage above 1150 millivolts "instant off" or shall provide minus 1100 millivolt potential reading with a maximum minus 1200 millivolt potential. As a minimum, the magnesium anode unpackaged weight shall be 17 pounds. The magnesium anodes shall be located on each side of the metallic component and routed through a test station.

b. Fire Hydrants: Fire hydrant pipe components shall have a minimum of two (2) anodes. These magnesium anodes shall have an unpackaged weight of 17 pounds.

d. Valves: Each valve shall be protected with 2 magnesium anodes. The magnesium anode shall have an unpackaged weight of 17 pounds.

e. Metallic Pipe Component or Section: Each section of metallic pipe shall be protected with 2 magnesium anodes. The magnesium anodes shall have an unpackaged weight of 17 pounds.

f. Connectors or Change-of-Direction Devices: Each change-of-direction device shall be protected with 2 magnesium anodes. The magnesium anode shall have an unpackaged weight of 17 pounds.

1.3.12 Metallic Component Coating

Coatings for metallic components shall be as required for metallic fittings as indicated. This will include fire hydrants, T's, elbows, valves, etc. Coatings shall be selected, applied, and inspected in accordance with NACE RP0190 and as specified in these specifications.

PART 2 PRODUCTS

2.1 MAGNESIUM ANODES

See Paragraph METALLIC COMPONENTS AND TYPICALS for additional anodes under slab. Metallic pipelines shall be protected in accordance with the following table (no more than two anodes at a single test station):

Pipe Size (inches)	Maximum Spacing of 17-lb Mag Anode (feet)
1	570
2	315
3	215
4	165
6	110
8	85
10	70
12	55

2.1.1 Anode Composition

Anodes shall be of high-potential magnesium alloy, made of primary magnesium obtained from sea water or brine, and not made from scrap metal. Magnesium anodes shall conform to ASTM B 843 and to the following analysis (in percents) otherwise indicated:

Aluminum, max.	0.010
Manganese, max.	0.50 to 1.30
Zinc	0.05
Silicon, max.	0.05

Copper, max.	0.02
Nickel, max.	0.001
Iron, Max.	0.03
Other impurities, max.	0.05 each or 0.3 max. total
Magnesium	Remainder

The Contractor shall furnish spectrographic analysis on samples from each heat or batch of anodes used on this project.

2.1.2 Dimensions and Weights

Dimensions and weights of anodes shall be approximately as follows:

TYPICAL MAGNESIUM ANODE SIZE

(Cross sections may be round, square, or D shaped)

NOMINAL WT. LBS.	APPROX. SIZE (IN)	NOMINAL GROSS WT lb PACKAGED IN BACKFILL	NOMINAL PACKAGE DIMENSIONS (IN)
9	3 X 3 X 14	27	5-1/4 X 20
12	4 X 4 X 12	32	7-1/2 X 18
17	4 X 4 X 17	45	7-1/2 X 24
32	5 X 5 X 20-1/2	68	8-1/2 X 28

2.1.3 Packaged Anodes

Anodes shall be provided in packaged form with the anode surrounded by specially-prepared quick-wetting backfill and contained in a water permeable cloth or paper sack. Anodes shall be centered by means of spacers in the backfill material. The backfill material shall have the following composition, unless otherwise indicated:

Material	Approximate Percent by Weight
Gypsum	75
Bentonite	20
Sodium Sulphate	5
Total	100

2.1.4 Connecting Wire

2.1.4.1 Wire Requirements

Wire shall be No. 12 AWG solid copper wire, not less than 10 feet long, unspliced, complying with NFPA 70, Type TW, RHH or USE insulation. Connecting wires for magnesium anodes shall be factory installed with the place or emergence from the anode in a cavity sealed flush with a dielectric sealing compound.

2.2 MISCELLANEOUS MATERIALS

2.2.1 Electrical Wire

Wire shall be No. 12 AWG stranded copper wire with NFPA 70, Type TW or RHW-USE insulation. Polyethylene insulation shall comply with the requirements of ASTM D 1248 and shall be of the following types, classes, and grades:

High-molecular weight polyethylene shall be Type I, Class C, Grade E5.

High-density polyethylene shall be Type III, Class C, Grade E3.

2.2.1.1 Wire Splicing

Connecting wire splicing shall be made with copper compression connectors or exothermic welds, following instructions of the manufacturer. Single split-bolt connections shall not be used. Sheaths for encapsulating electrical wire splices to be buried underground shall fit the insulated wires entering the spliced joints and epoxy potting compound shall be as specified below.

2.2.1.2 Test Wires

Test wires shall be AWG No. 12 stranded copper wire with NFPA 70, Type TW or RHW or polyethylene insulation.

2.2.2 Conduit

Rigid galvanized steel conduit and accessories shall conform to UL 6. Non metallic conduit shall conform to NEMA TC 2.

2.2.3 Test Boxes and Junctions Boxes

Boxes shall be outdoor type conforming to UL 514A.

2.2.4 Joint, Patch, Seal, and Repair Coating

Sealing and dielectric compound shall be a black, rubber based compound that is soft, permanently pliable, tacky, moldable, and unbacked. Compound shall be applied as recommended by the manufacturer, but not less than 1/2-inch thick. Coating compound shall be cold-applied coal-tar base mastic. Pressure-sensitive vinyl plastic electrical tape shall conform to UL 510.

2.2.5 Backfill Shields

Shields shall consist of approved pipeline wrapping or fiberglass-reinforced, coal-tar impregnated tape, or plastic weld caps, specifically made for the purpose and installed in accordance with the manufacturer's recommendations. When joint bonds are required, due to the use of mechanical joints, the entire joint shall be protected by the use of a kraft paper joint cover. The joint cover shall be filled with poured-in, hot coat-tar enamel.

2.2.6 Epoxy Potting Compound

Compound for encapsulating electrical wire splices to be buried underground shall be a two package system made for the purpose.

2.2.7 Test Stations

Stations shall be of the flush-curb-box type and shall be the standard

product of a recognized manufacturer. Test stations shall be complete with an insulated terminal block having the required number of terminals. The test station shall be provided with a lockable over and shall have an embossed legend, "C.P. Test." A minimum of one (1) test station shall be provided each component of the pipe line. A minimum of four (4) terminals shall be provided in each test station. A minimum of two (2) leads are required to the metallic pipe from each test station. Other conductors shall be provided for each anode, other foreign pipe, and reference cells as required. .

2.2.8 Joint and Continuity Bonds

Bonds shall be provided across all joints in the metallic water, gas, fuel, and fire protection lines, across any electrically discontinuous connections and all other pipes and structures with other than welded or threaded joints that are included in this cathodic protection system. Unless otherwise specified in the specifications, bonds between structures and across joints in pipe with other than welded or threaded joints shall be No. 6 AWG stranded copper cable with polyethylene insulation. Bonds between structures shall contain sufficient slack for any anticipated movement between structures. Bonds across pipe joints shall contain a minimum of 4 inches of slack to allow for pipe movement and soil stress. Bonds shall be attached by exothermic welding. Exothermic weld areas shall be insulated with coating compound and approved, and witnessed by the Contracting Officer. Continuity bonds shall be installed as necessary to reduce stray current interference. Additional joint bondings shall be accomplished by the Contractor where the necessity is discovered during construction or testing or where the Contracting Officer's representative directs that such bonding be done. Joint bonding shall include all associated excavation and backfilling. There shall be a minimum of two (2) continuity bonds between each structure and other than welded or threaded joints where the pipe is larger than 12-inches in diameter. The Contractor shall test for electrical continuity across all joints with other than welded or threaded joints and across all metallic portions or components. The Contractor shall provide bonding as required and as specified above until electrical continuity is achieved. Bonding test data shall be submitted for approval.

2.2.9 Electrical Isolation of Structures

As a minimum, isolating flanges or unions shall be provided at the following locations:

- a. Connection of new metallic piping or components to existing piping.

2.2.9.1 Electrically Isolating Pipe Joints

Electrically isolating pipe joints shall be of a type that is in regular factory production.

2.2.9.2 Electrically Conductive Couplings

Electrically conductive couplings shall be of a type that has a published maximum electrical resistance rating given in the manufacturer's literature. Cradles and seals shall be of a type that is in regular factory production made for the purpose of electrically insulating the carrier pipe from the casing and preventing the incursion of water into the

annular space.

2.2.9.3 Insulating Joint Testing

A Model 601 Insulation Checker, as manufactured by "Gas Electronics" or an approved equal, shall be used for insulating joint (flange) electrical testing.

2.2.10 Underground Structure Coating

This coating specification shall take precedence over any other project specification and drawing notes, whether stated or implied, and shall also apply to the pipeline or tank supplier. No variance in coating quality shall be allowed by the Contractor or Base Construction Representative without the written consent of the designer. All underground metallic pipelines and tanks to be cathodically protected shall be afforded a good quality factory-applied coating. This includes all carbon steel, cast-iron and ductile-iron pipelines or vessels. Coatings shall be selected, applied, and inspected in accordance with NACE RP0190 and as specified. If non-metallic pipelines are installed, all metallic fittings on pipe sections shall be coated in accordance with this specification section.

a. The nominal thickness of the metallic pipe joint or other component coating shall be 8 mils, plus or minus 5 percent.

b. Pipe and joint coating for factory applied or field repair material shall be applied as recommended by the manufacturer and shall be one of the following:

- (1) Continuously extruded polyethylene and adhesive coating system.
- (2) Polyvinyl chloride pressure-sensitive adhesive tape.
- (3) High density polyethylene/bituminous rubber compound tape.
- (4) Butyl rubber tape.
- (5) Coal tar epoxy.

2.2.10.1 Field Joints

All field joints shall be coated with materials compatible with the pipeline coating compound. The joint coating material shall be applied to an equal thickness as the pipeline coating. Unbonded coatings shall not be used on these buried metallic components. This includes the elimination of all unbonded polymer wraps or tubes. Once the pipeline or vessel is set in the trench, an inspection of the coating shall be conducted. This inspection shall include electrical holiday detection. Any damaged areas of the coating shall be properly repaired. The Contracting Officer shall be asked to witness inspection of the coating and testing using a holiday detector.

2.2.10.2 Inspection of Pipe Coatings

Any damage to the protective covering during transit and handling shall be repaired before installation. After field coating and wrapping has been applied, the entire pipe shall be inspected by an electric holiday detector with impressed current in accordance with NACE RP0188 using a full-ring, spring-type coil electrode. The holiday detector shall be equipped with a bell, buzzer, or other type of audible signal which sounds when a holiday is detected. All holidays in the protective covering shall be repaired immediately upon detection. Occasional checks of holiday detector

potential will be made by the Contracting Officer's representative to determine suitability of the detector. All labor, materials, and equipment necessary for conducting the inspection shall be furnished by the Contractor.

a. Protective covering for aboveground piping system: Finish painting shall conform to the applicable paragraph of SECTION: 09900, PAINTING, GENERAL, and as follows:

b. Ferrous surfaces: Shop-primed surfaces shall be touched-up with ferrous metal primer. Surfaces that have not been shop-primed shall be solvent-cleaned. Surfaces that contain loose rust, loose mil scale, and other foreign substances shall be mechanically-cleaned by power wire-brushing and primed with ferrous metal primer. Primed surface shall be finished with two (2) coats of exterior oil paint and vinyl paint. Coating for each entire piping service shall be an approved pipe line wrapping having a minimum coating resistance of 50,000 Ohms per square foot.

2.2.11 Electrical Connections

Electrical connections shall be done as follows:

a. Exothermic welds shall be "Cadweld", "Bundy", "Thermoweld" or an approved equal. Use of this material shall be in strict accordance with the manufacturer's recommendations.

b. Electrical-shielded arc welds shall be approved for use on steel pipe by shop drawing submittal action.

2.2.12 Electrical Tape

Pressure-sensitive vinyl plastic electrical tape shall conform to UL 510.

2.2.13 Permanent Reference Electrodes

Permanent reference electrodes shall be Cu-CuSO₄ electrodes suitable for direct burial. Electrodes shall be guaranteed by the supplier for 15 years' service in the environment in which they shall be placed. Electrodes shall be installed directly beneath pipe, or metallic component.

2.2.14 Casing

Where a pipeline is installed in a casing under a roadway or railway, the pipeline shall be electrically insulated from the casing, and the annular space sealed and filled with an approved corrosion inhibiting product against incursion of water.

PART 3 EXECUTION

3.1 CRITERIA OF PROTECTION

Acceptance criteria for determining the adequacy of protection on a buried underground pipe, or metallic component shall be in accordance with NACE RP0169, NACE RP0193, NACE RP0285 and as specified below.

3.1.1 Iron and Steel

The following method (a) shall be used for testing cathodic protection

voltages. If more than one method is required, method (b) shall be used.

a. A negative voltage of at least minus 1000 millivolts as measured between the underground component and a saturated copper-copper sulphate reference electrode connecting the earth (electrolyte) directly over the underground component. Determination of this voltage shall be made with the cathodic protection system in operation. Voltage drops shall be considered for valid interpretation of this voltage measurement. A minimum of minus 1000 millivolts "-" potential between the underground component being tested and the reference cell shall be achieved over 95 percent of the area of the structure. Adequate number of measurements shall be obtained over the entire structure, pipe, tank, or other metallic component to verify and record achievement of minus 1000 millivolts "-." This potential shall be obtained over 95 percent of the total metallic area without the "-" potential exceeding 1200 millivolts.

b. A minimum polarization voltage shift of 100 millivolts as measured between the underground component and a saturated copper-copper sulphate reference electrode contacting the earth directly over the underground component. This polarization voltage shift shall be determined by interrupting the protective current and measuring the polarization decay. When the protective current is interrupted, an immediate voltage shift will occur. The voltage reading, after the immediate shift, shall be used as the base reading from which to measure polarization decay. Measurements achieving 100 millivolts decay shall be made over 95 percent of the metallic surface being protected.

c. For any metallic component, measurements shall be made using subparagraph (a), above, and achieving the potential of minus 1000 millivolts.

3.2 ANODE STORAGE AND INSTALLATION

3.2.1 Anode Storage

Storage area for magnesium anodes will be designated by the Contracting Officer. If anodes are not stored in a building, tarps or similar protection should be used to protect anodes from inclement weather. Packaged anodes, damaged as a result of improper handling or being exposed to rain, shall be resacked by the Contractor and the required backfill added.

3.2.2 Anode Installation

Unless otherwise authorized, installation shall not proceed without the presence of the Contracting Officer. Anodes of the size specified shall be installed to the depth indicated and at the locations shown. Locations may be changed to clear obstructions with the approval of the Contracting Officer. Anodes shall be installed in sufficient number and of the required type, size, and spacing to obtain a uniform current distribution over the surface of the structure. The anode system shall be designed for a life of 25 years of continuous operation. Anodes shall be installed as indicated in a dry condition after any plastic or waterproof protective covering has been completely removed from the water permeable, permanent container housing the anode metal. The anode connecting wire shall not be used for lowering the anode into the hole. The annular space around the anode shall be backfilled with fine earth in 6 inch layers and each layer shall be hand tamped. Care must be exercised not to strike the anode or connecting wire with the tamper. Approximately 5 gallons of water shall be

applied to each filled hole after anode backfilling and tamping has been completed to a point about 6 inches above the anode. After the water has been absorbed by the earth, backfilling shall be completed to the ground surface level.

3.2.2.1 Single Anodes

Single anodes, spaced as shown, shall be connected through a test station to the pipeline, allowing adequate slack in the connecting wire to compensate for movement during backfill operation.

3.2.2.2 Groups of Anodes

Groups of anodes, in quantity and location shown, shall be connected to a common post at the test station.

3.2.2.3 Welding Methods

Connections to ferrous pipe shall be made by exothermic weld methods manufactured for the type of pipe supplied. Electric arc welded connections and other types of welded connections to ferrous pipe and structures shall be approved before use.

3.2.3 Anode Placement - General

Packaged anodes shall be installed completely dry, and shall be lowered into holes by rope sling or by grasping the cloth gather. The anode lead wire shall not be used in lowering the anodes. The hole shall be backfilled with fine soil in 6 inch layers and each layer shall be hand-tamped around the anode. Care must be exercised not to strike the anode or lead wire with the tamper. If immediate testing is to be performed, water shall be added only after backfilling and tamping has been completed to a point 6 inches above the anode. Approximately 5 gallons of water may be poured into the hole. After the water has been absorbed by the soil, backfilling and tamping may be completed to the top of the hole. Anodes shall be installed as specified or shown. In the event a rock strata is encountered prior to achieving specified augered-hole depth, anodes may be installed horizontally to a depth at least as deep as the bottom of the pipe, with the approval of the Contracting Officer.

3.2.4 Underground Pipeline

Anodes shall be installed at a minimum of 8 feet and a maximum of 10 feet from the line to be protected.

3.2.5 Installation Details

Details shall conform to the requirements of this specification. Details shown on the drawings are indicative of the general type of material required, and are not intended to restrict selection to material of any particular manufacturer.

3.2.6 Lead Wire Connections

3.2.6.1 Underground Pipeline (Metallic)

To facilitate periodic electrical measurements during the life of the

sacrificial anode system and to reduce the output current of the anodes, if required, all anode lead wires shall be connected to a test station and buried a minimum of 24 inches in depth. The cable shall be No. 10 AWG, stranded copper, polyethylene or RHW-USE insulated cable. The cable shall make contact with the structure only through a test station. Anode connections, except in the test station, shall be made with exothermic welding process, and shall be insulated by means of at least three (3) layers of electrical tape; and all lead wire connections shall be installed in a moistureproof splice mold kit and filled with epoxy resin. Lead wire-to-structure connections shall be accomplished by an exothermic welding process. All welds shall be in accordance with the manufacturer's recommendations. A backfill shield filled with a pipeline mastic sealant or material compatible with the coating shall be placed over the weld connection and shall be of such diameter as to cover the exposed metal adequately.

3.2.7 Location of Test Stations

Test stations shall be of the type and location shown and shall be curb box mounted. Buried insulating joints shall be provided with test wire connections brought to a test station. Unless otherwise shown, other test stations shall be located as follows:

- a. At 1,000-foot intervals or less.
- b. Where the pipe or conduit crosses any other metal pipe.
- c. At both ends of casings under roadways.
- d. Where both sides of an insulating joint are not accessible above ground for testing purposes.

3.2.8 Underground Pipe Joint Bonds

Underground pipe having other than welded or threaded coupling joints shall be made electrically continuous by means of a bonding connection installed across the joint.

3.3 ELECTRICAL ISOLATION OF STRUCTURES

3.3.1 Isolation Joints and Fittings

Isolating fittings, including main line isolating flanges and couplings, shall be installed aboveground, or within manholes, wherever possible. Where isolating joints must be covered with soil, they shall be fitted with a paper joint cover specifically manufactured for covering the particular joint, and the space within the cover filled with hot coal-tar enamel. Isolating fittings in lines entering buildings shall be located at least 12 inches above grade of floor level, when possible. Isolating joints shall be provided with grounding cells to protect against over-voltage surges or approved surge protection devices. The cells shall provide a low resistance across isolating joint without excessive loss of cathodic current.

3.3.2 Gas Distribution Piping

Electrical isolation shall be provided at each building riser pipe to the pressure regulator, at all points where a short to another structure or to a foreign structure may occur, and at other locations as indicated on the

drawings.

3.4 TRENCHING AND BACKFILLING

Trenching and backfilling shall be in accordance with Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITY SYSTEMS .

3.5 TESTS AND MEASUREMENTS

3.5.1 Baseline Potentials

Each test and measurement will be witnessed by the Contracting Officer. The Contractor shall notify the Contracting Officer a minimum of five (5) working days prior to each test. After backfill of the pipe, the static potential-to-soil of the pipe or tank shall be measured. The locations of these measurements shall be identical to the locations specified for pipe-to-reference electrode potential measurements. The initial measurements shall be recorded.

3.5.2 Isolation Testing

Before the anode system is connected to the pipe, an isolation test shall be made at each isolating joint or fitting. This test shall demonstrate that no metallic contact, or short circuit exists between the two isolated sections of the pipe. Any isolating fittings installed and found to be defective shall be reported to the Contracting Officer.

3.5.2.1 Insulation Checker

A Model 601 insulation checker, as manufactured by "Gas Electronics", or an approved equal, using the continuity check circuit, shall be used for isolating joint (flange) electrical testing. Testing shall conform to the manufacturer's operating instructions. Test shall be witnessed by the Contracting Officer. An isolating joint that is good will read full scale on the meter. If an isolating joint is shorted, the meter pointer will be deflected or near zero on the meter scale. Location of the fault shall be determined from the instructions, and the joint shall be repaired. If an isolating joint is located inside a vault, the pipe shall be sleeved with insulator when entering and leaving the vault.

3.5.2.2 Cathodic Protection Meter

A Model B3A2 cathodic protection meter, as manufactured by "M.C. Miller", or an approved equal, using the continuity check circuit, shall be used for isolating joint (flange) electrical testing. This test shall be performed in addition to the Model 601 insulation checker. Continuity is checked across the isolation joint after the test lead wire is shorted together and the meter adjusted to scale. A full-scale deflection indicates the system is shorted at some location. The Model 601 verifies that the particular insulation under test is good and the Model B3A2 verifies that the system is isolated. If the system is shorted, further testing shall be performed to isolate the location of the short.

3.5.3 Anode Output

As the anodes or groups of anodes are connected to the pipe, current output shall be measured with an approved clamp-on milliammeter, calibrated shunt with a suitable millivoltmeter or multimeter, or a low resistance ammeter. (Of the three methods, the low-resistance ammeter is the least desirable

and most inaccurate. The clamp-on milliammeter is the most accurate.) The valves obtained and the date, time, and location shall be recorded.

3.5.4 Reference Electrode Potential Measurements

Upon completion of the installation and with the entire cathodic protection system in operation, electrode potential measurements shall be made using a copper-copper sulphate reference electrode and a potentiometer-voltmeter, or a direct-current voltmeter having an internal resistance (sensitivity) of not less than 10 megohms per volt and a full scale of 10 volts. The locations of these measurements shall be identical to the locations used for baseline potentials. The values obtained and the date, time, and locations of measurements shall be recorded. No less than eight (8) measurements shall be made over any length of line or component. Additional measurements shall be made at each distribution service riser, with the reference electrode placed directly over the service line.

3.5.5 Location of Measurements

3.5.5.1 Piping or Conduit

For coated piping, measurements shall be taken from the reference electrode located in contact with the earth, directly over the pipe. Connection to the pipe shall be made at service risers, valves, test leads, or by other means suitable for test purposes. Pipe-to-soil potential measurements shall be made at intervals not exceeding 10 feet. The Contractor may use a continuous pipe-to-soil potential profile in lieu of 5 foot interval pipe-to-soil potential measurements. Additional measurements shall be made at each distribution service riser, with the reference electrode placed directly over the service line adjacent to the riser. Potentials shall be plotted versus distance to an approved scale. Locations where potentials do not meet or exceed the criteria shall be identified and reported to the Contracting Officer's representative.

3.5.5.2 Casing Tests

Before final acceptance of the installation, the electrical separation of carrier pipe from casings shall be tested and any short circuits corrected.

3.5.5.3 Interference Testing

Before final acceptance of the installation, interference tests shall be made with respect to any foreign pipes in cooperation with the owner of the foreign pipes. A full report of the tests giving all details shall be made. Stray current measurements shall be performed at all isolating locations and at locations where the new pipeline crosses foreign metallic pipes. The method of measurements and locations of measurements shall be submitted for approval. As a minimum, stray current measurements shall be performed at the following locations:

- a. Connection point of new pipeline to existing pipeline.
- b. Crossing points of new pipeline with existing lines.

Results of stray current measurements shall also be submitted for approval.

3.5.5.4 Holiday Test

Any damage to the protective covering during transit and handling shall be

repaired before installation. After field-coating and wrapping has been applied, the entire pipe shall be inspected by an electric holiday detector with impressed current in accordance with NACE RP0188 using a full-ring, spring-type coil electrode. The holiday detector shall be equipped with a bell, buzzer, or other type of audible signal which sounds when a holiday is detected. Holidays in the protective covering shall be repaired upon detection. Occasional checks of holiday detector potential will be made by the Contracting Officer to determine suitability of the detector. Labor, materials, and equipment necessary for conducting the inspection shall be furnished by the Contractor. The coating system shall be inspected for holes, voids, cracks, and other damage during installation.

3.5.5.5 Recording Measurements

All pipe-to-soil potential measurements, including initial potentials where required, shall be recorded. The Contractor shall locate, correct and report to the Contracting Officer any short circuits to foreign pipes encountered during checkout of the installed cathodic protection system. Pipe-to-soil potential measurements shall be taken on as many pipes as necessary to determine the extent of protection or to locate short-circuits.

3.6 TRAINING COURSE

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 4 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The field instructions shall cover all of the items contained in the operating and maintenance instructions, as well as demonstrations of routine maintenance operations, including testing procedures included in the maintenance instructions. At least 14 days prior to date of proposed conduction of the training course, the training course curriculum shall be submitted for approval, along with the proposed training date. Training shall consist of demonstration of test equipment, providing forms for test data and the tolerances which indicate that the system works.

3.7 CLEANUP

The Contractor shall be responsible for cleanup of the construction site. All paper bags, wire clippings, etc., shall be disposed of as directed. Paper bags, wire clippings and other waste shall not be put in bell holes or anodes excavation.

3.8 MISCELLANEOUS INSTALLATION AND TESTING

3.8.1 Coatings

All aboveground pipeline shall be coated as indicated or as approved. The coating shall have a minimum thickness of 7 mil. The pipeline coating shall be in accordance with all applicable Federal, State, and local regulations.

3.8.2 Excavation

In the event rock is encountered in providing the required depth for anodes, the Contractor shall determine an alternate approved location and, if the depth is still not provided, an alternate plan shall be submitted to the Contracting Officer. Alternate techniques and depths must be approved prior to implementation.

3.9 SPARE PARTS

After approval of shop drawings, and not later than three (3) months prior to the date of beneficial occupancy, the Contractor shall furnish spare parts data for each different item of material and equipment specified. The data shall include a complete list of parts, special tools, and supplies, with current unit prices and source of supply. In addition, the Contractor shall supply information for material and equipment replacement for all other components of the complete system, including anodes, cables, splice kits and connectors, corrosion test stations, and any other components not listed above. The Contractor shall furnish a reference cell on a reel with 350 feet of conductor, along with other accessories, and a digital voltmeter that can be used in the maintenance of this cathodic protection system. Use of this equipment shall be demonstrated in actual tests during the training course, which shall include a description of the the equipment and measurement of the pipe-to-soil potential, rainfall, and gas company voltages.

3.10 SEEDING

Seeding shall be done by the Contractor, as directed, in all unsurfaced locations disturbed by this construction. In areas where grass cover exists, it is possible that sod can be carefully removed, watered, and stored during construction operations, and replaced after the operations are completed since it is estimated that no section of pipeline should remain uncovered for more than two (2) days. The use of sod in lieu of seeding shall require approval by the Contracting Officer.

3.11 SYSTEM TESTING

The Contractor shall submit a report including potential measurements taken at adequately-close intervals to establish that minus 1000 millivolts potential is provided, and that the cathodic protection is not providing interference to other foreign pipes causing damage to paint or pipes. The report shall provide a narrative describing how the criteria of protection is achieved without damaging other pipe or structures in the area.

3.12 CLEARING OF TREES AND UNDERBRUSH

In the areas of the anode beds, all trees and underbrush shall be cleared and grubbed to the limits shown or indicated.

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SECTION 15895

AIR SUPPLY, DISTRIBUTION, VENTILATION, AND EXHAUST SYSTEM
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to within the text by the basic designation only.

AIR CONDITIONING AND REFRIGERATION INSTITUTE (ARI)

ARI Guideline D (1996) Application and Installation of
Central Station Air-Handling Units

AIR MOVEMENT AND CONTROL ASSOCIATION (AMCA)

AMCA 210 (1999) Laboratory Methods of Testing Fans
for Aerodynamic Performance Rating

AMCA 300 (1996) Reverberant Room Method for Sound
Testing of Fans

AMERICAN BEARING MANUFACTURERS ASSOCIATION (ABMA)

ABMA 9 (1990; R 2000) Load Ratings and Fatigue
Life for Ball Bearings

ABMA 11 (1990; R 1999) Load Ratings and Fatigue
Life for Roller Bearings

ASTM INTERNATIONAL (ASTM)

ASTM A 53/A 53M (2001) Pipe, Steel, Black and Hot-Dipped,
Zinc-Coated, Welded and Seamless

ASTM A 123/A 123M (2001a) Zinc (Hot-Dip Galvanized) Coatings
on Iron and Steel Products

ASTM A 924/A 924M (1999) General Requirements for Steel
Sheet, Metallic-Coated by the Hot-Dip
Process

ASTM B 117 (1997) Operating Salt Spray (Fog) Apparatus

ASTM D 520 (2000) Zinc Dust Pigment

ASTM D 1654 (1992; R 2000) Evaluation of Painted or
Coated Specimens Subjected to Corrosive
Environments

ASTM D 3359	(1997) Measuring Adhesion by Tape Test
ASTM E 437	(1992; R 1997) Industrial Wire Cloth and Screens (Square Opening Series)
AMERICAN SOCIETY OF HEATING, REFRIGERATING AND AIR-CONDITIONING ENGINEERS (ASHRAE)	
ASHRAE 70	(1991) Method of Testing for Rating the Performance of Air Outlets and Inlets
NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)	
NEMA MG 1	(1998) Motors and Generators
NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)	
NFPA 90A	(1999) Installation of Air Conditioning and Ventilating Systems
SHEET METAL & AIR CONDITIONING CONTRACTORS' NATIONAL ASSOCIATION (SMACNA)	
SMACNA HVAC Duct Const Stds	(1995; Addenda Nov 1997; 6th Printing 2001) HVAC Duct Construction Standards - Metal and Flexible
UNDERWRITERS LABORATORIES (UL)	
UL 214	(1997; Rev thru Aug 2001) Tests for Flame-Propagation of Fabrics and Films
UL Bld Mat Dir	(1999) Building Materials Directory

1.2 COORDINATION OF TRADES

Ductwork, piping offsets, fittings, and accessories shall be furnished as required to provide a complete installation and to eliminate interference with other construction.

1.3 DELIVERY AND STORAGE

Equipment delivered and placed in storage shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants. Additionally, all pipes shall either be capped or plugged until installed.

1.4 FIELD MEASUREMENTS

After becoming familiar with all details of the work, the Contractor shall verify all dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing the work.

1.5 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only or as otherwise designated. When used, a designation following the "G"

designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Drawings; G-AO

Installation; G-AO

Drawings showing equipment layout, including assembly and installation details and electrical connection diagrams; ductwork layout showing the location of all supports and hangers, typical hanger details, gauge reinforcement, reinforcement spacing rigidity classification, and static pressure and seal classifications; and piping layout showing the location of all guides and anchors, the load imposed on each support or anchor, and typical support details. Drawings shall include any information required to demonstrate that the system has been coordinated and will properly function as a unit and shall show equipment relationship to other parts of the work, including clearances required for operation and maintenance.

SD-03 Product Data

Components and Equipment; G-DO

Manufacturer's catalog data included with the detail drawings for the following items. The data shall be highlighted to show model, size, options, etc., that are intended for consideration. Data shall be adequate to demonstrate compliance with contract requirements for the following:

- a. Not Used
- b. Ductwork Components
- c. Air Systems Equipment
- d. Not Used
- e. Exhaust Fans

Test Procedures; G-AO

Proposed test procedures for tests of equipment systems, at least 2 weeks prior to the start of related testing.

Welding Procedures; G-AO

If welding is performed, a copy of qualified welding procedures, at least 2 weeks prior to the start of welding operations.

Diagrams; G-AO

Proposed diagrams, at least 2 weeks prior to start of related testing. System diagrams that show the layout of equipment, and ductwork, and typed condensed operation manuals explaining preventative maintenance procedures, methods of checking the

system for normal, safe operation, and procedures for safely starting and stopping the system shall be framed under glass or laminated plastic. After approval, these items shall be posted where directed.

Manufacturer's Experience; G-AO

Statement demonstrating successful completion of similar services on at least 5 projects of similar size and scope, at least 2 weeks prior to submittal of other items required by this section.

Welded Joints; G-AO

A list of names and identification symbols of qualified welders and welding operators, at least 2 weeks prior to the start of welding operations.

Performance Tests; G-AO

Proposed test schedules for performance tests, at least 2 weeks prior to the start of related testing.

Field Training; G-AO

Proposed schedule for field training, at least 2 weeks prior to the start of related training.

SD-06 Test Reports

Performance Tests; G-AO

Testing, Adjusting, and Balancing; G-AO

Test reports for the performance tests in booklet form, upon completion of testing. Reports shall document phases of tests performed including initial test summary, repairs/adjustments made, and final test results.

SD-10 Operation and Maintenance Data

Operating and Maintenance Instructions; G-AO

Six manuals listing step-by-step procedures required for system startup, operation, shutdown, and routine maintenance, at least 2 weeks prior to field training. The manuals shall include the manufacturer's name, model number, parts list, list of parts and tools that should be kept in stock by the owner for routine maintenance including the name of a local supplier, simplified wiring and controls diagrams, troubleshooting guide, and recommended service organization (including address and telephone number) for each item of equipment. Each service organization submitted shall be capable of providing 4 hour onsite response to a service call on an emergency basis.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Components and equipment shall be standard products of a manufacturer regularly engaged in the manufacturing of products that are of a similar material, design and workmanship. The standard products shall have been in satisfactory commercial or industrial use for 2 years before bid opening. The 2-year manufacturer's experience shall include applications of components and equipment under similar circumstances and of similar size. The 2 years must be satisfactorily completed by a product which has been sold or is offered for sale on the commercial market through advertisements, manufacturers' catalogs, or brochures. Products having less than a 2-year field service record will be acceptable if a certified record of satisfactory field operation, for not less than 6000 hours exclusive of the manufacturer's factory tests, can be shown. The equipment items shall be supported by a service organization.

2.2 ASBESTOS PROHIBITION

Asbestos and asbestos-containing products shall not be used.

2.3 NAMEPLATES

Equipment shall have a nameplate installed by the manufacturer that identifies the manufacturer's name, address, type or style, model or serial number, and catalog number.

2.4 EQUIPMENT GUARDS AND ACCESS

Belts, pulleys, chains, gears, couplings, projecting setscrews, keys, and other rotating parts exposed to personnel contact shall be fully enclosed or guarded according to OSHA requirements. High temperature equipment and piping exposed to contact by personnel or where it creates a potential fire hazard shall be properly guarded or covered with insulation of a type specified. Catwalks, operating platforms, ladders, and guardrails shall be provided where shown and shall be constructed according to Section 05500A MISCELLANEOUS METAL.

2.5 NOT USED

2.6 ELECTRICAL WORK

Electrical motor-driven equipment specified shall be provided complete with motor, motor starter, and controls. Unless otherwise specified, electric equipment, including wiring and motor efficiencies, shall be according to Section 16415A ELECTRICAL WORK, INTERIOR. Electrical characteristics and enclosure type shall be as shown. Unless otherwise indicated, motors of 1 hp and above shall be high efficiency type. Motor starters shall be provided complete with thermal overload protection and other appurtenances necessary. Each motor shall be according to NEMA MG 1 and shall be of sufficient size to drive the equipment at the specified capacity without exceeding the nameplate rating of the motor. Manual or automatic control and protective or signal devices required for the operation specified, and any control wiring required for controls and devices, but not shown, shall be provided. Where two-speed or variable-speed motors are indicated, solid-state variable-speed controller may be provided to accomplish the same function. Solid-state variable-speed controllers shall be utilized for motors rated 10 hp or less. Adjustable frequency drives shall be used for larger motors.

2.7 CONTROLS

Thermostats for exhaust fan control shall be 120 volt ac, two-position type with adjustable setpoint and locking covers.

2.8 DUCTWORK COMPONENTS

2.8.1 Metal Ductwork

All aspects of metal ductwork construction, including all fittings and components, shall comply with SMACNA HVAC Duct Const Stds unless otherwise specified. Elbows shall be radius type with a centerline radius of 1.5 times the width or diameter of the duct where space permits. Otherwise, elbows having a minimum radius equal to the width or diameter of the duct or square elbows with factory fabricated turning vanes may be used. Static pressure 1/2, 1, and 2 inch w.g. ductwork shall meet the requirements of Seal Class C. 3 through 10 inch w. g. shall meet the requirements of Seal Class A. All ductwork in VAV systems upstream of the VAV boxes shall meet the requirements of Seal Class A. Sealants shall conform to fire hazard classification specified in Section 15080A THERMAL INSULATION FOR MECHANICAL SYSTEMS and shall be suitable for the range of air distribution and ambient temperatures that it will be exposed to. Pressure sensitive tape shall not be used as a sealant. Spiral lock seam duct, and flat oval shall be made with duct sealant and locked with not less than 3 equally spaced drive screws or other approved methods indicated in SMACNA HVAC Duct Const Stds. The sealant shall be applied to the exposed male part of the fitting collar so that the sealer will be on the inside of the joint and fully protected by the metal of the duct fitting. One brush coat of the sealant shall be applied over the outside of the joint to at least 2 inch band width covering all screw heads and joint gap. Dents in the male portion of the slip fitting collar will not be acceptable. Outdoor air intake ducts and plenums shall be fabricated with watertight soldered or brazed joints and seams.

2.8.1.1 Transitions

Diverging air flow transitions shall be made with each side pitched out a maximum of 15 degrees, for an included angle of 30 degrees. Transitions for converging air flow shall be made with each side pitched in a maximum of 30 degrees, for an included angle of 60 degrees, or shall be as indicated. Factory-fabricated reducing fittings for systems using round duct sections when formed to the shape of the ASME short flow nozzle, need not comply with the maximum angles specified.

2.8.1.2 Not Used.

2.8.1.3 Not Used.

2.8.1.4 General Service Duct Connectors

A flexible duct connector approximately 6 inches in width shall be provided where sheet metal connections are made to fans or where ducts of dissimilar metals are connected. For round/oval ducts, the flexible material shall be secured by stainless steel or zinc-coated, iron clinch-type draw bands. For rectangular ducts, the flexible material locked to metal collars shall be installed using normal duct construction methods. The composite connector system shall comply with UL 214 and be classified as "flame-retarded fabrics" in UL Bld Mat Dir.

2.8.1.5 Not Used.

2.8.2 Not Used.

2.8.3 Ductwork Accessories

2.8.3.1 Not Used.

2.8.3.2 Not Used.

2.8.3.3 Not Used.

2.8.3.4 Air Deflectors and Branch Connections

Air deflectors shall be provided at duct mounted supply outlets, at takeoff or extension collars to supply outlets, at duct branch takeoff connections, and at mitered or short-radius 90 degree elbows, as well as at locations as indicated on the drawings or otherwise specified. Conical branch connections or 45 degree entry connections may be used in lieu of deflectors or extractors for branch connections. All air deflectors, except those installed in 90 degree elbows, shall be provided with an approved means of adjustment. Adjustment shall be made from easily accessible means inside the duct or from an adjustment with sturdy lock on the face of the duct. When installed on ducts to be thermally insulated, external adjustments shall be provided with stand-off mounting brackets, integral with the adjustment device, to provide clearance between the duct surface and the adjustment device not less than the thickness of the thermal insulation. Air deflectors shall be factory-fabricated units consisting of curved turning vanes or louver blades designed to provide uniform air distribution and change of direction with minimum turbulence or pressure loss. Air deflectors shall be factory or field assembled. Blade air deflectors, also called blade air extractors, shall be approved factory fabricated units consisting of equalizing grid and adjustable blade and lock. Adjustment shall be easily made from the face of the diffuser or by position adjustment and lock external to the duct. Stand-off brackets shall be provided on insulated ducts and are described herein. Fixed air deflectors, also called turning vanes, shall be provided in 90 degree elbows.

2.8.4 Duct Sleeves, Framed Prepared Openings, Closure Collars

2.8.4.1 Duct Sleeves

Duct sleeves shall be provided for round ducts 15 inches in diameter or less passing through floors, walls, ceilings, or roof, and installed during construction of the floor, wall, ceiling, or roof. Round ducts larger than 15 inches in diameter and square, rectangular, and oval ducts passing through floors, walls, ceilings, or roof shall be installed through framed prepared openings. The Contractor shall be responsible for the proper size and location of sleeves and prepared openings. Sleeves and framed openings are also required where grilles, registers, and diffusers are installed at the openings. Framed prepared openings shall be fabricated from 20 gauge galvanized steel, unless otherwise indicated. Where sleeves are installed

in bearing walls or partitions, black steel pipe, ASTM A 53/A 53M, Schedule 20 shall be used. Sleeve shall provide 1 inch clearance between the duct and the sleeve or 1 inch clearance between the insulation and the sleeve for insulated ducts.

2.8.4.2 Framed Prepared Openings

Openings shall have 1 inch clearance between the duct and the opening or 1 inch clearance between the insulation and the opening for insulated ducts.

2.8.4.3 Closure Collars

Collars shall be fabricated of galvanized sheet metal not less than 4 inches wide, unless otherwise indicated, and shall be installed on exposed ducts on each side of walls or floors where sleeves or prepared openings are provided. Collars shall be installed tight against surfaces. Collars shall fit snugly around the duct or insulation. Sharp edges of the collar around insulated duct shall be ground smooth to preclude tearing or puncturing the insulation covering or vapor barrier. Collars for round ducts 15 inches in diameter or less shall be fabricated from 20 gauge galvanized steel. Collars for round ducts larger than 15 inches and square, and rectangular ducts shall be fabricated from 18 gauge galvanized steel. Collars shall be installed with fasteners on maximum 6 inch centers, except that not less than 4 fasteners shall be used.

2.8.5 Not Used.

2.8.6 Not Used.

2.8.7 Diffusers, Registers, and Grilles

Units shall be factory-fabricated of steel, corrosion-resistant steel, or aluminum and shall distribute the specified quantity of air evenly over space intended without causing noticeable drafts, air movement faster than 50 fpm in occupied zone, or dead spots anywhere in the conditioned area. Outlets for diffusion, spread, throw, and noise level shall be as required for specified performance. Performance shall be certified according to ASHRAE 70. Inlets and outlets shall be sound rated and certified according to ASHRAE 70. Sound power level shall be as indicated. Diffusers and registers shall be provided with volume damper with accessible operator, unless otherwise indicated; or if standard with the manufacturer, an automatically controlled device will be acceptable. Volume dampers shall be opposed blade type for all diffusers and registers, except linear slot diffusers. Linear slot diffusers shall be provided with round or elliptical balancing dampers. Where the inlet and outlet openings are located less than 7 feet above the floor, they shall be protected by a grille or screen according to NFPA 90A.

2.8.7.1 Not Used

2.8.7.2 Registers and Grilles

Units shall be four-way directional-control type, except that return and exhaust registers may be fixed horizontal or vertical louver type similar in appearance to the supply register face. Registers shall be provided with sponge-rubber gasket between flanges and wall or ceiling. Wall supply registers shall be installed at least 6 inches below the ceiling unless

otherwise indicated. Return and exhaust registers shall be located 6 inches above the floor unless otherwise indicated. Four-way directional control may be achieved by a grille face which can be rotated in 4 positions or by adjustment of horizontal and vertical vanes. Grilles shall be as specified for registers, without volume control damper.

2.8.8 Not Used.

2.8.9 Louvers

Refer to architectural sections for louvered door.

2.8.10 Bird Screens and Frames

Bird screens shall conform to ASTM E 437, No. 2 mesh, aluminum or stainless steel. Aluminum screens shall be rated "medium-light". Stainless steel screens shall be rated "light". Frames shall be removable type, or stainless steel or extruded aluminum.

2.8.11 Not Used.

2.9 AIR SYSTEMS EQUIPMENT

2.9.1 Fans

Fans shall be tested and rated according to AMCA 210. Fans may be connected to the motors either directly or indirectly with V-belt drive. V-belt drives shall be designed for not less than 150 percent of the connected driving capacity. Motor sheaves shall be variable pitch for 15 hp and below and fixed pitch as defined by ARI Guideline D. Variable pitch sheaves shall be selected to drive the fan at a speed which will produce the specified capacity when set at the approximate midpoint of the sheave adjustment. When fixed pitch sheaves are furnished, a replaceable sheave shall be provided when needed to achieve system air balance. Motors for V-belt drives shall be provided with adjustable rails or bases. Removable metal guards shall be provided for all exposed V-belt drives, and speed-test openings shall be provided at the center of all rotating shafts.

Fans shall be provided with personnel screens or guards on both suction and supply ends, except that the screens need not be provided, unless otherwise indicated, where ducts are connected to the fan. Fan and motor assemblies shall be provided with vibration-isolation supports or mountings as indicated. Vibration-isolation units shall be standard products with published loading ratings. Each fan shall be selected to produce the capacity required at the fan static pressure indicated. Sound power level shall be as indicated. The sound power level values shall be obtained according to AMCA 300. Standard AMCA arrangement, rotation, and discharge shall be as indicated.

2.9.1.1 Centrifugal Fans

Centrifugal fans shall be fully enclosed, single-width single-inlet, or double-width double-inlet, AMCA Pressure Class I, II, or III as required or indicated for the design system pressure. Impeller wheels shall be rigidly constructed, accurately balanced both statically and dynamically. Fan

blades may be forward curved or backward-inclined airfoil design in wheel sizes up to 30 inches. Fan blades for wheels over 30 inches in diameter shall be backward-inclined airfoil design. Fan wheels over 36 inches in diameter shall have overhung pulleys and a bearing on each side of the wheel. Fan wheels 36 inches or less in diameter may have one or more extra long bearings between the fan wheel and the drive. Bearings shall be sleeve type, self-aligning and self-oiling with oil reservoirs, or precision self-aligning roller or ball-type with accessible grease fittings or permanently lubricated type. Grease fittings shall be connected to tubing and serviceable from a single accessible point. Bearing life shall be L50 rated at not less than 200,000 hours as defined by ABMA 9 and ABMA 11.

Fan shafts shall be steel, accurately finished, and shall be provided with key seats and keys for impeller hubs and fan pulleys. Each fan outlet shall be of ample proportions and shall be designed for the attachment of angles and bolts for attaching flexible connections. Motors, unless otherwise indicated, shall not exceed 1800 rpm and shall have dripproof enclosures. Motor starters shall be magnetic type with general-purpose enclosure.

2.9.1.2 Not Used

2.9.1.3 Not Used

2.9.1.4 Not Used.

2.9.1.5 Centrifugal Type Power Wall Ventilators

Fans shall be V-belt driven centrifugal type with backward inclined, non-overloading wheel. Motor housing shall be removable and weatherproof. Unit housing shall be designed for sealing to building surface and for discharge and condensate drippage away from building surface. Housing shall be constructed of heavy gauge aluminum. Unit shall be fitted with an aluminum or plated steel wire discharge bird screen, manufacturer's standard gravity damper, an airtight and liquid-tight metallic wall sleeve. Motor enclosure shall be dripproof type. Lubricated bearings shall be provided.

2.9.1.6 Not Used.

2.9.1.7 Not Used.

2.9.1.8 Not Used

2.9.1.9 Not Used

2.9.2 Not Used

2.9.2.1 [Enter Appropriate Subpart Title Here]

2.9.3 Not Used.

2.10 NOT USED.

2.11 NOT USED.

2.12 NOT USED.

2.13 FACTORY PAINTING

Units which are not of galvanized construction according to ASTM A 123/A 123M or ASTM A 924/A 924M shall be factory painted with a corrosion resisting paint finish. Internal and external ferrous metal surfaces shall be cleaned, phosphatized and coated with a paint finish which has been tested according to ASTM B 117, ASTM D 1654, and ASTM D 3359. Evidence of satisfactory paint performance for a minimum of 125 hours for units to be installed indoors and 500 hours for units to be installed outdoors shall be submitted. Rating of failure at the scribe mark shall be not less than 6, average creepage not greater than 1/8 inch. Rating of the inscribed area shall not be less than 10, no failure. On units constructed of galvanized steel which have been welded, exterior surfaces of welds or welds that have burned through from the interior shall receive a final shop docket of zinc-rich protective paint according to ASTM D 520 Type I.

PART 3 EXECUTION

3.1 INSTALLATION

Work shall be installed as shown and according to the manufacturer's diagrams and recommendations.

3.1.1 Not Used.

3.1.2 Not Used.

3.1.3 Not Used.

3.1.4 Not Used.

3.1.5 Not Used.

3.1.6 Not Used.

3.1.7 Not Used.

3.1.8 Not Used.

3.1.9 Equipment and Installation

Frames and supports shall be provided for tanks, compressors, pumps, valves, air handling units, fans, coils, dampers, and other similar items requiring supports. .

3.1.10 Not Used

3.1.11 Not Used.

3.1.12 Sleeved and Framed Openings

Space between the sleeved or framed opening and the duct or the duct insulation shall be packed as specified in Section 07840A FIRESTOPPING for fire rated penetrations. For non-fire rated penetrations, the space shall be packed as specified in Section 07900A JOINT SEALING.

3.1.13 Metal Ductwork

Installation shall be according to SMACNA HVAC Duct Const Stds unless otherwise indicated. Duct supports for sheet metal ductwork shall be according to SMACNA HVAC Duct Const Stds, unless otherwise specified. Friction beam clamps indicated in SMACNA HVAC Duct Const Stds shall not be used. Risers on high velocity ducts shall be anchored in the center of the vertical run to allow ends of riser to move due to thermal expansion. Supports on the risers shall allow free vertical movement of the duct. Supports shall be attached only to structural framing members and concrete slabs. Supports shall not be anchored to metal decking unless a means is provided and approved for preventing the anchor from puncturing the metal decking. Where supports are required between structural framing members, suitable intermediate metal framing shall be provided. Where C-clamps are used, retainer clips shall be provided.

3.1.13.1 [Enter Appropriate Subpart Title Here]

3.1.14 Not Used.

3.1.15 Not Used.

3.1.16 Not Used

3.1.17 Not Used.

3.1.18 Dust Control

To prevent the accumulation of dust, debris and foreign material during construction, temporary dust control protection shall be provided. The distribution system (supply and return) shall be protected with temporary seal-offs at all inlets and outlets at the end of each day's work. Temporary protection shall remain in place until system is ready for startup.

3.1.19 Not Used.

3.1.20 Not Used.

3.1.21 Not Used.

3.1.22 Power Transmission Components Adjustment

V-belts and sheaves shall be tested for proper alignment and tension prior to operation and after 72 hours of operation at final speed. Belts on drive side shall be uniformly loaded, not bouncing. Alignment of direct driven couplings shall be to within 50 percent of manufacturer's maximum allowable range of misalignment.

3.2 FIELD PAINTING AND IDENTIFICATION SYSTEMS

3.2.1 Identification Tags

Identification tags made of brass, engraved laminated plastic, or engraved anodized aluminum, indicating service and item number shall be installed on all valves and dampers. Tags shall be 1-3/8 inch minimum diameter and marking shall be stamped or engraved. Indentations shall be black for reading clarity. Tags shall be attached to valves with No. 12 AWG, copper wire, chrome-plated beaded chain or plastic straps designed for that purpose.

3.2.2 Finish Painting and Pipe Color Code Marking

Finish painting of items only primed at the factory, surfaces not specifically noted otherwise, and color code marking for piping shall be as specified in Section 09900 PAINTS AND COATINGS.

3.2.3 Not Used

3.3 NOT USED

3.4 NOT USED

3.5 NOT USED

3.6 NOT USED

3.7 PERFORMANCE TESTS

Each system shall be tested as a whole to see that all items perform as integral parts of the system. Corrections and adjustments shall be made as necessary to produce the conditions indicated or specified. Capacity tests and general operating tests shall be conducted by an experienced engineer. Tests shall cover a period of not less than 4 hours for each system and shall demonstrate that the entire system is functioning according to the specifications.

3.8 CLEANING AND ADJUSTING

Inside of ducts, plenums, and casing shall be thoroughly cleaned of debris and blown free of small particles of rubbish and dust and then shall be vacuum cleaned before installing outlet faces. Equipment shall be wiped clean, with traces of oil, dust, dirt, or paint spots removed. System shall be maintained in this clean condition until final acceptance. Bearings shall be properly lubricated with oil or grease as recommended by the manufacturer. Belts shall be tightened to proper tension. Control valves and other miscellaneous equipment requiring adjustment shall be adjusted to setting indicated or directed. Fans shall be adjusted to the speed indicated by the manufacturer to meet specified conditions.

3.9 FIELD TRAINING

The Contractor shall conduct a training course for operating and maintenance personnel as designated by the Contracting Officer. Training shall be provided for a period of 1 hour of normal working time and shall start after the system is functionally complete but prior to the performance tests. The field instruction shall cover all of the items

contained in the approved Operating and Maintenance Instructions.

-- End of Section --

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16268N

400-HERTZ (HZ) SOLID STATE FREQUENCY CONVERTER

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400-HERTZ (HZ) SOLID STATE FREQUENCY CONVERTER
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PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by the basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C2 (1997) National Electrical Safety Code

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE Std 1159 (1995) Monitoring Electric Power Quality

IEEE C62.41 (1991) Surge Voltages in Low-Voltage AC
Power Circuits (ANSI)

U.S. DEPARTMENT OF DEFENSE (DOD)

MIL-STD-704 (1991; Rev. E) Aircraft Electric Power
Characteristics

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250 (1997) Enclosures for Electrical Equipment
(1000 Volts Maximum)NEMA AB 1 (1999) Molded Case Circuit Breakers and
Molded Case Switches

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 489 (1996; R 2000) Molded-Case Circuit
Breakers, Molded-Case Switches, and
Circuit-Breaker Enclosures Ninth EditionUL 508 (1999; Rev thru Dec 2001) Industrial
Control Equipment

1.2 DEFINITIONS

Year 2000 compliance means computer controlled facility components that accurately process date and time data (including, but not limited to, calculating, comparing, and sequencing) from, into, and between the twentieth and twenty-first centuries, and the years 1999 and 2000 and leap

year calculations.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02, Manufacturer's Catalog Data

Frequency converters; G-D0

SD-04, Drawings

Frequency converters; G-D0

Frequency converters

Furnish drawings that indicate, but are not limited to, the following for each frequency converter:

- a. Overall dimensions, front view, and sectional views.
- b. Circuit breaker type, interrupting rating, and trip setting at 400 hertz of all breakers, including input and output circuit breakers.
- c. Rating and sizes of all lugs for field installed wiring.
- d. Elementary diagrams and wiring diagrams with terminals identified, and indicating prewired interconnections between items of equipment and the interconnection between the items.
- e. Complete identification of the unit manufacturer, unit manufacturer's catalog numbers for the unit and all major components, place of manufacturer, and manufacturer's drawings numbers.
- f. One-line diagram.

SD-08, Statements

Work plan; G-D0

Submit a written schedule of dates of factory tests, installation, and field tests of converter.

Routine factory test procedures; G-D0

Submit 7 copies of test plans and procedures at least 21 calendar days prior to the tests being conducted. Provide detailed description of tests procedures, including test equipment and setups, to be used to ensure the converters meet the performance specification and explain the test methods to be used. As a minimum, the tests procedures shall include the tests required under the paragraph entitled "Routine Factory Tests."

Special factory test procedures; G-D0

Submit 7 copies of test plans and procedures. Provide detailed description of tests procedures, including test equipment and setups, used to ensure the converter meets the performance specification and explain the test methods used. As a minimum, the tests procedures shall include the tests required under the paragraph entitled "Special Factory Tests."

Qualifications of manufacturer; G-D0

Submit a certification that the manufacturer has a minimum of five (5) years experience in the design, manufacturing and testing of 400 Hz solid state frequency converters.

Field test procedures; G-A0

Submit 7 copies of test plans and procedures at least 15 calendar days prior to the field tests. Provide detailed description of test procedures, including test equipment and setups, used to ensure the converter meets the performance specification and explain the test methods to be used. As a minimum, the test procedures shall include the tests required under the paragraph entitled "Field Tests and Inspections."

SD-11, Factory Test Reports

Routine Factory Tests Report; G-D0

Submit within 15 calendar days after completion of tests. Certify tests were conducted on each converter in accordance with the requirements set forth in paragraph entitled "Routine Factory Tests" and certify converters satisfactorily operated within specified limits. Report shall include copies of the test procedures and test data and results.

Special Factory Tests Report; G-D0

Certify tests were conducted on a converter of the same design, construction and kVA rating to be provided and in accordance with the requirements set forth in paragraph entitled "Special Factory Test" and certify converter operated within specified limits. Report shall include copies of the test procedures and test data and results.

Burn-in test; G-D0

SD-12, Field Test Reports

Frequency converter; G-D0

Submit within 15 calendar days after completion of tests. Provide in accordance with the requirements set forth in paragraph entitled "Field Tests and Inspections." Certify tests were conducted on each converter in accordance with the paragraph entitled "Field Tests and Inspections" and certify converters satisfactorily operated within specified limits. Report shall include copies of the test procedures and test data and results.

SD-13, Certificates

Routine Factory Test Certification; G-D0

Submit certification that routine factory tests were conducted on each converter and converter operated within specified limits.

Special Factory Test Certification; G-D0

Submit certification that special factory tests have been conducted on a converter of the same design, construction, and kVA rating to be provided, and that the converter operated within specified limits.

Burn-In Test; G-D0

Submit certification that burn-in test has been conducted in conformance with the paragraph entitled "Routine Factory Tests."

SD-19, Operation and Maintenance Manuals

Frequency converter, Data Package 5

Remote Monitoring and Control Panel, Data Package 5

Submit operation and maintenance data. In addition include a "one-line diagram" from service entrance to 400 Hz utilization panel or point.

1.4 QUALITY ASSURANCE

1.4.1 Frequency Converter Drawings

Furnish scaled drawings of enclosure outline including front, top, side views, and overall dimensions. Provide external power and control wiring and cable connections. Provide single line, schematic, and wiring diagrams. Drawings shall include details of input and output circuit breakers, contactors, rectifiers, surge protectors, and control devices. Drawings shall include conduit entry and exit locations. If parallel operation is included, provide an interconnection diagram.

1.4.2 Qualifications of Manufacturer

Submit a certification that the manufacturer has a minimum of five (5) years' experience in the design, manufacturing, and testing of 400 Hz solid state frequency converters at the same or equivalent kVA and voltage ratings for direct connection to aircraft electrical loads. When specifications require multiple converters operating in parallel, the manufacturer shall provide specific experience with equal or greater kVA rated converters.

The certification shall state that the manufacturer is experienced in manufacturing and testing solid state converters of an equivalent or greater kVA rating. Experience in manufacturing motor generator sets does not qualify as equivalent. Experience in manufacturing portable power units on wheels for temporary power does not qualify. The manufacturer shall be experienced in producing units for installation in permanent buildings in environmentally closed spaces or in weatherproof enclosures as applicable. The manufacturer shall also document that converters are

designed for connection to non-linear loads typically encountered in the aircraft and shipbuilding industries. The manufacturer shall furnish maintenance engineering records from Navy shore installations to ensure 24,000 hour mean time between failures (MTBF) requirements. The manufacturer shall furnish documented experience with converters in various environmental conditions including exterior flight line, hangar, and environmentally enclosed spaces within buildings.

1.4.3 Work Plan

Submit a written schedule of dates of routine and special factory tests, installation, field tests, and operator training for the converter system. Furnish a list of instrumentation equipment for factory and field test reports.

1.4.4 Routine Factory Test Plan, Report, and Procedures

Submit 7 copies of test plans and procedures at least 21 calendar days prior to the test being conducted. Provide detailed description of tests procedures, including test equipment and setups, to be used to ensure the converter meets the performance specification and explain the test methods to be used. As a minimum, the tests procedures shall include the tests required under the paragraph entitled "Routine Factory Tests."

1.4.5 Special Factory Test Plan, Report and Procedures

Submit 7 copies of test plans and procedures. Provide detailed description of tests procedures, including test equipment and setups, used to ensure the converter meets the performance specification and explain the test methods used. As a minimum, the tests procedures shall include the tests required under the paragraph entitled "Special Factory Tests."

1.4.6 Field Test Plan, Report, and Procedures

Submit 7 copies of test plans and procedures at least 15 calendar days prior to the field tests. Provide detailed description and dates and times scheduled for performance of tests, and detailed description of test procedures, including test equipment and setups, used to ensure the converter meets the performance specification and explain the test methods to be used. As a minimum, the test procedures shall include the tests required under the paragraph entitled "Field Quality Control." Test reports shall include power quality measurement data collected in accordance with IEEE Std 1159.

1.4.7 UL Listing

Submit copies of current UL listings for the system, of the actual kVA rating or greater, with copies of the actual UL test results.

1.4.8 Routine Factory Tests Report

Submit within 15 calendar days after completion of tests. Certify tests were conducted on each converter in accordance with the requirements set forth in paragraph entitled "Routine Factory Tests" and certify converter satisfactorily operated within specified limits. Report shall include copies of the test procedures and test data and results.

1.4.9 Special Factory Tests Report

Certify tests were conducted on a converter of the same design, construction and kVA and voltage rating to be provided and in accordance with the requirements set forth in paragraph entitled "Special Factory Test" and certify converter operated without malfunctioning within specified limits. Report shall include copies of the test procedures, instrumentation, and test data and results.

1.5 MAINTENANCE

1.5.1 Additions to Operation and Maintenance Manuals

In addition to requirements of Data Package 5, include the following on the actual frequency converter provided. Submit operation and maintenance manuals 2 weeks before operator training for Government review and approval.

- a. A "one-line diagram" from service entrance to 400 Hz utilization panel or point.
- b. A weatherproof, tear resistant plastic data sheet with operating instructions for each unit including startup, shutdown procedures.

1.5.2 Extra Materials

Furnish recommended manufacturer's spare parts list and schedule of prices for each type of converter and other equipment specified in this section. They shall include the following:

- a. Power semi-conductors
- b. Power filter capacitors
- c. Plug-in logic cards
- d. Output switching modules
- e. Fuses
- f. Indicator lamp/LED

PART 2 PRODUCTS

2.1 FREQUENCY CONVERTER

Provide frequency converter consisting of modular construction solid-state components for 60 to 400 Hz conversion, input/output devices, and ancillary control devices. Frequency converter shall be a standard product of the manufacturer and shall be the manufacturer's latest design that complies with the specification requirements. The 400 Hz frequency converters provided shall be products of the same manufacturer. The unit shall have a calculated mean time between failures (MTBF) exceeding 24,000 hours as calculated when the converter is provided with yearly servicing and maintenance. All components shall be UL recognized or listed and the converter shall have UL 508 label. As a minimum, the units shall incorporate 12 pulse input rectification.

2.1.1 Electrical Characteristics

2.1.1.1 Input Voltage

480Y/277 V, 3 phase, 4 wire, grounded, 60 Hz. Converter shall provide rated output voltage when input voltage is varied plus or minus 10 percent. Neutral currents shall not exceed 21 percent of any individual phase current at no load and at full load.

2.1.1.2 Input Power Factor

Between 0.8 and unity, under all conditions of steady state line and load variations specified herein.

2.1.1.3 Surge Protection

Provide unit capable of sustaining an input surge described in and tested in accordance with IEEE C62.41, location Category B, and continue to operate within the specified tolerance.

2.1.1.4 Inrush Current

When the converter is turned on and after the first half cycle, the inrush current shall not exceed 100 percent of the rated full load current.

2.1.1.5 Input Current Distortion

10 percent (maximum) THD with nominal input voltage while providing rated output power.

2.1.1.6 Output Voltage

115/200 V, 3 phase, 400 Hz, grounded system. Adjustable to plus or minus 10 percent of the rated voltage. The limits overvoltage and undervoltage shall be as defined in MIL-STD-704. The phase rotation of the output voltage shall be clockwise sequence of A-B-C.

2.1.1.7 Power Output

kVA ratings as indicated at 0.8 power factor lagging.

2.1.1.8 Load Range

Operate into a load with a power factor between 0.5 leading and 0.8 lagging.

2.1.1.9 Efficiency

The kVA units shall have a minimum efficiency as follows:

<u>Rating (kVA)</u>	<u>Minimum Percent Efficiency</u>	
	<u>50 Percent Load</u>	<u>100 Percent Load</u>
5 - 14	80	90
15 - 39	86	90
40 - 99	87	91
100 - up	89	92

2.1.1.10 No Load Input Losses

The frequency converter shall have no-load input losses no greater than 5 percent of the output kVA rating.

2.1.1.11 Overload/Overcurrent

Satisfactory overload/overcurrent operating time is based on no more than one overload in any 4 consecutive hours of operation:

<u>Percent of Full Load</u>	<u>Satisfactory Operating Time</u>
110 percent	60 minutes
125 percent	5 minutes
150 percent	2 minutes
200 percent	20 seconds
300 percent	6 seconds

2.1.1.12 Short Circuit

When a bolted line-to-ground fault, a bolted line-to-line fault, or a bolted three phase fault is applied to the unit, unit shall be capable of sustaining the short circuit current without damage until the protective device interrupts the fault.

2.1.1.13 Output THD

a. Balanced load:

(1) THD: Not to exceed 3 percent line-to-line and line-to-neutral.

(2) Maximum single harmonic distortion: Not to exceed 2 percent of the fundamental at the nominal voltage.

b. Unbalanced load: THD not to exceed 4 percent, line-to-neutral with 15 percent unbalanced load.

2.1.1.14 Amplitude Modulation

Shall not exceed 1 percent no load to full load.

2.1.1.15 Frequency Stability

400 HZ within plus or minus 0.5 percent for all operating conditions, including maximum and minimum specified input voltages, ambient temperature and relative humidity. The frequency regulation shall be independent of load changes.

2.1.1.16 Phase Angle Regulation

Displacement angle between adjacent voltage phases shall be 120 degrees plus or minus 2 degrees with balanced load and plus or minus 4 degrees with three phase 15 percent unbalanced load. A 15 percent unbalanced load is defined as:

a. Phase A at full rated single load.

b. Phase B at 85 percent of Phase A.

c. Phase C at 85 percent of Phase A.

2.1.1.17 Transient Output Voltage Recovery

In accordance with MIL-STD-704.

2.1.2 Environmental Rating

The converter shall operate satisfactorily from no load to rated full load under the following conditions:

- a. Ambient temperatures ranging from 0 degrees C to 50 degrees C.
- b. Relative humidity from 0 to 95 percent noncondensing.
- c. Ambient pressures from sea level to 3,000 feet.

2.1.3 Monitoring and Control Panel

Provide converter with a control panel that is equipped with the following controls, indicators, instrumentation and alarms.

2.1.3.1 Controls

- a. Start/Stop pushbutton.
- b. Lamp/Light Emitting Diode (LED) test - A push-to-test button or switch to test indicator lamps/LEDs.
- c. Alarm silence - A switch that shall disable the audible alarm.
- d. Alarm reset - A pushbutton to silence audible alarms.
- e. Emergency power off.
- f. Output voltage adjust - Locate output voltage control inside converter enclosure, available for adjustment by maintenance personnel only when the door is open.

2.1.3.2 Indicators

- a. Input power available - Lamp/LED to indicate that the supply voltage is available.
- b. Output power On/Off - Lamp/LED to indicate that the converter output voltage is available.
- c. System alarm - Lamp/LED to indicate that a fault has been detected. This indicator shall be latched in the "ON" position whenever an alarm condition described in paragraph entitled, "Alarm Annunciator," is detected and shall remain "ON" until the alarm reset pushbutton is pressed.
- d. Indicating lamp/LED to indicate that the alarm silence switch is in the disable position.
- e. Audible alarm.

2.1.3.3 Instrumentation

- a. Elapsed time meters (in hours).

- b. Output voltmeter selector switch having three phase to neutral positions for monitoring wye voltage outputs in accordance with MIL-STD-704 and one "OFF" position.
- c. Output ammeter selector switch having three phase positions and one "OFF" position.
- d. Output frequency meter, 390 to 410 HZ scale, having a 400 HZ center with an ON-OFF switch.

2.1.3.4 Alarm Annunciator

The unit shall be capable of detecting the following abnormal conditions, sounding an audible alarm and illuminating individual indicator lamp/LEDs that are clearly identified:

- a. Input overvoltage.
- b. Input undervoltage.
- c. Output undervoltage.
- d. Output overvoltage.
- e. Output overload.
- f. System alarm.
- g. Control logic failure.
- h. Frequency deviation.
- i. Overtemperature.
- j. Logic power supply failure.

2.1.4 Input/Output Devices

Fully-rated, three-pole devices for control of 60 Hz input and 400 Hz output from the converter.

2.1.4.1 Circuit Breaker

Conform to requirements of NEMA AB 1 and UL 489.

2.1.4.2 Input Circuit Breaker

Provide converter with an UL listed input circuit breaker of appropriate rating as an integral part of the converter. Breaker shall be operable from the front of the converter.

2.1.4.3 Output Circuit Breaker

Provide converter output with a non-automatic manual circuit breaker with appropriate frame size and shunt trip coil. Circuit breaker shall be tripped by the unit's OFF circuit local or remotely activated and when any circuit identified in the paragraph entitled "Protective Control" causes the system to shut down. Output breaker shall be operable from the front of the unit.

2.1.5 Protective Controls

Provide circuitry for the following protective controls.

- a. Input undervoltage.
- b. Input overvoltage.
- c. Loss of phase.
- d. Loss of input power.
- e. Door interlock - When any access door is opened, the interlock circuitry shall open the 60 Hz input device and 400 Hz output device and not allow the input or output device to close. For maintenance purposes, provide a bypass switch to defeat the interlock circuitry.
- f. Output overvoltage - Protect by tripping output devices for instantaneous overvoltage of 30 percent or more and for 10 to 30 percent overvoltage lasting more than 0.25 second.
- g. Output undervoltage - Protect by preventing the closing of the output disconnect until the output voltage is 95 percent of the rated output. If, after closing, the voltage decreases to below 90 percent for longer than 5 seconds, provide relaying to trip output devices utilizing a field-adjustable time-delayed circuit with a range of 4 to 10 seconds.
- h. Output frequency - Protect by tripping output devices for frequency change in excess of plus or minus 5 percent of the rated output frequency (400 HZ).
- i. Output overload.

2.1.6 Automatic Line Drop Compensation

Provide automatic line drop compensation - 0 to 7 percent selectable internally.

2.1.7 Built-In Test Equipment

Frequency converter shall include built-in test equipment which monitors both primary circuits and protection circuits of the unit. Provide visual indication to assist diagnosis of unit failures to a modular level.

2.1.8 Acoustical Noise

A maximum continuous airborne sound level on an A weighted scale as follows:.

<u>Rating (kVA)</u>	<u>Maximum Allowable Acoustical Noise (dBa)</u>
5 - 14	58
15 - 39	62
40 - 99	68
100 - 249	70

<u>Rating (kVA)</u>	<u>Maximum Allowable Acoustical Noise (dBa)</u>
250 - up	72

2.1.9 Assembly Construction

Provide enclosures suitable for indoor environments in accordance with NEMA 250, Type 1. Arrange to provide required louvers, cooling air, entry and exit provisions for equipment within enclosures. Construct unit(s) so that components, with the exception of control and monitoring components, are totally enclosed within the enclosure. Electronic circuits including power circuits shall be modular construction readily accessible for maintenance, repair and module replacement from the exterior of the enclosure. Provide permanent identification tags for wiring. Uniquely identify each wire. Use the same identification system in the wiring diagrams in the Operation and Maintenance Manual. Provide each enclosure with a finish coat over a substrate which has been provided with a rust inhibiting treatment. Color shall be the manufacturer's standard color.

2.1.10 Nameplates

As specified in Section 16050, "Basic Electrical Materials and Methods."

2.2 REMOTE MONITORING AND CONTROL PANEL

Only where specifically indicated, provide remote monitoring and control panel and circuitry. Connect to clearly and permanently labeled terminal blocks located inside the converter's enclosure.

- a. Pushbutton or switch for de-energizing the output terminals.
- b. Indicator lamp/LED showing the unit status (energized or not energized).
- c. Indicator lamp/LED showing the output circuit breaker position (open or closed).
- d. System alarm.

2.3 CONDUIT AND FITTINGS

Specified in Section 16415A, "Interior Distribution System," except as modified herein.

2.4 WIRES AND CABLES

Specified in Section 16415A, "Interior Distribution System," except as modified herein.

2.5 SOURCE QUALITY CONTROL

2.5.1 Routine Factory Tests

The manufacturer shall test every converter to assure compliance with the specification. For tests which require full load, use 0.8 lagging power factor and 1.0 power factor unless otherwise noted. Tests shall include the following:

- a. Input current: Operate converter at low and high input voltage at no load and full load. Measure and record current in each phase.
- b. Input power factor: Operate at low, nominal, and high input voltage at no load, half load, and full load. Measure and record input voltage, input phase currents and input power factor.
- c. Output voltage, power, power factor, and voltage regulation: Operate at low, nominal and high input voltage at 25 percent, 50 percent, and 100 percent loads. Loads shall have 0.5 lagging power factor, unity power factor and 0.8 leading power factor and non-linear load shall be a three phase full wave bridge rectifier with a load required to produce the specified current crest factor. Measure and record output voltage, output current (peak and RMS), output current wave form, power factor and frequency. Verify output voltage and frequency remain within specified regulation limits.
- d. Efficiency: Operate at nominal input voltage at half load and full load. Measure and record input voltage, input current, input power factor, output voltage, output current, and output power factor. Calculate the unit efficiency.
- e. No load losses: Operate at no load and nominal input voltage. Measure and record input voltage, input current, input power, input power factor, and output voltage.
- f. Burn-in: Before delivery, burn-in all units hours by cycling units 6 hours "ON" under full load conditions and 3 hours "OFF" at no load conditions for at least 4 complete "ON" cycles.

2.5.2 Special Factory Tests

The manufacturer shall test each converter to assure compliance with the specification. For all tests which require full load, use 0.8 power factor and 1.0 power factor unless otherwise noted. The tests shall include the following:

- a. Surge protection: Apply input surges in accordance with IEEE C62.41, Category B and monitor output. Conduct a minimum of three consecutive successful tests on each unit listed. Confirm there is no interruption to 400 Hz output power and voltage stays within specified regulation tolerances.
- b. Inrush current: After applying power to the converter, conduct a minimum of three inrush current tests. Measure and record maximum inrush current after the first half cycle (8 to 10 millisec).
- c. Input current distortion: Operate at nominal input voltage at no load, half load, and full load. Measure and record the total harmonic distortion (THD) for the current in each phase.
- d. Overload/overcurrent: Operate at nominal input voltage with loads listed below:

Percent of Full Load	Minimum Operating Time
110 percent	60 min
125 percent	10 min
150 percent	2 min
200 percent	20 sec
300 percent	6 sec

Monitor output to confirm there is no 400 Hz power interruption.

- e. Short-circuit: Apply a bolted line-to-ground, bolted line-to-line, and bolted three phase fault directly to the output terminals of the unit. Conduct a minimum of three consecutive successful tests on each unit. Provide unit capable of carrying the fault current until the integral system protective devices interrupts the fault with no damage to the unit. Provide recordings or display of short circuit current during short circuit tests.
- f. Output total harmonic distortion (THD): Operate at nominal input voltage at no load and full load with balanced and 15 percent unbalanced load. A 15 percent unbalanced load is defined as follows:
 - (1) Phase A at full rated single phase load.
 - (2) Phase B at 90 percent of Phase A
 - (3) Phase C at 85 percent of Phase AMeasure and record the THD for the line-to-line voltage, line-to-neutral voltage of each phase current.
- g. Amplitude modulation: Operate at no load and full load. Measure and record percentage of amplitude modulation.
- h. Phase angle regulation: Operate at no load and full load with balanced and 15 percent unbalanced loads. Measure and record displacement angle between adjacent voltage phases. A 15 percent unbalanced load is defined as follows:
 - (1) Phase A at full rated single phase load.
 - (2) Phase B at 85 percent of Phase A.
 - (3) Phase C at 85 percent of Phase A.
- i. Transient recovery: Operate at steady state conditions at 75 percent rated load. Apply a 15 percent load change, as an added load then as a dropped load. Measure and record recovery time and output voltage deviation limits. Provide recordings or display of output voltage during transient recovery test.
- j. Acoustical noise: Operate at no load, 50 percent and 100 percent of full load. Measure continuous steady sound pressure level 5 feet horizontally from the center of each side of the convertor at a point 5 feet above the floor. Decibels (dB) are referenced to 20 micropascal.

PART 3 EXECUTION

3.1 INSTALLATION

Install products to operate at 400 Hz in the same manner as specified in other sections of this specification for products operating at 60 Hz, unless indicated or specified otherwise. Conform to the requirements of NFPA 70 and ANSI C2 and to manufacturer's instructions and recommendations.

3.1.1 Equipment

3.1.1.1 Floor Mounted

Provide proper floor mounting channels and install in the concrete floor slab in accordance with the manufacturer's drawings and instructions and as indicated. Align, level, and bolt or weld units to channels to allow easy withdrawal or insertion of removable components and to permit proper operation and maintenance of equipment.

3.1.2 Grounding

In accordance with NFPA 70 and as specified in Section 16415A, "Interior Distribution System."

3.1.3 Wiring and Conduit

Provide Type XHHW or THHN with stranded copper conductors wiring for 400 Hz circuits. Provide wiring for 400 Hz circuits in non-magnetic conduit, aluminum or PVC. Provide wiring and conduit for 60 Hz circuits as specified in Section 16415A, "Interior Distribution System."

3.1.3.1 Conduit

Use aluminum conduit for exposed feeders unless otherwise indicated to be PVC. Do not install aluminum conduit underground or encased in concrete. Use aluminum fittings and boxes with aluminum conduit. For underground or concrete encasement use PVC.

3.1.4 Manufacturer's Representative

The manufacturer's representative shall place the system in operation and make necessary adjustments to ensure optimum operation of the equipment. The manufacturer's representative shall have practical experience in the installation and testing of 400 Hz solid state frequency converters.

3.2 FIELD TESTS AND INSPECTIONS

Perform field tests and conduct inspections. Provide labor, equipment tests instruments, and incidentals required for the tests including load banks, except the Government will furnish electricity. Give the Contracting Officer 7 days notice in writing of the dates and times scheduled for performance tests and submit written copies of test results.

3.2.1 Instruments

The test plan shall list make and model and provide functional description of the test instruments and accessories and shall describe the setup of the tests to be conducted. Provide test instruments capable of measuring and

recording or displaying test data at a higher resolution and greater accuracy than specified for the converter's performance. The test instruments used in the field tests shall have current and valid calibration stickers issued by an approved calibration laboratory. Verify calibration and adjustments of converter instruments provided prior to field tests.

3.2.2 Performance Tests

Conduct converter performance tests under the supervision of the manufacturer's representative. Successfully complete the preliminary operation, control and protective devices check prior to performing load and transient tests. If the converter fails to operate within the specified limits during any of the performance tests the Contractor shall discontinue the test and shall make necessary repairs to correct the failure and retest the converter.

3.2.2.1 Preliminary Operation

Inspect the converter and make adjustments necessary to assure proper operation in accordance with the manufacturer's instructions. Operate frequency converter at 0, 25, 50, 75, and 100 percent of rated full load. Measure and record the output voltage, current and frequency at each load. Verify converter is operating within specified limits at each load level.

3.2.2.2 Control and Protective Device Checks

Operate each control, switch, input/output device that is capable of being operated manually a minimum of three times, demonstrating satisfactory operation each time. Perform operation test on each protective device to ensure that devices functions properly. After each operation measure and record the converter output frequency, voltage and current. Verify converter is operating within specified limits.

3.2.2.3 Load Test

Run each unit continuously a minimum of 2 hours at 100 percent rated load and 6 seconds at 300 percent of rated load. Test shall be at unity power factor. After each operation measure and record the converter output frequency, voltage and current. Verify converter is operating within specified limits.

3.2.2.4 Transient Tests

Conduct transient tests on each converter by instantaneously removing 50 percent of rated and then 100 percent of rated load. Provide recordings or displays of voltage and frequency during each transient test, and indicate on the recordings and display the time intervals and acceptable limits for voltage and frequency. Repeat each transient test three times, record the results of each test. Verify converter is operating within specified limits.

3.3 DEMONSTRATION

3.3.1 Instructing Government Personnel

Provide field training to Government personnel on the operation and maintenance of the converter provided. As a minimum the training shall include 2 hours of instruction on the theory of operation and 4 hours on

the repair and maintenance of the converters. The instructor shall be approved by the manufacturer of the unit provided. Training shall be approved by the Contracting Officer at least 2 weeks in advance. The Government may record, video and audio, the training sessions and use these recordings to train personnel, on the operation and maintenance of the converter system. Provide two copies of video or audio tapes, if used, in the training sessions to the Contracting Officer.

-- End of Section --

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SECTION 16375A

ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND
02/02

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C119.1	(1986; R 1997) Sealed Insulated Underground Connector Systems Rated 600 Volts
ANSI C12.10	(1997) Electromechanical Watthour Meters
ANSI C12.11	(1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL through 350 kV BIL (0.6 kV NSV through 69 kV NSV)
ANSI C12.4	(1984; R 1996) Mechanical Demand Registers
ANSI C29.1	(1988; R 1996) Electrical Power Insulators - Test Methods
ANSI C37.46	(1981; R 1992) Power Fuses and Fuse Disconnecting Switches
ANSI C57.12.21	(1995) Requirements for Pad-Mounted, Compartmental-Type, Self-Cooled, Single-Phase Distribution Transformers with High-Voltage Bushings; (High-Voltage, 34 500 Grd Y/19 920 Volts and Below; Low-Voltage, 240/120; 167 kVA and Smaller)
ANSI C57.12.26	(1993) Pad-Mounted Compartmental-Type, Self-Cooled, Three-Phase Distribution Transformers for Use with Separable Insulated High-Voltage Connectors, High-Voltage, 34 500 Grd Y/19 920 Volts and Below; 2500 kVA and Smaller
ANSI C80.1	(1995) Rigid Steel Conduit - Zinc Coated

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(2001) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 153/A 153M	(2001) Zinc Coating (Hot-Dip) on Iron and Steel Hardware

ASTM B 117	(1997) Operating Salt Spray (Fog) Apparatus
ASTM B 231/B 231M	(1999) Concentric-Lay-Stranded Aluminum 1350 Conductors
ASTM B 3	(1995) Soft or Annealed Copper Wire
ASTM B 400	(1994) Compact Round Concentric-Lay-Stranded Aluminum 1350 Conductor
ASTM B 609/B 609M	(1999) Aluminum 1350 Round Wire, Annealed and Intermediate Tempers, for Electrical purposes
ASTM B 8	(1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D 1654	(1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments
ASTM D 4059	(1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography
ASTM D 923	(1997) Sampling Electrical Insulating Liquids

ASSOCIATION OF EDISON ILLUMINATING COMPANIES (AEIC)

AEIC CS5	(1994; CS5a-1995) Cross-Linked Polyethylene Insulated Shielded Power Cables Rated 5 Through 46 kV
AEIC CS6	(1996) Ethylene Propylene Rubber Insulated Shielded Power Cables Rated 5 Through 69 kV

FACTORY MUTUAL ENGINEERING AND RESEARCH (FM)

FM P7825a	(1998) Approval Guide Fire Protection
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INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(1997) National Electrical Safety Code
IEEE C37.20.3	(1997) Metal-Enclosed Interrupter Switchgear
IEEE C57.12.00	(1993) Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.13	(1993) Instrument Transformers
IEEE C57.98	(1993) Guide for Transformer Impulse Tests \\\$avail only as part of Distribution, Power, and Regulating Transformers Stds

Collection

IEEE C62.1	(1989; R 1994) Surge Arresters for AC Power Circuits
IEEE C62.11	(1999) IEEE Standard Metal-Oxide Surge Arresters for AC Power Circuits
IEEE C62.2	(1987; R 1994) Guide for the Application of Gapped Silicon-Carbide Surge Arresters for Alternating Current Systems
IEEE Std 100	(1997) IEEE Standard Dictionary of Electrical and Electronics Terms
IEEE Std 386	(1995) Separable Insulated Connector Systems for Power Distribution Systems Above 600V
IEEE Std 404	(1993) Cable Joints for Use with Extruded Dielectric Cable Rated 5000 V Through 138 000 V and Cable Joints for Use with Laminated Dielectric Cable Rated 2500 V Through 500 000 V
IEEE Std 48	(1998) Standard Test Procedures and Requirements for Alternating-Current Cable Terminations 2.5 kV through 765 kV
IEEE Std 592	(1990; R 1996) Exposed Semiconducting Shields on Premolded High Voltage Cable Joints and Separable Insulated Connectors
IEEE Std 81	(1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1) \\\\$31.00\$\\F

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA FB 1	(1993) Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit and Cable Assemblies
NEMA FU 1	(1986) Low Voltage Cartridge Fuses
NEMA LA 1	(1992) Surge Arresters
NEMA TC 5	(1990) Corrugated Polyolefin Coilable Plastic Utilities Duct
NEMA TC 6	(1990) PVC and ABS Plastic Utilities Duct for Underground Installation
NEMA TC 7	(1990) Smooth-Wall Coilable Polyethylene Electrical Plastic Duct
NEMA WC 7	(1988; Rev 3 1996) Cross-Linked-Thermosetting-Polyethylene-Insulated

Wire and Cable for the Transmission and
Distribution of Electrical Energy

NEMA WC 8

(1988; Rev 3 1996)
Ethylene-Propylene-Rubber-Insulated Wire
and Cable for the Transmission and
Distribution of Electrical Energy

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70

(2002) National Electrical Code

UNDERWRITERS LABORATORIES (UL)

UL 1242

(1996; Rev Mar 1998) Intermediate Metal
Conduit

UL 198C

(1986; Rev thru Feb 1998)
High-Interrupting-Capacity Fuses,
Current-Limiting Types

UL 198D

(1995) Class K Fuses

UL 198E

(1988; Rev Jul 1988) Class R Fuses

UL 198H

(1988; Rev thru Nov 1993) Class T Fuses

UL 467

(1993; Rev thru Apr 1999) Grounding and
Bonding Equipment

UL 486A

(1997; Rev thru Dec 1998) Wire Connectors
and Soldering Lugs for Use with Copper
Conductors

UL 486B

(1997; Rev Jun 1997) Wire Connectors for
Use with Aluminum Conductors

UL 510

(1994; Rev thru Apr 1998) Polyvinyl
Chloride, Polyethylene, and Rubber
Insulating Tape

UL 514A

(1996; Rev Dec 1999) Metallic Outlet Boxes

UL 6

(1997) Rigid Metal Conduit

UL 651

(1995; Rev thru Oct 1998) Schedule 40 and
80 Rigid PVC Conduit

UL 854

(1996; Rev Oct 1999) Service-Entrance
Cables

1.2 GENERAL REQUIREMENTS

1.2.1 Terminology

Terminology used in this specification is as defined in IEEE Std 100.

1.2.2 Service Conditions

Items provided under this section shall be specifically suitable for the following service conditions.

d. Frequency 60

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Electrical Distribution System; G, AO

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams manufacturers standard installation drawings and other information necessary to define the installation and enable the Government to check conformity with the requirements of the contract drawings.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures shall be included with the detail drawings. Approved departures shall be made at no additional cost to the Government.

Detail drawings shall show how components are assembled, function together and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission. Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall consist of the following:

a. Detail drawings showing physical arrangement, construction details, connections, finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. All optional items shall be clearly identified as included or excluded.

b. Internal wiring diagrams of equipment showing wiring as actually provided for this project. External wiring connections shall be clearly identified.

Detail drawings shall as a minimum depict the installation of the following items:

a. Medium-voltage cables and accessories including cable installation plan.

b. Transformers.

e. Pad-mounted loadbreak switches.

g. Surge arresters.

As-Built Drawings;

The as-built drawings shall be a record of the construction as installed. The drawings shall include the information shown on the contract drawings as well as deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be a full sized set of prints marked to reflect deviations, modifications, and changes. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate. Upon completion of the work, the Contractor shall provide three full sized sets of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction.

The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within 10 calendar days from the time the drawings are returned to the Contractor.

SD-03 Product Data

Nameplates; G, AO

Catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Material and Equipment; G, AO

A complete itemized listing of equipment and materials proposed for incorporation into the work. Each entry shall include an item number, the quantity of items proposed, and the name of the manufacturer of each such item.

General Installation Requirements; G, AO

As a minimum, installation procedures for transformers, substations, switchgear, and medium-voltage cable terminations and splices.

Procedures shall include cable pulling plans, diagrams, instructions, and precautions required to install, adjust, calibrate, and test the devices and equipment.

SD-06 Test Reports

Factory Tests; G, AO

Certified factory test reports shall be submitted when the manufacturer performs routine factory tests, including tests required by standards listed in paragraph REFERENCES. Results of

factory tests performed shall be certified by the manufacturer, or an approved testing laboratory, and submitted within 7 days following successful completion of the tests. The manufacturer's pass-fail criteria for tests specified in paragraph FIELD TESTING shall be included.

Field Testing; G, AO

A proposed field test plan, 20 days prior to testing the installed system. No field test shall be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Operating Tests; G, AO

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of three rings, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The condition specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

Cable Installation;

Six copies of the information described below in 8-1/2 by 11 inch binders having a minimum of three rings from which material may readily be removed and replaced, including a separate section for each cable pull. Sections shall be separated by heavy plastic dividers with tabs, with all data sheets signed and dated by the person supervising the pull.

- a. Site layout drawing with cable pulls numerically identified.
- b. A list of equipment used, with calibration certifications. The manufacturer and quantity of lubricant used on pull.
- c. The cable manufacturer and type of cable.
- d. The dates of cable pulls, time of day, and ambient temperature.
- e. The length of cable pull and calculated cable pulling tensions.
- f. The actual cable pulling tensions encountered during pull.

SD-07 Certificates

Material and Equipment; G, AO

Where materials or equipment are specified to conform to the standards of the Underwriters Laboratories (UL) or to be constructed or tested, or both, in accordance with the standards of the American National Standards Institute (ANSI), the Institute of Electrical and Electronics Engineers (IEEE), or the National Electrical Manufacturers Association (NEMA), the Contractor shall submit proof that the items provided conform to such requirements.

The label of, or listing by, UL will be acceptable as evidence that the items conform. Either a certification or a published catalog specification data statement, to the effect that the item is in accordance with the referenced ANSI or IEEE standard, will be acceptable as evidence that the item conforms. A similar certification or published catalog specification data statement to the effect that the item is in accordance with the referenced NEMA standard, by a company listed as a member company of NEMA, will be acceptable as evidence that the item conforms. In lieu of such certification or published data, the Contractor may submit a certificate from a recognized testing agency equipped and competent to perform such services, stating that the items have been tested and that they conform to the requirements listed, including methods of testing of the specified agencies. Compliance with above-named requirements does not relieve the Contractor from compliance with any other requirements of the specifications.

Cable Joints;

A certification that contains the names and the qualifications of people recommended to perform the splicing and termination of medium-voltage cables approved for installation under this contract. The certification shall indicate that any person recommended to perform actual splicing and terminations has been adequately trained in the proper techniques and have had at least three recent years of experience in splicing and terminating the same or similar types of cables approved for installation. In addition, any person recommended by the Contractor may be required to perform a practice splice and termination, in the presence of the Contracting Officer, before being approved as a qualified installer of medium-voltage cables. If that additional requirement is imposed, the Contractor shall provide short sections of the approved types of cables along with the approved type of splice and termination kits, and detailed manufacturer's instruction for the proper splicing and termination of the approved cable types.

Cable Installer Qualifications;

The Contractor shall provide at least one onsite person in a supervisory position with a documentable level of competency and experience to supervise all cable pulling operations. A resume shall be provided showing the cable installers' experience in the last three years, including a list of references complete with points of contact, addresses and telephone numbers.

SD-10 Operation and Maintenance Data

Electrical Distribution System; G, AO

Six copies of operation and maintenance manuals, within 14 calendar days following the completion of tests and including assembly, installation, operation and maintenance instructions, spare parts data which provides supplier name, current cost, catalog order number, and a recommended list of spare parts to be stocked. Manuals shall also include data outlining detailed procedures for system startup and operation, and a troubleshooting guide which lists possible operational problems and corrective action to be taken. A brief description of all equipment, basic operating features, and routine maintenance requirements shall also be included. Documents shall be bound in a binder marked or identified on the spine and front cover. A table of contents page shall be included and marked with pertinent contract information and contents of the manual. Tabs shall be provided to separate different types of documents, such as catalog ordering information, drawings, instructions, and spare parts data. Index sheets shall be provided for each section of the manual when warranted by the quantity of documents included under separate tabs or dividers.

Three additional copies of the instructions manual shall be provided within 30 calendar days following the manuals.

1.4 DELIVERY, STORAGE, AND HANDLING

Devices and equipment shall be visually inspected by the Contractor when received and prior to acceptance from conveyance. Stored items shall be protected from the environment in accordance with the manufacturer's published instructions. Damaged items shall be replaced. Oil filled transformers and switches shall be stored in accordance with the manufacturer's requirements.

1.5 EXTRA MATERIALS

One additional spare fuse or fuse element for each furnished fuse or fuse element shall be delivered to the contracting officer when the electrical system is accepted. Two complete sets of all special tools required for maintenance shall be provided, complete with a suitable tool box. Special tools are those that only the manufacturer provides, for special purposes (to access compartments, or operate, adjust, or maintain special parts).

PART 2 PRODUCTS

2.1 STANDARD PRODUCT

Material and equipment shall be the standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.2 NAMEPLATES

2.2.1 General

Each major component of this specification shall have the manufacturer's

name, address, type or style, model or serial number, and catalog number on a nameplate securely attached to the equipment. Nameplates shall be made of noncorrosive metal. Equipment containing liquid dielectrics shall have the type of dielectric on the nameplate. Sectionalizer switch nameplates shall have a schematic with all switch positions shown and labeled. As a minimum, nameplates shall be provided for transformers, meters, switches .

2.2.2 Liquid-Filled Transformer Nameplates

Power transformers shall be provided with nameplate information in accordance with IEEE C57.12.00. Nameplates shall indicate the number of gallons and composition of liquid-dielectric, and shall be permanently marked with a statement that the transformer dielectric to be supplied is non-polychlorinated biphenyl. If transformer nameplate is not so marked, the Contractor shall furnish manufacturer's certification for each transformer that the dielectric is non-PCB classified, with less than 2 ppm PCB content in accordance with paragraph LIQUID DIELECTRICS. Certifications shall be related to serial numbers on transformer nameplates. Transformer dielectric exceeding the 2 ppm PCB content or transformers without certification will be considered as PCB insulated and will not be accepted.

2.3 CORROSION PROTECTION

2.3.1 Aluminum Materials

Aluminum shall not be used in contact with earth or concrete. Where aluminum conductors are connected to dissimilar metal, fittings conforming to UL 486B shall be used.

2.3.2 Ferrous Metal Materials

2.3.2.1 Hardware

Ferrous metal hardware shall be hot-dip galvanized in accordance with ASTM A 153/A 153M and ASTM A 123/A 123M.

2.3.2.2 Equipment

Equipment and component items, including but not limited to transformer stations and ferrous metal luminaries not hot-dip galvanized or porcelain enamel finished, shall be provided with corrosion-resistant finishes which shall withstand 120 hours of exposure to the salt spray test specified in ASTM B 117 without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of 1/16 inch from the test mark. The scribed test mark and test evaluation shall be in accordance with ASTM D 1654 with a rating of not less than 7 in accordance with TABLE 1, (procedure A). Cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or mill galvanized sheet steel shall be coated with a zinc rich paint conforming to the manufacturer's standard.

2.3.3 Finishing

Painting required for surfaces not otherwise specified and finish painting of items only primed at the factory shall be as specified in Section 09900 PAINTS AND COATINGS.

2.4 CABLES

Cables shall be single conductor type unless otherwise indicated.

2.4.1 Medium-Voltage Cables

2.4.1.1 General

Cable construction shall be concentric neutral underground distribution cable conforming to AEIC CS5 and NEMA WC 7 . Cables shall be manufactured for use in duct applications .

2.4.1.2 Ratings

Cables shall be rated for a circuit voltage 15 kV .

2.4.1.3 Conductor Material

Underground cables shall be aluminum alloy 1350, 3/4 hard minimum complying with ASTM B 609/B 609M and ASTM B 231/B 231M for regular concentric and compressed stranding or ASTM B 400 for compacted stranding.

2.4.1.4 Insulation

Cable insulation shall be ethylene-propylene-rubber (EPR) insulation conforming to the requirements of NEMA WC 8 and AEIC CS6 . A 133 percent insulation level shall be used on 5 kV, 15 kV and 25 kV rated cables. The Contractor shall comply with EPA requirements in accordance with Section 01670 RECYCLED / RECOVERED MATERIALS.

2.4.1.5 Shielding

Cables rated for 2 kV and above shall have a semiconducting conductor shield, a semiconducting insulation shield, and an overall copper wire shield for each phase.

2.4.1.6 Neutrals

Concentric neutrals conductors shall be tinned copper, having a combined ampacity equal to 1/3 of the phase conductor ampacity rating.

2.4.1.7 Jackets

Cables shall be provided with a polyethylene jacket. Direct buried cables shall be rated for direct burial.

2.4.2 Low-Voltage Cables

Cables shall be rated 600 volts and shall conform to the requirements of NFPA 70, and must be UL listed for the application or meet the applicable section of either ICEA or NEMA standards.

2.4.2.1 Conductor Material

Underground cables shall be annealed copper complying with ASTM B 3 and ASTM B 8 . Intermixing of copper and aluminum conductors is not permitted.

2.4.2.2 Insulation

Insulation must be in accordance with NFPA 70, and must be UL listed for the application or meet the applicable sections of either ICEA, or NEMA

standards.

2.4.2.3 Jackets

Multiconductor cables shall have an overall PVC outer jacket.

2.4.2.4 Direct Buried

Single and multi-conductor cables shall be of a type identified for direct burial. Service entrance cables shall conform to UL 854 for Type USE service entrance cable.

2.4.2.5 In Duct

Cables shall be single-conductor cable, in accordance with NFPA 70. Cables in factory-installed, coilable-plastic-duct assemblies shall conform to NEMA TC 5 or NEMA TC 7.

2.5 CABLE JOINTS, TERMINATIONS, AND CONNECTORS

2.5.1 Medium-Voltage Separable Insulated Connectors

Separable insulated connectors shall comply with IEEE Std 386 and IEEE Std 592 and shall be of suitable construction or standard splice kits shall be used. Separable insulated connectors are acceptable for voltages up to 35 kV. Connectors shall be of the loadbreak type as indicated, of suitable construction for the application and the type of cable connected, and shall include cable shield adaptors. Separable insulated connectors shall not be used as substitutes for conventional permanent splices. External clamping points and test points shall be provided.

2.5.2 Low-Voltage Cable Splices

Low-voltage cable splices and terminations shall be rated at not less than 600 Volts. Splices in conductors No. 10 AWG and smaller shall be made with an insulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A. Splices in conductors No. 8 AWG and larger shall be made with noninsulated, solderless, pressure type connector, conforming to the applicable requirements of UL 486A and UL 486B.

Splices shall then be covered with an insulation and jacket material equivalent to the conductor insulation and jacket. Splices below grade or in wet locations shall be sealed type conforming to ANSI C119.1 or shall be waterproofed by a sealant-filled, thick wall, heat shrinkable, thermosetting tubing or by pouring a thermosetting resin into a mold that surrounds the joined conductors.

2.5.3 Terminations

Terminations shall be in accordance with IEEE Std 48, Class 1 or Class 2; of the molded elastomer, wet-process porcelain, prestretched elastomer, heat-shrinkable elastomer, or taped type. Acceptable elastomers are track-resistant silicone rubber or track-resistant ethylene propylene compounds, such as ethylene propylene rubber or ethylene propylene diene monomer. Separable insulated connectors may be used for apparatus terminations, when such apparatus is provided with suitable bushings. Terminations shall be of the outdoor type, except that where installed inside outdoor equipment housings which are sealed against normal infiltration of moisture and outside air, indoor, Class 2 terminations are acceptable. Class 3 terminations are not acceptable. Terminations, where

required, shall be provided with mounting brackets suitable for the intended installation and with grounding provisions for the cable shielding, metallic sheath, and armor.

2.5.3.1 Factory Preformed Type

Molded elastomer, wet-process porcelain, prestretched, and heat-shrinkable terminations shall utilize factory preformed components to the maximum extent practicable rather than tape build-up. Terminations shall have basic impulse levels as required for the system voltage level. Leakage distances shall comply with wet withstand voltage test requirements of IEEE Std 48 for the next higher Basic Insulation Level (BIL) level. .

2.6 CONDUIT AND DUCTS

Duct lines shall be concrete-encased, thin-wall type for duct lines between manholes and for other medium-voltage lines. Low-voltage lines or Communication lines run elsewhere may be direct-burial, thick-wall type.

2.6.1 Metallic Conduit

Intermediate metal conduit shall comply with UL 1242. Rigid galvanized steel conduit shall comply with UL 6 and ANSI C80.1. Metallic conduit fittings and outlets shall comply with UL 514A and NEMA FB 1.

2.6.2 Nonmetallic Ducts

2.6.2.1 Concrete Encased Ducts

UL 651 Schedule 40 or NEMA TC 6 Type EB.

2.6.2.2 Direct Burial

UL 651 Schedule 80, or NEMA TC 6 Type DB.

2.6.3 Conduit Sealing Compound

Compounds for sealing ducts and conduit shall have a putty-like consistency workable with the hands at temperatures as low as 35 degrees F, shall neither slump at a temperature of 300 degrees F, nor harden materially when exposed to the air. Compounds shall adhere to clean surfaces of fiber or plastic ducts; metallic conduits or conduit coatings; concrete, masonry, or lead; any cable sheaths, jackets, covers, or insulation materials; and the common metals. Compounds shall form a seal without dissolving, noticeably changing characteristics, or removing any of the ingredients. Compounds shall have no injurious effect upon the hands of workmen or upon materials.

2.7 MANHOLES, HANDHOLES, AND PULLBOXES

Handholes, and pullboxes shall be as indicated. Strength of handholes, and pullboxes and their frames and covers shall conform to the requirements of IEEE C2. Handholes for low voltage cables installed in parking lots, sidewalks, and turfed areas shall be fabricated from an aggregate consisting of sand and with continuous woven glass strands having an overall compressive strength of at least 10,000 psi and a flexural strength of at least 5,000 psi. Pullbox and handhole covers in sidewalks, and turfed areas shall be of the same material as the box. Concrete pullboxes shall consist of precast reinforced concrete boxes, extensions, bases, and covers.

2.8 TRANSFORMERS, SUBSTATIONS, AND SWITCHGEAR

Transformers, substations, and switchgear shall be of the outdoor type having the ratings and arrangements indicated. Medium-voltage ratings of cable terminations shall be 15 kV between phases for 133 percent insulation level.

2.8.1 Pad-Mounted Transformers

Pad-mounted transformers shall comply with ANSI C57.12.26 and shall be of the loop feed type. Pad-mounted transformer stations shall be assembled and coordinated by one manufacturer and each transformer station shall be shipped as a complete unit so that field installation requirements are limited to mounting each unit on a concrete pad and connecting it to primary and secondary lines. Stainless steel pins and hinges shall be provided. Barriers shall be provided between high- and low-voltage compartments. High-voltage compartment doors shall be interlocked with low-voltage compartment doors to prevent access to any high-voltage section unless its associated low-voltage section door has first been opened. Compartments shall be sized to meet the specific dimensional requirements of ANSI C57.12.26. Pentahead locking bolts shall be provided with provisions for a padlock.

2.8.1.1 High-Voltage Compartments

The high-voltage compartment shall be dead-front construction. Primary switching and protective devices shall include loadbreak switching, drawout, dry-well-mounted, current-limiting fuses oil-immersed or bayonet-type, overload fuse in series with a partial range current-limiting fuse, medium-voltage separable loadbreak connectors, universal bushing wells and inserts or integral one piece bushings and surge arresters. Fuses shall comply with the requirements of paragraph METERING AND PROTECTIVE DEVICES. The switch shall be mounted inside transformer tank with switch operating handle located in high-voltage compartment and equipped with metal loop for hook stick operation. Fuses shall be interlocked with switches so that fuses can be removed only when the associated switch is in the "OPEN" position. Adjacent to medium-voltage cable connections, a nameplate or equivalent stencilled inscription shall be provided inscribed "DO NOT OPEN CABLE CONNECTORS UNLESS SWITCH IS OPEN." Surge arresters shall be fully insulated and configured to terminate on a second set of high voltage bushings.

2.8.1.2 Load-Break Switch

Loop feed sectionalizer switches: Provide three, two-position, oil-immersed type switches to permit closed transition loop feed and sectionalizing. Each switch shall be rated at 15 kV, 95 kV BIL, with a continuous current rating and load-break rating of 200 amperes, and a make-and-latch rating of 10,000 rms amperes symmetrical. Locate the switch handle in the high-voltage compartment.

Operation of switches shall be as follows:

ARRANGEMENT #	DESCRIPTION OF SWITCH ARRANGEMENT	SWITCH POSITION		
		LINE A SW OPEN CLOSE	LINE B SW OPEN CLOSE	XFMR SW OPEN CLOSE
1	Line A connected to Line B and both lines connected to	X	X	X

ARRANGEMENT #	DESCRIPTION OF SWITCH ARRANGEMENT transformer	SWITCH POSITION				XFMR SW	
		LINE A SW		LINE B SW		OPEN	CLOSE
2	Transformer connected to Line A only		X		X		X
3	Transformer connected to Line B only	X			X		X
4	Transformer open and loop closed		X		X	X	
5	Transformer open and loop open	X			X	X	

2.8.1.3 Transformer Tank Sections

Transformers shall comply with IEEE C57.12.00, ANSI C57.12.21, and ANSI C57.12.26 and shall be of the mineral oil-insulated type . Transformers shall be suitable for outdoor use and shall have 2 separate windings per phase. Standard NEMA primary taps shall be provided. Where primary taps are not specified, 4, 2-1/2 percent rated kVA high-voltage taps shall be provided 2 above and 2 below rated, primary voltage. Operating handles for primary tap changers for de-energized operation shall be located within high-voltage compartments, externally to transformer tanks. Adjacent to the tap changer operating handle, a nameplate or equivalent stenciled inscription shall be provided and inscribed "DO NOT OPERATE UNDER LOAD." Transformer ratings at 60 Hz shall be as follows:

Three-phase capacity.....see drawings kVA.

Impedance.....NEMA standard rating.

Temperature Rise.....65 degrees C.

High-voltage winding.....12470 volts.

High-voltage winding connections.....delta.

Low-voltage winding.....480 volts.

Low-voltage winding connections..... grounded-wye

2.8.1.4 Low-Voltage Cable Compartments

Neutrals shall be provided with fully-insulated bushings. Clamp type cable terminations, suitable for copper conductors entering from below, shall be provided as necessary.

2.8.1.5 Accessories

High-voltage warning signs shall be permanently attached to each side of transformer stations. Voltage warning signs shall comply with IEEE C2. Copper-faced steel or stainless steel ground connection pads shall be provided in both the high- and low-voltage compartments. Dial-type thermometer, liquid-level gauge, and drain valve with built-in sampling device shall be provided for each transformer station. Insulated-bushing-type parking stands shall be provided adjacent to each separable load-break elbow to provide for cable isolation during sectionalizing operations.

2.8.2 Cable Terminating Cabinets

Cable terminating cabinets shall be hook-stick operable, deadfront construction conforming to the requirements of IEEE C37.20.3, Category A. Cabinets shall be provided with 200 A. loadbreak junctions and elbow-type separable loadbreak connectors, cable parking stands, and grounding lugs. The cable terminating equipments shall conform to IEEE Std 386.

Ratings at 60 Hz shall be:

Nominal voltage (kV).....	12.47.
Rated maximum voltage (kV).....	15.
Rated continuous current (A).....	200.
Three-second short-time current-carrying capacity (kA).....	10.
BIL (kV).....	95.

2.9 METERING AND PROTECTIVE DEVICES

2.9.1 Circuit Breakers, Low-Voltage

2.9.2 Fuses, Medium-Voltage, Including Current-Limiting

2.9.2.1 Construction

Units shall be suitable for outdoor use. Fuses shall have integral blown-fuse indicators. All ratings shall be clearly visible.

2.9.2.2 Ratings

Expulsion-type and Current-limiting power fuses shall have ratings in accordance with ANSI C37.46 and as follows:

Nominal voltage.....	12.47.
Rated maximum voltage.....	15.
Rated continuous current.....	sized per NEC and per drawings.

2.9.2.3 E-Rated, Current-Limiting Power Fuses

E-rated, current-limiting, power fuses shall conform to ANSI C37.46.

2.9.2.4 C-Rated, Current-Limiting Power Fuses

C-rated, current-limiting power fuses shall open in 1000 seconds at currents between 170 and 240 percent of the C rating.

2.9.3 Fuses, Low-Voltage, Including Current-Limiting

Low-voltage fuses shall conform to NEMA FU 1. Time delay and nontime delay options shall be as specified. Equipment provided under this contract shall be provided with a complete set of properly rated fuses when the equipment manufacturer utilizes fuses in the manufacture of the equipment, or if current-limiting fuses are required to be installed to limit the ampere-interrupting capacity of circuit breakers or equipment to less than the maximum available fault current at the location of the equipment to be installed. Fuses shall have a voltage rating of not less than the phase-to-phase circuit voltage, and shall have the time-current characteristics required for effective power system coordination.

2.9.3.1 Cartridge Fuses

Cartridge fuses, current-limiting type, Class J K L RK1 RK5 shall have tested interrupting capacity not less than 100,000 amperes. Fuse holders shall be the type that will reject Class H fuses.

- a. Class J L fuses shall conform to UL 198C.
- b. Class K fuses shall conform to UL 198D.
- c. Class R fuses shall conform to UL 198E.
- d. Class T fuses shall conform to UL 198H.

2.9.3.2 Transformer Circuit Fuses

Transformer circuit fuses shall be Class RK1 or RK5, current-limiting, time-delay with 200,000 amperes interrupting capacity.

2.10.4 Instrument Transformers

2.9.4 General

Instrument transformers shall comply with ANSI C12.11 and IEEE C57.13. Instrument transformers shall be configured for mounting in/on the device to which they are applied. Polarity marks on instrument transformers shall be visually evident and shown on drawings.

2.9.5 Current Transformers for Kwh and Demand Metering (Low-Voltage)

Current transformers shall conform to IEEE C57.13. Provide current transformers with a metering accuracy Class of 0.3 through B-1.8, with a minimum RF of 1.5 at 30 degrees C, with 600-volt insulations, and 10 kV BIL. Provide butyl-molded, window-type current transformers mounted on the transformer low-voltage bushings. Route current transformer leads in a location as remote as possible from the power transformer secondary cables to permit current measurements to be taken with hook-on-ammeters.

2.9.6 Voltage Transformers

Voltage transformers shall have indicated ratios. Voltage transformers shall be of the drawout type having current-limiting fuses in both primary and secondary circuits. Mechanical interlocks shall prevent removal of fuses, unless the associated voltage transformer is in a drawout position. Voltage transformer compartments shall have hinged doors.

2.9.7 Watthour Meters

Watthour meters shall conform to ANSI C12.10, except numbered terminal wiring sequence and case size may be the manufacturer's standard. Watthour meters shall be of the socket mounted outdoor type having a 15 minute, cumulative form, demand register meeting ANSI C12.4 and provided with not less than 2-1/2 staters. Watthour demand meters shall have factory-installed electronic pulse initiators. Pulse initiators shall be solid-state devices incorporating light-emitting diodes, phototransistors, and power transistors, except that mercury-wetted output contacts are acceptable. Initiators shall be totally contained within watthour demand meter enclosures, shall be capable of operating up to speeds of 500 pulses per minute with no false pulses, and shall require no field adjustments. Initiators shall be calibrated for a pulse rate output of 1 pulse per 1/4 disc revolution of the associated meter and shall be compatible with the indicated equipment.

2.10 SURGE ARRESTERS

Surge arresters shall comply with NEMA LA 1, IEEE C62.1, IEEE C62.2, and IEEE C62.11 and shall be provided where indicated. Arresters shall be distribution class, rated as shown. Arresters for use at elevations in excess of 6000 feet above mean sea level shall be specifically rated for that purpose. Arresters shall be equipped with mounting brackets suitable for the indicated installations. Arresters shall be of the metal-oxide varistor or combination valve-metal-oxide varistor type.

2.11 GROUNDING AND BONDING

2.11.1 Driven Ground Rods

Ground rods shall be copper-clad steel conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length. Sectional type rods may be used.

2.11.2 Grounding Conductors

Grounding conductors shall be bare, except where installed in conduit with associated phase conductors. Insulated conductors shall be of the same material as phase conductors and green color-coded, except that conductors shall be rated no more than 600 volts. Bare conductors shall be ASTM B 8 soft-drawn unless otherwise indicated. Aluminum is not acceptable.

2.12 CONCRETE AND REINFORCEMENT

Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Concrete reinforcing shall be as specified in Section 03200a CONCRETE REINFORCEMENT.

2.13 PADLOCKS

Padlocks shall comply with Section 08710 DOOR HARDWARE.

2.14 CABLE FIREPROOFING SYSTEMS

Cable fireproofing systems shall be listed in FM P7825a as a fire-protective coating or tape approved for grouped electrical conductors and shall be suitable for application on the type of medium-voltage cables provided. After being fully cured, materials shall be suitable for use where exposed to oil, water, gases, salt water, sewage, and fungus and shall not damage cable jackets or insulation. Asbestos materials are not acceptable.

2.14.1 Fireproof Coating

Cable fireproofing coatings shall be compounded of water-based thermoplastic resins, flame-retardant chemicals, and inorganic noncombustible fibers and shall be suitable for the application methods used. Coatings applied on bundled cables shall have a derating factor of less than 5 percent, and a dielectric strength of 95 volts per mil minimum after curing.

2.14.2 Fireproofing Tape

Fireproofing tape shall be at least 2 inches wide and shall be a flexible, conformable, polymeric, elastomer tape designed specifically for fireproofing cables.

2.14.3 Plastic Tape

Preapplication plastic tape shall be pressure sensitive, 10 mil thick, conforming to UL 510.

2.15 LIQUID DIELECTRICS

Liquid dielectrics for transformers, capacitors, reclosers, and other liquid-filled electrical equipment shall be non-polychlorinated biphenyl (PCB) mineral-oil or less-flammable liquid as specified. Nonflammable fluids shall not be used. Tetrachloroethylene (perchloroethylene) and 1, 2, 4 trichlorobenzene fluids shall not be used. Liquid dielectrics in retrofitted equipment shall be certified by the manufacturer as having less than 2 parts per million (ppm) PCB content. In lieu of the manufacturer's certification, the Contractor may submit a test sample of the dielectric in accordance with ASTM D 923 and have tests performed per ASTM D 4059 at a testing facility approved by the Contracting Officer. Equipment with test results indicating PCB level exceeding 2 ppm shall be replaced.

2.16 FACTORY TESTS

Factory tests shall be performed, as follows, in accordance with the applicable publications and with other requirements of these specifications. The Contracting Officer shall be notified at least 10 days before the equipment is ready for testing. The Contracting Officer reserves the right to witness the tests.

- a. Transformers: Manufacturer's standard routine tests in accordance with IEEE C57.12.00.
- b. Transformers rated 200 kVA and above: Reduced full-wave, chopped-wave, and full-wave impulse test on each line and neutral

terminal, in accordance with IEEE C57.98.

- g. Factory Preformed Terminations: Wet withstand voltage tests in accordance with IEEE Std 48 for the next higher BIL level.
- i. Electrical Power Insulators: Manufacturer's standard tests in accordance with ANSI C29.1.

PART 3 EXECUTION

3.1 GENERAL INSTALLATION REQUIREMENTS

Equipment and devices shall be installed and energized in accordance with the manufacturer's published instructions. Steel conduits installed underground shall be installed and protected from corrosion in conformance with the requirements of Section 16415A ELECTRICAL WORK, INTERIOR. Except as covered herein, excavation, trenching, and backfilling shall conform to the requirements of Section 02316A EXCAVATION, TRENCHING, AND BACKFILLING FOR UTILITIES SYSTEMS. Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE.

3.1.1 Conformance to Codes

The installation shall comply with the requirements and recommendations of NFPA 70 and IEEE C2 as applicable.

3.1.2 Verification of Dimensions

The Contractor shall become familiar with details of the work, shall verify dimensions in the field, and shall advise the Contracting Officer of any discrepancy before performing any work.

3.1.3 Disposal of Liquid Dielectrics

PCB-contaminated dielectrics must be marked as PCB and transported to and incinerated by an approved EPA waste disposal facility. The Contractor shall furnish certification of proper disposal. Contaminated dielectrics shall not be diluted to lower the contamination level.

3.2 CABLE

The Contractor shall obtain from the manufacturer an installation manual or set of instructions which addresses such aspects as cable construction, insulation type, cable diameter, bending radius, cable temperature, lubricants, coefficient of friction, conduit cleaning, storage procedures, moisture seals, testing for and purging moisture, etc. The Contractor shall then prepare a checklist of significant requirements which shall be submitted along with the manufacturers instructions in accordance with SUBMITTALS.

3.2.1 Cable Installation Plan and Procedure

Cable shall be installed strictly in accordance with the cable manufacturer's recommendations. Each circuit shall be identified by means of a fiber, laminated plastic, or non-ferrous metal tags, or approved equal, in each manhole, handhole, junction box, and each terminal. Each tag shall contain the following information; cable type, conductor size, circuit number, circuit voltage, cable destination and phase identification.

3.2.1.1 Cable Inspection

The cable reel shall be inspected for correct storage positions, signs of physical damage, and broken end seals. If end seal is broken, moisture shall be removed from cable in accordance with the cable manufacturer's recommendations.

3.2.1.2 Duct Cleaning

Duct shall be cleaned with an assembly that consists of a flexible mandrel (manufacturers standard product in lengths recommended for the specific size and type of duct) that is 1/4 inch less than inside diameter of duct, 2 wire brushes, and a rag. The cleaning assembly shall be pulled through conduit a minimum of 2 times or until less than a volume of 8 cubic inches of debris is expelled from the duct.

3.2.1.3 Duct Lubrication

The cable lubricant shall be compatible with the cable jacket for cable that is being installed. Application of lubricant shall be in accordance with lubricant manufacturer's recommendations.

3.2.1.4 Cable Installation

The Contractor shall provide a cable feeding truck and a cable pulling winch as required. The Contractor shall provide a pulling grip or pulling eye in accordance with cable manufacturer's recommendations. The pulling grip or pulling eye apparatus shall be attached to polypropylene or manilla rope followed by lubricant front end packs and then by power cables. A dynamometer shall be used to monitor pulling tension. Pulling tension shall not exceed cable manufacturer's recommendations. The Contractor shall not allow cables to cross over while cables are being fed into duct. For cable installation in cold weather, cables shall be kept at 50 degrees F temperature for at least 24 hours before installation.

3.2.1.5 Cable Installation Plan

The Contractor shall submit a cable installation plan for all cable pulls in accordance with the detail drawings portion of paragraph SUBMITTALS. Cable installation plan shall include:

- a. Site layout drawing with cable pulls identified in numeric order of expected pulling sequence and direction of cable pull.
- b. List of cable installation equipment.
- c. Lubricant manufacturer's application instructions.
- d. Procedure for resealing cable ends to prevent moisture from entering cable.
- e. Cable pulling tension calculations of all cable pulls.
- f. Cable percentage conduit fill.
- g. Cable sidewall thrust pressure.
- h. Cable minimum bend radius and minimum diameter of pulling wheels

used.

- i. Cable jam ratio.
- j. Maximum allowable pulling tension on each different type and size of conductor.
- k. Maximum allowable pulling tension on pulling device.

3.2.2 Duct Line

Medium-voltage cables shall be installed in duct lines where indicated. Cable splices in low-voltage cables shall be made in manholes and handholes only, except as otherwise noted. Splices shall not be made in medium voltage cables. Neutral and grounding conductors shall be installed in the same duct with their associated phase conductors.

3.3 FIREPROOFING

Fire-stops shall be installed in each conduit entering or leaving a termination point.

3.4 DUCT LINES

3.4.1 Requirements

Numbers and sizes of ducts shall be as indicated. Duct lines shall be laid with a minimum slope of 4 inches per 100 feet. Depending on the contour of the finished grade, the high-point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Short-radius manufactured 90-degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable. The minimum manufactured bend radius shall be 18 inches for ducts of less than 3 inch diameter, and 36 inches for ducts 3 inches or greater in diameter. Otherwise, long sweep bends having a minimum radius of 25 feet shall be used for a change of direction of more than 5 degrees, either horizontally or vertically. Both curved and straight sections may be used to form long sweep bends, but the maximum curve used shall be 30 degrees and manufactured bends shall be used. Ducts shall be provided with end bells whenever duct lines terminate in manholes or handholes.

3.4.2 Treatment

Ducts shall be kept clean of concrete, dirt, or foreign substances during construction. Field cuts requiring tapers shall be made with proper tools and match factory tapers. A coupling recommended by the duct manufacturer shall be used whenever an existing duct is connected to a duct of different material or shape. Ducts shall be stored to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Ducts shall be thoroughly cleaned before being laid. Plastic ducts shall be stored on a flat surface and protected from the direct rays of the sun.

3.4.3 Nonencased Direct-Burial (Sand Encased)

Top of duct lines shall be not less than 36 inches below finished grade and shall be installed with a minimum of 3 inches of sand around each duct, except that between adjacent electric power and communication ducts, 12 inches of sand is required. Bottoms of trenches shall be graded toward

manholes or handholes and shall be smooth and free of stones, soft spots, and sharp objects. Where bottoms of trenches comprise materials other than sand, a 3 inch layer of sand shall be laid first and compacted to approximate densities of surrounding firm soil before installing ducts. Joints in adjacent tiers of duct shall be vertically staggered at least 6 inches. The first 6 inch layer of backfill cover shall be sand compacted as previously specified. The rest of the excavation shall be backfilled and compacted in 3 to 6 inch layers. Duct banks may be held in alignment. However, high-tiered banks shall use a wooden frame or equivalent form to hold ducts in alignment prior to backfilling. Where ducts are jacked under existing pavement, rigid steel conduit will be installed because of its strength. To protect the corrosion-resistant conduit coating, predrilling or installing conduit inside a larger iron pipe sleeve (jack-and-sleeve) is required. For crossings of existing railroads and airfield pavements greater than 50 feet in length, the predrilling method or the jack-and-sleeve method will be used. Separators or spacing blocks shall be made of steel, concrete, plastic, or a combination of these materials placed not farther apart than 4 feet on centers. Ducts shall be securely anchored to prevent movement during the placement of sand and joints shall be staggered at least 6 inches vertically. Top of duct bank shall be not less than 24-inches below finished grade.

3.4.4 Installation of Couplings

Joints in each type of duct shall be made up in accordance with the manufacturer's recommendations for the particular type of duct and coupling selected and as approved.

3.4.4.1 Plastic Duct

Duct joints shall be made by brushing a plastic solvent cement on insides of plastic coupling fittings and on outsides of duct ends. Each duct and fitting shall then be slipped together with a quick 1/4-turn twist to set the joint tightly.

3.4.5 Duct Line Markers

Duct line markers shall be provided at the ends of long duct line stubouts or for other ducts whose locations are indeterminate because of duct curvature or terminations at completely below-grade structures. In addition to markers, a 5 mil brightly colored plastic tape, not less than 3 inches in width and suitably inscribed at not more than 10 feet on centers with a continuous metallic backing and a corrosion-resistant 1 mil metallic foil core to permit easy location of the duct line, shall be placed approximately 12 inches below finished grade levels of such lines.

3.5 MANHOLES, HANDHOLES, AND PULLBOXES

3.5.1 General

In paved areas, frames and covers for manhole and handhole entrances in vehicular traffic areas shall be flush with the finished surface of the paving. In unpaved areas, the top shall be approximately 1/2 inch above the finished grade. Where existing grades that are higher than finished grades are encountered, concrete assemblies designed for the purpose shall be installed to elevate temporarily the manhole cover to existing grade level. All duct lines entering must be installed on compact soil or otherwise supported to prevent shear stress on the duct at the point of entrance to the manhole.

3.5.2 Handholes

Handholes shall be located approximately as shown. Handholes shall be of the type noted on the drawings and shall be constructed in accordance with the details shown.

3.5.3 Pullboxes

Pullbox tops shall be flush with sidewalks or curbs or placed 1/2 inch above surrounding grades when remote from curbed roadways or sidewalks. Covers shall be marked "Low-Voltage" and provided with 2 lifting eyes and 2 hold-down bolts. Each box shall have a suitable opening for a ground rod. Conduit, cable, ground rod entrances, and unused openings shall be sealed with mortar.

3.5.4 Ground Rods

A ground rod shall be installed at the manholes, handholes and pullboxes. Ground rods shall be driven into the earth before the manhole floor is poured so that approximately 4 inches of the ground rod will extend above the manhole floor. When precast concrete manholes are used, the top of the ground rod may be below the manhole floor and a No. 1/0 AWG ground conductor brought into the manhole through a watertight sleeve in the manhole wall.

3.6 PAD-MOUNTED EQUIPMENT INSTALLATION

Pad-mounted equipment such as transformers, sectionalizers and cable terminating cabinets, shall be installed on fiberglass ground sleeve pads in accordance with the manufacturer's published, standard installation drawings and procedures, except that they shall be modified to meet the requirements of this document. Units shall be installed so that they do not damage equipment or scratch painted or coated surfaces. After installation, surfaces shall be inspected and scratches touched up with a paint or coating provided by the manufacturer especially for this purpose. All other pad-mounted equipment shall be installed on concrete pads. Three-phase transformers shall be installed with a,b,c phase sequence.

3.6.1 Concrete Pads

3.6.1.1 Construction

Concrete pads for pad-mounted electrical equipment may be either pre-fabricated or poured-in-place. Pads shall be constructed as indicated, except that exact pad dimensions and mounting details are equipment specific and are the responsibility of the Contractor. Tops of concrete pads shall be level and shall project 4 inches above finished paving or grade and sloped to drain. Edges of concrete pads shall have 3/4 inch chamfer. Conduits for primary, secondary, and grounding conductors shall be set in place prior to placement of concrete pads. Where grounding electrode conductors are installed through concrete pads, PVC conduit sleeves shall be installed through the concrete to provide physical protection. To facilitate cable installation and termination, the concrete pad shall be provided with a rectangular hole below the primary and secondary compartments, sized in accordance with the manufacturer's recommended dimensions. Upon completion of equipment installation the rectangular hole shall be filled with masonry grout.

3.6.1.2 Concrete and Reinforcement

Concrete work shall have minimum 3000 psi compressive strength and conform to the requirements of Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Concrete pad reinforcement shall be in accordance with Section 03200a CONCRETE REINFORCEMENT.

3.6.1.3 Sealing

When the installation is complete, the Contractor shall seal all conduit and other entries into the equipment enclosure with an approved sealing compound. Seals shall be of sufficient strength and durability to protect all energized live parts of the equipment from rodents, insects, or other foreign matter.

3.6.2 Padlocks

Padlocks shall be provided for pad-mounted equipment and for each fence gate. Padlocks shall be keyed alike.

3.7 CONNECTIONS TO BUILDINGS

Cables shall be extended into the various buildings as indicated, and shall be connected to the first applicable termination point in each building. Interfacing with building interior conduit systems shall be at conduit stubouts terminating 5 feet outside of a building and 2 feet below finished grade as specified and provided under Section 16415A ELECTRICAL WORK, INTERIOR. After installation of cables, conduits shall be sealed with caulking compound to prevent entrance of moisture or gases into buildings.

3.8 GROUNDING

A ground ring consisting of the indicated configuration of bare copper conductors and driven ground rods shall be installed under or around pad-mounted equipment as shown. Equipment frames of metal-enclosed equipment, and other noncurrent-carrying metal parts, such as cable shields, cable sheaths and armor, and metallic conduit shall be grounded. At least 2 connections shall be provided from a transformer, to the ground mat. Metallic frames and covers of handholes and pull boxes shall be grounded by use of a braided, copper ground strap with equivalent ampacity of No. 6 AWG.

3.8.1 Grounding Electrodes

Grounding electrodes shall be installed as shown on the drawings and as follows:

- a. Driven rod electrodes - Unless otherwise indicated, ground rods shall be driven into the earth until the tops of the rods are approximately 1 foot below finished grade.
- c. Ground ring - A ground ring shall be installed as shown consisting of bare copper conductors installed 18 inches, plus or minus 3 inches, below finished top of soil grade. Ground ring conductors shall be No. 2 AWG, minimum, if not indicated or specified.
- d. Additional electrodes - When the required ground resistance is not met, additional electrodes shall be provided interconnected with

grounding conductors to achieve the specified ground resistance. The additional electrodes will be up to three, 10 feet rods spaced a minimum of 10 feet apart. In high ground resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately.

3.8.2 Grounding and Bonding Connections

Connections above grade shall be made by the fusion-welding process or with bolted solderless connectors, in compliance with UL 467, and those below grade shall be made by a fusion-welding process. Where grounding conductors are connected to aluminum-composition conductors, specially treated or lined copper-to-aluminum connectors suitable for this purpose shall be used.

3.8.3 Grounding and Bonding Conductors

Grounding and bonding conductors include conductors used to bond transformer enclosures and equipment frames to the grounding electrode system. Grounding and bonding conductors shall be sized as shown, and located to provide maximum physical protection. Bends greater than 45 degrees in ground conductors are not permitted. Routing of ground conductors through concrete shall be avoided. When concrete penetration is necessary, nonmetallic conduit shall be cast flush with the points of concrete entrance and exit so as to provide an opening for the ground conductor, and the opening shall be sealed with a suitable compound after installation.

3.8.4 Surge Arrester Grounding

Surge arresters and neutrals shall be bonded directly to the transformer enclosure and then to the grounding electrode system with a bare copper conductor, sized as shown. Lead lengths shall be kept as short as practicable with no kinks or sharp bends.

3.8.5 Handhole, or Concrete Pullbox Grounding

Ground rods installed in handholes, or concrete pullboxes shall be connected to cable racks, cable-pulling irons, the cable shielding, metallic sheath, and armor at each cable joint or splice by means of a No. 4 AWG braided tinned copper wire. Connections to metallic cable sheaths shall be by means of tinned terminals soldered to ground wires and to cable sheaths. Care shall be taken in soldering not to damage metallic cable sheaths or shields. Ground rods shall be protected with a double wrapping of pressure-sensitive plastic tape for a distance of 2 inches above and 6 inches below concrete penetrations. Grounding electrode conductors shall be neatly and firmly attached to manhole or handhole walls and the amount of exposed bare wire shall be held to a minimum.

3.8.6 Metal Splice Case Grounding

Metal splice cases for medium-voltage direct-burial cable shall be grounded by connection to a driven ground rod located within 2 feet of each splice box using a grounding electrode conductor having a current-carrying capacity of at least 20 percent of the individual phase conductors in the associated splice box, but not less than No. 6 AWG.

3.9 FIELD TESTING

3.9.1 General

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 21 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspections recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. Field test reports shall be signed and dated by the Contractor.

3.9.2 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.9.3 Ground-Resistance Tests

The resistance of each grounding electrode system shall be measured using the fall-of-potential method defined in IEEE Std 81. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 25 ohms.
- b. Multiple rod electrodes - 25 ohms.
- d. Ground ring - 10 ohms.

3.9.4 Medium-Voltage Cable Test

After installation and before the operating test or connection to an existing system, the medium-voltage cable system shall be given a high potential test. Direct-current voltage shall be applied on each phase conductor of the system by connecting conductors as one terminal and connecting grounds or metallic shieldings or sheaths of the cable as the other terminal for each test. Prior to making the test, the cables shall be isolated by opening applicable protective devices and disconnecting equipment. The test shall be conducted with all splices, connectors, and terminations in place. The method, voltage, length of time, and other characteristics of the test for initial installation shall be in accordance with NEMA WC 7 or NEMA WC 8 for the particular type of cable installed, except that 28 kV and 35 kV insulation test voltages shall be in accordance with either AEIC CS5 or AEIC CS6 as applicable, and shall not exceed the recommendations of IEEE Std 404 for cable joints and IEEE Std 48 for cable terminations unless the cable and accessory manufacturers indicate higher voltages are acceptable for testing. Should any cable fail due to a weakness of conductor insulation or due to defects or injuries incidental to the installation or because of improper installation of cable, cable

joints, terminations, or other connections, the Contractor shall make necessary repairs or replace cables as directed. Repaired or replaced cables shall be retested.

3.9.5 Low-Voltage Cable Test

Low-voltage cable, complete with splices, shall be tested for insulation resistance after the cables are installed, in their final configuration, ready for connection to the equipment, and prior to energization. The test voltage shall be 500 volts dc, applied for one minute between each conductor and ground and between all possible combinations conductors in the same trench, duct, or cable, with all other conductors in the same trench, duct, or conduit. The minimum value of insulation shall be:

$$R \text{ in megohms} = (\text{rated voltage in kV} + 1) \times 1000 / (\text{length of cable in feet})$$

Each cable failing this test shall be repaired or replaced. The repaired cable shall be retested until failures have been eliminated.

3.9.6 Liquid-Filled Transformer Tests

The following field tests shall be performed on liquid-filled transformers 500 kVA and above. Pass-fail criteria shall be in accordance with transformer manufacturer's specifications.

- a. Insulation resistance test phase-to-ground.
- b. Turns ratio test.
- c. Correct phase sequence.
- d. Correct operation of tap changer.

3.9.7 Pre-Energization Services

Calibration, testing, adjustment, and placing into service of the installation shall be accomplished by a manufacturer's product field service engineer or independent testing company with a minimum of 2 years of current product experience. The following services shall be performed on the equipment listed below. These services shall be performed subsequent to testing but prior to the initial energization. The equipment shall be inspected to ensure that installation is in compliance with the recommendations of the manufacturer and as shown on the detail drawings. Terminations of conductors at major equipment shall be inspected to ensure the adequacy of connections. Bare and insulated conductors between such terminations shall be inspected to detect possible damage during installation. If factory tests were not performed on completed assemblies, tests shall be performed after the installation of completed assemblies. Components shall be inspected for damage caused during installation or shipment to ensure packaging materials have been removed. Components capable of being both manually and electrically operated shall be operated manually prior to the first electrical operation. Components capable of being calibrated, adjusted, and tested shall be calibrated, adjusted, and tested in accordance with the instructions of the equipment manufacturer. Items for which such services shall be provided, but are not limited to, are the following:

- b. Pad-mounted transformers

c. Panelboards

g. Switches

3.9.8 Operating Tests

After the installation is completed, and at such times as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the requirements herein. An operating test report shall be submitted in accordance with paragraph SUBMITTALS.

3.10 MANUFACTURER'S FIELD SERVICE

3.10.1 Onsite Training

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 8 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The course instruction shall cover pertinent points involved in operating, starting, stopping, and servicing the equipment, as well as all major elements of the operation and maintenance manuals. Additionally, the course instructions shall demonstrate all routine maintenance operations. A VHS format video tape of the entire training session shall be submitted.

3.10.2 Installation Engineer

After delivery of the equipment, the Contractor shall furnish one or more field engineers, regularly employed by the equipment manufacturer to supervise the installation of the equipment, assist in the performance of the onsite tests, initial operation, and instruct personnel as to the operational and maintenance features of the equipment.

3.11 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

-- End of Section --

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1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C12.10	(1997) Electromechanical Watthour Meters
ANSI C12.11	(1987; R 1993) Instrument Transformers for Revenue Metering, 10 kV BIL through 350 kV BIL (0.6 kV NSV through 69 kV NSV)
ANSI C39.1	(1981; R 1992) Requirements for Electrical Analog Indicating Instruments
ANSI C78.1	(1991; C78.1a; R 1996) Fluorescent Lamps - Rapid-Start Types - Dimensional and Electrical Characteristics
ANSI C78.1350	(1990) Electric Lamps - 400-Watt, 100-Volt, S51 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1351	(1989) Electric Lamps - 250-Watt, 100-Volt S50 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1352	(1990) Electric Lamps - 1000-Watt, 250-Volt, S52 Single-Ended High-Pressure Sodium Lamps
ANSI C78.1355	(1989) Electric Lamps - 150-Watt, 55-Volt S55 High-Pressure Sodium Lamps
ANSI C78.1375	(1996) 400-Watt, M59 Single-Ended Metal-Halide Lamps
ANSI C78.1376	(1996) 1000-Watt, M47 Metal-Halide Lamps
ANSI C78.20	(1995) Electric Lamps - Characteristics of Incandescent Lamps A, G, PS, and Similar Shapes with E26 Medium Screw Bases
ANSI C78.21	(1995) Physical and Electrical Characteristics - Incandescent Lamps - PAR and R Shapes
ANSI C78.2A	(1991) 18 & 26- Watt, Compact Fluorescent

Quad Tube Lamps **

ANSI C78.2B	(1992) 9 & 13-Watt, Compact Fluorescent Quad Tube Lamps **
ANSI C80.5	(1995) Rigid Aluminum Conduit
ANSI C82.1	(1997) Specifications for Fluorescent Lamp Ballasts \\\\$18.00\$\\F\\X Addenda D & E
ANSI C82.4	(1992) Ballasts for High-Intensity-Discharge and Low-Pressure Sodium Lamps (Multiple-Supply Type)

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM B 1	(1995) Hard-Drawn Copper Wire
ASTM B 8	(1999) Concentric-Lay-Stranded Copper Conductors, Hard, Medium-Hard, or Soft
ASTM D 4059	(1996) Analysis of Polychlorinated Biphenyls in Insulating Liquids by Gas Chromatography
ASTM D 709	(2000) Laminated Thermosetting Materials

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C57.12.00	(1993) Standard General Requirements for Liquid-Immersed Distribution, Power, and Regulating Transformers
IEEE C57.13	(1993) Instrument Transformers
IEEE C62.41	(1991; R 1995) Surge Voltages in Low-Voltage AC Power Circuits
IEEE Std 81	(1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1) \\\\$31.00\$\\F

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(1997) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA AB 1	(1993) Molded Case Circuit Breakers and Molded Case Switches
NEMA FU 1	(1986) Low Voltage Cartridge Fuses
NEMA ICS 1	(1993) Industrial Control and Systems
NEMA ICS 2	(1993) Industrial Controls and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC

NEMA ICS 3	(1993) Industrial Control and Systems Factory Built Assemblies
NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA LE 4	(1987) Recessed Luminaires, Ceiling Compatibility
NEMA MG 1	(1998) Motors and Generators
NEMA MG 10	(1994) Energy Management Guide for Selection and Use of Polyphase Motors
NEMA OS 1	(1996) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA OS 2	(1998) Nonmetallic Outlet Boxes, Device Boxes, Covers and Box Supports
NEMA PB 1	(1995) Panelboards
NEMA RN 1	(1998) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA ST 20	(1992) Dry-Type Transformers for General Applications
NEMA TC 13	(1993) Electrical Nonmetallic Tubing (ENT)
NEMA TC 2	(1998) Electrical Polyvinyl Chloride (PVC) Tubing (EPT) and Conduit (EPC-40 and EPC-80)
NEMA WD 1	(1999) General Requirements for Wiring Devices
NEMA WD 6	(1997) Wiring Devices - Dimensional Requirements

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 101	(2000) Life Safety Code
NFPA 70	(2002) National Electrical Code

U.S. NATIONAL ARCHIVES AND RECORDS ADMINISTRATION (NARA)

47 CFR 18	Industrial, Scientific, and Medical Equipment
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UNDERWRITERS LABORATORIES (UL)

UL 1	(2000) Flexible Metal Conduit
UL 1004	(1994; Rev thru Nov 1999) Electric Motors

UL 1010	(1995; Rev thru Mar 1999) Receptical-Plug Combinations for Use in Hazardous (Classified) Locations
UL 1025	(1980; R 1990, Bul. 1991) Electric Air Heaters
UL 1029	(1994; Rev thru Dec 1997) High-Intensity-Discharge Lamp Ballasts
UL 1242	(1996; Rev Mar 1998) Intermediate Metal Conduit
UL 1570	(1995; Rev thru Nov 1999) Fluorescent Lighting Fixtures
UL 1571	(1995; Rev thru Nov 1999) Incandescent Lighting Fixtures
UL 1572	(1995; Rev thru Nov 1999) High Intensity Discharge Lighting Fixtures
UL 1660	(2000) Liquid-Tight Flexible Nonmetallic Conduit
UL 198B	(1995) Class H Fuses
UL 198C	(1986; Rev thru Feb 1998) High-Interrupting-Capacity Fuses, Current-Limiting Types
UL 198D	(1995) Class K Fuses
UL 198E	(1988; Rev Jul 1988) Class R Fuses
UL 198G	(1988; Rev May 1988) Fuses for Supplementary Overcurrent Protection
UL 198H	(1988; Rev thru Nov 1993) Class T Fuses
UL 198L	(1995; Rev May 1995) D-C Fuses for Industrial Use
UL 20	(1995; Rev thru Oct 1998) General-Use Snap Switches
UL 360	(1996; Rev thru Oct 1997) Liquid-Tight Flexible Steel Conduit
UL 467	(1993; Rev thru Apr 1999) Grounding and Bonding Equipment
UL 486A	(1997; Rev thru Dec 1998) Wire Connectors and Soldering Lugs for Use with Copper Conductors
UL 486B	(1997; Rev Jun 1997) Wire Connectors for Use with Aluminum Conductors

UL 486C	(1997; Rev thru Aug 1998) Splicing Wire Connectors
UL 486E	(1994; Rev thru Feb 1997) Equipment Wiring Terminals for Use with Aluminum and/or Copper Conductors
UL 489	(1996; Rev thru Dec 1998) Molded-Case Circuit Breakers, Molded-Case Switches, and Circuit-Breaker Enclosures
UL 498	(1996; Rev thru Jan 1999) Attachment Plugs and Receptacles
UL 5	(1996) Surface Metal Raceways and Fittings
UL 50	(1995; Rev thru Nov 1999) Enclosures for Electrical Equipment
UL 506	(1994; R Oct 1997) Specialty Transformers
UL 508	(1999) Industrial Control Equipment
UL 510	(1994; Rev thru Apr 1998) Polyvinyl Chloride, Polyethylene, and Rubber Insulating Tape
UL 512	(1993; Rev thru Mar 1999) Fuseholders
UL 514A	(1996; Rev Dec 1999) Metallic Outlet Boxes
UL 514B	(1997; Rev Oct 1998) Fittings for Cable and Conduit
UL 514C	(1996; Rev thru Dec 1999) Nonmetallic Outlet Boxes, Flush-Device Boxes, and Covers
UL 542	(1999) Lampholders, Starters, and Starter Holders for Fluorescent Lamps
UL 6	(1997) Rigid Metal Conduit
UL 651	(1995; Rev thru Oct 1998) Schedule 40 and 80 Rigid PVC Conduit
UL 651A	(1995; Rev thru Apr 1998) Type EB and A Rigid PVC Conduit and HDPE Conduit
UL 67	(1993; Rev thru Oct 1999) Panelboards
UL 674	(1994; Rev thru Oct 1998) Electric Motors and Generators for Use in Division 1 Hazardous (Classified) Locations
UL 698	(1995; Rev thru Mar 1999) Industrial Control Equipment for Use in Hazardous (Classified) Locations

UL 797	(1993; Rev thru Mar 1997) Electrical Metallic Tubing
UL 83	(1998; Rev thru Sep 1999) Thermoplastic-Insulated Wires and Cables
UL 844	(1995; Rev thru Mar 1999) Electric Lighting Fixtures for Use in Hazardous (Classified) Locations
UL 845	(1995; Rev thru Nov 1999) Motor Control Centers
UL 854	(1996; Rev Oct 1999) Service-Entrance Cables
UL 869A	(1998) Reference Standard for Service Equipment
UL 877	(1993; Rev thru Nov 1999) Circuit Breakers and Circuit-Breaker Enclosures for Use in Hazardous (Classified) Locations
UL 886	(1994; Rev thru Apr 1999) Outlet Boxes and Fittings for Use in Hazardous (Classified) Locations
UL 916	(1998) Energy Management Equipment
UL 924	(1995; Rev thru Oct 97) Emergency Lighting and Power Equipment
UL 935	(1995; Rev thru Oct 1998) Fluorescent-Lamp Ballasts
UL 943	(1993; Rev thru May 1998) Ground-Fault Circuit-Interrupters
UL 98	(1994; Rev thru Jun 1998) Enclosed and Dead-Front Switches
UL Elec Const Dir	(1999) Electrical Construction Equipment Directory

1.2 GENERAL

1.2.1 Rules

The installation shall conform to the requirements of NFPA 70 and NFPA 101, unless more stringent requirements are indicated or shown.

1.2.2 Coordination

The drawings indicate the extent and the general location and arrangement of equipment, conduit, and wiring. The Contractor shall become familiar with all details of the work and verify all dimensions in the field so that the outlets and equipment shall be properly located and readily accessible.

Lighting fixtures, outlets, and other equipment and materials shall be carefully coordinated with mechanical or structural features prior to

installation and positioned according to architectural reflected ceiling plans; otherwise, lighting fixtures shall be symmetrically located according to the room arrangement when uniform illumination is required, or asymmetrically located to suit conditions fixed by design and shown. Raceways, junction and outlet boxes, and lighting fixtures shall not be supported from sheet metal roof decks. If any conflicts occur necessitating departures from the drawings, details of and reasons for departures shall be submitted and approved prior to implementing any change. The Contractor shall coordinate the electrical requirements of the mechanical work and provide all power related circuits, wiring, hardware and structural support, even if not shown on the drawings.

1.2.3 Special Environments

1.2.3.1 Weatherproof Locations

Wiring, Fixtures, and equipment in designated locations shall conform to NFPA 70 requirements for installation in damp or wet locations.

1.2.3.2 Hazardous Locations

Wiring and equipment in locations indicated shall be of the classes, groups, divisions, and suitable for the operating temperature; as indicated.

1.2.3.3 Ducts, Plenums and Other Air-Handling Spaces

Wiring and equipment in ducts, plenums and other air-handling spaces shall be installed using materials and methods in conformance with NFPA 70 unless more stringent requirements are indicated in this specification or on the contract drawings.

1.2.4 Standard Products

Material and equipment shall be a standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening.

1.2.5 Nameplates

1.2.5.1 Identification Nameplates

Major items of electrical equipment and major components shall be permanently marked with an identification name to identify the equipment by type or function and specific unit number as indicated. Designation of motors shall coincide with their designation in the motor control center or panel. Unless otherwise specified, identification nameplates shall be made of laminated plastic in accordance with ASTM D 709 with black outer layers and a white core. Edges shall be chamfered. Plates shall be fastened with black-finished round-head drive screws, except motors, or approved nonadhesive metal fasteners. When the nameplate is to be installed on an irregular-shaped object, the Contractor shall devise an approved support suitable for the application and ensure the proper installation of the supports and nameplates. In all instances, the nameplate shall be installed in a conspicuous location. At the option of the Contractor, the equipment manufacturer's standard embossed nameplate material with black paint-filled letters may be furnished in lieu of laminated plastic. The front of each panelboard, motor control center, switchgear, and switchboard shall have a nameplate to indicate the phase letter, corresponding color

and arrangement of the phase conductors. The following equipment, as a minimum, shall be provided with identification nameplates:

Minimum 1/4 inch High Letters	Minimum 1/8 inch High Letters
Panelboards	Control Power Transformers
Starters	Control Devices
Safety Switches	Instrument Transformers
Motor Control Centers	
Transformers	
Equipment Enclosures	
Switchgear	
Switchboards	
Motors	

Each panel, section, or unit in motor control centers, switchgear or similar assemblies shall be provided with a nameplate in addition to nameplates listed above, which shall be provided for individual compartments in the respective assembly, including nameplates which identify "future," "spare," and "dedicated" or "equipped spaces."

1.2.5.2 Liquid-Filled Transformer Nameplates

Power transformers shall be provided with Nameplate C information in accordance with IEEE C57.12.00. Nameplates shall indicate percent impedance, voltage, kVA, frequency, number of phases, cooling class, insulation class, temperature rise, the number of gallons and composition of liquid-dielectric, and shall be permanently marked with a statement that the transformer dielectric to be supplied is non-polychlorinated biphenyl. The Contractor shall furnish manufacturer's certification for each transformer that the dielectric is non-PCB classified, with less than 2 ppm PCB content in accordance with paragraph LIQUID DIELECTRICS. Certifications shall be related to serial numbers on transformer nameplates. Transformer dielectric exceeding the 2 ppm PCB content or transformers without certification will be considered as PCB insulated and will not be accepted.

1.2.6 As-Built Drawings

Following the project completion or turnover, within 30 days the Contractor shall furnish 2 sets of as-built drawings to the Contracting Officer.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Interior Electrical Equipment; G, DO.

Detail drawings consisting of equipment drawings, illustrations, schedules, instructions, diagrams, and other information necessary to define the installation. Detail drawings shall show the rating of items and systems and how the components of an item and system

are assembled, function together, and how they will be installed on the project. Data and drawings for component parts of an item or system shall be coordinated and submitted as a unit. Data and drawings shall be coordinated and included in a single submission.

Multiple submissions for the same equipment or system are not acceptable except where prior approval has been obtained from the Contracting Officer. In such cases, a list of data to be submitted later shall be included with the first submission. Detail drawings shall show physical arrangement, construction details, connections, finishes, materials used in fabrication, provisions for conduit or busway entrance, access requirements for installation and maintenance, physical size, electrical characteristics, foundation and support details, and equipment weight. Drawings shall be drawn to scale and/or dimensioned. Optional items shall be clearly identified as included or excluded. Detail drawings shall as a minimum include:

- a. Transformers.
- b. Switchgear.
- f. Motors and rotating machinery.
- g. Motor control centers.
- j. Sway bracing for suspended luminaires.

Structural drawings showing the structural or physical features of major equipment items, components, assemblies, and structures, including foundations or other types of supports for equipment and conductors. These drawings shall include accurately scaled or dimensioned outline and arrangement or layout drawings to show the physical size of equipment and components and the relative arrangement and physical connection of related components. Weights of equipment, components and assemblies shall be provided when required to verify the adequacy of design and proposed construction of foundations or other types of supports. Dynamic forces shall be stated for switching devices when such forces must be considered in the design of support structures. The appropriate detail drawings shall show the provisions for leveling, anchoring, and connecting all items during installation, and shall include any recommendations made by the manufacturer.

Electrical drawings including single-line and three-line diagrams, and schematics or elementary diagrams of each electrical system; internal wiring and field connection diagrams of each electrical device when published by the manufacturer; wiring diagrams of cabinets, panels, units, or separate mountings; interconnection diagrams that show the wiring between separate components of assemblies; field connection diagrams that show the termination of wiring routed between separate items of equipment; internal wiring diagrams of equipment showing wiring as actually provided for this project. Field wiring connections shall be clearly identified.

If departures from the contract drawings are deemed necessary by the Contractor, complete details of such departures, including changes in related portions of the project and the reasons why, shall be submitted with the detail drawings. Approved departures

shall be made at no additional cost to the Government.

SD-03 Product Data

Fault Current and Protective Device Coordination Study; G, DO.

The study shall be submitted along with protective device equipment submittals. No time extensions or similar contract modifications will be granted for work arising out of the requirements for this study. Approval of protective devices proposed shall be based on recommendations of this study. The Government shall not be held responsible for any changes to equipment, device ratings, settings, or additional labor for installation of equipment or devices ordered and/or procured prior to approval of the study.

Manufacturer's Catalog; G, DO.

Data composed of catalog cuts, brochures, circulars, specifications, product data, and printed information in sufficient detail and scope to verify compliance with the requirements of the contract documents.

Material, Equipment, and Fixture Lists; G, AO.

A complete itemized listing of equipment and materials proposed for incorporation into the work. Each entry shall include an item number, the quantity of items proposed, and the name of the manufacturer of each item.

Installation Procedures; G, AO.

Installation procedures for rotating equipment, transformers, switchgear, battery systems, voltage regulators, and grounding resistors. Procedures shall include diagrams, instructions, and precautions required to install, adjust, calibrate, and test devices and equipment.

As-Built Drawings; G, AO.

The as-built drawings shall be a record of the construction as installed. The drawings shall include all the information shown on the contract drawings, deviations, modifications, and changes from the contract drawings, however minor. The as-built drawings shall be kept at the job site and updated daily. The as-built drawings shall be a full-sized set of prints marked to reflect all deviations, changes, and modifications. The as-built drawings shall be complete and show the location, size, dimensions, part identification, and other information. Additional sheets may be added. The as-built drawings shall be jointly inspected for accuracy and completeness by the Contractor's quality control representative and by the Contracting Officer prior to the submission of each monthly pay estimate. Upon completion of the work, the Contractor shall submit three full sized sets of the marked prints to the Contracting Officer for approval. If upon review, the as-built drawings are found to contain errors and/or omissions, they will be returned to the Contractor for correction.

The Contractor shall correct and return the as-built drawings to the Contracting Officer for approval within ten calendar days from

the time the drawings are returned to the Contractor.

Onsite Tests; G, AO.

A detailed description of the Contractor's proposed procedures for on-site tests.

SD-06 Test Reports

Factory Test Reports; G, AO.

Six copies of the information described below in 8 1/2 x 11 inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.
- g. A description of adjustments made.

Field Test Plan; G, AO.

A detailed description of the Contractor's proposed procedures for onsite test submitted 20 days prior to testing the installed system. No field test will be performed until the test plan is approved. The test plan shall consist of complete field test procedures including tests to be performed, test equipment required, and tolerance limits.

Field Test Reports; G, AO.

Six copies of the information described below in 8 1/2 x 11 inch binders having a minimum of 5 rings from which material may readily be removed and replaced, including a separate section for each test. Sections shall be separated by heavy plastic dividers with tabs.

- a. A list of equipment used, with calibration certifications.
- b. A copy of measurements taken.
- c. The dates of testing.
- d. The equipment and values to be verified.
- e. The conditions specified for the test.
- f. The test results, signed and dated.

g. A description of adjustments made.

h. Final position of controls and device settings.

SD-07 Certificates

Materials and Equipment; G, DO.

The label or listing of the Underwriters Laboratories, Inc., will be accepted as evidence that the materials or equipment conform to the applicable standards of that agency. In lieu of this label or listing, a statement from a nationally recognized, adequately equipped testing agency indicating that the items have been tested in accordance with required procedures and that the materials and equipment comply with all contract requirements will be accepted. However, materials and equipment installed in hazardous locations must bear the UL label unless the data submitted from other testing agency is specifically approved in writing by the Contracting Officer. Items which are required to be listed and labeled in accordance with Underwriters Laboratories must be affixed with a UL label that states that it is UL listed. No exceptions or waivers will be granted to this requirement. Materials and equipment will be approved based on the manufacturer's published data.

For other than equipment and materials specified to conform to UL publications, a manufacturer's statement indicating complete compliance with the applicable standard of the American Society for Testing and Materials, National Electrical Manufacturers Association, or other commercial standard, is acceptable.

1.4 WORKMANSHIP

Materials and equipment shall be installed in accordance with NFPA 70, recommendations of the manufacturer, and as shown.

PART 2 PRODUCTS

Products shall conform to the respective publications and other requirements specified below. Materials and equipment not listed below shall be as specified elsewhere in this section. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.1 CABLES AND WIRES

Conductors No. 8 AWG and larger diameter shall be stranded. Conductors No. 10 AWG and smaller diameter shall be solid, except that conductors for remote control, alarm, and signal circuits, classes 1, 2, and 3, shall be stranded unless specifically indicated otherwise. Conductor sizes and ampacities shown are based on copper, unless indicated otherwise. All conductors shall be copper.

2.1.1 Equipment Manufacturer Requirements

When manufacturer's equipment requires copper conductors at the terminations or requires copper conductors to be provided between components of equipment, provide copper conductors or splices, splice

boxes, and other work required to meet manufacturer's requirements.

2.1.2 Aluminum Conductors

Aluminum conductors shall not be used.

2.1.3 Insulation

Unless indicated otherwise, or required by NFPA 70, power and lighting wires shall be 600-volt, Type THWN, THHN, or THW conforming to UL 83, except that grounding wire may be type TW conforming to UL 83; remote-control and signal circuits shall be Type TW, THW or TF, conforming to UL 83. Where lighting fixtures require 90-degree Centigrade (C) conductors, provide only conductors with 90-degree C insulation or better.

2.1.4 Bonding Conductors

ASTM B 1, solid bare copper wire for sizes No. 8 AWG and smaller diameter; ASTM B 8, Class B, stranded bare copper wire for sizes No. 6 AWG and larger diameter.

2.1.5 Service Entrance Cables

Service entrance (SE) and underground service entrance (USE) cables, UL 854.

2.2 CIRCUIT BREAKERS

2.2.1 MOLDED-CASE CIRCUIT BREAKERS

Molded-case circuit breakers shall conform to NEMA AB 1 and UL 489 and UL 877 for circuit breakers and circuit breaker enclosures located in hazardous (classified) locations. Circuit breakers may be installed in panelboards, switchboards, enclosures, motor control centers, or combination motor controllers.

2.2.1.1 Construction

Circuit breakers shall be suitable for mounting and operating in any position. Lug shall be listed for copper conductors only in accordance with UL 486E. Single-pole circuit breakers shall be full module size with not more than one pole per module. Multi-pole circuit breakers shall be of the common-trip type having a single operating handle such that an overload or short circuit on any one pole will result in all poles opening simultaneously. Sizes of 100 amperes or less may consist of single-pole breakers permanently factory assembled into a multi-pole unit having an internal, mechanical, nontamperable common-trip mechanism and external handle ties. All circuit breakers shall have a quick-make, quick-break overcenter toggle-type mechanism, and the handle mechanism shall be trip-free to prevent holding the contacts closed against a short-circuit or sustained overload. All circuit breaker handles shall assume a position between "ON" and "OFF" when tripped automatically. All ratings shall be clearly visible.

2.2.1.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. The interrupting rating of the circuit breakers shall be at least equal to the available short-circuit current at the line terminals of the circuit breaker and correspond to the UL listed integrated short-circuit current

rating specified for the panelboards and switchboards. Molded-case circuit breakers shall have nominal voltage ratings, maximum continuous-current ratings, and maximum short-circuit interrupting ratings in accordance with NEMA AB 1. Ratings shall be coordinated with system X/R ratio.

2.2.1.3 Thermal-Magnetic Trip Elements

Thermal magnetic circuit breakers shall be provided as shown. Automatic operation shall be obtained by means of thermal-magnetic tripping devices located in each pole providing inverse time delay and instantaneous circuit protection. The instantaneous magnetic trip shall be adjustable and accessible from the front of all circuit breakers on frame sizes above 150 amperes.

2.3 MOTOR SHORT-CIRCUIT PROTECTOR (MSCP)

Motor short-circuit protectors shall conform to UL 508 and shall be provided as shown. Protectors shall be used only as part of a combination motor controller which provides coordinated motor branch-circuit overload and short-circuit protection, and shall be rated in accordance with the requirements of NFPA 70.

2.3.1 Construction

Motor short-circuit protector bodies shall be constructed of high temperature, dimensionally stable, long life, nonhygroscopic materials. Protectors shall fit special MSCP mounting clips and shall not be interchangeable with any commercially available fuses. Protectors shall have 100 percent one-way interchangeability within the A-Y letter designations. All ratings shall be clearly visible.

2.3.2 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. Letter designations shall be A through Y for motor controller Sizes 0, 1, 2, 3, 4, and 5, with 100,000 amperes interrupting capacity rating. Letter designations shall correspond to controller sizes as follows:

CONTROLLER SIZE	MSCP DESIGNATION
NEMA 0	A-N
NEMA 1	A-P
NEMA 2	A-S
NEMA 3	A-U
NEMA 4	A-W
NEMA 5	A-Y

2.4 CONDUIT AND TUBING

2.4.1 Electrical, Zinc-Coated Steel Metallic Tubing (EMT)

UL 797

2.4.2 Electrical Nonmetallic Tubing (ENT)

NEMA TC 13.

2.4.3 Electrical Plastic Tubing and Conduit

NEMA TC 2.

2.4.4 Flexible Conduit, Steel and Plastic

General-purpose type, UL 1; liquid tight, UL 360, and UL 1660.

2.4.5 Intermediate Metal Conduit

UL 1242.

2.4.6 PVC Coated Rigid Steel Conduit

NEMA RN 1.

2.4.7 Rigid Aluminum Conduit

ANSI C80.5 and UL 6.

2.4.8 Rigid Metal Conduit

UL 6.

2.4.9 Rigid Plastic Conduit

NEMA TC 2, UL 651 and UL 651A.

2.4.10 Surface Metal Electrical Raceways and Fittings

UL 5.

2.5 CONDUIT AND DEVICE BOXES AND FITTINGS

2.5.1 Boxes, Metallic Outlet

NEMA OS 1 and UL 514A.

2.5.2 Boxes, Nonmetallic, Outlet and Flush-Device Boxes and Covers

NEMA OS 2 and UL 514C.

2.5.3 Boxes, Outlet for Use in Hazardous (Classified) Locations

UL 886.

2.5.4 Boxes, Switch (Enclosed), Surface-Mounted

UL 98.

2.5.5 Fittings for Conduit and Outlet Boxes

UL 514B.

2.5.6 Fittings For Use in Hazardous (Classified) Locations

UL 886.

2.5.7 Fittings, PVC, for Use with Rigid PVC Conduit and Tubing

UL 514B.

2.6 CONDUIT COATINGS PLASTIC RESIN SYSTEM

NEMA RN 1, Type A-40.

2.7 CONNECTORS, WIRE PRESSURE

2.7.1 For Use With Copper Conductors

UL 486A.

2.7.2 For Use With Aluminum Conductors

UL 486B.

2.8 ELECTRICAL GROUNDING AND BONDING EQUIPMENT

UL 467.

2.8.1 Ground Rods

Ground rods shall be of copper-clad steel conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length of the sectional type driven full length into the earth.

2.8.2 Ground Bus

The ground bus shall be bare conductor or flat copper in one piece, if practicable.

2.9 ENCLOSURES

NEMA ICS 6 or NEMA 250 or UL 698 for use in hazardous (classified) locations, unless otherwise specified.

2.9.1 Cabinets and Boxes

Cabinets and boxes with volume greater than 100 cubic inches shall be in accordance with UL 50, hot-dip, zinc-coated, if sheet steel.

2.9.2 Circuit Breaker Enclosures

UL 489.

2.10 LIGHTING FIXTURES, LAMPS, BALLASTS, EMERGENCY EQUIPMENT, CONTROLS AND ACCESSORIES

The following specifications are supported and supplemented by information and details on the drawings. Additional fixtures, if shown, shall conform to this specification. Lighting equipment installed in classified hazardous locations shall conform to UL 844. Lamps, lampholders, ballasts, transformers, electronic circuitry and other lighting system components shall be constructed according to industry standards. Equipment shall be tested and listed by a recognized independent testing laboratory for the

expected installation conditions. Equipment shall conform to the standards listed below.

2.10.1 Lamps

Lamps shall be constructed to operate in the specified fixture, and shall function without derating life or output as listed in published data.

Lamps shall meet the requirements of the Energy Policy Act of 1992.

- a. Incandescent and tungsten halogen lamps shall be designed for 125 volt operation (except for low voltage lamps), shall be rated for minimum life of 2,000 hours, and shall have color temperature between 2,800 and 3,200 degrees Kelvin. Tungsten halogen lamps shall incorporate quartz capsule construction. Lamps shall comply with ANSI C78.20 and sections 238 and 270 of ANSI C78.21.
- b. Fluorescent lamps shall be green-tipped and shall have color temperature 3,000 degrees Kelvin or higher. They shall be designed to operate with the ballasts and circuitry of the fixtures in which they will be used. Fluorescent lamps, including spares, shall be manufactured by one manufacturer to provide for color and performance consistency. Fluorescent lamps shall comply with ANSI C78.1. Fluorescent tube lamp efficiencies shall meet or exceed the following requirements.

T8, 32 watts	(4' lamp)	2800 lumens
T12,34 watts	(4' lamp)	2800 lumens
T8,59 watts	(8' lamp)	5700 lumens
T12,60 watts	(8' lamp)	5600 lumens
T8/U,31-32 watts	(U-tube)	2600 lumens
T12/U,34 watts	(U-tube)	2700 lumens

(1) Linear fluorescent lamps, unless otherwise indicated, shall be 4 feet long 32 watt T8, 265 mA, with minimum CRI of 75. Lamps of other lengths or types shall be used only where specified or shown. Lamps shall deliver rated life when operated on rapid start ballasts or as shown.

(2) Small compact fluorescent lamps shall be twin, double, or triple tube configuration as shown with bi-pin or four-pin snap-in base and shall have minimum CRI of 85. They shall deliver rated life when operated on ballasts as shown. 9 and 13 watt double tube lamps shall comply with ANSI C78.2B. 18 and 26 watt double tube lamps shall comply with ANSI C78.2A. Minimum starting temperature shall be 32 degrees F for twin tube lamps and for double and triple twin tube lamps without internal starter; and 15 degrees F for double and triple twin tube lamps with internal starter.

(3) Long compact fluorescent lamps shall be 18, 27, 39, 40, 50, or 55 watt bi-axial type as shown with four-pin snap-in base; shall have minimum CRI of 85; and shall have a minimum starting temperature of 50 degrees F. They shall deliver rated life when operated on rapid start ballasts or as shown.

- c. High intensity discharge lamps, including spares, shall be

manufactured by one manufacturer in order to provide color and performance consistency. High intensity discharge lamps shall be designed to operate with the ballasts and circuitry of the fixtures in which they will be used and shall have wattage, shape and base as shown. High intensity discharge lamps, unless otherwise shown, shall have medium or mogul screw base and minimum starting temperature of -20 degrees F. Metal halide lamps, unless otherwise shown, shall have minimum CRI of 65; color temperature of 4,300 degrees Kelvin; shall be -BU configuration if used in base-up position; and shall be -H or high output configuration if used in horizontal position. Lamps shall comply with all applicable ANSI C78.1350, ANSI C78.1351, ANSI C78.1352, ANSI C78.1355, ANSI C78.1375, and ANSI C78.1376.

2.10.2 Ballasts and Transformers

Ballasts or transformers shall be designed to operate the designated lamps within their optimum specifications, without derating the lamps. Lamp and ballast combinations shall be certified as acceptable by the lamp manufacturer.

- a. Low voltage incandescent transformers shall be Class II UL listed 120/12 volt or 120/24 volt step-down transformers as required for the lamps shown. Transformers shall be high power factor type and shall be rated for continuous operation under the specified load. Transformers shall be encased or encased and potted, and mounted integrally within the lighting fixture unless otherwise shown.
- b. Fluorescent ballasts shall comply with ANSI C82.1 and shall be mounted integrally within fluorescent fixture housing unless otherwise shown. Ballasts shall have maximum current crest factor of 1.7; high power factor; Class A sound rating; maximum operating case temperature of 77 degrees F above ambient; and shall be rated Class P. Unless otherwise indicated, the minimum number of ballasts shall be used to serve each individual fixture. A single ballast may be used to serve multiple fixtures if they are continuously mounted, identically controlled and factory manufactured for that installation with an integral wireway.

(1) Compact fluorescent ballasts shall comply with IEEE C62.41 Category A transient voltage variation requirements and shall be mounted integrally within compact fluorescent fixture housing unless otherwise shown. Ballasts shall have minimum ballast factor of 0.95; maximum current crest factor of 1.6; high power factor; maximum operating case temperature of 77 degrees F above ambient; shall be rated Class P; and shall have a sound rating of Class A. Ballasts shall meet FCC Class A specifications for EMI/RFI emissions. Ballasts shall operate from nominal line voltage of 120 volts or 277 volts as required at 60 Hz and maintain constant light output over a line voltage variation of $\pm 10\%$. Ballasts shall have an end-of-lamp-life detection and shut-down circuit. Ballasts shall be UL listed and shall contain no PCBs. Ballasts shall contain potting to secure PC board, provide lead strain relief, and provide a moisture barrier.

(2) Electronic fluorescent ballasts shall comply with 47 CFR 18 for electromagnetic interference. Ballasts shall withstand line transients per IEEE C62.41, Category A. Ballasts shall have total

harmonic distortion between 10 and 20%; minimum frequency of 20,000Hz; filament voltage between 2.5 and 4.5 volts; maximum starting inrush current of 20 amperes; and shall comply with the minimum Ballast Efficacy Factors shown in the table below. Minimum starting temperature shall be 50 degrees F . Ballasts shall carry a manufacturer's full warranty of three years, including a minimum \$10 labor allowance per ballast.

ELECTRONIC FLUORESCENT BALLAST EFFICACY FACTORS

LAMP TYPE	TYPE OF STARTER & LAMP	NOMINAL OPERATIONAL VOLTAGE	NUMBER OF LAMPS	MINIMUM BALLAST EFFICACY FACTOR
32W T8	rapid	120 or 277 V	1	2.54
	start		2	1.44
	linear &		3	0.93
	U-tubes		4	0.73
34W T12	rapid	120 or 277 V	1	2.64
	start		2	1.41
	linear & U-tubes		3	0.93
59W T8	rapid start linear	120 or 277 V	2	0.80
60W T12	rapid start linear	120 or 277 V	2	0.80

(3) Magnetic fluorescent ballasts shall be energy-saving, automatic resetting type, approved for the application by the Certified Ballast Manufacturers and complying with ANSI C82.1 and UL 935. Minimum ballast starting temperature shall be 40 degrees F for normal service and 0 degrees F where cold temperature service is required. Magnetic fluorescent ballasts shall have a ballast factor not less than shown in the following table:

MAGNETIC FLUORESCENT BALLAST FACTORS*

Design starting temperature above 40 degrees F with 60 Hz input frequency

LAMP TYPE	NUMBER OF LAMPS	NOMINAL OPERATIONAL INPUT VOLTAGE	TYPE OF STARTER & LAMP	MIN. BALLAST FACTOR
25W F25T8	1	120v	rapid start	.96
	1	277v		.96
	2	120v		.95
	2	277v		.94
32W F32T8	1	120v	rapid start	.96

MAGNETIC FLUORESCENT BALLAST FACTORS*

	1	277v		.95
	2	120v		.85
	2	277v		.96
96W F96T8	1	120 or 277v	instant start	1.10
	2			.85

* For ballasts not specifically designed for use with dimming controls.

- c. High intensity discharge ballasts shall comply with UL 1029 and, if multiple supply types, with ANSI C82.4. Ballasts shall have minimum ballast factor of 0.9; high power factor; Class A sound rating; and maximum operating case temperature of 77 degrees F above ambient.

(1) Electronic high intensity discharge ballasts shall be constant wattage autotransformer type; shall have less than 10% ballast loss; shall have total harmonic distortion between 10 and 20%; and shall have a minimum starting temperature of 0 degrees F.

(2) Magnetic high intensity discharge ballasts shall have a minimum starting temperature of -20 degrees F.

2.10.3 Fixtures

Fixtures shall be in accordance with the size, shape, appearance, finish, and performance shown. Unless otherwise indicated, lighting fixtures shall be provided with housings, junction boxes, wiring, lampholders, mounting supports, trim, hardware and accessories for a complete and operable installation. Recessed housings shall be minimum 20 gauge cold rolled or galvanized steel as shown. Extruded aluminum fixtures shall have minimum wall thickness of 0.125 inches. Plastic lenses shall be 100% virgin acrylic or as shown. Glass lenses shall be tempered. Heat resistant glass shall be borosilicate type. Conoid recessed reflector cones shall be Alzak with clear specular low iridescent finish.

- a. Incandescent fixtures shall comply with UL 1571. Incandescent fixture specular reflector cone trims shall be integral to the cone and shall be finished to match. Painted trim finishes shall be white with minimum reflectance of 88%. Low voltage incandescent fixtures shall have integral step-down transformers.
- b. Fluorescent fixtures shall comply with UL 1570. Recessed ceiling fixtures shall comply with NEMA LE 4. Fixtures shall be plainly marked for proper lamp and ballast type to identify lamp diameter, wattage, color and start type. Marking shall be readily visible to service personnel, but not visible from normal viewing angles. Fluorescent fixture lens frames on recessed and surface mounted troffers shall be one assembly with mitered corners. Parabolic louvers shall have a low iridescent finish and 45 degree cut-off. Louver intersection joints shall be hairline type and shall conceal mounting tabs or other assembly methods. Louvers shall be free from blemishes, lines or defects which distort the visual surface. Integral ballast and wireway compartments shall be easily accessible without the use of special tools. Housings shall be constructed to include grounding necessary to start the lamps. Open fixtures shall be equipped with a sleeve, wire guard, or other positive means to prevent lamps from falling. Medium

bi-pin lampholders shall be twist-in type with positive locking position. Long compact fluorescent fixtures and fixtures utilizing U-bend lamps shall have clamps or secondary lampholders to support the free ends of the lamps.

- c. High intensity discharge fixture shall comply with UL 1572. Recessed ceiling fixtures shall comply with NEMA LE 4. Reflectors shall be anodized aluminum. Fixtures for horizontal lamps shall have position oriented lampholders. Lampholders shall be pulse-rated to 5,000 volts. Fixtures indicated as classified or rated for hazardous locations or special service shall be designed and independently tested for the environment in which they are installed. Recessed lens fixtures shall have extruded aluminum lens frames. Ballasts shall be integral to fixtures and shall be accessible without the use of special tools. Remote ballasts shall be encased and potted. Lamps shall be shielded from direct view with a UV absorbing material such as tempered glass, and shall be circuited through a cut-off switch which will shut off the lamp circuit if the lens is not in place.
- d. Emergency lighting fixtures and accessories shall be constructed and independently tested to meet the requirements of applicable codes. Batteries shall be Nicad or equal with no required maintenance, and shall have a minimum life expectancy of five years and warranty period of three years.
- e. Exit Signs

Exit signs shall be ENERGY STAR compliant, thereby meeting the following requirements. Input power shall be less than 5 watts per face. Letter size and spacing shall adhere to NFPA 101. Luminance contrast shall be greater than 0.8. Average luminance shall be greater than 15 cd/m^2 measured at normal (0 degree) and 45 degree viewing angles. Minimum luminance shall be greater than 8.6 cd/m^2 measured at normal and 45 degree viewing angles. Maximum to minimum luminance shall be less than 20:1 measured at normal and 45 degree viewing angles. The manufacturer warranty for defective parts shall be at least 5 years.

2.10.4 Lampholders, Starters, and Starter Holders

UL 542

2.10.5 Ultrasonic, and Passive Infrared Occupancy Sensors

UL 916

2.11 LOW-VOLTAGE FUSES AND FUSEHOLDERS

2.11.1 Fuses, Low Voltage Cartridge Type

NEMA FU 1.

2.11.2 Fuses, High-Interrupting-Capacity, Current-Limiting Type

Fuses, Class G, J, L and CC shall be in accordance with UL 198C.

2.11.3 Fuses, Class K, High-Interrupting-Capacity Type

UL 198D.

2.11.4 Fuses, Class H

UL 198B.

2.11.5 Fuses, Class R

UL 198E.

2.11.6 Fuses, Class T

UL 198H.

2.11.7 Fuses for Supplementary Overcurrent Protection

UL 198G.

2.11.8 Fuses, D-C for Industrial Use

UL 198L.

2.11.9 Fuseholders

UL 512.

2.12 INSTRUMENTS, ELECTRICAL INDICATING

ANSI C39.1.

2.13 MOTORS, AC, FRACTIONAL AND INTEGRAL

Motors, ac, fractional and integral horsepower, 500 hp and smaller shall conform to NEMA MG 1 and UL 1004 for motors; NEMA MG 10 for energy management selection of polyphase motors; and UL 674 for use of motors in hazardous (classified) locations. In addition to the standards listed above, motors shall be provided with efficiencies as specified in the table "MINIMUM NOMINAL EFFICIENCIES" below.

2.13.1 Rating

The horsepower rating of motors should be limited to no more than 125 percent of the maximum load being served unless a NEMA standard size does not fall within this range. In this case, the next larger NEMA standard motor size should be used.

2.13.2 Motor Efficiencies

All permanently wired polyphase motors of 1 hp or more shall meet the minimum full-load efficiencies as indicated in the following table, and as specified in this specification. Motors of 1 hp or more with open, drip proof or totally enclosed fan cooled enclosures shall be high efficiency type, unless otherwise indicated. Motor efficiencies indicated in the tables apply to general-purpose, single-speed, polyphase induction motors. Applications which require definite purpose, special purpose, special frame, or special mounted polyphase induction motors are excluded from these efficiency requirements. Motors provided as an integral part of motor driven equipment are excluded from this requirement if a minimum seasonal or overall efficiency requirement is indicated for that equipment

by the provisions of another section.

MINIMUM NOMINAL MOTOR EFFICIENCIES
OPEN DRIP PROOF MOTORS

<u>HP</u>	<u>1200 RPM</u>	<u>1800 RPM</u>	<u>3600 RPM</u>
1	82.5	85.5	80.0
1.5	86.5	86.5	85.5
2	87.5	86.5	86.5
3	89.5	89.5	86.5
5	89.5	89.5	89.5
7.5	91.7	91.0	89.5
10	91.7	91.7	90.2
15	92.4	93.0	91.0
20	92.4	93.0	92.4
25	93.0	93.6	93.0
30	93.6	93.6	93.0
40	94.1	94.1	93.6
50	94.1	94.5	93.6
60	95.0	95.0	94.1
75	95.0	95.0	94.5
100	95.0	95.4	94.5
125	95.4	95.4	95.0
150	95.8	95.8	95.4
200	95.4	95.8	95.4
250	95.4	96.2	95.8
300	95.4	95.0	95.4
350	94.5	95.4	95.0
400	94.1	95.8	95.0
450	94.5	95.4	95.4
500	94.5	94.5	94.5

TOTALLY ENCLOSED FAN-COOLED MOTORS

<u>HP</u>	<u>1200 RPM</u>	<u>1800 RPM</u>	<u>3600 RPM</u>
1	82.5	85.5	78.5
1.5	87.5	86.5	85.5
2	88.5	86.5	86.5
3	89.5	89.5	88.5
5	89.5	89.5	89.5
7.5	91.7	91.7	91.0
10	91.7	91.7	91.7
15	92.4	92.4	91.7
20	92.4	93.0	92.4
25	93.0	93.6	93.0
30	93.6	93.6	93.0
40	94.1	94.1	93.6
50	94.1	94.5	94.1
60	94.5	95.0	94.1
75	95.0	95.4	94.5
100	95.4	95.4	95.0
125	95.4	95.4	95.4
150	95.8	95.8	95.4
200	95.8	96.2	95.8
250	95.6	96.2	95.9

300	95.4	96.1	95.8
350	94.5	96.2	94.8
400	94.5	95.8	94.5
450	94.5	94.5	94.5
500	94.5	94.5	94.5

2.14 MOTOR CONTROLS AND MOTOR CONTROL CENTERS

2.14.1 General

NEMA ICS 1, NEMA ICS 2, NEMA ICS 3 and NEMA ICS 6, and UL 508 and UL 845. Panelboards supplying non-linear loads shall have neutrals sized for 200 percent of rated current.

2.14.2 Motor Starters

Combination starters shall be provided with non-fused switches unless indicated otherwise.

2.14.3 Thermal-Overload Protection

Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating.

2.14.4 Low-Voltage Motor Overload Relays

2.14.4.1 General

Thermal overload relays shall conform to NEMA ICS 2 and UL 508. Overload protection shall be provided either integral with the motor or motor controller, and shall be rated in accordance with the requirements of NFPA 70. Standard units shall be used for motor starting times up to 7 seconds. Slow units shall be used for motor starting times from 8 to 12 seconds. Quick trip units shall be used on hermetically sealed, submersible pumps, and similar motors.

2.14.4.2 Construction

Manual reset type thermal relay shall be bimetallic construction. Automatic reset type thermal relays shall be bimetallic construction. Magnetic current relays shall consist of a contact mechanism and a dash pot mounted on a common frame.

2.14.4.3 Ratings

Voltage ratings shall be not less than the applicable circuit voltage. Trip current ratings shall be established by selection of the replaceable overload device and shall not be adjustable. Where the controller is remotely-located or difficult to reach, an automatic reset, non-compensated overload relay shall be provided. Manual reset overload relays shall be provided otherwise, and at all locations where automatic starting is provided. Where the motor is located in a constant ambient temperature,

and the thermal device is located in an ambient temperature that regularly varies by more than minus 18 degrees F, an ambient temperature-compensated overload relay shall be provided.

2.14.5 Automatic Control Devices

2.14.5.1 Direct Control

Automatic control devices (such as thermostats, float or pressure switches) which control the starting and stopping of motors directly shall be designed for that purpose and have an adequate horsepower rating.

2.14.5.2 Pilot-Relay Control

Where the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit.

2.14.5.3 Manual/Automatic Selection

- a. Where combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch (marked MANUAL-OFF-AUTOMATIC) shall be provided for the manual control.
- b. Where combination manual and automatic control is specified and the automatic-control device actuates the pilot control circuit of a magnetic starter, the magnetic starter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC.
- c. Connections to the selector switch shall be such that; only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low-or high-pressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

2.15 PANELBOARDS

Dead-front construction, NEMA PB 1 and UL 67.

2.16 RECEPTACLES

2.16.1 Heavy Duty Grade

NEMA WD 1. Devices shall conform to all requirements for heavy duty receptacles.

2.16.2 Standard Grade

UL 498.

2.16.3 Ground Fault Interrupters

UL 943, Class A or B.

2.16.4 Hazardous (Classified) Locations

UL 1010.

2.16.5 Special Receptacles

Provide special receptacles of the configurations indicated on the drawings.

2.17 Service Entrance Equipment

UL 869A.

2.18 SPLICE, CONDUCTOR

UL 486C.

2.19 SNAP SWITCHES

UL 20.

2.20 TAPES

2.20.1 Plastic Tape

UL 510.

2.20.2 Rubber Tape

UL 510.

2.21 TRANSFORMERS

Single- and three-phase transformers shall have two windings per phase. Full-capacity standard NEMA taps shall be provided in the primary windings of transformers unless otherwise indicated. Three-phase transformers shall be configured with delta-wye windings, except as indicated. "T" connections may be used for transformers rated 15 kVA or below.

2.21.1 Transformers, Dry-Type

Transformers shall have 220 degrees C insulation system for transformers 15 kVA and greater, and shall have 180 degrees C insulation system for transformers rated 10 kVA and less, with temperature rise not exceeding 115 degrees C under full-rated load in maximum ambient temperature of 40 degrees C. Transformer of 115 degrees C temperature rise shall be capable of carrying continuously 115 percent of nameplate kVA without exceeding insulation rating.

a. 600 Volt or Less Primary:

NEMA ST 20, UL 506, general purpose, dry-type, self-cooled, ventilated. Transformers shall be provided in NEMA 1 enclosure. Transformers shall be quiet type with maximum sound level at least 3 decibels less than NEMA standard level for transformer ratings indicated.

2.21.2 Average Sound Level

The average sound level in decibels (dB) of transformers shall not exceed the following dB level at 12 inches for the applicable kVA rating range listed unless otherwise indicated:

kVA Range	dB Sound Level
1-50	50
51-150	55
151-300	58

2.22 WATTHOUR/DEMAND METERS, CHECK

ANSI C12.10 for self-contained watthour-demand meter with pulse-initiators for remote monitoring of watt-hour usage and instantaneous demand. Meter shall be socket-mounted outdoor or indoor type as indicated.

2.23 INSTRUMENT TRANSFORMERS

2.23.1 General

Instrument transformers shall comply with ANSI C12.11 and IEEE C57.13. Instrument transformers shall be configured for mounting in/on the device to which they are applied. Polarity marks on instrument transformers shall be visually evident and shown on drawings.

2.23.2 Current Transformers

Unless otherwise indicated, bar, wound, or window-type transformers are acceptable; and except for window-type units installed over insulated buses, transformers shall have a BIL rating consistent with the rated BIL of the associated switchgear or electric power apparatus bushings, buses or conductors. Current transformers shall have the indicated ratios. Other thermal and mechanical ratings of current transformer and their primary leads shall be coordinated with the design of the circuit breaker and shall be not less than the momentary rating of the associated circuit breaker. Circuit protectors shall be provided across secondary leads of the current transformers to prevent the accidental open-circuiting of the transformers while energized. Each terminal of each current transformer shall be connected to a short-circuiting terminal block in the circuit interrupting mechanism cabinet, power transformer terminal cabinet, and in the associated instrument and relay cabinets.

2.23.2.1 Current Transformers for kWH and Demand Metering (Low Voltage)

See drawing for metering ratios.

2.23.2.2 Voltage Transformers

Voltage transformers shall have indicated ratios. Voltage transformers shall be of the drawout type having current-limiting fuses in both primary and secondary circuits. Mechanical interlocks shall prevent removal of fuses, unless the associated voltage transformer is in a drawout position. Voltage transformer compartments shall have hinged doors.

2.24 WIRING DEVICES

NEMA WD 1 for wiring devices, and NEMA WD 6 for dimensional requirements of wiring devices.

2.25 Liquid-Dielectrics

Liquid dielectrics for transformers, capacitors, and other liquid-filled electrical equipment shall be non-polychlorinated biphenyl (PCB) mineral oil or less flammable liquid as specified. Nonflammable fluids shall not be used. Tetrachloroethylene (perchloroethylene) and 1, 2, 4 trichlorobenzene fluids shall be certified by the manufacturer as having less than 2 parts per million (ppm) PCB content. In lieu of the manufacturer's certification, the Contractor may submit a test sample of the dielectric in accordance with ASTM D 4059 at a testing facility approved by the Contracting Officer. Equipment with test results indicating PCB level exceeding 2 ppm shall be replaced.

2.26 Unit Heater (Vertical Propeller Fan Type)

2.26.1 Construction

Unit heaters shall have wattage, voltage, phase, Btu/hr output, cfm air delivery, number of steps, and mounting height as shown or as specified. Unit heaters installed in hazardous areas shall be rated for installation in those areas. Unit heaters 3 kW and larger shall be three phase. Complete unit heater assembly shall comply with the requirements of UL 1025 and the requirements specified. Each unit heater shall be provided with terminals for control circuits and a single source of power as necessary. Control transformers, where required, shall be factory installed and of adequate capacity. Electrical load for three phase heaters shall be balanced between phases. Maximum discharge air temperature shall not exceed 140 degrees F when inlet air temperature is 60 degrees F.

2.26.2 Heating Elements

Heating elements shall be long life aluminum with low watt density or another type that will meet the explosion proof temperature requirement of T2D for the storage facility, others shall meet the following requirements:

Heating elements shall consist of nickel chromium heating wire embedded in magnesium oxide insulating refractory and sealed in corrosion resisting metallic sheath with fins. The ends of elements shall be sealed and enclosed in terminal box, and element sheath shall be mechanically pressed after filling to ensure maximum magnesium oxide compaction. Sheath and fins shall be cast aluminum or steel with fins brazed to sheath. Castings shall be free from defects of any nature. Steel sheath and fins shall be corrosion protected by copper plating, high temperature ceramic coating, or high temperature aluminized finish. Heat transfer between sheath and fins shall be uniform. Fins shall be spaced a maximum of six per inch and fins surface temperature at any point shall not exceed 550 degrees F during normal operation. Elements shall be free from expansion noise and 60 cycle hum.

2.26.3 Enclosure

Heater fan, motor, and auxiliaries shall be contained in a housing. All metal surfaces of housing shall be not less than 18 US gauge. Housings shall have the manufacturer's standard factory baked enamel finish. All parts shall be rigidly braced with heavy steel plates or structural steel

shapes to prevent vibration and maintain alignment. Housing design shall provide ready access to interior parts without unfastening housing from mounting bracket. Swivel mounting brackets shall be furnished with each heater for wall or ceiling mounting as indicated. Each unit heater shall develop the floor area coverage and air throw required by the heater layout shown. The floor area coverage and air throw data shall be included on the detail drawing submittal.

2.26.4 Louvers

. Vertical air discharge units shall be provided with individually adjustable louvers so that air flow pattern can be adjusted in all directions. Discharge cones or diffusers shall be substituted where required

2.26.5 Fans and Motors

Fans shall be the propeller type direct connected to fan motor, dynamically balanced, and designed specifically for unit heater application and low noise level. Sleeve type bearings shall have ample provisions for lubrication and oil reservoir, and shall be effectively sealed against loss of lubrication and entrance of dirt. Ball and roller type bearings shall be sealed, self aligning and permanently lubricated. Fan motor shall be totally enclosed, continuous duty with built in manually reset thermal overload protection. Fan motor for the explosion proof units shall be explosion proof with permanently lubricated ball bearings, continuous duty with thermal overload protection. Motors 1/2 horsepower 1kW and larger shall be three phase unless otherwise shown. Single phase motors shall be permanent split capacitor, capacitor start, or shaded pole type. Motor shall operate from the same power supply as the heater, and at the same voltage unless a factory furnished step down transformer is provided. Motor speed shall not exceed 1800 rpm.

2.26.6 Limit Controls

Manual reset thermal overheat protection of unit shall be provided to protect against overheating of the unit and mounted in a convenient location.

2.26.7 Contactor

Unit shall have factory installed magnetic contactor, for remote thermostatic operation, which shall disconnect all ungrounded conductors to the heater. Contactor shall be rated for 100,000 cycle duty. A control transformer shall be provided when necessary to supply 120 volt thermostat control circuit for each heater.

2.26.8 Remote Control

Room thermostat for pilot duty shall be provided where shown. Thermostat shall have an approximate range of from 55 to 8 degrees F and an operating differential of 3 degrees F or less. A fused safety disconnect switch or circuit breaker, and a low voltage release stop start push button station shall be provided in \ NEMA ICS 6 \, Type 1 or explosion proof where required, enclosures and wall mounted 4 feet 6 inches 1400mm above floor near the heater or as shown. Control devices shall be connected to the unit heater with wire in conduit.

2.26.9 Wiring

Heaters shall be furnished complete, factory prewired to terminal strips, ready to receive branch circuit and control connections.

PART 3 EXECUTION

3.1 GROUNDING

Grounding shall be in conformance with NFPA 70, the contract drawings, and the following specifications.

3.1.1 Ground Rods

The resistance to ground shall be measured using the fall-of-potential method described in IEEE Std 81. The maximum resistance of a driven ground shall not exceed 25 ohms under normally dry conditions. If this resistance cannot be obtained with a single rod, 2 additional rods not less than 6 feet on centers, or if sectional type rods are used, 2 additional sections may be coupled and driven with the first rod. In high-ground-resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately. Connections below grade shall be fusion welded. Connections above grade shall be fusion welded or shall use UL 467 approved connectors.

3.1.2 Ground Bus

Ground bus shall be provided in the electrical equipment rooms as indicated. Noncurrent-carrying metal parts of transformer neutrals and other electrical equipment shall be effectively grounded by bonding to the ground bus. The ground bus shall be bonded to both the entrance ground, and to a ground rod or rods as specified above having the upper ends terminating approximately 4 inches above the floor. Connections and splices shall be of the brazed, welded, bolted, or pressure-connector type, except that pressure connectors or bolted connections shall be used for connections to removable equipment. For raised floor equipment rooms in computer and data processing centers, a minimum of 4, one at each corner, multiple grounding systems shall be furnished. Connections shall be bolted type in lieu of thermoweld, so they can be changed as required by additions and/or alterations.

3.1.3 Grounding Conductors

A green equipment grounding conductor, sized in accordance with NFPA 70 shall be provided, regardless of the type of conduit. Equipment grounding bars shall be provided in all panelboards. The equipment grounding conductor shall be carried back to the service entrance grounding connection or separately derived grounding connection. All equipment grounding conductors, including metallic raceway systems used as such, shall be bonded or joined together in each wiring box or equipment enclosure. Metallic raceways and grounding conductors shall be checked to assure that they are wired or bonded into a common junction. Metallic boxes and enclosures, if used, shall also be bonded to these grounding conductors by an approved means per NFPA 70. When switches, or other utilization devices are installed, any designated grounding terminal on these devices shall also be bonded to the equipment grounding conductor junction with a short jumper.

3.2 WIRING METHODS

Wiring shall conform to NFPA 70, the contract drawings, and the following specifications. Unless otherwise indicated, wiring shall consist of insulated conductors installed in rigid aluminum conduit rigid zinc-coated steel conduit rigid plastic conduit electrical metallic tubing intermediate metal conduit. Where cables and wires are installed in cable trays, they shall be of the type permitted by NFPA 70 for use in such applications. Wire fill in conduits shall be based on NFPA 70 for the type of conduit and wire insulations specified. Wire fill in conduits located in Class I or II hazardous areas shall be limited to 25 percent of the cross sectional area of the conduit.

3.2.1 Conduit and Tubing Systems

Conduit and tubing systems shall be installed as indicated. Conduit sizes shown are based on use of copper conductors with insulation types as described in paragraph WIRING METHODS. Minimum size of raceways shall be 1/2 inch. Only metal conduits will be permitted when conduits are required for shielding or other special purposes indicated, or when required by conformance to NFPA 70. Nonmetallic conduit and tubing may be used in damp, wet or corrosive locations when permitted by NFPA 70 and the conduit or tubing system is provided with appropriate boxes, covers, clamps, screws or other appropriate type of fittings. Electrical metallic tubing (EMT) may be installed only within buildings. EMT may be installed in concrete and grout in dry locations. EMT installed in concrete or grout shall be provided with concrete tight fittings. EMT shall not be installed in damp or wet locations, or the air space of exterior masonry cavity walls. Bushings, manufactured fittings or boxes providing equivalent means of protection shall be installed on the ends of all conduits and shall be of the insulating type, where required by NFPA 70. Only UL listed adapters shall be used to connect EMT to rigid metal conduit, cast boxes, and conduit bodies. Aluminum conduit may be used only where installed exposed in dry locations. Nonaluminum sleeves shall be used where aluminum conduit passes through concrete floors and firewalls. Penetrations of above grade floor slabs, time-rated partitions and fire walls shall be firestopped in accordance with Section 07840A FIRESTOPPING. Except as otherwise specified, IMC may be used as an option for rigid steel conduit in areas as permitted by NFPA 70. Raceways shall not be installed under the firepits of boilers and furnaces and shall be kept 6 inches away from parallel runs of flues, steam pipes and hot-water pipes. Raceways shall be concealed within finished walls, ceilings, and floors unless otherwise shown. Raceways crossing structural expansion joints or seismic joints shall be provided with suitable expansion fittings or other suitable means to compensate for the building expansion and contraction and to provide for continuity of grounding. Wiring installed in underfloor raceway system shall be suitable for installation in wet locations.

3.2.1.1 Pull Wires

A pull wire shall be inserted in each empty raceway in which wiring is to be installed if the raceway is more than 50 feet in length and contains more than the equivalent of two 90-degree bends, or where the raceway is more than 150 feet in length. The pull wire shall be of No. 14 AWG zinc-coated steel, or of plastic having not less than 200 pounds per square inch tensile strength. Not less than 10 inches of slack shall be left at each end of the pull wire.

3.2.1.2 Conduit Stub-Ups

Where conduits are to be stubbed up through concrete floors, a short elbow shall be installed below grade to transition from the horizontal run of conduit to a vertical run. A conduit coupling fitting, threaded on the inside shall be installed, to allow terminating the conduit flush with the finished floor. Wiring shall be extended in rigid threaded conduit to equipment, except that where required, flexible conduit may be used 6 inches above the floor. Empty or spare conduit stub-ups shall be plugged flush with the finished floor with a threaded, recessed plug.

3.2.1.3 Below Slab-on-Grade or in the Ground

Electrical wiring below slab-on-grade shall be protected by a conduit system. Conduit passing vertically through slabs-on-grade shall be rigid steel or IMC. Rigid steel or IMC conduits installed below slab-on-grade or in the earth shall be field wrapped with 0.010 inch thick pipe-wrapping plastic tape applied with a 50 percent overlay, or shall have a factory-applied polyvinyl chloride, plastic resin, or epoxy coating system.

3.2.1.4 Installing in Slabs Including Slabs on Grade

Conduit installed in slabs-on-grade shall be rigid steel or IMC. Conduits shall be installed as close to the middle of concrete slabs as practicable without disturbing the reinforcement. Outside diameter shall not exceed 1/3 of the slab thickness and conduits shall be spaced not closer than 3 diameters on centers except at cabinet locations where the slab thickness shall be increased as approved by the Contracting Officer. Where conduit is run parallel to reinforcing steel, the conduit shall be spaced a minimum of one conduit diameter away but not less than one inch from the reinforcing steel.

3.2.1.5 Changes in Direction of Runs

Changes in direction of runs shall be made with symmetrical bends or cast-metal fittings. Field-made bends and offsets shall be made with an approved hickey or conduit-bending machine. Crushed or deformed raceways shall not be installed. Trapped raceways in damp and wet locations shall be avoided where possible. Lodgment of plaster, dirt, or trash in raceways, boxes, fittings and equipment shall be prevented during the course of construction. Clogged raceways shall be cleared of obstructions or shall be replaced.

3.2.1.6 Supports

Metallic conduits and tubing, and the support system to which they are attached, shall be securely and rigidly fastened in place to prevent vertical and horizontal movement at intervals of not more than 10 feet and within 3 feet of boxes, cabinets, and fittings, with approved pipe straps, wall brackets, conduit clamps, conduit hangers, threaded C-clamps, beam clamps, or ceiling trapeze. Loads and supports shall be coordinated with supporting structure to prevent damage or deformation to the structure. Loads shall not be applied to joist bridging. Attachment shall be by wood screws or screw-type nails to wood; by toggle bolts on hollow masonry units; by expansion bolts on concrete or brick; by machine screws, welded threaded studs, heat-treated or spring-steel-tension clamps on steel work. Nail-type nylon anchors or threaded studs driven in by a powder charge and provided with lock washers and nuts may be used in lieu of expansion bolts or machine screws. Raceways or pipe straps shall not be welded to steel structures. Cutting the main reinforcing bars in reinforced concrete beams or joists shall be avoided when drilling holes for support anchors. Holes

drilled for support anchors, but not used, shall be filled. In partitions of light steel construction, sheet-metal screws may be used. Raceways shall not be supported using wire or nylon ties. Raceways shall be independently supported from the structure. Upper raceways shall not be used as a means of support for lower raceways. Supporting means shall not be shared between electrical raceways and mechanical piping or ducts. Cables and raceways shall not be supported by ceiling grids. Except where permitted by NFPA 70, wiring shall not be supported by ceiling support systems. Conduits shall be fastened to sheet-metal boxes and cabinets with two locknuts where required by NFPA 70, where insulating bushings are used, and where bushings cannot be brought into firm contact with the box; otherwise, a single locknut and bushing may be used. Threadless fittings for electrical metallic tubing shall be of a type approved for the conditions encountered. Additional support for horizontal runs is not required when EMT rests on steel stud cutouts.

3.2.1.7 Exposed Raceways

Exposed raceways shall be installed parallel or perpendicular to walls, structural members, or intersections of vertical planes and ceilings. Raceways under raised floors and above accessible ceilings shall be considered as exposed installations in accordance with NFPA 70 definitions.

3.2.1.8 Communications Raceways

Communications raceways indicated shall be installed in accordance with the previous requirements for conduit and tubing and with the additional requirement that no length of run shall exceed 50 feet for 1/2 inch and 3/4 inch sizes, and 100 feet for 1 inch or larger sizes, and shall not contain more than two 90-degree bends or the equivalent. Additional pull or junction boxes shall be installed to comply with these limitations whether or not indicated. Inside radii of bends in conduits of 1 inch size or larger shall not be less than ten times the nominal diameter.

3.2.2 Cables and Conductors

Installation shall conform to the requirements of NFPA 70. Covered, bare or insulated conductors of circuits rated over 600 volts shall not occupy the same equipment wiring enclosure, cable, or raceway with conductors of circuits rated 600 volts or less.

3.2.2.1 Sizing

Unless otherwise noted, all sizes are based on copper conductors and the insulation types indicated. Sizes shall be not less than indicated. Branch-circuit conductors shall be not smaller than No. 12 AWG. Conductors for branch circuits of 120 volts more than 100 feet long and of 277 volts more than 230 feet long, from panel to load center, shall be no smaller than No. 10 AWG. Class 1 remote control and signal circuit conductors shall be not less than No. 14 AWG. Class 2 remote control and signal circuit conductors shall be not less than No. 16 AWG. Class 3 low-energy, remote-control and signal circuits shall be not less than No. 22 AWG.

3.2.2.2 Use of Aluminum Conductors in Lieu of Copper

Aluminum conductors shall not be used.

3.2.2.3 Cable Splicing

Splices shall be made in an accessible location. Crimping tools and dies shall be approved by the connector manufacturer for use with the type of connector and conductor.

- a. Copper Conductors, 600 Volt and Under: Splices in conductors No. 10 AWG and smaller diameter shall be made with an insulated, pressure-type connector. Splices in conductors No. 8 AWG and larger diameter shall be made with a solderless connector and insulated with tape or heat-shrink type insulating material equivalent to the conductor insulation.

3.2.2.4 Conductor Identification and Tagging

Power, control, and signal circuit conductor identification shall be provided within each enclosure where a tap, splice, or termination is made.

Where several feeders pass through a common pull box, the feeders shall be tagged to indicate clearly the electrical characteristics, circuit number, and panel designation. Phase conductors of low voltage power circuits shall be identified by color coding. Phase identification by a particular color shall be maintained continuously for the length of a circuit, including junctions.

- a. Color coding shall be provided for service, feeder, branch, and ground conductors. Color shall be green for grounding conductors and white for neutrals; except where neutrals of more than one system are installed in the same raceway or box, other neutral shall be white with colored (not green) stripe. The color coding for 3-phase and single-phase low voltage systems shall be as follows:

120/208-volt, 3-phase: Black(A), red(B), and blue(C).
277/480-volt, 3-phase: Brown(A), orange(B), and yellow(C).
120/240-volt, 1-phase: Black and red.

- b. Conductor phase and voltage identification shall be made by color-coded insulation for all conductors smaller than No. 6 AWG. For conductors No. 6 AWG and larger, identification shall be made by color-coded insulation, or conductors with black insulation may be furnished and identified by the use of half-lapped bands of colored electrical tape wrapped around the insulation for a minimum of 3 inches of length near the end, or other method as submitted by the Contractor and approved by the Contracting Officer.
- c. Control and signal circuit conductor identification shall be made by color-coded insulated conductors, plastic-coated self-sticking printed markers, permanently attached stamped metal foil markers, or equivalent means as approved. Control circuit terminals of equipment shall be properly identified. Terminal and conductor identification shall match that shown on approved detail drawings. Hand lettering or marking is not acceptable.

3.3 BOXES AND SUPPORTS

Boxes shall be provided in the wiring or raceway systems where required by NFPA 70 for pulling of wires, making connections, and mounting of devices or fixtures. Pull boxes shall be furnished with screw-fastened covers.

Indicated elevations are approximate, except where minimum mounting heights for hazardous areas are required by NFPA 70. Unless otherwise indicated, boxes for wall switches shall be mounted 48 inches above finished floors. Switch and outlet boxes located on opposite sides of fire rated walls shall be separated by a minimum horizontal distance of 24 inches. The total combined area of all box openings in fire rated walls shall not exceed 100 square inches per 100 square feet. Maximum box areas for individual boxes in fire rated walls vary with the manufacturer and shall not exceed the maximum specified for that box in UL Elec Const Dir. Only boxes listed in UL Elec Const Dir shall be used in fire rated walls.

3.3.1 Box Applications

Each box shall have not less than the volume required by NFPA 70 for number of conductors enclosed in box. Boxes for metallic raceways shall be listed for the intended use when located in normally wet locations, when flush or surface mounted on outside of exterior surfaces, or when located in hazardous areas. Boxes installed in wet locations and boxes installed flush with the outside of exterior surfaces shall be gasketed. Boxes for mounting lighting fixtures shall be not less than 4 inches square, or octagonal, except smaller boxes may be installed as required by fixture configuration, as approved. Cast-metal boxes with 3/32 inch wall thickness are acceptable. Large size boxes shall be NEMA 1 or as shown. Boxes in other locations shall be sheet steel except that aluminum boxes may be used with aluminum conduit, and nonmetallic boxes may be used with nonmetallic conduit and tubing or nonmetallic sheathed cable system, when permitted by NFPA 70. Boxes for use in masonry-block or tile walls shall be square-cornered, tile-type, or standard boxes having square-cornered, tile-type covers.

3.3.2 Brackets and Fasteners

Boxes and supports shall be fastened to wood with wood screws or screw-type nails of equal holding strength, with bolts and metal expansion shields on concrete or brick, with toggle bolts on hollow masonry units, and with machine screw or welded studs on steel work. Threaded studs driven in by powder charge and provided with lockwashers and nuts, or nail-type nylon anchors may be used in lieu of expansion shields, or machine screws. Penetration of more than 1-1/2 inches into reinforced-concrete beams or more than 3/4 inch into reinforced-concrete joists shall avoid cutting any main reinforcing steel. The use of brackets which depend on gypsum wallboard or plasterboard for primary support will not be permitted. In partitions of light steel construction, bar hangers with 1 inch long studs, mounted between metal wall studs or metal box mounting brackets shall be used to secure boxes to the building structure. When metal box mounting brackets are used, additional box support shall be provided on the side of the box opposite the brackets. This additional box support shall consist of a minimum 12 inch long section of wall stud, bracketed to the opposite side of the box and secured by two screws through the wallboard on each side of the stud. Metal screws may be used in lieu of the metal box mounting brackets.

3.3.3 Mounting in Walls, Ceilings, or Recessed Locations

In walls or ceilings of concrete, tile, or other non-combustible material, boxes shall be installed so that the edge of the box is not recessed more than 1/4 inch from the finished surface. Boxes mounted in combustible walls or ceiling material shall be mounted flush with the finished surface. The use of gypsum or plasterboard as a means of supporting boxes will not

be permitted. Boxes installed for concealed wiring shall be provided with suitable extension rings or plaster covers, as required. The bottom of boxes installed in masonry-block walls for concealed wiring shall be mounted flush with the top of a block to minimize cutting of the blocks, and boxes shall be located horizontally to avoid cutting webs of block. Separate boxes shall be provided for flush or recessed fixtures when required by the fixture terminal operating temperature, and fixtures shall be readily removable for access to the boxes unless ceiling access panels are provided.

3.3.4 Installation in Overhead Spaces

In open overhead spaces, cast-metal boxes threaded to raceways need not be separately supported except where used for fixture support; cast-metal boxes having threadless connectors and sheet metal boxes shall be supported directly from the building structure or by bar hangers. Hangers shall not be fastened to or supported from joist bridging. Where bar hangers are used, the bar shall be attached to raceways on opposite sides of the box and the raceway shall be supported with an approved type fastener not more than 24 inches from the box.

3.4 DEVICE PLATES

One-piece type device plates shall be provided for all outlets and fittings. Plates on unfinished walls and on fittings shall be of zinc-coated sheet steel, cast-metal, or impact resistant plastic having rounded or beveled edges. Plates on finished walls shall be of steel with baked enamel finish or impact-resistant plastic and shall be ivory. Screws shall be of metal with countersunk heads, in a color to match the finish of the plate. Plates shall be installed with all four edges in continuous contact with finished wall surfaces without the use of mats or similar devices. Plaster fillings will not be permitted. Plates shall be installed with an alignment tolerance of 1/16 inch. The use of sectional-type device plates will not be permitted. Plates installed in wet locations shall be gasketed and provided with a hinged, gasketed cover, unless otherwise specified.

3.5 RECEPTACLES

3.5.1 Single and Duplex, 15 or 20-ampere, 125 volt

Single and duplex receptacles shall be rated 20 amperes, 125 volts, two-pole, three-wire, grounding type with polarized parallel slots. Bodies shall be of ivory to match color of switch handles in the same room or to harmonize with the color of the respective wall, and supported by mounting strap having plaster ears. Contact arrangement shall be such that contact is made on two sides of an inserted blade. Receptacle shall be side- or back-wired with two screws per terminal. The third grounding pole shall be connected to the metal mounting yoke. Switched receptacles shall be the same as other receptacles specified except that the ungrounded pole of each suitable receptacle shall be provided with a separate terminal. Only the top receptacle of a duplex receptacle shall be wired for switching application. Receptacles with ground fault circuit interrupters shall have the current rating as indicated, and shall be UL Class A type unless otherwise shown. Ground fault circuit protection shall be provided as required by NFPA 70 and as indicated on the drawings.

3.5.2 Weatherproof Applications

Weatherproof receptacles shall be suitable for the environment, damp or wet as applicable, and the housings shall be labeled to identify the allowable use. Receptacles shall be marked in accordance with UL 514A for the type of use indicated; "Damp locations", "Wet Locations", "Wet Location Only When Cover Closed". Assemblies shall be installed in accordance with the manufacturer's recommendations.

3.5.2.1 Damp Locations

Receptacles in damp locations shall be mounted in an outlet box with a gasketed, weatherproof, cast-metal cover plate (device plate, box cover) and a gasketed cap (hood, receptacle cover) over each receptacle opening. The cap shall be either a screw-on type permanently attached to the cover plate by a short length of bead chain or shall be a flap type attached to the cover with a spring loaded hinge.

3.5.2.2 Wet Locations

Receptacles in wet locations shall be installed in an assembly rated for such use whether the plug is inserted or withdrawn, unless otherwise indicated. In a duplex installation, the receptacle cover shall be configured to shield the connections whether one or both receptacles are in use. .

3.5.3 Special-Purpose or Heavy-Duty Receptacles

Special-purpose or heavy-duty receptacles shall be of the type and of ratings and number of poles indicated on the drawings. Contact surfaces may be either round or rectangular. One appropriate straight or angle-type plug shall be furnished with each receptacle.

3.6 WALL SWITCHES

Wall switches shall be of the totally enclosed tumbler type. The wall switch handle and switch plate color shall be ivory . Wiring terminals shall be of the screw type or of the solderless pressure type having suitable conductor-release arrangement. Not more than one switch shall be installed in a single-gang position. Switches shall be rated 20-ampere -volt for use on alternating current only. Voltage rating shall be either 120 volt or 277 volt as applicable. Pilot lights indicated shall consist of yoke-mounted candelabra-base sockets rated at 75 watts, 125 volts, and fitted with glass or plastic jewels. A clear 6-watt lamp shall be furnished and installed in each pilot switch. Jewels for use with switches controlling motors shall be green, and jewels for other purposes shall be red.

3.7 SERVICE EQUIPMENT

Service-disconnecting means shall be of the type indicated with an external handle for manual operation. When service disconnecting means is a part of an assembly, the assembly shall be listed as suitable for service entrance equipment. Enclosures shall be sheet metal with hinged cover for surface mounting unless otherwise indicated.

3.8 PANELBOARDS AND LOADCENTERS

Circuit breakers and switches used as a motor disconnecting means shall be capable of being locked in the open position. Door locks shall be keyed alike. Nameplates shall be as approved. Directories shall be typed to

indicate loads served by each circuit and mounted in a holder behind a clear protective covering. Busses shall be copper .

3.8.1 Loadcenters

Loadcenters shall be circuit breaker equipped.

3.8.2 Panelboards

Panelboards shall be circuit breaker or fusible switch equipped as indicated on the drawings.

3.9 FUSES

Equipment provided under this contract shall be provided with a complete set of properly rated fuses when the equipment manufacturer utilize fuses in the manufacture of the equipment, or if current-limiting fuses are required to be installed to limit the ampere-interrupting capacity of circuit breakers or equipment to less than the maximum available fault current at the location of the equipment to be installed. Fuses shall have a voltage rating of not less than the phase-to-phase circuit voltage, and shall have the time-current characteristics required for effective power system coordination. Time-delay and non-time-delay options shall be as specified.

3.9.1 Cartridge Fuses; Noncurrent-Limiting Type

Cartridge fuses of the noncurrent-limiting type shall be Class H, nonrenewable, dual element, time lag type and shall have interrupting capacity of 10,000 amperes. At 500 percent current, cartridge fuses shall not blow in less than 10 seconds.

3.9.2 Cartridge Fuses; Current-Limiting Type

Cartridge fuses, current-limiting type, Class G J K L RK1 RK5 RK9 T CC shall have tested interrupting capacity not less than 100,000 amperes. Fuse holders shall be the type that will reject all Class H fuses.

3.9.3 Continuous Current Ratings (600 Amperes and Smaller)

Service entrance and feeder circuit fuses (600 amperes and smaller) shall be Class RK1 RK5 J, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.9.4 Continuous Current Ratings (Greater than 600 Amperes)

Service entrance and feeder circuit fuses (greater than 600 amperes) shall be Class L, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.9.5 Motor and Transformer Circuit Fuses

Motor, motor controller, transformer, and inductive circuit fuses shall be Class RK1 or RK5, current-limiting, time-delay with 200,000 amperes interrupting capacity.

3.10 UNDERGROUND SERVICE

Unless otherwise indicated, interior conduit systems shall be stubbed out 5

feet beyond the building wall and 2 feet below finished grade, for interface with the exterior service lateral conduits and exterior communications conduits. Outside conduit ends shall be bushed when used for direct burial service lateral conductors. Outside conduit ends shall be capped or plugged until connected to exterior conduit systems. Underground service lateral conductors will be extended to building service entrance and terminated in accordance with the requirements of Section 16375A ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND and NFPA 70.

3.11 MOTORS

Each motor shall conform to the hp and voltage ratings indicated, and shall have a service factor and other characteristics that are essential to the proper application and performance of the motors under conditions shown or specified. Three-phase motors for use on 3-phase 208-volt systems shall have a nameplate rating of 200 volts. Unless otherwise specified, all motors shall have open frames, and continuous-duty classification based on a 40 degree C ambient temperature reference. Polyphase motors shall be squirrel-cage type, having normal-starting-torque and low-starting-current characteristics, unless other characteristics are specified in other sections of these specifications or shown on contract drawings. The Contractor shall be responsible for selecting the actual horsepower ratings and other motor requirements necessary for the applications indicated. When electrically driven equipment furnished under other sections of these specifications materially differs from the design, the Contractor shall make the necessary adjustments to the wiring, disconnect devices and branch-circuit protection to accommodate the equipment actually installed.

3.12 MOTOR CONTROL

Each motor or group of motors requiring a single control and not controlled from a motor-control center shall be provided under other sections of these specifications with a suitable controller and devices that will perform the functions as specified for the respective motors. Each motor of 1/8 hp or larger shall be provided with thermal-overload protection. Polyphase motors shall have overload protection in each ungrounded conductor. The overload-protection device shall be provided either integral with the motor or controller, or shall be mounted in a separate enclosure. Unless otherwise specified, the protective device shall be of the manually reset type. Single or double pole tumbler switches specifically designed for alternating-current operation only may be used as manual controllers for single-phase motors having a current rating not in excess of 80 percent of the switch rating. Automatic control devices such as thermostats, float or pressure switches may control the starting and stopping of motors directly, provided the devices used are designed for that purpose and have an adequate horsepower rating. When the automatic-control device does not have such a rating, a magnetic starter shall be used, with the automatic-control device actuating the pilot-control circuit. When combination manual and automatic control is specified and the automatic-control device operates the motor directly, a double-throw, three-position tumbler or rotary switch shall be provided for the manual control; when the automatic-control device actuates the pilot control circuit of a magnetic starter, the latter shall be provided with a three-position selector switch marked MANUAL-OFF-AUTOMATIC. Connections to the selector switch shall be such that only the normal automatic regulatory control devices will be bypassed when the switch is in the Manual position; all safety control devices, such as low- or high-pressure cutouts, high-temperature cutouts, and motor-overload protective devices, shall be connected in the motor-control circuit in both the Manual and the Automatic

positions of the selector switch. Control circuit connections to any MANUAL-OFF-AUTOMATIC switch or to more than one automatic regulatory control device shall be made in accordance with wiring diagram approved by the Contracting Officer unless such diagram is included on the drawings. All controls shall be 120 volts or less unless otherwise indicated.

3.12.1 Contacts

Unless otherwise indicated, contacts in miscellaneous control devices such as float switches, pressure switches, and auxiliary relays shall have current and voltage ratings in accordance with NEMA ICS 2 for rating designation B300.

3.12.2 Safety Controls

Safety controls for boilers shall be connected to a 2-wire, 120 volt grounded circuit supplied from the associated boiler-equipment circuit. Where the boiler circuit is more than 120 volts to ground, safety controls shall be energized through a two-winding transformer having its 120 volt secondary winding grounded. Overcurrent protection shall be provided in the ungrounded secondary conductor and shall be sized for the load encountered.

3.13 MOTOR-DISCONNECT MEANS

Each motor shall be provided with a disconnecting means when required by NFPA 70 even though not indicated. For single-phase motors, a single or double pole toggle switch, rated only for alternating current, will be acceptable for capacities less than 30 amperes, provided the ampere rating of the switch is at least 125 percent of the motor rating. Switches shall disconnect all ungrounded conductors.

3.14 TRANSFORMER INSTALLATION

Three-phase transformers shall be connected only in a delta-wye or wye-delta configuration as indicated except isolation transformers having a one-to-one turns ratio. "T" connections may be used for transformers rated at 15 kVA or below. Dry-type transformers shown located within 5 feet of the exterior wall shall be provided in a weatherproof enclosure. Transformers to be located within the building may be provided in the manufacturer's standard, ventilated indoor enclosure designed for use in 40 degrees C ambient temperature, unless otherwise indicated.

3.15 LIGHTING FIXTURES, LAMPS AND BALLASTS

This paragraph shall cover the installation of lamps, lighting fixtures and ballasts in interior or building mounted applications.

3.15.1 Lamps

Lamps of the type, wattage, and voltage rating indicated shall be delivered to the project in the original cartons and installed just prior to project completion. Lamps installed and used for working light during construction shall be replaced prior to turnover to the Government if more than 15% of their rated life has been used. Lamps shall be tested for proper operation prior to turn-over and shall be replaced if necessary with new lamps from the original manufacturer. 10% spare lamps of each type, from the original manufacturer, shall be provided.

3.15.2 Lighting Fixtures

Fixtures shall be as shown and shall conform to the following specifications and shall be as detailed on the drawings. Illustrations shown on the drawings are indicative of the general type desired and are not intended to restrict selection to fixtures of any particular manufacturer. Fixtures of similar designs and equivalent energy efficiency, light distribution and brightness characteristics, and of equal finish and quality will be acceptable if approved. In suspended acoustical ceilings with fluorescent fixtures, the fluorescent emergency light fixtures shall be furnished with self-contained battery packs.

3.15.2.1 Accessories

Accessories such as straps, mounting plates, nipples, or brackets shall be provided for proper installation.

3.15.2.2 Suspended Fixtures

Suspended fixtures shall be provided with swivel hangers or hand-strights so that they hang plumb. Pendants, rods, or chains 4 feet or longer excluding fixture shall be braced to prevent swaying using three cables at 120 degrees of separation. Suspended fixtures in continuous rows shall have internal wireway systems for end to end wiring and shall be properly aligned to provide a straight and continuous row without bends, gaps, light leaks or filler pieces. Aligning splines shall be used on extruded aluminum fixtures to assure hairline joints. Steel fixtures shall be supported to prevent "oil-canning" effects. Fixture finishes shall be free of scratches, nicks, dents, and warps, and shall match the color and gloss specified. Pendants shall be finished to match fixtures. Aircraft cable shall be stainless steel. Canopies shall be finished to match the ceiling and shall be low profile unless otherwise shown. Maximum distance between suspension points shall be 10 feet or as recommended by the manufacturer, whichever is less.

Suspended fixtures installed in seismic areas shall have 45% swivel hangers and shall be located with no obstructions within the 45% range in all directions. The stem, canopy and fixture shall be capable of 45% swing.

3.15.3 Ballasts

Remote type ballasts or transformers, where indicated, shall be mounted in a well ventilated, easily accessible location, within the maximum operating distance from the lamp as designated by the manufacturer.

3.15.4 Emergency Light Sets

Emergency light sets shall conform to UL 924 with the number of heads as indicated. Sets shall be permanently connected to the wiring system by conductors installed in short lengths of flexible conduit.

3.16 BATTERY CHARGERS

Battery chargers shall be installed in conformance with NFPA 70.

3.17 EQUIPMENT CONNECTIONS

Wiring not furnished and installed under other sections of the specifications for the connection of electrical equipment as indicated on

the drawings shall be furnished and installed under this section of the specifications. Connections shall comply with the applicable requirements of paragraph WIRING METHODS. Flexible conduits 6 feet or less in length shall be provided to all electrical equipment subject to periodic removal, vibration, or movement and for all motors. All motors shall be provided with separate grounding conductors. Liquid-tight conduits shall be used in damp or wet locations.

3.17.1 Motors and Motor Control

Motors, motor controls, and motor control centers shall be installed in accordance with NFPA 70, the manufacturer's recommendations, and as indicated. Wiring shall be extended to motors, motor controls, and motor control centers and terminated.

3.17.2 Installation of Government-Furnished Equipment

Wiring shall be extended to the equipment and terminated.

3.17.3 Food Service Equipment Provided Under Other Sections

Wiring shall be extended to the equipment and terminated.

3.18 CIRCUIT PROTECTIVE DEVICES

The Contractor shall calibrate, adjust, set and test each new adjustable circuit protective device to ensure that they will function properly prior to the initial energization of the new power system under actual operating conditions.

3.19 PAINTING AND FINISHING

Field-applied paint on exposed surfaces shall be provided under Section 09900 PAINTS AND COATINGS.

3.20 REPAIR OF EXISTING WORK

The work shall be carefully laid out in advance, and where cutting, channeling, chasing, or drilling of floors, walls, partitions, ceiling, or other surfaces is necessary for the proper installation, support, or anchorage of the conduit, raceways, or other electrical work, this work shall be carefully done, and any damage to building, piping, or equipment shall be repaired by skilled mechanics of the trades involved at no additional cost to the Government.

3.21 FIELD TESTING

Field testing shall be performed in the presence of the Contracting Officer. The Contractor shall notify the Contracting Officer 21 days prior to conducting tests. The Contractor shall furnish all materials, labor, and equipment necessary to conduct field tests. The Contractor shall perform all tests and inspection recommended by the manufacturer unless specifically waived by the Contracting Officer. The Contractor shall maintain a written record of all tests which includes date, test performed, personnel involved, devices tested, serial number and name of test equipment, and test results. All field test reports will be signed and dated by the Contractor.

3.21.1 Safety

The Contractor shall provide and use safety devices such as rubber gloves, protective barriers, and danger signs to protect and warn personnel in the test vicinity. The Contractor shall replace any devices or equipment which are damaged due to improper test procedures or handling.

3.21.2 Ground-Resistance Tests

The resistance of each grounding electrode system shall be measured using the fall-of-potential method defined in IEEE Std 81. Soil resistivity in the area of the grid shall be measured concurrently with the grid measurements. Ground resistance measurements shall be made before the electrical distribution system is energized and shall be made in normally dry conditions not less than 48 hours after the last rainfall. Resistance measurements of separate grounding electrode systems shall be made before the systems are bonded together below grade. The combined resistance of separate systems may be used to meet the required resistance, but the specified number of electrodes must still be provided.

- a. Single rod electrode - 25 ohms .
- b. Grid electrode - 10 ohms.

3.21.3 Ground-Grid Connection Inspection

All below-grade ground-grid connections will be visually inspected by the Contracting Officer before backfilling. The Contractor shall notify the Contracting Officer 48 hours before the site is ready for inspection.

3.21.4 Cable Tests

The Contractor shall be responsible for identifying all equipment and devices that could be damaged by application of the test voltage and ensuring that they have been properly disconnected prior to performing insulation resistance testing. An insulation resistance test shall be performed on all low and medium voltage cables after the cables are installed in their final configuration and prior to energization. The test voltage shall be 500 volts DC applied for one minute between each conductor and ground and between all possible combinations of conductors. The minimum value of resistance shall be:

$$R \text{ in megohms} = (\text{rated voltage in kV} + 1) \times 1000 / (\text{length of cable in feet})$$

Each cable failing this test shall be repaired or replaced. The repaired cable system shall then be retested until failures have been eliminated.

3.21.4.1 Low Voltage Cable Tests

- a. Continuity test.

3.21.5 Motor Tests

- a. Phase rotation test to ensure proper directions.

3.21.6 Dry-Type Transformer Tests

- b. Turns ratio test.

3.21.7 Circuit Breaker Tests

The following field tests shall be performed on circuit breakers.

3.21.7.1 Circuit Breakers, Molded Case

- a. Insulation resistance test phase-to-phase, all combinations.
- b. Insulation resistance test phase-to-ground, each phase.
- c. Closed breaker contact resistance test.
- d. Manual operation of the breaker.

3.22 OPERATING TESTS

After the installation is completed, and at such time as the Contracting Officer may direct, the Contractor shall conduct operating tests for approval. The equipment shall be demonstrated to operate in accordance with the specified requirements. An operating test report shall be submitted in accordance with paragraph FIELD TEST REPORTS.

3.23 FIELD SERVICE

3.23.1 Onsite Training

The Contractor shall conduct a training course for the operating staff as designated by the Contracting Officer. The training period shall consist of a total of 8 hours of normal working time and shall start after the system is functionally completed but prior to final acceptance tests. The course instruction shall cover pertinent points involved in operating, starting, stopping, servicing the equipment, as well as all major elements of the operation and maintenance manuals. Additionally, the course instructions shall demonstrate all routine maintenance operations. A VHS format video tape of the entire training shall be submitted.

3.23.2 Installation Engineer

After delivery of the equipment, the Contractor shall furnish one or more field engineers, regularly employed by the equipment manufacturer to supervise the installation of equipment, assist in the performance of the onsite tests, oversee initial operations, and instruct personnel as to the operational and maintenance features of the equipment.

3.24 ACCEPTANCE

Final acceptance of the facility will not be given until the Contractor has successfully completed all tests and after all defects in installation, material or operation have been corrected.

-- End of Section --

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SECTION 16528A

EXTERIOR LIGHTING INCLUDING SECURITY
05/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN ASSOCIATION OF STATE HIGHWAY AND TRANSPORTATION OFFICIALS
(AASHTO)

AASHTO LTS-3 (1994) Standard Specifications for
Structural Supports for Highway Signs,
Luminaires and Traffic Signals

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C78.1350 (1990) Electric Lamps - 400-Watt,
100-Volt, S51 Single-Ended High-Pressure
Sodium Lamps

ANSI C78.1351 (1989) Electric Lamps - 250-Watt, 100-Volt
S50 Single-Ended High-Pressure Sodium Lamps

ANSI C80.1 (1995) Rigid Steel Conduit - Zinc Coated

ANSI C82.4 (1992) Ballasts for
High-Intensity-Discharge and Low-Pressure
Sodium Lamps (Multiple-Supply Type)

ANSI C119.1 (1986; R 1997) Sealed Insulated
Underground Connector Systems Rated 600
Volts

ANSI C135.1 (1979) Galvanized Steel Bolts and Nuts for
Overhead Line Construction

ANSI C135.14 (1979) Staples with Rolled or Slash Points
for Overhead Line Construction

ANSI C136.2 (1996) Luminaires, Voltage Classification
of Roadway Lighting Equipment

ANSI C136.3 (1995) Roadway Lighting
Equipment-Luminaire Attachments

ANSI C136.6 (1997) Roadway Lighting Equipment - Metal
Heads and Reflector Assemblies -
Mechanical and Optical Interchangeability

ANSI C136.9 (1990) Roadway Lighting - Socket Support

Assemblies for Use in Metal Heads -
Mechanical Interchangeability

ANSI C136.10	(1996) Roadway Lighting- Locking-Type Photocontrol Devices and Mating Receptacles - Physical and Electrical Interchangeability and Testing
ANSI C136.11	(1995) Multiple Sockets for Roadway Lighting Equipment
ANSI C136.15	(1997) Roadway Lighting, High Intensity Discharge and Low Pressure Sodium Lamps in Luminaires -
ANSI O5.1	(1992) Specifications and Dimensions for Wood Poles

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM A 123/A 123M	(2000) Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
ASTM A 153/A 153M	(2000) Zinc Coating (Hot-Dip) on Iron and Steel Hardware
ASTM A 575	(1996) Steel Bars, Carbon, Merchant Quality, M-Grades
ASTM A 576	(1990b; R 1995e1) Steel Bars, Carbon, Hot-Wrought, Special Quality
ASTM B 117	(1997) Operating Salt Spray (Fog) Apparatus
ASTM D 1654	(1992) Evaluation of Painted or Coated Specimens Subjected to Corrosive Environments

AMERICAN WOOD-PRESERVERS' ASSOCIATION (AWPA)

AWPA C4	(1999) Poles - Preservative Treatment by Pressure Processes
AWPA P1/P13	(1995) Standard for Coal Tar Creosote for Land and Fresh Water and Marine (Coastal Water Use)
AWPA P8	(2000) Standards for Oil-Borne Preservatives
AWPA P9	(1998) Standards for Solvents for Organic Preservative Systems

ILLUMINATING ENGINEERING SOCIETY OF NORTH AMERICA (IESNA)

IESNA RP-8	(1983; R 1993) Roadway Lighting
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INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2	(1997) National Electrical Safety Code
IEEE C136.13	(1987; R 1997) Metal Brackets for Wood Poles
IEEE Std 81	(1983) Guide for Measuring Earth Resistivity, Ground Impedance, and Earth Surface Potentials of a Ground System (Part 1)

NATIONAL ELECTRICAL MANUFACTURERS ASSOCIATION (NEMA)

NEMA 250	(1997) Enclosures for Electrical Equipment (1000 Volts Maximum)
NEMA ICS 1	(1993) Industrial Control and Systems
NEMA ICS 2	(1993) Industrial Control and Systems Controllers, Contactors, and Overload Relays Rated Not More Than 2,000 Volts AC or 750 Volts DC
NEMA ICS 6	(1993) Industrial Control and Systems, Enclosures
NEMA OS 1	(1996) Sheet-Steel Outlet Boxes, Device Boxes, Covers, and Box Supports
NEMA RN 1	(1998) Polyvinyl-Chloride (PVC) Externally Coated Galvanized Rigid Steel Conduit and Intermediate Metal Conduit
NEMA TC 6	(1990) PVC and ABS Plastic Utilities Duct for Underground Installation
NEMA TC 9	(1990) Fittings for ABS and PVC Plastic Utilities Duct for Underground Installation

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70	(2002) National Electrical Code
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UNDERWRITERS LABORATORIES (UL)

UL 6	(1997) Rigid Metal Conduit
UL 98	(1994; Rev thru Jun 1998) Enclosed and Dead-Front Switches
UL 467	(1993; Rev thru Apr 1999) Grounding and Bonding Equipment
UL 486A	(1997; Rev thru Dec 1998) Wire Connectors and Soldering Lugs for Use with Copper Conductors
UL 514A	(1996; Rev Dec 1999) Metallic Outlet Boxes

UL 514B	(1996; Rev Oct 1998) Fittings for Conduit and Outlet Boxes
UL 651	(1995; Rev thru Oct 1998) Schedule 40 and 80 Rigid PVC Conduit
UL 651A	(1995; Rev thru Apr 1998) Type EB and A Rigid PVC Conduit and HDPE Conduit
UL 870	(1995; Rev Aug 1999) Wireways, Auxiliary Gutters, and Associated Fittings
UL 1029	(1994; Rev thru Dec 1997) High-Intensity-Discharge Lamp Ballasts
UL 1572	(1995; Rev thru Nov 1999) High Intensity Discharge Lighting Fixtures

1.2 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Lighting System; G, AO
Detail Drawings; G, AO

Detail drawings for the complete system and for poles, lighting fixtures, cable boxes, handholes, controllers, . Detail drawings for precast handholes shall include a design analysis to determine that strength is equivalent to indicated cast-in-place concrete handholes.

As-Built Drawings; G, AO

Final as-built drawings shall be finished drawings on mylar or vellum and shall be delivered with the final test report.

SD-03 Product Data

Equipment and Materials; G, AO

Data published by the manufacturer of each item on the list of equipment and material, to permit verification that the item proposed is of the correct size, properly rated or applied, or is otherwise suitable for the application and fully conforms to the requirements specified.

Spare Parts;

Spare parts data for each item of material and equipment specified, after approval of detail drawings for materials and equipment, and not later than 4 months before the date of beneficial occupancy. The data shall include a complete list of

parts, special tools, and supplies, with current unit prices and sources of supply.

SD-06 Test Reports

Operating Test; G, AO

Test procedures and reports for the Operating Test. After receipt by the Contractor of written approval of the test procedures, the Contractor shall schedule the tests. The final test procedures report shall be delivered after completion of the tests.

Ground Resistance Measurements; G, AO

The measured resistance to ground of each separate grounding installation, indicating the location of the rods, the resistance of the soil in ohms per millimeter and the soil conditions at the time the measurements were made. The information shall be in writing.

SD-10 Operation and Maintenance Data

Lighting System;

A draft copy of the operation and maintenance manuals, prior to beginning the tests for use during site testing. Final copies of the manuals as specified bound in hardback, loose-leaf binders, within 30 days after completing the field test. The draft copy used during site testing shall be updated with any changes required, prior to final delivery of the manuals. Each manual's contents shall be identified on the cover. The manual shall include names, addresses, and telephone numbers of each subcontractor installing equipment and systems, and nearest service representatives for each item of equipment for each system. The manuals shall have a table of contents and tab sheets. Tab sheets shall be placed at the beginning of each chapter or section and at the beginning of each appendix. The final copies delivered after completion of the field test shall include modifications made during installation checkout and acceptance.

1.3 SYSTEM DESCRIPTION

1.3.1 Lighting System

The lighting system shall be configured as specified and shown. The system shall include all fixtures, hardware, poles, cables, connectors, adapters and appurtenances needed to provide a fully functional lighting system.

1.3.2 Electrical Requirements

The equipment shall operate from a voltage source as shown, plus or minus 10 percent, and 60 Hz, plus or minus 2 percent.

1.3.3 Interface Between Lighting System and Power Distribution

Conductors shall include all conductors extending from the load side of the primary and secondary power panels that serve assessment lighting equipment .

1.3.4 Nameplates

Each major component of equipment shall have a nonferrous metal or engraved plastic nameplate which shall show, as a minimum, the manufacturer's name and address, the catalog or style number, the electrical rating in volts, and the capacity in amperes or watts.

1.3.5 Standard Products

Materials and equipment shall be standard products of manufacturer regularly engaged in the manufacture of such products. Items of equipment shall essentially duplicate equipment that has been in satisfactory use at least 2 years prior to bid opening.

1.3.6 Protection of Lighting System Components

1.3.6.1 Components and Conductors

Lighting system conductors shall be protected from damage. Lighting system conductors shall be installed in raceways. Where the conductors leave the underground systems, the conductors shall be in rigid steel conduit of the indicated size.

1.4 CORROSION PROTECTION

1.4.1 Aluminum Materials

Aluminum shall not be used.

1.4.2 Ferrous Metal Materials

1.4.2.1 Hardware

Ferrous metal hardware shall be hot-dip galvanized in accordance with ASTM A 153/A 153M and ASTM A 123/A 123M.

1.4.2.2 Equipment

Equipment and component items, including but not limited to ferrous metal luminaires not hot-dip galvanized or porcelain enamel finished, shall be provided with corrosion-resistant finishes which shall withstand 120 hours of exposure to the salt spray test specified in ASTM B 117 without loss of paint or release of adhesion of the paint primer coat to the metal surface in excess of 1/16 inch from the test mark. The scribed test mark and test evaluation shall have a rating of not less than 7 in accordance with TABLE 1, (procedure A) of ASTM D 1654. Cut edges or otherwise damaged surfaces of hot-dip galvanized sheet steel or mill galvanized sheet steel shall be coated with a zinc rich paint conforming to the manufacturer's standard.

1.4.3 Finishing

Painting required for surfaces not otherwise specified and finish painting of items only primed at the factory, shall be as specified in Section 09900 PAINTING, GENERAL.

PART 2 PRODUCTS

2.1 STANDARD PRODUCT

Material and equipment shall be the standard product of a manufacturer regularly engaged in the manufacture of the product and shall essentially duplicate items that have been in satisfactory use for at least 2 years prior to bid opening. Items of the same classification shall be identical including equipment, assemblies, parts, and components.

2.2 BRACKET ARMS

2.2.1 On Wood Poles

Poles shall be in accordance with IEEE C136.13 provided with galvanized steel pipe bracket arms coordinated for pole attachment. The bracket arm shall be of the length indicated on drawings.

2.2.2 Floodlight Brackets

Floodlight brackets shall be coordinated with the floodlight support provided.

2.3 CABLE

Wire and cable components shall be able to withstand the jobsite environment for a minimum of 20 years.

2.3.1 Insulated Cable

Cable shall be rated 600 volts. Parts of the cable system such as splices and terminations shall be rated not less than 600 volts. The size and number of conductors and the number of cables shall be as indicated. Conductors larger than No. 8 AWG shall be stranded. Cables shall be rated 600 volts and shall conform to the requirements of NFPA 70, and must be UL listed for the application or meet the applicable section of either ICEA or NEMA standards. Cables shall be single conductor type unless otherwise indicated.

2.4 CABLE SPLICES AND CONNECTORS

Cable splices and connectors shall conform to UL 486A. Underground splices and connectors shall also conform to the requirements of ANSI C119.1.

2.5 CABLE BOXES

Boxes and covers shall be made of cast iron with zinc coated or aluminized finish, and shall be of the sizes indicated on drawings. The minimum inside dimensions shall be not less than 12 inches square by 6 inches deep and not less than required to house the cable splice. A suitable gasket shall be installed between the box and cover for watertightness. A sufficient number of screws shall be installed to hold the cover in place along the entire surface of contact. Grounding lugs shall be provided.

2.6 MANHOLES, HANDHOLES, AND PULLBOXES

Handholes, and pullboxes shall be as indicated. Strength of handholes, and pullboxes and their frames and covers shall conform to the requirements of IEEE C2. Handholes for low voltage cables installed in parking lots, sidewalks, and turfed areas shall be from an aggregate consisting of sand

and with continuous woven glass strands having an overall compressive strength of at least 10,000 psi and a flexural strength of at least 5,000 psi. Pullbox and handhole covers in parking lots, sidewalks, and turfed areas shall be of the same material as the box. Concrete pullboxes shall consist of precast reinforced concrete boxes, extensions, bases, and covers.

2.7 CONDUIT, DUCTS AND FITTINGS

2.7.1 Conduit, Rigid Steel

Rigid steel conduit shall conform to ANSI C80.1 and UL 6.

2.7.2 Conduit Coatings

Underground metallic conduit and fittings shall be coated with a plastic resin system conforming to NEMA RN 1, Type 40. Epoxy systems may also be used.

2.7.3 Conduit Fittings and Outlets

2.7.3.1 Boxes, Metallic Outlets

NEMA OS 1 and UL 514A.

2.7.3.2 Boxes, Switch (Enclosed), Surface Mounted

UL 98.

2.7.3.3 Fittings for Conduit and Outlet Boxes

UL 514B.

2.7.3.4 Fittings, PVC, for Use with Rigid PVC Conduit and Tubing

UL 514B.

2.7.4 Non-Metallic Duct

Non-metallic duct lines and fittings utilized for underground installation shall be suitable for the application. Duct shall be thick-wall, single, round-bore type. Material of one type shall be used. Acrylonitrile-butadiene-styrene (ABS) duct shall conform to NEMA TC 6 and NEMA TC 9. High-density conduit shall conform to UL 651A. Schedule 40 polyvinyl chloride (PVC) shall conform to UL 651. Plastic utility duct and fittings manufactured without a UL label or listing shall be provided with a certification as follows: "The materials are suitable for use with 167 degree F wiring. No reduction of properties in excess of that specified for materials with a UL label or listing will be experienced if samples of the finished product are operated continuously under the normal conditions that produce the highest temperature in the duct."

2.8 GROUND RODS

Ground rods shall be of copper clad steel conforming to UL 467 not less than 3/4 inch in diameter by 10 feet in length of the sectional type driven full length into earth.

2.9 POLES

Poles shall be designed for a wind velocity of 80 mph at the base of the pole, for a wind gust factor of 1.3, and for the height and drag factors recommended by AASHTO LTS-3. The effective projected area of luminaires and other pole-mounted devices shall be taken into account in pole design. Poles shall have grounding provisions. The type of pole shaft material provided shall not be mixed on any project. Grounding connection shall be provided near the bottom of each metal pole and at each concrete pole anchor base. Scratched, stained, chipped, or dented poles shall not be installed.

2.9.1 Wood Poles

Wood poles shall conform to ANSI O5.1. Poles shall be pressure treated in accordance with AWPA C4 with creosote conforming to AWPA P1/P13 and oil-borne preservatives and petroleum conforming to AWPA P8 and AWPA P9. Species listed in ANSI O5.1 for which a preservative treatment is not specified in AWPA C4 shall not be used. Northern white cedar may be used if treated as specified for western red cedar, and western fir may be used if treated as specified for Douglas fir. Pole markings shall be located approximately 10 feet from the butt of the pole or as approved. Poles shall be machine trimmed by turning smooth full length and shall be roofed, gained, and bored before pressure treatment.

2.10 POLE LINE HARDWARE

Zinc coated hardware shall conform to ANSI C135.1 and ANSI C135.14, and steel hardware material shall conform to ASTM A 575 and ASTM A 576. Hardware shall be hot-dip galvanized in accordance with ASTM A 153/A 153M.

2.11 ELECTRICAL ENCLOSURES

The Contractor shall provide metallic enclosures as needed to house the lighting equipment. Enclosures shall conform to NEMA ICS 6 and NEMA 250. Enclosures shall be provided with lockable or padlock handles. Keys for lockable enclosures shall be delivered to the Contracting Officer. The enclosures shall be as specified or as shown on the drawings.

2.11.1 Interior Enclosures

Enclosures to house lighting equipment in an interior environment shall meet the requirements of a NEMA 12 enclosure as defined in NEMA 250.

2.11.2 Exposed-to-Weather Enclosures

Enclosures to house lighting equipment in an outdoor environment shall meet the requirements of a NEMA 4 enclosure as defined in NEMA 250.

2.12 ILLUMINATION

2.12.1 General Lighting

Luminaires, ballasts, lamps, and control devices required for general area and perimeter lighting, including floodlighting.

2.12.2 Roadway Lighting

Luminaires, ballasts, lamps, and control devices required for roadway lighting shall be in accordance with the contract drawings and herein.

2.13 LAMPS AND BALLASTS, HIGH INTENSITY DISCHARGE (HID) SOURCES

2.13.1 High-Pressure Sodium

Lamps shall conform to ANSI C78.1350 or ANSI C78.1351 . Ballasts shall conform to ANSI C82.4, or UL 1029. High-pressure sodium lamps shall be clear. High pressure sodium lamps for the perimeter, area and wall packs (250 watt and higher) shall have dual arc tubes.

2.14 LUMINAIRE COMPONENTS

Luminaire components shall conform to the following: attachments, ANSI C136.3; voltage classification, ANSI C136.2; field identification marking, ANSI C136.15; interchangeability, ANSI C136.6 and ANSI C136.9; and sockets, ANSI C136.11.

2.15 LIGHTING CONTROL EQUIPMENT

2.15.1 Photo-Control Devices

Photo-control devices shall conform to ANSI C136.10. Each photo-control element shall be a replaceable, weatherproof, plug-in or twist-lock assembly adjustable operation range of approximately 0.5 to 5.0 foot-candles. Luminaires shall be equipped with weatherproof plug-in or twist-lock receptacle to receive the photo-control element.

2.15.2 Manual Control Switches

Manual control switches shall conform to UL 98. The switches shall be the heavy-duty type and shall be suitable for operation on a 120 volt, 60 Hz system. The number of poles and ampere rating shall be as indicated. Switch construction shall be such that a screwdriver will be required to open the switch door when the switch is on. The selector switch shall have a minimum of three positions: ON, OFF, and AUTOMATIC. The automatic selection shall be used when photoelectric or timer control is desired. The selector switch shall interface with the lighting system magnetic contactor and control its activity.

2.15.3 Safety Switches

Switches shall be the heavy-duty type with NEMA ICS 6 Type 4 enclosures and shall be suitable for operation on a 277 volt, 60 Hz, single-phase system. Switch construction shall be such that a screwdriver will be required to open the switch door when the switch is on. Blades shall be visible with door open and shall be of the quick-make, quick-break type. Terminal lugs shall be coordinated with the wire size. Switches shall conform to UL 98.

2.15.4 Magnetic Contactor

Magnetic contactors shall be mechanically held, electrically operated, and shall conform to NEMA ICS 1 and NEMA ICS 2. The contactor shall be rated for the circuits indicated and have a coil voltage of the voltage indicated. Maximum continuous ampere rating and number of poles shall be as indicated on drawings. Enclosures for contactors mounted indoors shall be NEMA ICS 6, Type 1. Each contactor shall be provided with a spare, normally open auxiliary contact. Terminal lugs shall be coordinated with the wire size.

2.16 PHOTOMETRIC DISTRIBUTION CLASSIFICATION

Photometrics shall conform to IESNA RP-8.

2.17 LUMINAIRES, FLOODLIGHTING

2.17.1 HID and Incandescent

HID lighting fixtures shall conform to UL 1572.

2.18 FIXTURES

Illustrations shown on these sheets or on the drawings are indicative of the general type desired and are not intended to restrict selection to fixtures of any particular manufacturer. Fixtures of similar design, equivalent light distribution and brightness characteristics, equal finish and quality will be acceptable as approved.

2.18.1 Accessories

Accessories such as straps, mounting plates, nipples, or brackets shall be provided for proper installation.

2.18.2 Special Fixtures

The types of special fixtures are designated by letters and numbers. For example, SP-1 denotes special Type 1.

2.18.3 In-Line Fuse

An in-line fuse shall be provided for each fixture, and shall consist of a fuse and a UL approved waterproof fuse holder rated 20 ampere, 300 volts, with insulated boots. Fuse rating shall be 300 volts.

2.19 WIREWAY, RAINLIGHT, SUPPORT

Raintight wireway shall conform to UL 870. Wireway used for supporting floodlights on wood poles shall be 4 x 4 inches and length shall be 6 feet .

PART 3 EXECUTION

3.1 GENERAL

The Contractor shall install all system components, including government furnished equipment, and appurtenances in accordance with the manufacturer's instructions, IEEE C2, and contract documents, and shall furnish necessary hardware, fixtures, cables, wire, connectors, interconnections, services, and adjustments required for a complete and operable system.

3.1.1 Current Site Conditions

The Contractor shall verify that site conditions are in agreement with the design package. The Contractor shall report all changes to the site or conditions that will affect performance of the system to the Government. The Contractor shall not take any corrective action without written permission from the Government.

3.2 ENCLOSURE PENETRATIONS

Enclosure penetrations shall be from the bottom unless the system design

requires penetrations from other directions. Penetrations of interior enclosures involving transitions of conduit from interior to exterior, and penetrations on exterior enclosures shall be sealed with rubber silicone sealant to preclude the entry of water. The conduit riser shall terminate in a hot-dipped galvanized metal cable terminator. The terminator shall be filled with an approved sealant as recommended by the cable manufacturer, and in such a manner that the cable is not damaged.

3.3 PREVENTION OF CORROSION

3.3.1 Aluminum

Aluminum shall not be used in contact with earth or concrete, and where connected to dissimilar metal, shall be protected by approved fittings and treatment.

3.3.2 Steel Conduits

Steel conduits shall not be installed within concrete slabs-on-grade. Steel conduits installed underground or under slabs-on-grade, or penetrating slabs-on-grade, shall be field wrapped with 0.010 inch thick pipe-wrapping plastic tape applied with a 50 percent overlap, or shall have a factory-applied plastic resin, epoxy coating. Zinc coating may be omitted from steel conduit which has a factory-applied epoxy coating.

3.3.3 Cold Galvanizing

Field welds and/or brazing on factory galvanized boxes, enclosures, conduits, etc. shall be coated with a cold galvanized paint containing at least 95 percent zinc by weight.

3.4 CABLE INSTALLATION

Cable and all parts of the cable system such as splices and terminations shall be rated not less than 600 volts. The size and number of conductors and the number of cables shall be as indicated. Conductors larger than No. 8 AWG shall be stranded. Each circuit shall be identified by means of fiber or nonferrous metal tags, or approved equal, in each handhole and junction box, and at each terminal.

3.4.1 Splices

Splices below grade shall be made with nonpressure-filled resin systems using transparent, interlocking, self-venting, longitudinally split plastic molds. Splices above grade shall be made with sealed insulated pressure connectors and shall provide insulation and jacket equal to that of the cable. In order to prevent moisture from entering the splice, jackets shall be cut back to expose the required length of insulation between the jacket and the tapered end of the insulation.

3.4.2 Installation in Duct Lines

Ground and neutral conductors shall be installed in duct with the associated phase conductors. Cable splices shall be made in handholes only.

3.5 DUCT LINES

3.5.1 Requirements

Numbers and size of ducts shall be as indicated. Duct lines shall be laid with a minimum slope of 4 inches per 100 feet. Depending on the contour of the finished grade, the high point may be at a terminal, a manhole, a handhole, or between manholes or handholes. Short radius manufactured 90 degree duct bends may be used only for pole or equipment risers, unless specifically indicated as acceptable. The minimum manufactured bend radius shall be 18 inches for ducts of less than 3 inches in diameter, and 36 inches for duct 3 inches or greater in diameter. Otherwise, long sweep bends having a minimum radius of 25 feet shall be used for a change of direction of more than 5 degrees, either horizontally or vertically. Both curved and straight sections may be used to form long sweep bends, but the maximum curve used shall be 30 degrees and manufactured bends shall be used. Ducts shall be provided with end bells when duct lines terminate in manholes or handholes.

3.5.2 Treatment

Ducts shall be kept clean of concrete, dirt, or foreign substances during construction. Field cuts requiring tapers shall be made with proper tools and shall match factory tapers. A coupling recommended by the duct manufacturer shall be used when an existing duct is connected to a duct of different material or shape. Ducts shall be stored to avoid warping and deterioration with ends sufficiently plugged to prevent entry of any water or solid substances. Ducts shall be thoroughly cleaned before being laid. Plastic ducts shall be stored on a flat surface and protected from the direct rays of the sun.

3.5.3 Nonencased Direct-Burial

Top of duct lines shall be not less than 24 inches below finished grade and shall be installed with a minimum of 3 inches of earth around each duct, except that between adjacent electric power and communication ducts, 12 inches of earth is required. Bottom of trenches shall be graded toward manholes or handholes and shall be smooth and free of stones, soft spots, and sharp objects. Where bottoms of trenches comprise materials other than sand, a 3 inch layer of sand shall be laid first and compacted to approximate densities of surrounding firm soil before installing ducts. Joints in adjacent tiers of duct shall be vertically staggered at least 6 inches. The first 6 inch layer of backfill cover shall be sand compacted as previously specified. The rest of the excavation shall be backfilled and compacted in 3 to 6 inch layers. Duct banks may be held in alignment with earth. However, high tiered banks shall use a wooden frame or equivalent form to hold ducts in alignment prior to backfilling.

3.5.4 Installation of Couplings

Joints in each type of duct shall be made up in accordance with the manufacturer's recommendation for the particular type of duct and coupling selected and as approved.

3.5.4.1 Plastic Duct

Duct joints shall be made by brushing a plastic solvent on insides of plastic coupling fittings and on outsides of duct ends. Each duct and fitting shall then be slipped together with a quick 1/4 turn to set the joint tightly.

3.5.5 Concrete

Concrete work shall be as specified in Section 03300 CAST-IN-PLACE STRUCTURAL CONCRETE. Concrete shall be plain, 2500 psi at 28 days, except that reinforced concrete shall be 3000 psi at 28 days. Duct line encasement shall be of monolithic construction. Where a connection is made to an existing duct line, the concrete encasement shall be well bonded or doweled to the existing encasement.

3.5.6 Duct Line Markers

Duct line markers shall be provided at the ends of long duct line stubouts or for other duct locations that are indeterminate because of duct curvature or terminations at completely below-grade structures. In addition to markers, a 5 mil brightly colored plastic tape, not less than 3 inches in width and suitably inscribed at not more than 10 feet on centers with a continuous metallic backing and a corrosion-resistant 1 mil metallic foil core to permit easy location of the duct line, shall be placed approximately 12 inches below finished grade levels of such lines.

3.6 HANDHOLES

The exact locations shall be determined after carefully considering the locations of other utilities, grading, and paving. Exact locations shall be approved before construction is started.

3.6.1 Construction

Handholes shall be constructed as indicated on drawings, including appurtenances. Top, walls, and bottom shall consist of reinforced concrete. Walls and bottom shall be of monolithic construction. Concrete shall be 3000 psi at 28 days. Precast concrete handholes having the same strength and inside dimensions as cast-in-place concrete handholes may be used. In paved areas, the top of entrance covers shall be flush with the finished surface of the paving. In unpaved areas, the top of entrance covers shall be approximately 1/2 inch above the finished grade. Where finished grades are in cut areas, unmortared brick shall be installed between the top of handhole and entrance frame to temporarily elevate the entrance cover to existing grade level. Where duct lines enter walls, the sections of duct may be cast in the concrete or may enter the wall through a suitable opening. The openings around entering duct lines shall be caulked tight with lead wool or other approved material.

3.6.2 Appurtenances

The following appurtenances shall be provided for each handhole.

3.6.3 Cable Pulling-In Irons

A cable pulling-in iron shall be installed in the wall opposite each duct line entrance.

3.6.4 Ground Rods

In each handhole, at a convenient point close to the wall, a ground rod conforming to paragraph GROUNDING shall be driven into the earth before the floor is poured; approximately 4 inches of the ground rod shall extend above the floor after pouring. When precast concrete units are used, the top of the ground rod may be below the floor; a No. 1/0 AWG copper ground conductor shall be brought inside through a watertight sleeve in the wall.

3.7 POLE INSTALLATION

Pole lengths shall provide a luminaire mounting height of feet 35 feet for perimeter and 40 feet for all other poles. Luminaire mounting height may be increased by the height of the transformer base where required. The mount interfaces shall have ac power connected, and the pole wiring harness shall be connected to the luminaire. Light poles shall not be installed outside the site or inside the perimeter zone. Security system light poles shall be installed inside the secure area. Pole installation shall conform to the manufacturer's recommendations, NFPA 70, and IEEE C2. Poles shall be set straight and plumb.

3.7.1 Pole Brackets

Brackets shall be installed as specified by the manufacturer and as shown on drawings. Mounting hardware shall be sized appropriately to secure the mount, luminaire, and housing with wind and ice loading normally encountered at the site. Pole brackets for floodlights shall have the number of tenons indicated, arranged to provide the indicated spread between each tenon. Where indicated on drawings, adjustable heads shall be installed on the brackets to position the luminaires. Identical brackets shall be used with one type of luminaire.

3.7.2 Rigid Steel Conduit Ells

Rigid steel conduit ells shall be provided at all poles. Rigid steel conduit shall be connected to the ells and shall extend to a minimum height of 10 feet above grade. Rigid steel conduit ells shall be provided for wood poles, where required.

3.7.3 Wood Pole Installation

Wood poles shall be set straight and firm. In normal firm ground, minimum pole-setting depths shall be as listed in Table II. In rocky or swampy ground, pole-setting depths shall be decreased or increased respectively in accordance with the local utility's published standards and as approved. In swampy or soft ground, a bog shoe shall be used where support for a pole is required. Poles in straight runs shall be in a straight line. Curved poles shall be placed with curvatures in the direction of the pole line. Poles shall be set to maintain as even a grade as practicable. When the average ground run is level, consecutive poles shall not vary more than 5 feet in height. When the ground is uneven, poles differing in length shall be kept to minimum by locating poles to avoid the highest and lowest ground points. If it becomes necessary to shorten a pole, a piece shall be sawed off the top end and roofed. If any pole is shortened after treatment, the shortened end of the pole shall be given an application of hot preservative. Where poles are set on hilly terrain, along edges of cuts or embankments, or where soil may be washed out, special precautions shall be taken to ensure durable pole foundations, and the setting depth shall be measured from the lower side of the pole. Holes shall be dug large enough to permit proper use of tampers to the full depth of a hole. Earth shall be placed into a hole in 6 inch maximum layers, then thoroughly tamped before the next layer is placed. Surplus earth shall be placed around a pole in a cone and packed tightly to drain water from poles.

TABLE I - MINIMUM POLE-SETTING DEPTH
(Feet and Inches)

Length Overall Feet	Straight Lines	Curves, Corners, and Points of Extra Strain
20	5-0	5-0
25	5-6	5-6
30	5-6	5-6
35	6-0	6-0
40	6-0	6-6
45	6-6	7-0
50	7-0	7-6
55	7-6	8-0
60	8-0	8-6

3.8 LIGHTING

3.8.1 Lamps

Lamps of the proper type, wattage, and voltage rating shall be delivered to the project in the original containers and installed in the fixtures just before completion of the project.

3.8.2 Fixture Installation

Special fixtures shall be as indicated on drawings. Illustrations shown on these sheets or on the drawings are indicative of the general type desired and are not intended to restrict selection of fixtures to any particular manufacturer. Fixtures of similar design, equivalent light-distribution and brightness characteristics, and equal finish and quality will be acceptable as approved.

3.8.2.1 Accessories

Accessories such as straps, mounting plates, nipples, or brackets shall be installed as required for proper installation.

3.8.2.2 In-Line Fuses

An in-line fuse shall be provided for each fixture.

3.8.2.3 Special Fixtures

The types of special fixtures are designated by letters and numbers. For example, SP-1 denotes special type 1.

3.9 TRANSFORMER INSTALLATION

Transformers shall be securely mounted to steel supporting plates and bolted to wood poles.

3.10 LIGHTING CONTROL SYSTEM

3.10.1 Photo-Control

Lighting luminaires shall be controlled in banks by a single photo-control element mounted within each bank.

3.10.2 Manual and Safety Switches

Terminal lugs shall be coordinated with the wire size. Switches shall be securely fastened to the supporting structure or wall using not less than four 1/4 inch bolts. The use of sheet metal screws will not be allowed.

3.10.3 Magnetic Contactors

Terminal lugs shall be coordinated with the wire size. Switches shall be securely fastened to the supporting structure or wall using not less than four 1/4 inch bolts. The use of sheet metal screws will not be allowed.

3.11 GROUNDING

Grounding shall be in conformance with NFPA 70, the contract drawings, and the following. Grounding conductors shall be soft-drawn, stranded copper. Ground rods shall be driven into the earth so that after the installation is complete, the top of the ground rod will be approximately 1 foot below finished grade, except in handholes. Butt grounds shall not be used.

3.11.1 Ground Rods and Pole Butt Electrodes

The resistance to ground shall be measured using the fall-of-potential method described in IEEE Std 81. The maximum resistance of a driven ground rod shall not exceed 25 ohms under normally dry conditions. Whenever the required ground resistance is not met, additional electrodes shall be provided interconnected with grounding conductors, to achieve the specified ground resistance. The additional electrodes shall be up to three, 10 feet long rods spaced a minimum of 10 feet apart in diameter, up to 30 feet long, coupled and driven with the first rod. In high ground resistance, UL listed chemically charged ground rods may be used. If the resultant resistance exceeds 25 ohms measured not less than 48 hours after rainfall, the Contracting Officer shall be notified immediately. Connections below grade shall be fusion welded. Connections above grade shall be fusion welded or shall use UL 467 approved connectors.

3.11.2 Items to be Grounded

Ground conductors, metallic conduits, junction boxes, and noncurrent-carrying metallic parts of equipment shall be grounded. Connections above grade shall be made with solderless connectors, and those below grade shall be made by a fusion-welding process.

3.11.3 Lighting Pole

One ground rod shall be provided at each pole. Bases of metal or concrete lighting poles shall be connected to ground rods by means of No. 8 AWG bare copper wire. Lighting fixture brackets on wood and concrete poles shall be grounded to a No. 6 AWG bare copper grounding conductor connected to the ground rod.

3.11.4 Handhole

In each handhole, at a convenient point close to the wall, a ground rod shall be driven into the earth before the floor is poured, and approximately 4 inches of the ground rod shall extend above the floor

after pouring. When precast concrete units are used, the top of the ground rod may be below the floor, and a No. 1/0 AWG copper ground conductor shall be brought inside through a watertight sleeve in the wall. Connection to ground rods shall be by means of bolted-clamp terminals or by an approved fusion-welding process. Ground wires shall be neatly and firmly attached to handhole walls, and the amount of exposed bare wire shall be held to a minimum.

3.11.5 Metal Cable Boxes

Metal cable boxes for direct-burial cable shall be connected to adjacent ground rods by wires with current-carrying capacities of at least 20 percent of the spliced phase conductors, but not less than No. 6 AWG.

3.12 TESTS

3.12.1 Operating Test

After the installation is completed and at such time as the Contracting Officer may direct, the Contractor shall conduct an operating test for approval. The equipment shall be demonstrated to operate in accordance with the requirements specified. The test shall be performed in the presence of the Contracting Officer. The Contractor shall furnish instruments and personnel required for the test, and the Government will furnish the necessary electric power.

3.12.2 Ground Resistance Measurements

The resistance to ground shall be measured by the fall-of-potential method described in IEEE Std 81.

The contractor shall maintain a separate set of drawings, elementary diagrams and wiring diagrams of the lighting to be used for "as-built" drawings. This set shall be accurately kept up to date by the Contractor with all changes and additions to the lighting system. In addition to being complete and accurate, this set of drawings shall be kept neat and shall not be used for installation purposes. Upon completion of the as-built drawings, a representative of the Government will review the as-built work with the Contractor. If the as-built work is not complete, the Contractor will be so advised and shall complete the work as required.

-- End of Section --

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11/01

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SECTION 16711A

TELEPHONE SYSTEM, OUTSIDE PLANT
11/01

PART 1 GENERAL

1.1 REFERENCES

The publications listed below form a part of this specification to the extent referenced. The publications are referred to in the text by basic designation only.

AMERICAN NATIONAL STANDARDS INSTITUTE (ANSI)

ANSI C62.61 (1993) Gas Tube Surge Arresters on Wire
Line Telephone Circuits

AMERICAN SOCIETY FOR TESTING AND MATERIALS (ASTM)

ASTM D 2239 (1999) Polyethylene (PE) Plastic Pipe
(SIDR-PR) Based on Controlled Inside
Diameter

INSTITUTE OF ELECTRICAL AND ELECTRONICS ENGINEERS (IEEE)

IEEE C2 (1997) National Electrical Safety Code

NATIONAL FIRE PROTECTION ASSOCIATION (NFPA)

NFPA 70 (2002) National Electrical Code

U.S. DEPARTMENT OF AGRICULTURE (USDA)

RUS REA Bull 345-39 (1985) Telephone Section Protectors

RUS 345-65 (1985) Shield Bonding Connectors

RUS 345-72 (1995) Field Splice Closures

RUS Bull 1751F-643 (1998) Underground Plant Design

RUS Bull 1753F-302 (1994) Outside Plant Housings and Serving
Area Interface Systems

RUS Bull 1753F-401 (PC-2) (1995) RUS Standard for Splicing Copper
and Fiber Optic Cables

RUS IP 344-2 (1999) List of Materials Acceptable for
Use on Telecommunications Systems of RUS
Borrowers

RUS REA Bull 1751F-641 (1995) Construction of Buried Plant

RUS REA Bull 1753F-201 (1976) Acceptance Tests and Measurements
of Telephone Plant

RUS REA Bull 1753F-207 (1994) Terminating (TIP) Cable
RUS REA Bull 1753F-208 (1993) Filled Telephone Cables with
Expanded Insulation

UNDERWRITERS LABORATORIES (UL)

UL 497 (1995; Rev Oct 1999) Protectors for Paired
Conductor Communication Circuits

1.2 SYSTEM DESCRIPTION

The outside plant system shall consist of all cable, conduit, manholes, poles, etc. required to provide signal paths from the closest point of presence to the new facility, including free standing frames or backboards, terminating cables, lightning and surge protection modules at the entry facility. The work consists of furnishing, installing, testing and making operational a complete outside plant system for continuous use.

1.3 SUBMITTALS

Government approval is required for submittals with a "G" designation; submittals not having a "G" designation are for information only. When used, a designation following the "G" designation identifies the office that will review the submittal for the Government. The following shall be submitted in accordance with Section 01330 SUBMITTAL PROCEDURES:

SD-02 Shop Drawings

Telephone System; G-AO,
Installation; G-AO,

Detail drawings, consisting of a complete list of equipment and material, including manufacturer's descriptive and technical literature, performance charts and curves, and catalog cuts. Detail drawings shall also contain complete configuration information, wiring diagrams and any other details required to demonstrate that the cable system has been coordinated to support the transmission systems identified in the specifications and drawings. Drawings shall show proposed layout and anchorage of equipment and appurtenances, and equipment relationship to other parts of the work including clearance for maintenance and operations.

Record Drawings; G, AO

Record drawings for the installed wiring system showing the actual location of all cable terminations, splices, routing, and size and type of all cables. The identifier for each termination and cable shall appear on the drawings. The drawings shall include gauge and pair or fiber count for each cable, duct and innerduct arrangement, or conductor assignment of outside plant, and protector and connector block layout at the termination points after installation.

SD-03 Product Data

Spare Parts; FIO,
Equipment; G-AO,

A data list of recommended spare parts, tools, and test equipment for each different item of material and equipment specified prior to beneficial occupancy. The data shall include a complete list of parts and supplies, with current unit prices and source of supply.

Installation; G, AO

Printed copies of the manufacturer's recommendations for the material being installed, prior to installation. Installation of the item will not be allowed to proceed where installation procedures, or any part thereof, are required to be in accordance with those recommendations until the recommendations are received and approved.

Acceptance Tests; G, AO

Test plans defining all tests required to ensure that the system meets specified requirements. The test plans shall define milestones for the tests, equipment, personnel, facilities, and supplies required. The test plans shall identify the capabilities and functions to be tested.

Cutover and Records; G, AO

The cutover plan shall provide procedures and schedules for relocation of facility station numbers without interrupting service to any active location.

SD-06 Test Reports

Acceptance Tests; G, AO

Test reports in booklet form showing all field tests performed, upon completion and testing of the installed system. Measurements shall be tabulated on a pair by pair or strand by strand basis.

SD-07 Certificates

Telephone System; FIO,

Proof that the items furnished under this section conform to the specified requirements in FCC, ICEA, REA, RUS, ANSI, ASTM, NFPA, EIA, or UL, where materials and equipment are so specified.

Qualifications; G, AO

The qualifications of the manufacturer, splicer, and installation supervisor as specified.

1.4 QUALIFICATIONS

1.4.1 Cable Installers

Installation shall be under the direct supervision of an individual with a minimum of 3 years experience in the installation of the specified copper

and fiber optic cable and components.

1.4.2 Cable Splicing and Termination

All cable splicers shall have training in the proper techniques and have a minimum of 3 years experience in splicing and terminating the specified cables. Modular splices shall be performed by factory certified personnel or under direct supervision of factory trained personnel for products used.

1.4.3 Manufacturers

The cable, equipment, and hardware provided shall be from manufacturers that have a minimum of 3 years experience in producing the types of cable, equipment, and hardware specified.

1.5 DELIVERY AND STORAGE

1.5.1 Cable Requirements-

All cable shall be shipped on reels. The diameter of the drum shall be large enough to prevent damage to the cable during reeling and unreeling. The reels shall be constructed to prevent damage during shipment and handling. The outer end of the cable shall be securely fastened to the reel head to prevent the cable from becoming loose in transit. The inner end of the cable shall project into a slot in the side of the reel, or into a housing on the inner slot of the drum, with sufficient length to make it available for testing. The inner end shall be fastened to prevent the cable from becoming loose during installation. End seals shall be applied to each of the cables to prevent moisture from entering the cable. The reels with cable shall be suitable for outside storage conditions when the temperature ranges from minus 40 to plus 148 degrees F, with relative humidity from 0 to 100 percent.

1.5.2 Equipment

All equipment shall be stored with protection from the weather, humidity and temperature variations, dirt and dust, or other contaminants, in accordance with the manufacturer's requirements.

PART 2 PRODUCTS

2.1 STANDARD PRODUCTS

Materials and equipment shall be the standard products of a manufacturer regularly engaged in the manufacture of such products and shall be the manufacturer's latest standard design that has been in satisfactory use for at least 2 years prior to bid opening. Each major component of equipment shall have the manufacturer's name and type identified on the equipment. All products supplied shall be specifically designed and manufactured for use with outside plant communications systems. All items of the same class of equipment shall be the products of a single manufacturer.

2.2 CABLE

2.2.1 Copper Conductor Cable

Copper conductor cable shall conform to the following:

2.2.1.1 Direct Buried

Cable shall be manufactured per RUS REA Bull 1753F-208 or RUS REA Bull 345-39. A 5 mil copper clad alloy steel or 5 mil copper clad stainless steel metallic shield shall be provided. The telephone cable shall be armored and gel filled.

2.3 CLOSURES

2.3.1 Copper Conductor Closures

2.3.1.1 Buried Closure

Buried closure shall conform to RUS 345-72.

2.3.1.2 Underground Closure

Underground closures shall conform to RUS 345-72. The closure shall be of thermoplastic, thermoset, or stainless steel material and be suitable for use in a vault or manhole.

2.4 CABLE SPLICES AND ORGANIZERS

2.4.1 Copper Cable Splices

The correct connector size shall be used to accommodate the wire gauge of the cable to be spliced. The connectors used shall be listed in RUS IP 344-2.

2.5 CABLE TERMINALS

2.5.1 Pedestal-Type Cable Terminals

Pedestal-type cable terminals shall conform to RUS Bull 1753F-302.

2.5.2 Cross-connect Cable Terminals

Cross-connect cable terminals shall be weatherproofed for outdoor use and suitable for pole, pad, or stake mounting. The terminal shall be equipped with mounting columns and distribution rings for jumper-wire routing. The terminal shall be of aluminum or steel construction and ribbed for strength.

2.6 MANHOLE AND DUCT

All manhole and duct products shall conform to RUS Bull 1751F-643.

2.6.1 Duct/Conduit

Conduit shall be furnished as specified in Sections 16415A ELECTRICAL WORK, INTERIOR and 16375A ELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND and as shown on project drawings.

2.6.2 Innerduct

Innerduct shall be SIDR 11.5 polyethylene plastic pipe conforming to ASTM D 2239.

2.7 CONNECTOR BLOCKS

Connector blocks consisting of flame-retardant molded plastic fastened to a

metal mounting bar shall be provided to terminate the outside plant cable as shown. The connector blocks shall be of 100-pair block size and equipped with protection modules. The connector blocks shall be 24 gauge stub type. The cable stubs shall be 100 pair and conform to RUS REA Bull 1753F-207.

2.8 PROTECTOR MODULES

The protector modules shall be of the two-element gas tube type. Protection modules shall be heavy duty, $A > 10$ kA, $B > 400$, $C > 65A$ where A is the maximum single impulse discharge current, B is the impulse life and C is the AC discharge current per ANSI C62.61. The gas modules shall shunt high voltage to ground, fail short, be equipped with an external spark gap and heat coils, and shall comply with UL 497.

2.9 MISCELLANEOUS ITEMS

2.9.1 Shield Connectors

Shield connectors shall make a stable, low-impedance electrical connection between the shield of the communications cable and a conductor such as a strap, bar, or wire. The connector shall be made of tin-plated tempered brass. Shield bond connectors shall comply with RUS 345-65.

2.9.2 Grounding Braid

Grounding braid shall provide low electrical impedance connections for dependable shield bonding. The braid shall be made from flat tin-plated copper.

2.9.3 Warning Tape

Marking and locating tape shall be acid and alkali resistant polyethylene film, 6 inches wide with a minimum strength of 1750 psi lengthwise and 1500 psi crosswise. The tape shall be manufactured with integral wires, foil backing, or other means to enable detection by a metal detector when the tape is buried up to 3 feet deep. The metallic core shall be encased in a protective jacket or provided with other means to protect it from corrosion and shall be specifically manufactured for marking and locating underground utilities. The warning tape shall be orange in color and continuously imprinted with the words "WARNING - COMMUNICATIONS CABLE BELOW" at not more than 48 inch intervals.

2.9.4 Cable Warning Signs

Cable warning signs, which identify the route of buried cable, shall be stake mounted. The stake shall be driven into undisturbed soil and the sign shall be mounted to the stake in accordance with the manufacturer's instructions. Warning signs shall be placed at intervals of no more than 500 feet and at each change of direction in the cable route. Warning signs shall also be placed on each side of every crossing of surface obstacles such as roads, railroads, stream crossings, or any similar crossing where excavation is likely to occur.

PART 3 EXECUTION

3.1 INSTALLATION

All system components and appurtenances shall be installed in accordance

with the manufacturer's instructions and as shown. All installation work shall be done in accordance with the safety requirements set forth in the general requirements of IEEE C2 and NFPA 70.

3.1.1 Cable Inspection and Repair

All cable and wire used in the construction of the project shall be handled with care. Each reel shall be inspected for cuts, nicks or other damage. All damage shall be repaired to the satisfaction of the Contracting Officer. The reel wrap shall remain intact on the reel until the cable or wire is ready to be placed.

3.1.2 Buried Cable

Buried cable installation shall be accomplished in accordance with RUS REA Bull 1751F-641.

3.1.2.1 Cable Depth

Cables placed in soil shall be at a minimum depth of 36 inches. Cables placed at ditch crossings shall be at a minimum depth of 36 inches. A warning tape shall be placed above the cable and approximately 18 inches below ground level.

3.1.2.2 Above Ground Cable Protection

Cable installed on the outside of buildings, less than 8 feet above finished grade, shall be protected against physical damage.

3.1.2.3 Telephone Cable Bends

Telephone cable bends shall have a radius of not less than 10 times the cable diameter.

3.1.2.4 Penetrations

Penetrations in walls, ceilings or other parts of the building, made to provide for cable access, shall be caulked and sealed. Where conduits and ducts pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, the penetration shall be sealed with fire stopping materials as specified in Section 07840A FIRESTOPPING. Fire stopped penetrations shall not compromise the fire rating of the walls or floors. All underground building entries shall be through waterproof facilities.

3.1.2.5 Cable Protection

Unless otherwise shown or specified, direct buried cable shall be protected in accordance with Table 300.5 of NFPA 70. Where additional protection is required, cable may be placed in galvanized iron pipe (GIP) sized on a maximum fill of 40% of cross-sectional area, or in concrete encased 4 inch PVC pipe. Conduits shall extend at least 6 inches per 12 inches burial depth beyond the edge of the surface where cable protection is required; all conduits shall be sealed on each end. Conduit may be installed by jacking or trenching. Trenches shall be backfilled with earth and mechanically tamped at 6 inch lifts so that the earth is restored to the same density, grade and vegetation as adjacent undisturbed material.

3.1.3 Underground Cable

Underground cable installation shall be accomplished in accordance with the requirements set forth in RUS REA Bull 1751F-641.

3.1.3.1 Penetrations for Cable Access

Penetrations in walls, ceilings or other parts of the building, made to provide for cable access, shall be caulked and sealed. Where conduits and ducts pass through fire walls, fire partitions, above grade floors, and fire rated chase walls, the penetration shall be sealed with fire stopping materials as specified in section 07840A FIRE STOPPING. Fire stopped penetrations shall not compromise the fire rating of the walls or floors. All underground building entries shall be through waterproof facilities. Penetrations of a cable into a building shall be through a conduit that extends 5 ft from the facility foundation. Seal around the cable where it enters the conduit.

3.1.3.2 Cable Bends

Telephone cable bends shall have a radius of not less than 10 times the cable diameter. Only large radius sweeps shall be used in conduit runs and shall not exceed a cumulative 90 degrees between manholes.

3.1.4 Manhole and Ducts

Manhole and duct systems shall be installed in accordance with Section 16375AELECTRICAL DISTRIBUTION SYSTEM, UNDERGROUND. Splice cases shall be mounted in the center on the long sides. Lateral conduits shall exit the long sides near the corners.

3.1.4.1 Pull Cord

Pull cords of 3/8 inch polypropylene shall be installed in all unused ducts and inner-ducts with a minimum of 2 feet spare cord protruding from each end.

3.1.5 Surge Protection

Except for fiber optic cable, all cables and conductors, which serve as communication lines, shall have surge protection meeting the requirements of RUS345-50 installed at the entry facility.

3.2 SPLICING

3.2.1 Copper Conductor Splices

Copper conductor cable splicing shall be accomplished in accordance with RUS Bull 1753F-401 (PC-2). Modular splicing shall be used on all cables larger than 25 pairs.

3.3 GROUNDING

Except where specifically indicated otherwise, all exposed non-current carrying metallic parts of telephone equipment, cable sheaths, cable splices, and terminals shall be grounded. Grounding shall be in accordance with requirements of NFPA 70, Articles 800-33 and 800-40.

3.3.1 Incoming Outside Plant Cables

All incoming outside plant cable shields shall be bonded directly to the

TMGB or the closest TGB.

3.3.2 Cable Stubs

All shields of cable stubs shall be bonded to a TGB located on the frame.

3.3.3 Shields

The shields of all incoming cables shall not be bonded across the splice to the cable stubs.

3.3.4 Protection Assemblies

The protector assemblies shall be mounted directly on the vertical frame ironwork. The assemblies mounted on each vertical frame shall be connected with a No. 6 AWG copper conductor to provide a low resistance path to the TGB.

3.4 CUTOVER AND RECORDS

All necessary transfers and cutovers, shall be accomplished by the Contractor.

3.5 ACCEPTANCE TESTS

The Contractor shall provide all personnel, equipment, instrumentation, and supplies necessary to perform all required testing. Notification of any planned testing shall be given to the Contracting Officer at least 14 days prior to any test; testing shall not proceed until after the Contractor has received written Contracting Officer's approval of the test plans as specified. The test plans shall define all the tests required to ensure that the system meets technical, operational, and performance specifications. The test plans shall define milestones for the tests, equipment, personnel, facilities, and supplies required. The test plans shall identify the capabilities and functions to be tested.

3.5.1 Copper Conductor Cable

The following acceptance tests shall be performed in accordance with RUS REA Bull 1753F-201:

- a. Shield continuity.
- b. Conductor continuity.
- c. Conductor insulation resistance.
- d. Structural return loss.
- e. Cable insertion loss and loss margin at carrier frequencies.
- f. Shield ground for single jacketed cables.
- g. DC loop resistance.

-- End of Section --

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